

**COUNTRY AND PORTFOLIO INDICATORS, AND ASSISTANCE PIPELINE**  
**Table A1.1: Progress Toward the Millennium Development Goals and Targets<sup>1</sup>**

Goals and Targets	Country Status
<p><b>Goal 1: Eradicate Extreme Poverty and Hunger</b></p> <p>Target 1: Halve, between 1990 and 2015, the proportion of people whose income is less than one dollar a day.<sup>2</sup></p> <p>Target 2: Halve, between 1990 and 2015, the proportion of people who suffer from hunger.</p>	<p>Poverty incidence<sup>2</sup> in 1999 was 82.6%. A qualitative study on poverty in 2000 noted that poverty incidence remained unchanged.</p> <p>The proportion of extremely poor<sup>2</sup> in the country was 16.9% in 1999.</p>
<p><b>Goal 2: Achieve Universal Primary Education</b></p> <p>Target 3: Ensure that, by 2015, children everywhere, boys and girls alike, will be able to complete a full course of primary schooling.</p>	<p>Net enrolment ratio in primary education (grade levels 1–4) is very high, averaging 93% from 1994 to 2000. But gross enrollment rates for basic education (grade levels 1–9) declined from 94% in 1990 down to 89% in 1998.</p>
<p><b>Goal 3: Promote Gender Equality and Empower Women</b></p> <p>Target 4: Eliminate gender disparity in primary and secondary education preferably by 2005 and to all levels of education no later than 2015.</p>	<p>The decline in school enrolment and attendance among girls after grade 9 is noticeable, especially in the rural areas.</p>
<p><b>Goal 4: Reduce Child Mortality</b></p> <p>Target 5: Reduce by two thirds, between 1990 and 2015, the under-five mortality rate.</p>	<p>Infant mortality rates (less than 1 year old) have declined from 40.6 per thousand live births in 1991 to 36.7 in 2000.<sup>3</sup> However, the welfare of infants and children is deteriorating. About 10,000 babies are born underweight annually. Around 46% of children below 5 years are malnourished and 11% suffer from acute malnutrition. More than 21% of children aged 1-2 years have not been vaccinated.</p>
<p><b>Goal 5: Improve Maternal Health</b></p> <p>Target 6: Reduce by three quarters, between 1990 and 2015, the maternal mortality ratio.</p>	<p>Maternal mortality rates improved from 87.6 per 100,000 births in 1994 to 43.1 in 2000.<sup>3</sup> But there are some disturbing trends. Many pregnant women suffer from various illnesses like anemia, extra genital diseases, etc. that lead to high maternal health risks. Also, the number of pregnant women who sought consultation in primary health centers has decreased.</p>
<p><b>Goal 6: Combat HIV/AIDS<sup>4</sup>, Malaria, and Other Diseases</b></p> <p>Target 7: Have halted by 2015, and begun to reverse, the spread of HIV/AIDS.</p> <p>Target 8: Have halted by 2015, and begun to reverse, the incidence of malaria and other major diseases.</p>	<p>This problem is not a major concern as less than 0.01% of adults aged 15-49 years were diagnosed with HIV in 1999.</p> <p>Infectious and parasitic diseases are the second leading causes of deaths in the country<sup>3</sup>. The most disturbing is the continuous increase in morbidity due to malaria, tuberculosis, typhoid, and hepatitis.</p>
<p><b>Goal 7: Ensure Environmental Sustainability</b></p> <p>Target 9: Integrate the principles of sustainable development into country policies and programs and reverse the loss of environmental resources.</p> <p>Target 10: Halve, by 2015, the proportion of people without sustainable access to safe drinking water.</p> <p>Target 11: By 2020, to have achieved a significant improvement in the lives of at least 100 million slum dwellers.</p>	<p>The Government completed the Poverty Reduction Strategy in June 2002. Environmental management is one of the key approaches to sustainable poverty reduction.</p> <p>In 2000, only 51.2% of the total households had access to potable drinking water. The proportion is much lower in rural areas where more than half obtain their water from open ditches.</p> <p>There are no official records of slum dwellers in the country although street children are emerging especially in the main urban center, Dushanbe.</p>

<sup>1</sup> The Government of Tajikistan has adopted majority of the Millennium Development Goals as its goals and targets in its Poverty Reduction Strategy. The Strategy document has been submitted to Parliament for its endorsement by end-2002.

<sup>2</sup> The \$1 a day poverty line (at 1999 prices PPP) is used in Tajikistan to define the proportion of extremely poor in the country while the State Statistical Agency definition equivalent to TJR20,000 (or TJS20) per month per person is adopted in defining the poverty incidence (World Bank, 2000, Republic of Tajikistan –Poverty Assessment, footnote 4, p. 17). This \$1 a day PPP (at 1999 prices) is not comparable with the internationally defined \$1 a day that is based in 1985 constant PPP US\$ and was later updated to 1993 PPP US\$.

<sup>3</sup> There are problems on the reliability of the official data.

<sup>4</sup> HIV/AIDS= human immunodeficiency virus/acquired immunodeficiency syndrome.

Table A1.2: Country Economic Indicators

Item	Fiscal Year				
	1997	1998	1999	2000	2001
<b>A. Income and Growth</b>					
1. GDP per Capita (\$, current)	–	220.4	172.9	154.3	164.0
2. GDP Growth (% , in constant prices)	1.7	5.3	3.7	8.3	10.3
Agriculture	0.2	6.5	3.8	12.4	11.0
Industry	(2.0)	8.1	5.0	10.3	14.8
Services	–	–	–	–	–
<b>B. Saving and Investment (current and market prices)</b>					
	<b>(% of GDP)</b>				
1. Gross Domestic Investment	0.0	15.4	17.3	–	–
2. Gross National Saving	–	23.3	19.4	–	–
<b>C. Money and Inflation</b>					
	<b>(annual % change)</b>				
1. Consumer Price Index <sup>a</sup>	121.2	43.2	27.5	32.9	38.6
2. Total Liquidity (M2)/Broad money end of period (in % of GDP)	–	29.3	39.0	69.8	54.6
<b>D. Government Finance</b>					
	<b>(% of GDP)</b>				
1. Revenue and Grants	13.7	12.0	13.5	13.6	15.2
2. Expenditure and Onlending	17.0	15.8	16.6	14.2	15.3
3. Overall Fiscal Surplus (Deficit)	(3.3)	(3.8)	(3.1)	(0.6)	(0.1)
<b>E. Balance of Payments</b>					
1. Merchandise Trade Balance (% of GDP)	(5.8)	(11.2)	(2.6)	(4.9)	–
2. Current Account Balance (% of GDP)	(5.4)	8.3	(3.4)	(5.9)	(7.7)
3. Merchandise Export (\$) Growth (annual % change)	(3.1)	(6.3)	13.7	18.9	(17.3)
4. Merchandise Import (\$) Growth (annual % change)	3.0	(0.6)	(5.2)	21.1	(7.3)
<b>F. External Payments Indicators</b>					
1. Gross Official Reserves (including gold, \$ million) (months of current year's imports of goods)	–	1.5	1.2	2.1	1.9
2. External Debt Service (% of exports of goods and services)	10.7	6.8	5.1	10.0	18.0
3. Total External Debt (% of GDP)	108.0	90.0	117.0	128.0	97.0
<b>G. Memorandum Items</b>					
1. GDP (current prices, TJR billion)	632.0	1,025.0	1,345.0	1,806.7	2,512.0
2. Exchange Rate (TJR/\$, TJS/\$, average) <sup>b</sup>	564.0	783.0	1,235.0	1,830.0	2.4
3. Population (million)	6.0	6.1	6.1	6.1	6.2

–=not available, GDP=gross domestic product, TJR=Tajik ruble, TJS=Tajik somoni.

<sup>a</sup> Annual percentage change (period average).

<sup>b</sup> A currency reform in 2000 fully replaced on 1 April 2001 the old currency, the Tajik ruble (TJR), with the new national currency, the somoni (TJS) at the exchange rate of TJS1.00=TJR1,000.

Sources: State Statistical Agency of Tajikistan, International Monetary Fund, World Bank, and Asian Development Bank estimates.

Table A1.3: Country Poverty and Social Indicators

Item	Period			
	1985	1990	Latest Year	
<b>A. Population Indicators</b>				
1. Total Population (million)	4.6	5.3	6.2	(2001)
2. Annual Population Growth Rate (% change)	–	3.0	2.2	(2000)
<b>B. Social Indicators</b>				
1. Total Fertility Rate (births/woman)	–	5.0 (1991)	3.1	(2000)
2. Maternal Mortality Rate (per 100,000 live births)	–	87.6 (1994)	43.1	(2000)
3. Infant Mortality Rate (below 1 yr/1,000 live births)	38.9 (1989)	40.6 (1991)	20.6	(2000)
4. Life Expectancy at Birth (years)	–	70.5 (1991)	68.8	(2000)
Female	–	71.3 (1997)	71.0	(1999)
Male	–	65.6 (1997)	66.0	(1999)
5. Adult Literacy (%)	97.7 (1989)	–	97.7	(1999)
Female	–	–	–	(1999)
Male	–	–	–	(1999)
6. Primary School Gross Enrollment (%)	–	–	93.0	(1994-2000)
7. Secondary School Gross Enrollment (%)	–	–	78.0	(1997)
8. Child Malnutrition (% below age 5)	–	–	–	
9. Population Below Poverty Line (International, %) <sup>a</sup>	–	–	16.9	(1999)
10. Population with Access to Safe Water (%)	–	60.0 (1996)	51.2	(2000)
11. Population with Access to Sanitation (%)	–	–	–	
12. Public Education Expenditure (% of GDP)	–	10.4 (1992)	2.3	(2000)
13. Human Development Index	–	0.6 (1992)	0.7	(2000)
Rank	–	97.0 (1992)	112	(2000)
14. Gender-Related Development Index	–	–	0.7	(2000)
Rank	–	–	94	(2000)
<b>C. Poverty Indicators</b>				
1. Poverty Incidence (%) <sup>b</sup>	–	–	82.6	(1999-2000)
2. Percent of Poor to Total Population				
Sughd Region	–	–	–	
Khatlon Region	–	–	–	
Gorno-Badakhsham Autonomous Region	–	–	–	
3. Poverty Gap	–	–	–	
4. Poverty Severity Index	–	–	–	
5. Inequality (Theil L Index)	–	–	–	
6. Human Poverty Index	–	–	–	
Rank	–	–	–	

– = not available; GDP=gross domestic product.

Sources: State Statistical Agency of Tajikistan, World Bank.

<sup>a</sup> Population living on \$1 or less per day. This indicator is used in Tajikistan to define the proportion of extremely poor.

<sup>b</sup> The definition of Som20 per month per person by the State Statistical Agency is adopted in defining the poverty incidence.

Table A1.4: Country Environment Indicators

Indicator	1990	Latest Year	
<b>A. Energy Efficiency of Emissions</b>			
1. GDP/Unit of Energy Use (PPP\$/kgoe)	–	–	
2. Traditional Fuel Use (% of total energy use)	–	–	
3. Carbon Dioxide Emissions			
million metric tons	–	5.6	(1997)
metric tons per capita	–	0.9	(1999)
<b>B. Water Pollution: Water and Sanitation</b>			
1. % Urban Population with Access to Safe Water	–	–	
2. % Rural Population with Access to Safe Water	–	–	
3. % Urban Population with Access to Sanitation	–	–	
<b>C. Land Use and Deforestation</b>			
1. Forest Area (million hectares)	0.4	0.4	(2000)
2. Average annual Deforestation			
square kilometers	–	-20.0	(1990-2000)
% change	–	0.5	(2000)
3. Rural Population Density (people/km <sup>2</sup> of cultivated land)	–	31.6	(2000)
4. Cultivated Land (% of total land)	5.7 (1991)	5.2	(2000)
<b>D. Biodiversity and Protected Areas</b>			
1. Nationally Protected Area			
million hectares	–	0.59	(1997)
% of total land	4.2 (1997)	4.1	(1999)
2. Mammals (number of threatened species)	–	9.0	(2000)
3. Birds (number of threatened species)	–	7.0	(2000)
4. Higher Plants (number of threatened species)	–	50.0	(1997)
5. Reptiles (number of threatened species)	–	–	
6. Amphibians (number of threatened species)	–	–	
<b>E. Urban Areas</b>			
1. Urban Population			
millions	1.7 (1989)	1.6	(2000)
% of total population	32.5 (1989)	26.5	(2000)
2. Per Capita Water Use (liters/day)	–	–	
3. Wastewater Treated (%)	–	–	
4. Solid Waste Generated Per Capita (kg/day)	–	–	

–=not available, GDP=gross domestic product, kg=kilogram, kgoe=kilograms of oil equivalent, km<sup>2</sup>=square kilometer, PPP=purchasing power parity.

Source: State Statistical Agency of Tajikistan, World Bank. 2001. *World Development Indicators*. Washington.

**Table A1.5: Development Coordination Matrix**

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
<b>Agriculture</b>	<ul style="list-style-type: none"> <li>• Support the sector’s transition to a market economy with focus on policy and institutional efforts in land reforms and natural resource management, restructuring and further improvement of agricultural input supply system, improved cost recovery in irrigation, and the setting up of viable microfinance systems in rural areas.</li> <li>• Support is being extended to rehabilitate irrigation schemes, promote effective use of water and land resources, arrest degradation of water and soil resources, and develop systems for maintaining and rehabilitating agricultural infrastructure.</li> <li>• Support capacity building of sectors’ key institutions.</li> </ul>	<p><b>World Bank:</b> Supports promoting greater private sector role in the agriculture sector; assists in policy reforms and institutional development; provides support for farm restructuring, developing regulatory framework on agricultural reform, establishing an agriculture market information system, and enhancing institutional capacity of the Ministry of Agriculture; has provided support for animal husbandry; and plans to support pilot microcredit schemes.</p> <p><b>United Nations Development Programme (UNDP):</b> Supports developing microfinance activities particularly for poor rural areas and women.</p> <p><b>Switzerland:</b> Supports the establishment, implementation, and continuous improvement of the newly formed countrywide rural advisory and development services; focuses on development in mountainous areas.</p> <p><b>United States Agency for International Development (USAID):</b> Together with farmers, advocates for new land use rights, provides training in farm management, and provides support in strengthening microfinance activities. A large-scale food assistance program has been implemented since 2001.</p> <p><b>Japan:</b> Provides agriculture machinery and training in Japan for farm management, rural finance, and agricultural technology.</p>
<b>Energy</b>	<ul style="list-style-type: none"> <li>• To facilitate energy sector reforms, support establishing an efficient policy and regulatory framework, fostering privatization and commercialization, encouraging private sector involvement, and encouraging fiscally sustainable operations of state-owned utilities managed by the</li> </ul>	<p><b>Islamic Development Bank:</b> Supports power rehabilitation (cofinancing with the Asian Development Bank [ADB]).</p> <p><b>Switzerland:</b> Supports power rehabilitation (cofinancing with ADB).</p>

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
	<p>Barki Tajik (state power company); and provide training for capacity and institutional building.</p> <ul style="list-style-type: none"> <li>• Through rehabilitation of power infrastructure, assist in improving quality of life and increase the benefit of modern technology in the economy.</li> <li>• Plans to support the improvement of regional power transmission facilities.</li> <li>• Plans to support developing an energy sector development strategy in 2002.</li> </ul>	<p><b>Kuwait Fund:</b> Supports the rehabilitation of power distribution systems in Dushanbe.</p> <p><b>UNDP and Bilateral assistance:</b> Support various small-scale rehabilitation, maintenance, and construction of power transmission lines and mini hydropower stations.</p> <p><b>World Bank, International Finance Corporation, and Aga Khan Foundation:</b> Plan to establish a privately owned power company in Gorno Badakhshan Autonomous Region.</p>
<b>Transport</b>	<ul style="list-style-type: none"> <li>• Accelerate transport sector reform by supporting regulatory and institutional reform; support promoting privatization, competition, and private sector participation in the provision of transport services, improving cost recovery mechanism for road operation and maintenance, and introducing internationally comparable safety and technical standards.</li> <li>• Improve remote area's access to market and facilitate regional cooperation by rehabilitating basic infrastructure.</li> <li>• Support capacity building in the sector.</li> <li>• Plans to support developing a transport sector development strategy in 2005.</li> </ul>	<p><b>Organization of Petroleum Exporting Countries Fund:</b> Support road rehabilitation (cofinancing with ADB).</p> <p><b>World Bank, Kuwait Fund, Aga Khan Foundation, and UNDP in association with bilateral assistance grant:</b> Assist small-scale road rehabilitation and construction of missing links and emergency repairs of bridges.</p> <p><b>European Bank for Reconstruction and Development (EBRD):</b> Rehabilitate airports, including runways and traffic control infrastructure.</p> <p><b>Islamic Development Bank:</b> Build a road link between Tajikistan and the People's Republic of China.</p>
<b>Trade Promotion</b>	<ul style="list-style-type: none"> <li>• Support trade and investment facilitation at subregional level by establishing effective customs systems.</li> </ul>	<p><b>EBRD:</b> Facilitate foreign trade through trade financing.</p> <p><b>Switzerland:</b> Support customs reforms and assist World Trade Organization membership preparation.</p>

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
	<ul style="list-style-type: none"> <li>Accelerate customs reforms to increase fiscal revenues.</li> <li>Support institutional capacity building, improve legislative framework, and establish mechanism for effective regional cooperation.</li> </ul>	<p><b>USAID:</b> Support improving legal and regulatory environment to improve transparency. Support customs reforms and assist WTO membership preparations.</p>
<b>Water Resources</b>	<ul style="list-style-type: none"> <li>ADB has been involved in irrigation rehabilitation and flood management, and supported potable water supply and sanitation in rural areas, in the same project areas under the agriculture rehabilitation project.</li> <li>A study for improving flood management was conducted in 2001 and an investment on water resources development and rehabilitation is planned.</li> </ul>	<p><b>World Bank:</b> Support rehabilitation of irrigation facilities, promotion of water users associations; improves access to potable water in rural areas. Plans project to achieve better allocation and utilization of water regionally between the three countries in the Fergana valley.</p> <p><b>USAID:</b> Assist water management and help implement environmental policies and regulations.</p>
<b>Education</b>	<ul style="list-style-type: none"> <li>Support reorienting the structure to meet the requirements of a market economy, restructure vocational training, enhance the role of local bodies, strengthen planning capacity at both ministerial and local levels, and promote the role of the private sector.</li> <li>Promote social recovery after the civil war by rehabilitating schools and providing trainings and equipment, particularly in Khatlon and Sughd Regions; support retraining of teachers in the same regions.</li> <li>Support special education to reintegrate dropouts and street children; print and distribute basic textbooks for grades 5-9 nationwide; provide public information campaign to show benefits of education</li> </ul>	<p><b>World Bank:</b> Support managerial reform, modules for teacher retraining, and rehabilitation of educational facilities, and prepare curricula and textbook.</p> <p><b>United Nations (UN) agencies:</b> Support rehabilitation of schools.</p> <p><b>Islamic Development Bank:</b> Rehabilitate secondary schools.</p> <p><b>Switzerland:</b> support reform in the education sector.</p> <p><b>Japan:</b> Provide grants and scholarships for higher education and training of various sector specialists in Japan.</p> <p><b>USAID:</b> Provide short-term training in the United States and in third countries to policymakers in specialized areas of knowledge.</p> <p><b>Aga Khan Foundation:</b> Support education delivery system in the</p>

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
	<p>and to encourage girls' enrollment in schools.</p> <ul style="list-style-type: none"> <li>• A social sector study will be completed in October 2002.</li> </ul>	<p>Gorno Badakhshan Region.</p> <p><b>Soros Foundation:</b> Provide grants and scholarships for higher education abroad.</p>
<b>Health</b>	<ul style="list-style-type: none"> <li>• ADB's social sector rehabilitation loan refocuses health provision toward primary health care in rural areas and greater cost-effectiveness.</li> <li>• The second social sector project is being prepared to improve health and education services delivery systems at the central and local levels, and strengthen sustainable pro-poor services.</li> <li>• A social sector study will be completed in October 2002 and the third social sector loan will be considered based on the findings of the assessment in coordination with other funders.</li> </ul>	<p><b>World Bank:</b> Improve performance and long-term financial viability of the health system. Enhance access to health care through better distribution of services. Improve responsiveness and efficiency of the health system.</p> <p><b>Switzerland:</b> Improve primary health care provision and support government health care reform.</p> <p><b>UN agencies and bilateral assistance:</b> Rehabilitate hospitals and health posts in rural areas, and provide humanitarian relief assistance, including medicine supply.</p> <p><b>OPEC Fund /Islamic Development Bank:</b> Rehabilitate cardiovascular center.</p> <p><b>USAID:</b> Implement cost-effective primary health care. Support a program with World Health Organization to combat infectious diseases.</p>
<b>Social Protection</b>	<ul style="list-style-type: none"> <li>• ADB supports the restoration of essential social services, including a management information system for the Social Security Fund to improve the cash benefit system, provide training and public information campaigns.</li> </ul>	<p><b>World Bank:</b> Develop the mechanism to monitor the labor market and to strengthen the capacity of the employment service, to improve benefit delivery, organize adult retraining, and accelerate the redeployment of unemployed workers; support pension reforms.</p>
<b>Poverty Reduction</b>	<ul style="list-style-type: none"> <li>• ADB has focused on pro-poor growth and poverty reduction in projects and program.</li> <li>• Supported to complete a national poverty reduction strategy using a participatory process.</li> </ul>	<p><b>World Bank:</b> Strong focus on poverty reduction in its activities and program. Conducted poverty assessment, supports the preparation of the national poverty reduction strategy of the Government. Pipeline of projects aim at bringing about poverty reduction.</p>

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
	<ul style="list-style-type: none"> <li>• Assistance for building a poverty monitoring system at the State Statistical Agency started in 2002.</li> <li>• Plans to support rural livelihoods by its microfinance sector development program in coordination with other funders.</li> </ul>	<p><b>International Monetary Fund:</b> Integrating poverty reduction concerns with macroeconomic stability and good governance.</p> <p><b>USAID:</b> Support in setting up microfinance institutions.</p>
<b>Governance</b>	<ul style="list-style-type: none"> <li>• Improving governance in all strategic sectors in which ADB is operating is an important element of ADB operations. Contributes to public sector restructuring in transport, power, agriculture, and social sectors.</li> <li>• Assist legal reforms and dissemination of legal information system by creating a website for 5,000 legal documents.</li> <li>• A governance assessment to assist the Government to take stock of its governance requirements is being conducted. It will serve as one of the bases for the new ADB strategy formation for Tajikistan.</li> </ul>	<p><b>World Bank:</b> Improve public resource management capability of the Government. Promote tax reforms and improve public expenditure management. Promote civil service reforms to improve public sector governance.</p> <p><b>USAID:</b> Beginning in 2002, promote fiscal reforms. In particular improve tax administration; also involved in promoting democratic culture by supporting nongovernment organizations.</p> <p><b>EBRD:</b> Assist legal reform pertaining to private sector issues.</p> <p><b>Switzerland:</b> Promote legal education in rural areas. Assist the Government general legal reform efforts.</p> <p><b>Japan:</b> Provide training for the government and local government officials to improve governance and strengthen public administration capacity.</p>
<b>Private Sector Development</b>	<ul style="list-style-type: none"> <li>• A major objective of ADB operations is to support Tajikistan's transition into a market-based economy.</li> <li>• Support developing rural financial systems.</li> <li>• ADB is finalizing a private sector assessment on which to base its private sector development strategy.</li> </ul>	<p><b>IMF and World Bank:</b> Create an environment conducive for promotion of private enterprise.</p> <p><b>EBRD:</b> Support private enterprises in particular SMEs with the financial resources. Invest in promising local companies to promote the private sector, provide medium-term debt financing to private sector enterprises.</p> <p><b>IFC:</b> Equity investment in three projects, and review of the largest aluminum smelter to assess options and economic viability are</p>

Sector/Thematic/ Area	ADB Strategy/Activities	Other Development Partners Strategy/Activities
		<p>under way.</p> <p><b>Switzerland:</b> Promote private sector development by providing low interest credit, training, and advisory services to small and medium enterprise promoters. Support processing and marketing of agriculture and forest products to generate income in rural areas.</p> <p><b>USAID:</b> Provide SMEs with the resource materials and training. Support in creating an appropriate legal environment and promote tax policy conducive to promote private enterprise.</p> <p><b>Agha Khan Foundation:</b> Promotes private sector by either supporting founding of new firms or by joint ventures.</p>
<p><b>Environment</b></p>	<ul style="list-style-type: none"> <li>• A study on environmental profile for Tajikistan completed in 2000.</li> <li>• Support the Government for environment assessment and monitoring capacity building since 2000, and financed for preparing the Regional Environmental Action Plan for Central Asia, which was adopted in 2001.</li> <li>• Restore damages from natural disasters.</li> </ul>	<p><b>World Bank/Switzerland/USAID:</b> The Lake Sarez Risk Mitigation Project aims to help alert and prepare vulnerable people in case of a disaster associated with an outburst flood from Lake Sarez and other frequent natural hazards such as mudslides, rock falls, avalanches, and seasonal floods.</p> <p><b>UNDP:</b> Actively organized aid activities on a grant basis through bilateral assistance to rehabilitate infrastructures damaged by natural disasters.</p>

EBRD = European Bank for Reconstruction and Development, IFC = International Finance Corporation, IMF = International Monetary Fund, OPEC = Organization of Petroleum Exporting Countries, UN = United Nations, UNDP = United Nations Development Programme, US = United States, USAID = United States Agency for International Development, WTO = World Trade Organization.

**Table A1.6: Portfolio Indicators—Portfolio Amounts and Ratings**  
(public sector loans, as of 31 December 2001)

Sector	Net Loan Amount		Total		Rating <sup>a</sup>											
					Highly Satisfactory		Satisfactory		Partly Satisfactory		Unsatisfactory		Potential Problem <sup>b</sup>		At Risk <sup>c</sup>	
	\$ million	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%
Agriculture and Natural Resources	3.5	4.4	1	20	–	–	1	20	–	–	–	–	–	–	–	–
Energy	33.4	42.0	1	20	–	–	1	20	–	–	–	–	–	–	–	–
Finance	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
Industry and Nonfuel Minerals	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
Multisector	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
Others	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
Social Infrastructure	18.3	23.0	1	20	–	–	1	20	–	–	–	–	–	–	–	–
Transport and Communications	24.3	30.6	2	40	–	–	2	40	–	–	–	–	–	–	–	–
<b>Total</b>	<b>79.5</b>	<b>100.0</b>	<b>5</b>	<b>100.0</b>	–	–	<b>5</b>	<b>100.0</b>	–	–	–	–	–	–	–	–

– = not available, No. = number.

<sup>a</sup> One rating for implementation progress and development objectives, based on the lower rating of either.

<sup>b</sup> Potential problem loans are satisfactory loans but have four or more risk factors associated with partly satisfactory or unsatisfactory performance.

<sup>c</sup> A loan is "at risk" if it is rated as partly satisfactory, as unsatisfactory, or as a potential problem.

Source: Asian Development Bank estimates.

**Table A1.7: Portfolio Indicators—Disbursements and Net Transfers of Resources**  
(public sector loans, as of 31 December 2001)

<b>Disbursements and Transfers</b>	<b>OCR</b>	<b>ADF</b>	<b>Total</b>
<b>Disbursements<sup>a</sup></b>			
Total Funds Available for Withdrawal (\$ million)	–	79.5	79.5
Disbursed Amount (\$ million, cumulative)	–	6.1	6.1
Percentage Disbursed (disbursed amt/total available)	–	7.7	7.7
Disbursements (\$ million, latest year)	–	2.9	2.9
Disbursement Ratio (%) <sup>b</sup>	–	3.7	3.7
<b>Net Transfer of Resources (\$ million)</b>			
1997	–	–	–
1998	–	–	–
1999	–	9.8	9.8
2000	–	12.2	12.2
2001	–	2.8	2.8

–=not available, ADF = Asian Development Fund, OCR = ordinary capital resources.

<sup>a</sup> Includes all loans with disbursements during 2001.

<sup>b</sup> Ratio of disbursements during the year over the undisbursed net loan balance at the beginning of the year less cancellations during the year. Effective loans during the year have also been added to the beginning balance of undisbursed loans.

Source: Asian Development Bank estimates.

**Table A1.8: Portfolio Implementation Status**  
(public sector loans, as of 31 December 2001)

No.	Sector	Loan No.	Seg	Title	Net Loan Amount		Approval Date (dd/mm/yy)	Effective Date (dd/mm/yy)	Closing Date		Progress (%complete)
					OCR (\$ million)	ADF (\$ million)			Original (dd/mm/yy)	Revised (dd/mm/yy)	
1	SOC	1705		Social Sector Rehabilitation		18.3	26 Oct 99	30 May 00	31 Dec 03	–	30
2	T&C	1714		Emergency Flood Rehabilitation		4.7	2 Dec 99	7 Jan 00	30 Jun 02	–	90
3	ENE	1817		Power Rehabilitation Project		33.4	20 Dec 00	24 Aug 01	30 Jun 06	–	2
4	T&C	1819		Road Rehabilitation Project		19.6	20 Dec 00	7 Aug 01	31 Mar 05	–	2
5	AGR	1852		Emergency Restoration of Yavan Water Conveyance System Project		3.5	30 Oct 01	12 Dec 01	31 Oct 03	–	–
<b>Total</b>						<b>79.5</b>					

--not available, ADF=Asian Development Fund, AGR= agriculture and natural resources, ENE=energy, FIN=finance, IND=industry and nonfuel minerals, MUL=multisector, no.=number, OCR=ordinary capital resources, OTH=other, Seg=segment (pertaining to loans with more than one withdrawal authority), SOC=social infrastructure, T&C=transport and communications.

Source: Asian Development Bank estimates.

No.	Sector	Loan No.	Seg	Title	Cumulative Contracts/ Commitments (\$ million)	Cumulative Disbursements (\$ million)	Rating		Potential Problem <sup>a</sup>	At Risk <sup>b</sup>
							IP	DO		
1	SOC	1705		Social Sector Rehabilitation	3.43	1.07	S	S	No	No
2	T&C	1714		Emergency Flood Rehabilitation	4.54	4.47	S	S	No	No
3	ENE	1817		Power Rehabilitation Project	0.0	0.0	S	S	No	No
4	T&C	1819		Road Rehabilitation Project	0.05	0.05	S	S	No	No
5	AGR	1852		Emergency Restoration of Yavan Water Conveyance System Project	0.0	0.5	S	S	No	No
<b>Total</b>					<b>8.02</b>	<b>6.09</b>				

DO = development objectives, HS=highly satisfactory, IP= implementation progress, PS=partly satisfactory, S=satisfactory, U=unsatisfactory.

<sup>a</sup> "Yes" for loans with four or more risk factors associated with partly satisfactory or unsatisfactory performance.

<sup>b</sup> A loan is considered "at risk" if it is rated as "PS" or "U" in either implementation progress or development objectives, or if it is a potential problem loan.

Source: Asian Development Bank estimates.

**Table A1.9: Lending Scenarios and Performance Triggers<sup>1</sup>**

Low Case	Base Case	High Case
<ul style="list-style-type: none"> <li>• Ongoing macroeconomic reform and stabilization program goes off track, and incidences of misreporting of the level of external debt reoccur.</li> </ul>	<ul style="list-style-type: none"> <li>• Macroeconomic reform and stabilization program remains largely on track, with the possible exception of minor slippage. The External Debt Management system is effective and in control of all types of sovereign debt, including government guarantees.</li> </ul>	<ul style="list-style-type: none"> <li>• Macroeconomic reform and stabilization program remains entirely on track with improvements in major economic indicators.</li> </ul>
<ul style="list-style-type: none"> <li>• Current United Nations security phase worsens.</li> </ul>	<ul style="list-style-type: none"> <li>• Current UN security phase remains the same.</li> </ul>	<ul style="list-style-type: none"> <li>• UN security phase improves and could expand areas for ADB operations.</li> </ul>
<ul style="list-style-type: none"> <li>• Government fails to follow key recommendations of its national poverty reduction strategy or to enter into a satisfactory partnership agreement with the Asian Development Bank.</li> </ul>	<ul style="list-style-type: none"> <li>• Government enters into a satisfactory poverty partnership agreement with ADB.</li> </ul>	<ul style="list-style-type: none"> <li>• In addition to the base case, the Government develops reform activities in the social sector, with a focus on targeted and rationalized service delivery.</li> </ul>
<ul style="list-style-type: none"> <li>• After agreeing with the key findings of the ADB governance assessment, the Government makes no commitment to take actions related to ADB's governance assessment.</li> </ul>	<ul style="list-style-type: none"> <li>• Government identifies and prioritizes key issues and reforms arising from the governance assessment.</li> <li>• Energy tariff will increase as agreed with ADB.</li> </ul>	<ul style="list-style-type: none"> <li>• Review of the overall legal system is completed with improvements to transparency and information dissemination.</li> <li>• In addition to the base case requirements, Barki Tajik is also able to significantly reduce accounts receivable of power distribution.</li> </ul>
<ul style="list-style-type: none"> <li>• Less than 75% of projects are rated "satisfactory" or "highly satisfactory". The Aid Coordination Unit (ACU) cannot function properly.</li> </ul>	<ul style="list-style-type: none"> <li>• At least 75% of projects are rated "satisfactory" or "highly satisfactory." A satisfactory system of information exchange between the external debt unit, ACU and Project Implementation Units have been established and PIP planning is fully integrated in the 2004 budget cycle.</li> </ul>	<ul style="list-style-type: none"> <li>• At least 90% of projects are rated "satisfactory" or "highly satisfactory." In addition to the base case requirements, the ACU presents a coherent list of priority projects for donor financing.</li> </ul>

<sup>1</sup> The 2003 lending level will be determined by the triggers identified in 2001 and assessed in November 2002. More emphasis on ADB-supported reforms was placed in the triggers for 2004 and the progress will be assessed in 2003.

**Table A1.10: Assistance Pipeline for Lending Products, 2003–2005**

Sector Project/Program Name	Poverty Classifi- cation <sup>a</sup>	Thematic Priority	Division	Year of Project Preparatory Assistance	Total	Cost (\$million)				
						ADB			Gov't	Cofinancing
						OCR	ADF	Total		
<b>2003 Firm Loans</b>										
<b>Transport and Communications</b>										
Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase I	CPI	ECO/REG	ECID	2002	18.75	–	15.0	15.0	3.75	0.0
<b>Subtotal</b>					<b>18.75</b>	<b>0.0</b>	<b>15.0</b>	<b>15.0</b>	<b>3.75</b>	<b>0.0</b>
<b>Social Infrastructure</b>										
Social Services Development Project	CPI	HD	ECSS	2001	18.75	–	15.0	15.0	3.75	0.0
<b>Subtotal</b>					<b>18.75</b>	<b>0.0</b>	<b>15.0</b>	<b>15.0</b>	<b>3.75</b>	<b>0.0</b>
<b>Finance</b>										
Customs Modernization Project	OTH	ECO	ECGF	2002	12.5	–	10.0	10.0	2.5	0.0
<b>Subtotal</b>					<b>12.5</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>2.5</b>	<b>0.0</b>
<b>Total</b>					<b>50.0</b>	<b>0.0</b>	<b>40.0</b>	<b>40.0</b>	<b>10.0</b>	<b>0.0</b>
<b>2003 Standby Loans</b>										
<b>Agriculture and Natural Resources</b>										
Water Resources Development and Rehabilitation	CPI	ECO	ECAE	2002	25.0	–	20.0	20.0	5.0	0.0
<b>Subtotal</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Total</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>2004 Firm Loans</b>										
<b>Energy</b>										
Second Power Rehabilitation	CPI	ECO	ECID	2003	25.0	–	20.0	20.0	5.0	0.0
<b>Subtotal</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Agriculture and Natural Resources</b>										
Water Resources Development and Rehabilitation	CPI	ECO	ECAE	2002	25.0	–	20.0	20.0	5.0	0.0
<b>Subtotal</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Total</b>					<b>50.0</b>	<b>0.0</b>	<b>40.0</b>	<b>40.0</b>	<b>10.0</b>	<b>0.0</b>

Sector Project/Program Name	Poverty Classifi- cation <sup>a</sup>	Thematic Priority	Division	Year of Project Preparatory Assistance	Total	Cost (\$million)				
						Cost (\$million)			Gov't	Cofinancing
						OCR	ADB ADF	Total		
<b>2004 Standby Loans</b>										
<b>Finance</b>										
Rural Financial Institutions Development	CPI	ECO	ECGF	2004	12.5	–	10.0	10.0	2.5	0.0
<b>Subtotal</b>					<b>12.5</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>2.5</b>	<b>0.0</b>
<b>Total</b>					<b>12.5</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>2.5</b>	<b>0.0</b>
<b>2005 Firm Loans</b>										
<b>Finance</b>										
Rural Financial Institutions Development	CPI	ECO	ECGF	2004	12.5	–	10.0	10.0	2.5	0.0
<b>Subtotal</b>					<b>12.5</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>2.5</b>	<b>0.0</b>
<b>Agriculture and Natural Resources</b>										
Second Agriculture Rehabilitation	CPI	ECO	ECAE	2004	25.0	–	20.0	20.0	5.0	0.0
<b>Subtotal</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Social Infrastructure</b>										
Health Sector Development	CPI	HD	ECSS	2004	12.5	–	10.0	10.0	2.5	0.0
<b>Subtotal</b>					<b>12.5</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>2.5</b>	<b>0.0</b>
<b>Total</b>					<b>50.0</b>	<b>0.0</b>	<b>40.0</b>	<b>40.0</b>	<b>10.0</b>	<b>0.0</b>
<b>2005 Standby Loans</b>										
<b>Transport and Communications</b>										
Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase II	CPI	ECO	ECID	2005	25.0	–	20.0	20.0	5.0	0.0
<b>Subtotal</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Total</b>					<b>25.0</b>	<b>0.0</b>	<b>20.0</b>	<b>20.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Subregional Loans (cover more than 2 DMCs)</b>										
<b>2003</b>										
none										
<b>2004</b>										
<b>Energy</b>										
Central Asia Gas Transmission Improvement Project, Phase I	OTH	REG	ECID	2002	subject to further assessment					

Sector Project/Program Name	Poverty Classifi- cation <sup>a</sup>	Thematic Priority	Division	Year of Project Preparatory Assistance	Total	Cost (\$million)				
									Gov't	Cofinancing
						OCR	ADB ADF	Total		

2005  
none

ADB = Asian Development Bank, ADF = Asian Development Fund, CPI = core poverty intervention, ECAE = Agriculture, Environment and Natural Resources Division, ECGF = Governance, Finance & Trade Division, ECID = Infrastructure Division, ECSS = Social Sectors Division, ECO = economic growth, HD = human development, OCR = ordinary capital resources, TBD = to be determined.

<sup>a</sup> Since Tajikistan's national poverty line of 82.6% is identified, most projects for the country are classified as CPI except the Tajik component of a regional project, which is classified as "others".

**Table A1.11: Assistance Pipeline for Nonlending Products and Services, 2003–2005**

Sector Assistance Name	Responsible Division	Assistance Type	Sources of Funding		Total (\$'000)
			ADB Source	Others Amount (\$'000)	
<b>2003</b>					
<b>Transport and Communications</b>					
1. Developing the Road Maintenance Financing System <sup>a</sup>	ECID	ID		600.0	600.0
<b>Subtotal</b>				<b>600.0</b>	<b>600.0</b>
<b>Social Infrastructure</b>					
1. Policy Dialogue and Monitoring for the Social Sector Reform <sup>a</sup>	ECSS	ID		600.0	600.0
<b>Subtotal</b>				<b>600.0</b>	<b>600.0</b>
<b>Finance</b>					
1. Capacity Building for Customs Modernization <sup>a</sup>	ECGF	ID		600.0	600.0
<b>Subtotal</b>				<b>600.0</b>	<b>600.0</b>
<b>Energy</b>					
1. Second Power Rehabilitation	ECID	PP		800.0	800.0
<b>Subtotal</b>				<b>800.0</b>	<b>800.0</b>
<b>Total</b>				<b>2,600.0</b>	<b>2,600.0</b>
<b>2003 Standby</b>					
<b>Agriculture and Natural Resources</b>					
1. Capacity Building for Water Use <sup>a</sup>	ECAE	ID		400.0	400.0
<b>Total</b>				<b>400.0</b>	<b>400.0</b>
<b>2004</b>					
<b>Energy</b>					
1. Valuation of Operating Assets for Barki Tajik <sup>a</sup>	ECID	ID		400.0	
<b>Subtotal</b>				<b>400.0</b>	<b>400.0</b>
<b>Agriculture and Natural Resources</b>					
1. Capacity Building for Water Use <sup>a</sup>	ECAE	ID		400.0	400.0
2. Second Agriculture Rehabilitation	ECAE	PP		600.0	600.0
3. Strengthening Environmental Monitoring System	ECAE	ID		300.0	300.0
<b>Subtotal</b>				<b>1,300.0</b>	<b>1,300.0</b>
<b>Finance</b>					
1. Rural Financial Institutions Development	ECGF	PP		300.0	300.0
<b>Subtotal</b>				<b>300.0</b>	<b>300.0</b>

Sector Assistance Name	Responsible Division	Assistance Type	Sources of Funding				Total (\$'000)
			ADB		Others		
			Source	Amount (\$'000)	Source	Amount (\$'000)	
<b>Social Infrastructure</b>							
1. Health Sector Development	ECSS	PP		400.0		400.0	
<b>Subtotal</b>				<b>400.0</b>		<b>400.0</b>	
<b>Total</b>				<b>2,400.0</b>		<b>2,400.0</b>	
<b>2004 Standby</b>							
<b>Transport and Communications</b>							
1. Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase II	ECID	PP		500.0		500.0	
<b>Total</b>				<b>500.0</b>		<b>500.0</b>	
<b>2005</b>							
<b>Agriculture and Natural Resources</b>							
1. Institutional Building for Agriculture Sector <sup>a</sup>	ECAE	ID		500.0		500.0	
<b>Subtotal</b>				<b>500.0</b>		<b>500.0</b>	
<b>Finance</b>							
1. Capacity Building for Rural Financial Systems <sup>a</sup>	ECGF	ID		400.0		400.0	
<b>Subtotal</b>				<b>400.0</b>		<b>400.0</b>	
<b>Social Infrastructure</b>							
1. Capacity Building for the Health Sector <sup>a</sup>	ECSS	ID		500.0		500.0	
<b>Subtotal</b>				<b>500.0</b>		<b>500.0</b>	
<b>Transport and Communications</b>							
1. Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase II	ECID	PP		500.0		500.0	
2. Transport Sector Development Strategy	ECID	ID		500.0		500.0	
<b>Subtotal</b>				<b>1,000.0</b>		<b>1,000.0</b>	
<b>Total</b>				<b>2,400.0</b>		<b>2,400.0</b>	
<b>2005 Standby</b>							
<b>Transport and Communications</b>							
1. Institutional Building for Transport Sector <sup>a</sup>	ECID	ID		400.0		400.0	
<b>Total</b>				<b>400.0</b>		<b>400.0</b>	

Sector Assistance Name	Responsible Division	Assistance Type	Sources of Funding		Total (\$'000)
			ADB Source	Others Amount (\$'000)	
<b>Subregional (cover more than 2 DMCs, subject to further confirmation)</b>					
<b>2003</b>					
<b>Energy/Water</b>					
1. A Study on Amu Darya River Basin Development	ECAE	ETSW/ID			
<b>Finance and Industry</b>					
1. Regional Private Sector Development	ECGF	RETA			
2. Regional Financial Sector Development	ECGF	RETA			
<b>2004</b>					
<b>Energy/Water</b>					
1. Participatory Water Managemet in Central Asia	ECAE	ETSW/ID			
<b>Finance and Industry</b>					
1. Regional Trade Facilitation and Customs Cooperation Program, Phase II	ECGF	RETA			
<b>Others</b>					
1. Capacity Building in Priority Areas of Regional Cooperation	CARECU	RETA			
<b>2005</b>					
<b>Finance and Industry</b>					
1. Regional Financial Sector Development, Phase II	ECGF	RETA			
2. Regional Trade Facilitation and Customs Cooperation Program, Phase III	ECGF	RETA			

ADB=Asian Development Bank, ECAE=Agriculture, Environment and Natural Resources Division, ECGF=Governance, Finance & Trade Division, ECID=Infrastructure Division, ECSS=Social Sectors Division, ID=institutional development, PP=project preparatory technical assistance.

<sup>a</sup> Piggy-backed to a loan.

## CONCEPT PAPERS FOR LENDING PRODUCTS

This appendix contains the concept papers for the following lending products.

- (i) Table A2.1: Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase I
- (ii) Table A2.2: Social Services Development Project
- (iii) Table A2.3: Customs Modernization Project
- (iv) Table A2.4: Second Power Rehabilitation
- (v) Table A2.5: Water Resources Development and Rehabilitation
- (vi) Table A2.6: Rural Financial Institutions Development
- (vii) Table A2.7: Second Agriculture Rehabilitation
- (viii) Table A2.8: Health Sector Development
- (ix) Table A2.9: Dushanbe-Kyrgyz Border Road Rehabilitation Project, Phase II
- (x) Table A2.10: Central Asia Gas Transmission Improvement Project, Phase I

**Table A2.1: Dushanbe–Kyrgyz Border Road Rehabilitation Project, Phase I  
Concept Paper**

**Date: 25 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Transport Subsector: Roads</p> <p>b. For project preparatory and lending, classification</p> <p><input checked="" type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Infrastructure Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> J. Miller</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Assist in infrastructure rehabilitation. Support poverty reduction and economic growth, and improve governance through policy reform. Increase regional traffic and trade.</p> <p><b>b. Goal and purpose:</b> To reduce poverty and increase regional trade and cooperation by rehabilitating the road linking Dushanbe, Tajikistan, to the border with the Kyrgyz Republic.</p> <p><b>c. Components and outputs:</b> The project will include civil works for rehabilitation of the Dushanbe-Kyrgyz border road (probably the section from Dushanbe to the Tavildara Junction (near Darband)) and feeder roads, consulting services for project implementation, a program of policy reform, and possibly purchase of equipment for road maintenance.</p> <p><b>d. Expected results and deliverables:</b> Rehabilitation of the Dushanbe-Kyrgyz border road. Improved road maintenance financing and practices.</p>										

<p><b>e. Social or environmental issues or concerns:</b> Environmental and social assessments are being undertaken under the project preparatory TA.</p> <p><b>f. Plans for disseminating results/deliverables:</b> To be determined.</p>												
<p><b>7. Proposed executing/implementing agencies:</b> Ministry of Transport</p>												
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b></p> <p>The Ministry of Transport and other relevant institutions (including local governments for the feeder roads) are involved in the project design currently being prepared under TA 3738-TAJ: Second Road Rehabilitation Project.</p>												
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2001</p> <p>b. Expected date of submission for approval</p> <table> <tr> <td>Lending:</td> <td>2003</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td></td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2003</td> </tr> </table> <p>c. Period and duration of assistance</p> <table> <tr> <td>Lending:</td> <td>2003–2006</td> </tr> <tr> <td>Nonlending:</td> <td>2003–2004 for 6 months</td> </tr> </table>	Lending:	2003	Nonlending (project preparatory):		Nonlending (other than project preparatory):	2003	Lending:	2003–2006	Nonlending:	2003–2004 for 6 months		
Lending:	2003											
Nonlending (project preparatory):												
Nonlending (other than project preparatory):	2003											
Lending:	2003–2006											
Nonlending:	2003–2004 for 6 months											
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <table> <tr> <td><input type="checkbox"/></td> <td>Ordinary capital resources:</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>Asian Development Fund: \$15 million</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Other:</td> </tr> </table> <p>If cofinancing is required indicate sources, and amount sought: Anticipated cofinancing of about \$5 million; source not yet identified.</p> <p>If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <table> <tr> <td><input type="checkbox"/></td> <td>No resources required, other than ADB staff</td> </tr> <tr> <td><input type="checkbox"/></td> <td>ADB's administrative budget:</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>Grant TA funds: \$600,000 (attached advisory TA)</td> </tr> </table> <p>If cofinancing is required indicate sources, and amount sought:</p> <p>If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>	<input type="checkbox"/>	Ordinary capital resources:	<input checked="" type="checkbox"/>	Asian Development Fund: \$15 million	<input type="checkbox"/>	Other:	<input type="checkbox"/>	No resources required, other than ADB staff	<input type="checkbox"/>	ADB's administrative budget:	<input checked="" type="checkbox"/>	Grant TA funds: \$600,000 (attached advisory TA)
<input type="checkbox"/>	Ordinary capital resources:											
<input checked="" type="checkbox"/>	Asian Development Fund: \$15 million											
<input type="checkbox"/>	Other:											
<input type="checkbox"/>	No resources required, other than ADB staff											
<input type="checkbox"/>	ADB's administrative budget:											
<input checked="" type="checkbox"/>	Grant TA funds: \$600,000 (attached advisory TA)											

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

## Table A2.2: Social Services Development Project Concept Paper

**Date: 11 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Project loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Program loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Sector loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Sector development program loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p style="margin-left: 20px;"><input type="checkbox"/> Project preparatory</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Other than project preparatory</p> <p style="margin-left: 40px;"><input type="checkbox"/> Economic, thematic, and sector work</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Institutional development</p> <p style="margin-left: 40px;"><input type="checkbox"/> Other:</p>		
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p style="margin-left: 20px;">Sector: Social sector</p> <p style="margin-left: 20px;">Subsector: Education and health</p> <p>b. For project preparatory and lending, classification</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Core poverty intervention</p> <p style="margin-left: 20px;"><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Economic growth  <input type="checkbox"/> Gender and development  <input type="checkbox"/> Environmental protection  <input type="checkbox"/> Regional cooperation  <input type="checkbox"/> Other: </td> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Human development  <input checked="" type="checkbox"/> Good governance  <input type="checkbox"/> Private sector development  <input type="checkbox"/> Social protection </td> </tr> </table>	<input type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input checked="" type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input type="checkbox"/> Private sector development <input type="checkbox"/> Social protection
<input type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input checked="" type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input type="checkbox"/> Private sector development <input type="checkbox"/> Social protection	
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>		
<p><b>4. Responsible division/department:</b> Social Sectors Division/East and Central Asia Department</p>		
<p><b>5. Responsible ADB officer(s):</b> T. Yasukawa</p>		
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> After independence, social conditions and social services have deteriorated. School enrollment and attendance rates have declined, and poverty-related illness has increased. In addition to the absolute shortage of public expenditures for health and education, system inefficiency and unresponsiveness have aggravated already marginal services. Access to basic social services is particularly limited among the poor. The need for reform of the social system in Tajikistan is huge. On the other hand, the Government has been developing reform plans for social sectors with the assistance of international agencies. It is necessary and also timely to support the Government to reform its social system (elaborate the reform concept and implement it from where possible) to systematically improve its equitability, efficiency, and sustainability.</p> <p>The social sector is one of three priority areas in ADB's interim operational strategy for Tajikistan. The proposed assistance is fully in line with the ADB strategy.</p>		

**b. Goal and purpose:**

Goal: To improve the health and education status of the population in Tajikistan.  
To improve equitable access to quality basic education and health services.

Purposes: To reform health and education system.

To improve basic social services, particularly among the poor and vulnerable groups

**c. Components and outputs:** In the health sector, the Social Sector Development Project (SSDP) will (i) rehabilitate primary health care (PHC) facilities and referral hospitals in regions that are not covered by the Social Sector Rehabilitation Project (SSRP), (ii) retrain hospital specialists as general practitioners who can be transferred to PHC from hospitals, (iii) transfer funds from hospitals to PHC, (iv) strengthen identification of high-risk groups, and (v) provide a pro-poor priority health service package to the population.

In the education sector, the SSDP will (i) rehabilitate schools in regions that are not covered by the SSRP, (ii) diversify financial resources for nonsalary expenditures, (iii) enhance the availability and effectiveness of pro-poor education programs and programs supporting girls' attendance at the local level, and (iv) build the capacity of and rationalize the local education administration and teachers.

**d. Expected results and deliverables:** The health and education system will increase its system equitability, efficiency, responsiveness, and sustainability. The system will improve basic social services delivery.

**e. Social or environmental issues or concerns:** Not applicable

**f. Plans for disseminating results/deliverables:** Through the monitoring and policy dialogue mechanism to be set up by the advisory TA.

**7. Proposed executing/implementing agencies:** President's Office

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** President's Office, line ministries (Ministry of Health and Ministry of Education), teachers, health workers, local governments, community representatives, and NGOs will be involved in the design of a project proposal through interviews, surveys, meetings, and workshops, and in the monitoring and evaluation of the Project.

**9. Timetable for assistance design, processing, and implementation**

- |    |  |                      |
|----|--|----------------------|
| a. | Year included in CSP update:                 | 2001                 |
| b. | Expected date of submission for approval     |                      |
|    | Lending:                                     | 2003                 |
|    | Nonlending (project preparatory):            |                      |
|    | Nonlending (other than project preparatory): | 2003                 |
| c. | Period and duration of assistance            |                      |
|    | Lending:                                     | 2003–2008            |
|    | Nonlending:                                  | 2003–2004 for 1 year |

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources:
- Asian Development Fund: \$15 million
- Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
  - ADB's administrative budget: \$
  - Grant TA funds: \$600,000 (attached advisory TA)

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.3: Customs Modernization Project  
Concept Paper**

**Date: 3 July 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Trade</p> <p>Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input checked="" type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>
<p><b>5. Responsible ADB officer(s):</b> Y. Qian</p>
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The Central Asia region is land-locked and remote from major world markets. Facilitating trade, especially cross-border trade will promote regional development and integration of Central Asian republics currently suffering from locational and economic disadvantages. The Tajik customs is one of the weakest in the region and is ineffective in carrying out the Government's mandates for trade facilitation, revenue generation, and fighting smuggling and drug trafficking.</p> <p>Technical constraints facing Tajikistan are lack of customs equipment and facilities<sup>1</sup> and lack of sufficient adoption of information and communication technology (ICT). These constraints inhibit effective control and service transparency. Tajikistan customs do not have an automatic customs clearance system with an import and export processing capability including declaration, inspection, and examination and transit</p>

<sup>1</sup> e.g. weigh bridges, examination equipment, and general border post improvement.

modules.

The low overall use of computers is mainly due to lack of investment available. Not enough professional ICT personnel area available with analyzing and programming skills. The lack of computerization is clearly inhibiting regional trade due to delays and increased cost of transport and inventory. Reliance on manual systems also constrains customs performance. New procedures and controls requiring higher levels of automation must be introduced to bring about significant improvements in performance.

**b. Goal and purpose:** The goal is to improve customs operational efficiency in order to facilitate trade and improve revenue collection. The loan will finance investments for key border infrastructure, inspection and servicing systems, and adoption of modern information and communication technologies.

**c. Components and outputs:** Based on the results of due diligence and needs-assessment for investment requirement, including a detailed assessment of hardware and software needs and identification of the best options from a cost-benefit perspective, the project will conduct prioritized investment activities for border infrastructure and equipment at priority border posts and processing points.

**d. Expected results and deliverables:** Improvement of operational efficiency, simplification of clearance and control procedures, strengthening coordination between customs authorities, tax authorities and the Ministry of Finance, strengthening efforts to combat smuggling and prevention of revenue loss due to smuggling, strengthening of governance and transparency, improvement of institutional capability, and development of an effective mechanism for good regional cooperation.

**e. Social or environmental issues or concerns:** None

**f. Plans for disseminating results/deliverables:** The loan is the continuation of the program loan for customs reforms that promotes policy and institutional reforms and creates a conducive environment with effective governance for proper functioning of modern customs infrastructure and information technology facilities. The infrastructure and IT to be financed by the investment loan will provide means for effective delivery of policy and operational objectives of customs with proper monitoring and control for malfeasance and corruption.

**7. Proposed executing/implementing agencies:** Ministry of State Revenue and Duties

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**

The Government has been closely involved with the identification of the project. A high level Consultative Group for Customs Reform and Modernization (CGCRM) has established to serve for interagency consultation and aid coordination. The minister of state revenue and duties chairs the CGCRM, which also comprises senior officials, other concerned government agencies, nongovernment organizations, private sector representatives, and development agencies.

**9. Timetable for assistance design, processing, and implementation**

- |    |  |           |
|----|--|-----------|
| a. | Year included in CSP update:                 | 2002      |
| b. | Expected date of submission for approval     |           |
|    | Lending:                                     | 2003      |
|    | Nonlending (project preparatory):            |           |
|    | Nonlending (other than project preparatory): | 2003      |
| c. | Period and duration of assistance            |           |
|    | Lending:                                     | 2003–2007 |
|    | Nonlending:                                  | 2003–2004 |

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending

- Ordinary capital resources:
- Asian Development Fund: \$10 million
- Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

b. For nonlending

- No resources required, other than ADB staff
- ADB's administrative budget:
- Grant TA funds: \$600,000 (attached advisory TA)

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.4: Second Power Rehabilitation  
Concept Paper**

**Date: 25 June 2002**

<p><b>1. Type/modality of assistance</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Lending <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Project loan</li> <li><input type="checkbox"/> Program loan</li> <li><input type="checkbox"/> Sector loan</li> <li><input type="checkbox"/> Sector development program loan</li> <li><input type="checkbox"/> Other:</li> </ul> </li> <li><input checked="" type="checkbox"/> Nonlending <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Project preparatory</li> <li><input checked="" type="checkbox"/> Other than project preparatory <ul style="list-style-type: none"> <li><input type="checkbox"/> Economic, thematic, and sector work</li> <li><input checked="" type="checkbox"/> Institutional development</li> <li><input type="checkbox"/> Other:</li> </ul> </li> </ul> </li> </ul>		
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the  Sector:           Energy  Subsector:       Power</p> <p>b. For project preparatory and lending, classification</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Core poverty intervention</li> <li><input type="checkbox"/> Poverty intervention</li> </ul> <p>c. Key thematic area(s)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Economic growth</li> <li><input type="checkbox"/> Gender and development</li> <li><input type="checkbox"/> Environmental protection</li> <li><input type="checkbox"/> Regional cooperation</li> <li><input type="checkbox"/> Other:</li> </ul> </td> <td style="width: 50%; vertical-align: top;"> <ul style="list-style-type: none"> <li><input type="checkbox"/> Human development</li> <li><input checked="" type="checkbox"/> Good governance</li> <li><input checked="" type="checkbox"/> Private sector development</li> <li><input type="checkbox"/> Social protection</li> </ul> </td> </tr> </table>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Economic growth</li> <li><input type="checkbox"/> Gender and development</li> <li><input type="checkbox"/> Environmental protection</li> <li><input type="checkbox"/> Regional cooperation</li> <li><input type="checkbox"/> Other:</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Human development</li> <li><input checked="" type="checkbox"/> Good governance</li> <li><input checked="" type="checkbox"/> Private sector development</li> <li><input type="checkbox"/> Social protection</li> </ul>
<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Economic growth</li> <li><input type="checkbox"/> Gender and development</li> <li><input type="checkbox"/> Environmental protection</li> <li><input type="checkbox"/> Regional cooperation</li> <li><input type="checkbox"/> Other:</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Human development</li> <li><input checked="" type="checkbox"/> Good governance</li> <li><input checked="" type="checkbox"/> Private sector development</li> <li><input type="checkbox"/> Social protection</li> </ul>	
<p><b>3. Coverage</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Country                           <input type="checkbox"/> Subregional                           <input type="checkbox"/> Interregional</li> <li><input type="checkbox"/> Internal policy development</li> </ul>		
<p><b>4. Responsible division/department:</b> Infrastructure Division/East and Central Asia Department</p>		
<p><b>5. Responsible ADB officer(s):</b> X. Humbert</p>		
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Assist in infrastructure rehabilitation. Support economic growth and poverty reduction, and improve governance through policy reform.</p> <p><b>b. Goal and purpose:</b> Through rehabilitation of power supply facilities, provide minimum power supply for the basic needs of the population.</p> <p><b>c. Components and outputs:</b> The project will complement the work undertaken under the first power rehabilitation project, Loan 1817-TAJ, including rehabilitation of generation, transmission, and distribution systems.</p> <p><b>d. Expected results and deliverables:</b> Develop reliable power supply to meet the country's economic growth needs.</p>		

<p><b>e. Social or environmental issues or concerns:</b> To be identified through the project preparatory TA.</p> <p><b>f. Plans for disseminating results/deliverables:</b> To be determined.</p>										
<p><b>7. Proposed executing/implementing agencies:</b> Barki Tajik (state power company)</p>										
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b> Ministry of Energy and Barki Tajik will be involved during the project preparatory work as well as the energy sector development strategy preparation planned 2002 under an advisory TA for the country.</p>										
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2001</p> <p>b. Expected date of submission for approval</p> <table> <tr> <td>Lending:</td> <td>2004</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td>2003</td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2004</td> </tr> </table> <p>c. Period and duration of assistances</p> <table> <tr> <td>Lending:</td> <td>2004–2007</td> </tr> <tr> <td>Nonlending:</td> <td>2004–2005 for 6 months</td> </tr> </table>	Lending:	2004	Nonlending (project preparatory):	2003	Nonlending (other than project preparatory):	2004	Lending:	2004–2007	Nonlending:	2004–2005 for 6 months
Lending:	2004									
Nonlending (project preparatory):	2003									
Nonlending (other than project preparatory):	2004									
Lending:	2004–2007									
Nonlending:	2004–2005 for 6 months									
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input type="checkbox"/> Ordinary capital resources:</p> <p><input checked="" type="checkbox"/> Asian Development Fund: \$20 million</p> <p><input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff</p> <p><input type="checkbox"/> ADB's administrative budget:</p> <p><input checked="" type="checkbox"/> Grant TA funds: \$800,000; \$400,000 (attached advisory TA)</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>										

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.5: Water Resources Development and Rehabilitation  
Concept Paper**

**Date: 17 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Agriculture and natural resources</p> <p>Subsector: Water resource and rural development</p> <p>b. For project preparatory and lending, classification</p> <p><input checked="" type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Agriculture, Environment &amp; Natural Resources Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> E. Gozali</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The Government places a high priority on poverty reduction and it already has a policy and legislative base for rural and agricultural development in place. However, the organization and management systems of the command economy still play a dominant—though receding—role in agriculture and rural areas. Improved efficiency and poverty reduction in the rural sector can be best addressed by ensuring that resources, including knowledge, land, water, and capital, are distributed to all in accordance with legislation and that rural families can use these resources in ways that benefit themselves the most. Other key elements for growth and poverty reduction are the promotion of community mobilization, productivity enhancing measures, including the restoration of drainage and irrigation systems, the adjustment of agricultural support systems, and targeting ADB interventions to areas and communities where economic and poverty returns can be maximized.</p> <p>The design of the proposed project will be in line with the interim operational strategy (IOS) for Tajikistan of</p>										

1998 and CSPU for 2003-2005, which focus ADB assistance on agriculture and infrastructure rehabilitation, with a view to reducing rural poverty.

**b. Goal and purpose:** The objective of the project is to raise farm productivity and rural household income and improve the environment in the project area through integrated assistance in rural infrastructure rehabilitation, institutional strengthening, and improvement of agricultural production technologies and marketing. The Project will complement the assistance to be provided under the Agriculture Rehabilitation Project supported by ADB, which will be implemented beginning in 2003.

**c. Components and outputs:** The tentative project scope encompasses (i) capacity building for proper operation, maintenance, and repair of irrigation and rural infrastructure; (ii) management of water resources; (iii) support of agricultural marketing; and (iv) enhanced farm management and technologies. Priority will be given to areas with higher incidence of poverty and areas where benefit-impacts will be sustainable.

A grant financed TA will accompany the loan-funded investments to advance Government policy formulation and investment programming in the agriculture and natural resources sector.

**d. Expected results and deliverables:** To be determined at project preparatory TA fact-finding.

**e. Social or environmental issues or concerns:** To be determined through the project preparatory TA.

**f. Plans for disseminating results/deliverables:** To be determined at project preparatory TA fact-finding.

**7. Proposed executing/implementing agencies:** To be determined at project preparatory TA fact-finding.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** Proposed project objective, scope, and tentative area have been discussed with the Office of the President and Ministry of Water Resource and Land Reclamation. Wider consultations will be carried out during the project preparatory TA fact-finding.

**9. Timetable for assistance design, processing, and implementation**

- |    |  |           |
|----|--|-----------|
| a. | Year included in CSP update:                 | 2001      |
| b. | Expected date of submission for approval     |           |
|    | Lending:                                     | 2004      |
|    | Nonlending (project preparatory):            |           |
|    | Nonlending (other than project preparatory): | 2004      |
| c. | Period and duration of assistances           |           |
|    | Lending:                                     | 2004–2009 |
|    | Nonlending:                                  | 2004–2005 |

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources:
  - Asian Development Fund: \$20 million
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds: \$400,000 (attached advisory TA)

If cofinancing is required indicate sources, and amount sought:  
If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

## Table A2.6: Rural Financial Institutions Development Concept Paper

**Date: 19 June 2002**

<p><b>1. Type/modality of assistance</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Lending <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Project loan</li> <li><input type="checkbox"/> Program loan</li> <li><input type="checkbox"/> Sector loan</li> <li><input type="checkbox"/> Sector development program loan</li> <li><input type="checkbox"/> Other:</li> </ul> </li> <li><input checked="" type="checkbox"/> Nonlending <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Project preparatory</li> <li><input checked="" type="checkbox"/> Other than project preparatory <ul style="list-style-type: none"> <li><input type="checkbox"/> Economic, thematic, and sector work</li> <li><input checked="" type="checkbox"/> Institutional development</li> <li><input type="checkbox"/> Other:</li> </ul> </li> </ul> </li> </ul>		
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the  Sector: Finance  Subsector: Rural Finance</p> <p>b. For project preparatory and lending, classification  <input checked="" type="checkbox"/> Core poverty intervention  <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Economic growth  <input type="checkbox"/> Gender and development  <input type="checkbox"/> Environmental protection  <input type="checkbox"/> Regional cooperation  <input type="checkbox"/> Other: </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Human development  <input checked="" type="checkbox"/> Good governance  <input checked="" type="checkbox"/> Private sector development  <input type="checkbox"/> Social protection </td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection
<input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection	
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional  <input type="checkbox"/> Internal policy development</p>		
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>		
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>		
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> This project will follow-on from ADB's initial microfinance systems development program designed to establish a policy, legal, and regulatory framework for microfinance and to support the transformation of nongovernment organization microcredit programs into licensed and regulated microfinance institutions (MFIs).</p> <p>The general focus of this project will be on expanding the outreach and depth of microfinance, but with increased emphasis on links to the banking sector to increase the access and availability of financial services for agriculture and rural enterprises.</p> <p><b>b. Goal and purpose:</b> The goal is to increase the depth of the financial sector. The purpose is to increase the access and availability of financial services to agriculture and rural enterprises.</p> <p><b>c. Components and outputs:</b> Possible components include (i) established linkages between MFIs and</p>		

banks, and (ii) increased capacity of banks to lend for agriculture and rural enterprises.

- d. **Expected results and deliverables:** To be determined through the project preparatory TA.
- e. **Social or environmental issues or concerns:** To be determined through the project preparatory TA.
- f. **Plans for disseminating results/deliverables:** To be determined.

7. **Proposed executing/implementing agencies:** To be determined.

8. **Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**  
A longer term planning approach is proposed to ensure the maximum participation of both government agencies and potential beneficiaries, and other relevant stakeholders.

9. **Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
 

Lending:	2005
Nonlending (project preparatory):	2004
Nonlending (other than project preparatory):	2005
- c. Period and duration of assistance
 

Lending:	2005–2010
Nonlending (project preparatory):	2004
Nonlending (other than project preparatory):	2005–2007

10. **Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund: \$10 million
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds: \$300,000; \$400,000 (attached advisory TA)

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.7: Second Agriculture Rehabilitation  
Concept Paper**

**Date: 18 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input checked="" type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector:           Agriculture</p> <p>Subsector:       Water resources</p> <p>b. For project preparatory and lending, classification</p> <p><input checked="" type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Agriculture, Environment &amp; Natural Resources Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> M.A. Malik</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Tajikistan places a high priority on poverty reduction and is implementing a reform program aimed at creating a market economy with agriculture as a key sector to increase rural prosperity. Required reform measures have thus far been legislated in the agriculture sector. Refinement and more effective implementation of the policies and legal frameworks, provision of agricultural support services, rehabilitation of physical infrastructure, and capacity building assistance will be provided under the Agriculture Rehabilitation Project (ARP) supported by ADB beginning in 2003.</p> <p>Building on experience under the ARP, expanded policy reforms and investment assistance will be provided under the proposed project. The project's focus on agriculture productivity improvement, rural infrastructure rehabilitation, and poverty reduction is in line with the ADB strategic focus for Tajikistan as reflected in the interim operational strategy (IOS) for Tajikistan of 1998 and CSPU for 2003-2005.</p>										

**b. Goal and purpose:** The main objective of the project is to reduce poverty and improve living standards in selected rural areas. The project will assist the Government's efforts to transform the agriculture sector into a robust and competitive sector under the market economy.

**c. Components and outputs:** The tentative project scope includes (i) agricultural support services for private farms, (ii) rehabilitation of rural and water resource infrastructure; and (ii) management of water resources. Other components to be considered under the project are (i) strengthening of agricultural research and extension; and (ii) enhancing commodity marketing efficiency.

To achieve broader sector impacts, a grant-financed TA will be provided during the project implementation period to strengthen the capacity of the Government to undertake strategic planning and promote a greater role of private farms and private sector role in agriculture and the rural economy in general.

**d. Expected results and deliverables:** To be determined at project preparatory TA fact-finding.

**e. Social or environmental issues or concerns:** To be determined through the project preparatory TA.

**f. Plans for disseminating results/deliverables:** To be determined at project preparatory TA fact-finding.

**7. Proposed executing/implementing agencies:** To be determined at project preparatory TA fact-finding.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** Consultations will be carried out prior to and during project preparatory TA reconnaissance and fact-finding.

**9. Timetable for assistance design, processing, and implementation**

- |    |  |           |
|----|--|-----------|
| a. | Year included in CSP update:                 | 2001      |
| b. | Expected date of submission for approval     |           |
|    | Lending:                                     | 2005      |
|    | Nonlending (project preparatory):            | 2004      |
|    | Nonlending (other than project preparatory): | 2005      |
| c. | Period and duration of assistances           |           |
|    | Lending:                                     | 2005–2010 |
|    | Nonlending (project preparatory):            | 2004      |
|    | Nonlending (other than project preparatory): | 2005–2008 |

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources:
  - Asian Development Fund: \$20 million
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds: \$600,000; \$500,000 (attached advisory TA)

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.8: Health Sector Development  
Concept Paper**

**Date: 11 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input checked="" type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Health sector Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p><input checked="" type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Social Sectors Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> T. Yasukawa</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> This is ADB's third social sector project in Tajikistan. The first one responded to an emergency situation caused by civil conflict and economic transition. The second one aims to assist system reform-rationalization and capacity building- and strengthen the Government's role in providing essential social services that have poverty reduction impacts and externality in primary health care and basic education. The third social sector project will focus on the health sector. The Health Sector Development Project, built on previous efforts, will consolidate the reform process to (i) increase financial sustainability and (ii) improve quality and cost-effectiveness at all levels of the health system.</p> <p>The social sector is one of the three priority areas in ADB's interim operational strategy for Tajikistan. The proposed assistance is fully in line with the ADB strategy.</p> <p><b>b. Goal and purpose:</b></p>										

<p>Goal: To improve health status of the population. Purposes: To consolidate health reform and expand the reform scope to all levels of the health system.</p> <p><b>c. Components and outputs:</b> The Health Sector Development Project, built on previous efforts, will consolidate the reform process to (i) increase financial sustainability, (ii) to allocate budget efficiently, and (iii) improve quality and cost-effectiveness not only at the primary health care but also at the hospital level.</p> <p><b>d. Expected results and deliverables:</b> The reform will comprehensively cover the health system. Health financing capacity will be expanded.</p> <p><b>e. Social or environmental issues or concerns:</b> Not applicable</p> <p><b>f. Plans for disseminating results/deliverables:</b> Through regular reporting and publication.</p>												
<p><b>7. Proposed executing/implementing agencies:</b> Ministry of Health</p>												
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b></p> <p>Ministry of Health, health workers, local governments, community representatives, private sector, and nongovernment organizations will be involved in the design, implementation, and monitoring of the Project.</p>												
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2001</p> <p>b. Expected date of submission for approval</p> <table> <tr> <td>Lending:</td> <td>2005</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td>2004</td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2005</td> </tr> </table> <p>c. Period and duration of assistances</p> <table> <tr> <td>Lending:</td> <td>2005–2010</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td>2004</td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2005–2006</td> </tr> </table>	Lending:	2005	Nonlending (project preparatory):	2004	Nonlending (other than project preparatory):	2005	Lending:	2005–2010	Nonlending (project preparatory):	2004	Nonlending (other than project preparatory):	2005–2006
Lending:	2005											
Nonlending (project preparatory):	2004											
Nonlending (other than project preparatory):	2005											
Lending:	2005–2010											
Nonlending (project preparatory):	2004											
Nonlending (other than project preparatory):	2005–2006											
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input type="checkbox"/> Ordinary capital resources:</p> <p><input checked="" type="checkbox"/> Asian Development Fund: \$10 million</p> <p><input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff</p> <p><input type="checkbox"/> ADB's administrative budget:</p> <p><input checked="" type="checkbox"/> Grant TA funds: \$400,000; \$500,000 (attached advisory TA)</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>												

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.9: Dushanbe–Kyrgyz Border Road Rehabilitation Project, Phase II  
Concept Paper**

**Date: 25 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input checked="" type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Transport</p> <p>Subsector: Roads</p> <p>b. For project preparatory and lending, classification</p> <p><input checked="" type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Infrastructure Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> J. Miller</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Assist in infrastructure rehabilitation. Support poverty reduction and economic growth, and improve governance through policy reform. Increase regional traffic and trade.</p> <p><b>b. Goal and purpose:</b> Reduce poverty and increase regional trade and cooperation by rehabilitating the road linking Dushanbe, Tajikistan, to the border with the Kyrgyz Republic.</p> <p><b>c. Components and outputs:</b> The project will include civil works for rehabilitation of the Dushanbe-Kyrgyz border road (probably the section from the Tavildara Junction (near Darband) continuing in the direction of the border) and feeder roads, consulting services for project implementation, a program of policy reform, and possibly purchase of equipment for road maintenance.</p> <p><b>d. Expected results and deliverables:</b> Rehabilitation of the Dushanbe-Kyrgyz border road. Improved road maintenance financing and practices.</p>										

<p><b>e. Social or environmental issues or concerns:</b> To be identified through the project preparatory TA.</p> <p><b>f. Plans for disseminating results/deliverables:</b> To be determined.</p>												
<p><b>7. Proposed executing/implementing agencies:</b> Ministry of Transport</p>												
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b></p> <p>The Ministry of Transport and other relevant institutions (including local governments for the feeder roads) will be involved in the project design to be prepared under a project preparatory TA scheduled for approval in 2004.</p>												
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2001</p> <p>b. Expected date of submission for approval</p> <table> <tr> <td>Lending:</td> <td>2005 standby</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td>2005</td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2005 standby</td> </tr> </table> <p>c. Period and duration of assistance</p> <table> <tr> <td>Lending:</td> <td>2006–2008</td> </tr> <tr> <td>Nonlending (project preparatory):</td> <td>2005–2006</td> </tr> <tr> <td>Nonlending (other than project preparatory):</td> <td>2006–2007 for 6 months</td> </tr> </table>	Lending:	2005 standby	Nonlending (project preparatory):	2005	Nonlending (other than project preparatory):	2005 standby	Lending:	2006–2008	Nonlending (project preparatory):	2005–2006	Nonlending (other than project preparatory):	2006–2007 for 6 months
Lending:	2005 standby											
Nonlending (project preparatory):	2005											
Nonlending (other than project preparatory):	2005 standby											
Lending:	2006–2008											
Nonlending (project preparatory):	2005–2006											
Nonlending (other than project preparatory):	2006–2007 for 6 months											
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input type="checkbox"/> Ordinary capital resources:</p> <p><input checked="" type="checkbox"/> Asian Development Fund: \$20 million</p> <p><input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: Anticipated cofinancing of about \$5 million; source not yet identified.</p> <p>If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff</p> <p><input type="checkbox"/> ADB's administrative budget:</p> <p><input checked="" type="checkbox"/> Grant TA funds: \$500,000; \$400,000 (attached TA)</p> <p>If cofinancing is required indicate sources, and amount sought:</p> <p>If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>												

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.10: Central Asia Gas Transmission Improvement Project, Phase I  
Concept Paper**

**Date: 12 August 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input checked="" type="checkbox"/> Lending</p> <p><input checked="" type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Energy</p> <p>Subsector: Gas</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country</p> <p><input checked="" type="checkbox"/> Subregional</p> <p><input type="checkbox"/> Internal policy development</p> <p><input type="checkbox"/> Interregional</p>
<p><b>4. Responsible division/department:</b> Infrastructure Division/East and Central Asia Department</p>
<p><b>5. Responsible ADB officer(s):</b> E. Hassing</p>
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The natural gas sector has considerable potential for increasing regional cooperation in Central Asia, in particular among Kazakhstan, Kyrgyz Republic, Tajikistan, Turkmenistan, and Uzbekistan.</p> <p><b>b. Goal and purpose:</b> Promote sustained economic growth through regional cooperation in the Central Asian republics by eliminating technical and institutional bottlenecks and other barriers that constrain efficient operation and flow of natural gas, prevent energy trade, and impede rational use of the transmission system linking them.</p> <p><b>c. Components and outputs:</b> Rehabilitation and modernization of the gas transmission network in the five countries. Improved regional policy, institutional, and regulatory environment.</p> <p><b>d. Expected results and deliverables:</b> Increased economic exchange of gas between the countries.</p>

<p><b>e. Social or environmental issues or concerns:</b> To be identified.</p> <p><b>f. Plans for disseminating results/deliverables:</b></p>
<p><b>7. Proposed executing/implementing agencies:</b> Gas transmission company in each country.</p>
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b> Regional meetings will be held.</p>
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2002</p> <p>b. Expected date of submission for approval Lending: 2004 Nonlending (project preparatory): To be decided. Nonlending (other than project preparatory): To be decided.</p> <p>c. Period and duration of assistance Lending: To be decided. Nonlending: To be decided.</p>
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending  <input type="checkbox"/> Ordinary capital resources: To be decided.  <input checked="" type="checkbox"/> Asian Development Fund: To be decided.  <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending  <input type="checkbox"/> No resources required, other than ADB staff  <input type="checkbox"/> ADB's administrative budget:  <input type="checkbox"/> Grant TA funds</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

## CONCEPT PAPERS FOR NONLENDING PRODUCTS AND SERVICES

This appendix contains the concept papers for projected nonlending products.

- (i) Table A3.1: Strengthening Environmental Monitoring System
- (ii) Table A3.2: Transport Sector Development Strategy
- (iii) Table A3.3: A Study on Amu Darya River Basin Development
- (iv) Table A3.4: Regional Private Sector Development
- (v) Table A3.5: Regional Financial Sector Development
- (vi) Table A3.6: Participatory Water Management in Central Asia
- (vii) Table A3.7: Regional Trade Facilitation and Customs Cooperation Program, Phase II
- (viii) Table A3.8: Capacity Building in Priority Areas of Regional Cooperation
- (ix) Table A3.9: Regional Financial Sector Development, Phase II
- (x) Table A3.10: Regional Trade Facilitation and Customs Cooperation Program Phase III

**Table A3.1: Strengthening Environmental Monitoring System  
Concept Paper**

**Date: 22 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Environment</p> <p>Subsector: Capacity building</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Agriculture, Environment &amp; Natural Resources Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> S.T. Qadri</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The Republic's territory stretches 700 km from the west to the east and 350 km from the north to the south. It has a complex outline of boundaries that reflects historical-geographical peculiarities of the population of Tajik people in Central Asia. The population density of the territory is uneven. Tajikistan's mountainous territory is very susceptible to anthropogenic influences. Tajikistan is rich in natural resources, however at the same time its geographic characteristics determine that the uneven distribution of populated areas, cities, and industrial complexes. The mountainous character of the territory, the insufficient development of a transportation network, and the domination of agrarian and primary industries in the economy substantially affect the people's welfare, as well as the rational use of natural resources. The smallest breach of the natural ecosystems, in the name of economic development, can have catastrophic consequences. Nearly 95% of the territory is exposed to the heightened risk of ecological destabilization, with potential disproportionately adverse impacts of the poor. Mainstreaming environmental issues in the development processes by enhancing institutional capacity is therefore a</p>										

prerequisite to sustainable development and well-being of the people, especially the poor.

Consequent to the national institutional strengthening efforts undertaken under the previous advisory TA<sup>1</sup>, ways must be found to build environmental management capacity into and across key development sectors such as economic planning, finance, energy, transport, agriculture and forests, and health; and to fully integrate environmental considerations into development planning institutions and processes.

**b. Goal and purpose:** The primary purpose of the assistance is to enhance the capacity of the concerned institutions to mainstream environment in economic development planning processes through active involvement of key institutions that may include economic planning, finance, energy, transport, agriculture and forests, and health sectors.

**c. Components and outputs:** The components include (i) an assessment and analysis of development planning processes, associated policies, and legislation; (ii) analysis of institutional capacities and their level of awareness regarding environmental implications of development processes; (iii) based on (i) and (ii) an assessment of constraints and opportunities that would need to be addressed to mainstream environment in the development planning process; and (iv) a strategy and action plan that would be aimed at addressing the constraints with specific reference to institutional reform, policy reform, and capacity enhancement to create an enabling environment that would ensure sustainable development. The outputs include (i) a strategy and an action plan for mainstreaming environment in the development process; (ii) enhanced awareness of the concerned institutions vis-à-vis the environment and the need to mainstream environmental considerations in their development efforts; and (iii) an enhanced environmental management capacity within the concerned institutions; (iv) an environmental monitoring system and a database established within the Ministry of Nature Protection (MNP) and linked to various institutions, with the MNP responsible to regularly update it and disseminate regular reports to all concerned; and (v) enabling policies and strengthened institutions to ensure institutionalization of the processes that result in mainstreaming environmental management across sectors.

**d. Expected results and deliverables:** Enhanced capacity of the concerned institutions to mainstream environment in economic development planning processes across sectors under an enabling policy and legislative environment and strengthened institutions with a monitoring system to ensure sustainable development.

**e. Social or environmental issues or concerns:** The TA will aim at addressing environmental management planning concerns indifference to which is adversely impacting the environment and as a consequence the well-being of the people. Awareness of the institutions as providers of goods and services for the benefit of the people would ensure enhanced well-being of the people as major stakeholders in sustainable development and stability of the environment. The TA would create an enabling environment to hold concerned institutions accountable for their actions by the people who might be impacted.

**f. Plans for disseminating results/deliverables:** An institutionalized environmental monitoring plan and regularly disseminated reports through updated websites, reports, brochures, seminars, and workshops across the country and across sectors, led by MNP.

**7. Proposed executing/implementing agencies:** Ministry of Nature Protection

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**

The TA will be designed and implemented through active participation of stakeholders that include the concerned government agencies/departments at the local and national levels, nongovernment organizations, local communities, and other members of the civil society.

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2001
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):

<sup>1</sup> TA 3614-TAJ: *Capacity Building for Environmental Assessment and Monitoring*, approved in 2001.

<p>Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance  Lending:  Nonlending: 2004–2006</p>	<p>2004</p>
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input type="checkbox"/> Ordinary capital resources:  <input type="checkbox"/> Asian Development Fund:  <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought:  If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff  <input type="checkbox"/> ADB's administrative budget:  <input checked="" type="checkbox"/> Grant TA funds: \$300,000</p> <p>If cofinancing is required indicate sources, and amount sought:  If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>	

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

## Table A3.2: Transport Sector Development Strategy Concept Paper

**Date: 25 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p style="margin-left: 20px;"><input type="checkbox"/> Project loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Program loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Sector loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Sector development program loan</p> <p style="margin-left: 20px;"><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p style="margin-left: 20px;"><input type="checkbox"/> Project preparatory</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Other than project preparatory</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Economic, thematic, and sector work</p> <p style="margin-left: 40px;"><input type="checkbox"/> Institutional development</p> <p style="margin-left: 40px;"><input type="checkbox"/> Other:</p>		
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p style="margin-left: 40px;">Sector: Transport</p> <p style="margin-left: 40px;">Subsector: Roads</p> <p>b. For project preparatory and lending, classification</p> <p style="margin-left: 20px;"><input type="checkbox"/> Core poverty intervention</p> <p style="margin-left: 20px;"><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input checked="" type="checkbox"/> Economic growth  <input type="checkbox"/> Gender and development  <input type="checkbox"/> Environmental protection  <input type="checkbox"/> Regional cooperation  <input type="checkbox"/> Other:         </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Human development  <input checked="" type="checkbox"/> Good governance  <input checked="" type="checkbox"/> Private sector development  <input type="checkbox"/> Social protection         </td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection
<input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other:	<input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection	
<p><b>3. Coverage</b></p> <p><input checked="" type="checkbox"/> Country                      <input type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>		
<p><b>4. Responsible division/department:</b> Infrastructure Division/East and Central Asia Department</p>		
<p><b>5. Responsible ADB officer(s):</b> J. Miller</p>		
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> By assisting in the development of a transport strategy, the TA will assist in infrastructure rehabilitation, facilitate transition to a market economy, support economic growth and poverty reduction, and improve governance.</p> <p><b>b. Goal and purpose:</b> Preparation of a strategy for development of the transport sector to facilitate economic transition and growth.</p> <p><b>c. Components and outputs:</b> The TA will emphasize the road subsector, as this is the most important for Tajikistan, and will also look at the railway and aviation subsectors. The strategy will examine policy reform, institutional strengthening, and the future private-sector involvement in the transport sector.</p> <p><b>d. Expected results and deliverables:</b> Strategy for development of the transport sector.</p> <p><b>e. Social or environmental issues or concerns:</b> None.</p>		

<p><b>f. Plans for disseminating results/deliverables:</b> To be determined.</p>
<p><b>7. Proposed executing/implementing agencies:</b> Ministry of Transport</p>
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b> The Ministry of Transport and other relevant institutions will be involved in developing the transport sector strategy.</p>
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2002</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory): 2005</p> <p>c. Period and duration of assistance Lending: Nonlending: 2005–2006 for 6 months</p>
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending  <input type="checkbox"/> Ordinary capital resources:  <input type="checkbox"/> Asian Development Fund:  <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending  <input type="checkbox"/> No resources required, other than ADB staff  <input type="checkbox"/> ADB's administrative budget:  <input checked="" type="checkbox"/> Grant TA funds: \$500,000</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.3: A Study on Amu Darya River Basin Development  
Concept Paper**

**Date: 26 June 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p>    <input type="checkbox"/> Project loan</p> <p>    <input type="checkbox"/> Program loan</p> <p>    <input type="checkbox"/> Sector loan</p> <p>    <input type="checkbox"/> Sector development program loan</p> <p>    <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p>    <input type="checkbox"/> Project preparatory</p> <p>    <input checked="" type="checkbox"/> Other than project preparatory</p> <p>        <input checked="" type="checkbox"/> Economic, thematic, and sector work</p> <p>        <input type="checkbox"/> Institutional development</p> <p>        <input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Agriculture and natural resources Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p>    <input type="checkbox"/> Core poverty intervention</p> <p>    <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Agriculture, Environment &amp; Natural Resources Division/ East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> T. Bayarsaihan</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Central Asia's two large river systems, the Amu Darya and Syr Darya, provide more than 90% of the water resources flowing into the Aral Sea Basin. Both the Amu Darya and Syr Darya basins have been intensively developed for irrigated crops through massive irrigation infrastructure built in 1960s. Overextraction of water from the rivers resulted in significantly reducing the amount of water discharged to the Aral Sea. In reaction, the sea began to recede rapidly with high salt concentrations leading to serious environmental and ecological disasters. Despite worsening environmental degradation in and around the Aral Sea, the riparian countries remain heavily dependent on the water in these rivers to support irrigated agriculture as a key to supporting national economies and livelihood of vast rural populations, as well as industry and domestic water supply.</p> <p>Water management facilities in the Aral Sea Basin were originally built in the Soviet era, to allow sharing among the Central Asian countries of a multiyear supply of water for power generation (required by the</p>										

upstream riparian countries in the winter) and irrigation (for the lower riparian countries during the summer). With the collapse of the Soviet Union, however, the mechanism to manage transboundary water in the Amu Darya and the Syr Darya has become dysfunctional. The collaborative framework built since 1993 – based on the Interstate Commission for Water Coordination (ICWC) – has not been effective, leaving the riparian states to manage increasingly critical and difficult issues of transboundary water on a highly ad-hoc annual agreement among the riparian states. The issue of trans-boundary water management has been recognized as a key to forging sustained economic and environmental collaboration among the Aral Sea Basin countries. Support and assistance from development agencies to address the transboundary water management issue, particularly in the Syr Darya basin, have been substantial, but the outputs delivered thus far have been less than spectacular.

During the recent ICWC Conference, held in February 2002 in Almaty, the riparian states renewed their commitment for improved cooperation, and requested funders for increased assistance. A specific proposal was also presented to ADB for assistance to strengthen water management in the Amu Darya basin, through strengthening of the existing Basin Water Organization (a river basin authority created as an executive arm of ICWC).

The Amu Darya is the larger of the two rivers, and drains an area of about 700,000 square kilometers, covering Tajikistan, Afghanistan, Uzbekistan, Turkmenistan. Compared with the Syr Darya, the Amu Darya basin does not currently experience highly visible transboundary conflicts. Presently, Uzbekistan and Turkmenistan split the Amu Darya River discharge approximately 60 cubic km per year on average, with Afghanistan only using two cubic km. With investment and development interests for Afghanistan, Uzbekistan and Turkmenistan now recognize Afghanistan's increasing need for its share of water as a possible transboundary issue.

**b. Goal and Purpose:** The goal of the proposed study is to forge a stronger and sustainable collaborative framework among the Amu Darya's riparian states for more efficient and environmentally sustainable management of the water resources in the Amu Darya basin.

The specific purpose of the study is to help the Amu Darya's riparian states identify the existing and emerging transboundary water management issues, identify specific skill/institutional gaps that need to be filled for them to address, or to initiate in addressing, such issues, and formulate a practical program to fill the identified gaps by realigning the existing institutional framework and skills within a specific short and medium time frame.

**c. Components and outputs:** Subject to further review by and discussions with the riparian states, the proposed study would include the following as components:

- resources endowment review/assessment,
- institutional review of regional and national water management agencies,
- identification/analysis of trans-boundary water management issues,
- comparative analysis with other international experience in managing trans-boundary waters,
- specific need assessment and formulation of institutional/skill development programs, and
- multistakeholder workshops.

The study is expected to be based on the outputs from the ongoing regional TA for the Regional Consultation for the Third World Water Forum, under which preliminary consultation and discussions are to be held with the Central Asian governments on transboundary water issues and the necessary policy actions.

**d. Expected results and deliverables:** The study will result in (i) a set of specific transboundary issues, either existing or emerging, as mutually recognized and agreed upon by the riparian states; and (ii) specific proposals for the riparian states to address these issues through a closer and stronger cooperation framework, using the existing Amu Darya Basin Water Organization.

**e. Social or environmental issues or concerns:** Issues relating to environmental/ecological degradation in and around the Aral Sea have attracted international concern and interest. Development agencies have funded a number of activities in this regard. In addition, ADB has also assisted in formulating the Regional Environmental Action Plan in Central Asia. The proposed study will maintain close linkage with these past/ongoing studies and initiatives to make sure that the study reflects the experiences and findings of the existing and emerging environmental issues relating to water resources in general and to the Amu Darya in particular.

**f. Plans for disseminating results/deliverables:** The study is expected to involve a number of multistakeholder workshops with a large number of participants from the riparian states. Through these workshops, progress and outcomes of the study will be disseminated.

**7. Proposed executing/implementing agencies:** Asian Development Bank

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** The request for assistance was conveyed by the Scientific and Information Center of ICWC during the ICWC conference held in Almaty in February 2002. Further detailed framework of the study will be discussed with the concerned authorities of the Central Asian governments through regional TA 6001 (under which a workshop on transboundary water issues in Central Asia is planned for September 2002).

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance(s)
  - Lending:
  - Nonlending: 2003–2004

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.4: Regional Private Sector Development  
Concept Paper**

**Date: May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Trade</p> <p>Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> A vibrant private sector is vital to growth and development in the CARs. While the share of the private sector of GDP in the CARs has increased, large state-owned enterprises dominate in the industry sectors. These sectors tend to be heavily concentrated with few enterprises as well. Resource-rich countries like Azerbaijan and Kazakhstan have attracted foreign direct investments (FDI) in their oil industries, but the other countries have received comparatively small amounts of FDI and little private financing is available for infrastructure, for example. Lack of coherent investment and competition policies hamper private sector development and investments, both domestic and foreign. Furthermore, these countries have to exploit the options for public-private partnerships in infrastructure finance. Private capital is necessary to complement the scarcity of government funding for investments and infrastructure in particular.</p> <p><b>b. Goal and purpose:</b> The goal is to promote private sector development in the region to enhance trade, investments, and growth. The purpose of the TA is to identify the constraints to investment and private</p>										

sector development, and develop measures and actions to address these in a regional context.

**c. Components and outputs:** The TA will (i) examine investment policies, competition policies, the legal framework for public-private partnership for infrastructure financing, and the potential for the region's economies to attract private capital both foreign direct investment and financing for infrastructure; and (ii) develop recommendations for policies and guidelines for investment and competition policies in line with the proposed WTO recommendations, and measures to facilitate and enhance the flow of FDI and promote private-public partnerships for infrastructure finance.

**d. Expected results and deliverables:** Reports, workshops.

**e. Social or environmental issues or concerns:** None.

**f. Plans for disseminating results/deliverables:** To be determined.

**7. Proposed executing/implementing agencies:** ADB will execute the overall TA. The ministries of trade and industry, chambers of commerce or equivalents, and industry associations or equivalent in the respective countries in the east and central Asian region will be the implementing agencies.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** Chambers of commerce (and equivalents) and industry associations have been consulted in the preparation of the initial concept.

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
  - Lending:
  - Nonlending: 2003–2004

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CAR = Central Asian republic, CSP = country strategy and program, GDP= gross domestic product, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.

**Table A3.5: Regional Financial Sector Development, Phase I  
Concept Paper**

**Date: May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Trade</p> <p>Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country</p> <p><input checked="" type="checkbox"/> Subregional</p> <p><input type="checkbox"/> Internal policy development</p> <p><input type="checkbox"/> Interregional</p>
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The financial sectors in the CARs are dominated by banks that provide basic financial services, but the financial sectors are shallow as indicated by low ratios of deposits and credit to GDP, and ineffective in financial intermediation due to inadequate experience in credit risk and management. Thus, they are ineffective in providing credit and trade finance to the private sector. While efforts have been made to upgrade regulation and supervision of financial institutions, enforcement is still lacking. The financial institutions are not effective in providing financing and catalyzing private sector development in the region, and capital markets have yet to develop to broaden and deepen the financial sectors. Effective financial sectors and institutions will catalyze private sector development and facilitate regional trade and growth.</p> <p><b>b. Goal and purpose:</b> The goal is to promote effective financial sectors in the region that can catalyze private sector development, regional trade, and growth. The purpose is to identify weaknesses in the financial sectors in the region that are obstacles to private sector development and develop</p>

recommendations and actions to address these. The TA will also complement the private sector and financial management assessments being undertaken for each of the countries in the region.

**c. Components and outputs:** The TA will have 2 components. The first component is a diagnostic study of the financial sectors in the region to (i) assess the capability of CAR financial institutions to provide financing (working capital, medium-term credit, trade finance) to support private sector development; (ii) the state of regulation and supervision of financial institutions and need for capacity building; and (iii) the options and role of the capital markets to support enterprise growth and development in a regional context. The second component will be development of a blueprint for (i) capacity building for financial sector regulators, (ii) harmonization of banking sector policies, and (iii) development and integration of capital markets.

**d. Expected results and deliverables:** Reports.

**e. Social or environmental issues or concerns:** None.

**f. Plans for disseminating results/deliverables:** To be determined.

**7. Proposed executing/implementing agencies:** ADB will execute the overall TA. The ministries of finance, central banks, and other regulatory agencies in the respective countries in the east and central Asian region will be the implementing agencies.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
  - Lending:
  - Nonlending: 2003–2004

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CAR = Central Asian republic, CSP = country strategy and program, GDP = gross domestic product, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.6: Participatory Water Management in Central Asia  
Concept Paper**

**Date: 28 May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector:           Agriculture</p> <p>Subsector:       Water Management</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country                   <input checked="" type="checkbox"/> Subregional                   <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Agriculture, Environment &amp; Natural Resources Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> M. A. Malik</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Agriculture in many Central Asian countries is heavily dependent on irrigation. Most of the existing irrigation systems were built in the 1960s under the Soviet era. As a result of severe financial crisis followed by the collapse of the Soviet Union, the governments have not been able to meet the increasing operation and maintenance (O&amp;M) budget requirements. As a result, operational status of these massive and energy-intensive irrigation infrastructure systems are rapidly deteriorating. At the same time, rapid privatization of farms within the irrigated command areas also resulted in disruption of on-farm water management.</p> <p>Concerned with declining efficiency of the irrigation supply systems, many Central Asian governments place high priority to the immediate rehabilitation/restoration of the supply systems, such as high-lift pumps and long feeder (main) canals. However, issues relating to the maintenance of on-farm water courses and management of irrigation water distribution (where the largest loss of water takes place) do not receive</p>										

much attention of the policymakers and sector planners.

In many other parts of Asia, however, the importance of on-farm water management through strong beneficiary participation has been recognized as key to addressing issues relating to sustained maintenance of irrigation systems and equitable distribution of water. In some Asian countries, participatory management of water has advanced to a stage where management of the irrigation was transferred to the beneficiaries, resulting in more efficient assessment/collection of irrigation fees, and more cost-effective, transparent, and participatory management of irrigation systems. Initial attempts to develop participatory management of on-farm irrigation distribution (e.g., through development of water user associations) are implemented in a number of Central Asian countries, but their experiences and progress are not shared with each other.

**b. Goal and purpose:** The goal of the proposed study is to promote awareness among the Central Asian governments of the importance and potential of participatory water resources management.

The specific purpose of the study is to compile the collective experience and lessons gained in the participatory management of water in Central Asia, analyze comparative progress/outcome in relation to the relevant policy framework, and study the relevance of the experiences and lessons from other Asian countries where participatory water resources management is in a more advanced stage.

**c. Components and outputs:** The study will involve specific case studies of the selected irrigation systems in Central Asia, secondary data analyses of the experiences in other Asian countries, participatory workshops (including presentation of advanced irrigation management transfer in Indonesia or the Philippines), and preparation of policy recommendations.

**d. Expected results and deliverables:** A set of specific policy recommendations for the Central Asian governments to promote participatory water resources management.

**e. Social or environmental issues or concerns:** To be determined.

**f. Plans for disseminating results/deliverables:** To be determined.

**7. Proposed executing/implementing agencies:** Asian Development Bank

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**

None so far.

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2004
- c. Period and duration of assistance
  - Lending:
  - Nonlending: 2004–2005

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending

- No resources required, other than ADB staff
- ADB's administrative budget:
- Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.7: Regional Trade Facilitation and Customs Cooperation Program, Phase II  
Concept Paper**

**Date: May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the</p> <p>Sector: Trade</p> <p>Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country</p> <p><input checked="" type="checkbox"/> Subregional</p> <p><input type="checkbox"/> Internal policy development</p> <p><input type="checkbox"/> Interregional</p>
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Supporting regional economic cooperation to promote sustainable economic growth and poverty reduction is a key objective of ADB's operations. Facilitating trade, especially cross-border trade, has been a focus of ADB's program in the region since 1997. Since 2000, ADB's trade facilitation has focused on the potential for customs modernization and cooperation. The first phase of the TA for regional trade facilitation and customs cooperation is being processed in 2002, with the following components: (i) harmonization and simplification of documentation, (ii) simplification of border and transit procedures, (iii) establishment of data transfer protocols, (iv) coordinated data gathering and information systems, (v) development of common performance standards, (vi) effective use of technical resources, (vii) possible regional initiatives to promote post-transit/entry compliance audits, (viii) establishing pilot joint processing centers of customs, and (ix) regional capacity building and training initiatives.</p> <p><b>b. Goal and purpose:</b> To establish efficient and effective trade and customs arrangements in the region</p>

that will facilitate foreign trade and investment, encourage movement of goods and people, and promote economic growth.

**c. Components and outputs:** The phase II TA will build on and carry forward the activities started in phase I, but expand issues related to the WTO. Phase II will tentatively focus on (i) continued capacity building for customs officials, (ii) information and communication technology applications for trade facilitation and customs and regional information linkages, (iii) continue and expand pilot joint processing centers, (iv) support implementation of simplified border and transit procedures, and (v) assess issues relating to WTO accession for applicant countries and develop action plans to support applicant countries in their preparations for WTO accession. The details will be decided upon completion of the phase I TA.

**d. Expected results and deliverables:** Reports, workshops, and seminars.

**e. Social or environmental issues or concerns:** None.

**f. Plans for disseminating results/deliverables:** To be determined.

**7. Proposed executing/implementing agencies:** The ministries of trade and state customs administrations in the participating countries in the East and Central Asian region will be the implementing agencies. ADB will execute the overall TA.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** None so far.

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2004
- c. Period and duration of assistance
  - Lending:
  - Nonlending: To be determined.

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.

**Table A3.8: Capacity Building in Priority Areas of Regional Cooperation  
Concept Paper**

**Date: 26 July 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p>    <input type="checkbox"/> Project loan</p> <p>    <input type="checkbox"/> Program loan</p> <p>    <input type="checkbox"/> Sector loan</p> <p>    <input type="checkbox"/> Sector development program loan</p> <p>    <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p>    <input type="checkbox"/> Project preparatory</p> <p>    <input checked="" type="checkbox"/> Other than project preparatory</p> <p>        <input type="checkbox"/> Economic, thematic, and sector work</p> <p>        <input checked="" type="checkbox"/> Institutional development</p> <p>    <input type="checkbox"/> Other:</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p>    <input type="checkbox"/> Core poverty intervention</p> <p>    <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Operations Coordination Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> L. Wu</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Supporting regional economic cooperation is one of the three pillars of ADB's poverty reduction strategy and a strategic focus in ADB operations as enunciated in the LTSF. Under the overall objective of promoting sustained economic growth and poverty reduction, ADB has provided support for economic cooperation in Central Asia since 1997. Its operational focus is on three areas: transport—to alleviate the region's locational disadvantage; energy—to encourage rational use of vital resources of energy and water; and trade facilitation—to promote trade within the region and with outside world. To date, modest success has been achieved, as evidenced in (i) increased awareness and understanding of cooperation, with an overall framework established to further cooperation; and (ii) a preliminary set of priority regional projects identified in each of the focused areas, which are now at various stages of implementation.</p>										

With the gradual return of peace to Afghanistan, renewed world focus on Central Asia, and a general climate more conducive to cooperation in the region, ADB faces new challenges: principally, how to advance cooperation to assist the countries in seizing this historical window of opportunity to achieve and maintain peace, stability, and prosperity through cooperation? One key to future success on a sustainable basis lies in the strengthening of capacity of the countries participating in the ADB-supported Central Asia regional cooperation program. Specifically, the institutional capacity of government agencies involved in regional cooperation, the capacity of the officials in charge of or participating in regional cooperation (policymaking and implementation) would be the basis for bringing forward the cause of regional cooperation.

This important role of capacity for regional cooperation has been clearly recognized by the participating governments, and the request for ADB to assist in capacity building for regional cooperation especially in the three priority areas of cooperation was reflected in the Ministerial Statement issued at the Ministerial Conference on Economic Cooperation in Central Asia held 25-26 March 2002.

**b. Goal and purpose:** The overall goal of the TA is to promote economic growth and reduce poverty in Central Asia. The specific purpose of the TA is to promote economic cooperation through strengthening the capacity of the participating governments for regional cooperation, particularly in the area of transport, energy, and trade facilitation.

**c. Components and outputs:** The TA will have the following components and corresponding outputs:

- (i) A comprehensive assessment of the capacity building needs – the output will be a comprehensive capacity building plan. The plan will identify and analyze the medium and longer term needs for institutional and technical capacity building; a plan will be developed to meet these needs with sequenced steps of implementation, with clear monitorable indicators.
- (ii) Capacity building activities for national focal points (NFP) – as NFPs are a central part of the overall framework and key to the coordination of cooperation activities in their respective governments, their capacity both as an institution and as individual officials would be crucial to future success of cooperation. The capacity building needs including training needs will need to be tailored to suit the specific mandate of the NFPs.
- (iii) Sectoral capacity building activities—two sets of activities including training courses will be important: the first to develop one more skills and capacity in the more general area of administration and management pertinent to economic cooperation; and the second focusing on technical skills and capacity specific to their respective sectors.
- (iv) Supporting activities—based on the assessment, some support for equipment may be necessary to ensure the effectiveness of capacity building activities.

**d. Expected results and deliverables:** The TA is expected to generate (i) enhanced institutional capacity of the governments in furthering regional cooperation in general; and (ii) strengthened technical and institutional capacity of the priority sectors of economic cooperation.

**e. Social or environmental issues or concerns:** none foreseen.

**f. Plans for disseminating results/deliverables:** Dissemination will be through workshops, meetings, publications, and other means including ADB websites, in addition to wide circulation of materials (including consultant reports) within each government and among donors and other stakeholders.

**7. Proposed executing/implementing agencies:** Operations Coordination Division/East and Central Asia Department

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:** ADB has committed to developing capacity building at the request of the governments as explicitly stated in the Ministerial Statement of the Ministerial Conference on Economic Cooperation in Central Asia during 25-26 March 2002.

**9. Timetable for assistance design, processing, and implementation**

- a. Year included in CSP update: 2002
- b. Expected date of submission for approval
  - Lending:
  - Nonlending (project preparatory):
  - Nonlending (other than project preparatory): 2004
- c. Period and duration of assistance
  - Lending:
  - Nonlending: 2004-2006

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
  - Ordinary capital resources:
  - Asian Development Fund:
  - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
  - No resources required, other than ADB staff
  - ADB's administrative budget:
  - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.9: Regional Financial Sector Development, Phase II  
Concept Paper**

**Date: May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>										
<p><b>2. Assistance Focus</b></p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
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<p><b>3. Coverage</b></p> <p><input type="checkbox"/> Country                      <input checked="" type="checkbox"/> Subregional                      <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> The financial sectors in the CARs are dominated by banks that provide basic financial services, but the financial sectors are shallow as indicated by low ratios of deposits and credit to GDP, and ineffective in financial intermediation due to inadequate experience in credit risk and management. Thus, they are ineffective in providing credit and trade finance to the private sector. While efforts have been made to upgrade regulation and supervision of financial institutions, enforcement is still lacking. The financial institutions are not effective in providing financing and catalyzing private sector development in the region, and capital markets have yet to develop to broaden and deepen the financial sectors. Effective financial sectors and institutions will catalyze private sector development and facilitate regional trade and growth.</p> <p><b>b. Goal and purpose:</b> The goal is to promote in the region effective financial sectors that can catalyze private sector development, regional trade, and growth.</p>										

<p><b>c. Components and outputs:</b> The second phase of assistance for the region's financial sectors will aim to implement reforms identified during phase I in the areas of (i) harmonization of banking sector policies, (ii) capacity building for regulators, and (iii) development and integration of capital markets. The details will be decided upon completion of the first phase TA in 2003.</p> <p><b>d. Expected results and deliverables:</b> To be determined.</p> <p><b>e. Social or environmental issues or concerns:</b> None.</p> <p><b>f. Plans for disseminating results/deliverables:</b> To be determined.</p>
<p><b>7. Proposed executing/implementing agencies:</b> ADB will execute the overall TA. The ministries of finance, central banks, and other regulatory agencies in the respective countries in the East and Central Asian region will be the implementing agencies.</p>
<p><b>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</b></p>
<p><b>9. Timetable for assistance design, processing, and implementation</b></p> <p>a. Year included in CSP update: 2002</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory): 2005</p> <p>c. Period and duration of assistance Lending: Nonlending: 2005–2006</p>
<p><b>10. Financing Plan</b> (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input type="checkbox"/> Ordinary capital resources: <input type="checkbox"/> Asian Development Fund: <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: <input checked="" type="checkbox"/> Grant TA funds</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.10: Regional Trade Facilitation and Customs Cooperation Program, Phase III  
Concept Paper**

**Date: May 2002**

<p><b>1. Type/modality of assistance</b></p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>										
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<p><b>4. Responsible division/department:</b> Governance, Finance &amp; Trade Division/East and Central Asia Department</p>										
<p><b>5. Responsible ADB officer(s):</b> To be determined.</p>										
<p><b>6. Description of assistance(s)</b></p> <p><b>a. Background/linkage to country/regional strategy:</b> Supporting regional economic cooperation to promote sustainable economic growth and poverty reduction is a key objective of ADB's operations. Facilitating trade, especially cross-border trade, has been a focus of ADB's program in the region since 1997. Since 2000, ADB's trade facilitation has focused on the potential for customs modernization and cooperation. The first phase of the TA for regional trade facilitation and customs cooperation is being processed in 2002, with the following components: (i) harmonization and simplification of documentation; (ii) simplification of border and transit procedures; (iii) establishment of data transfer protocols; (iv) coordinated data gathering and information systems; (v) development of common performance standards; (vi) effective use of technical resources; (vii) possible regional initiatives to promote post-transit/entry compliance audits; (viii) establishing pilot joint processing centers of customs; and (ix) regional capacity building and training initiatives. The phase II TA in 2004 aims to carry forward these initiatives to ensure continuity and expand on WTO-related issues, and the proposed phase III will further expand and carry forward these initiatives and strengthen regional cooperation.</p>										

**b. Goal and purpose:** The goal is to establish efficient and effective trade and customs arrangements in the region that will facilitate foreign trade and investment, encourage movement of goods and people, and promote economic growth.

**c. Components and outputs:** Phase III will carry forward the components initiated in phases I and II to ensure continuity in capacity building, harmonization of procedures, data sharing. Phase III will have a stronger focus on WTO accession matters for applicant countries, by providing more capacity-building for the applicant countries to facilitate necessary adjustments in policies, regulations, and standards to comply with WTO requirements. The details will be decided upon completion of phase II TA in 2004.

**d. Expected results and deliverables:** Reports, workshops, and seminars.

**e. Social or environmental issues or concerns:** None.

**f. Plans for disseminating results/deliverables:** To be determined.

**7. Proposed executing/implementing agencies:** The State Customs Administrations in the participating countries in the East and Central Asian Region will be the implementing agencies. ADB will execute the overall TA.

**8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:**

**9. Timetable for assistance design, processing, and implementation**

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval

Lending:

Nonlending (project preparatory):

Nonlending (other than project preparatory): 2005

c. Period and duration of assistance

Lending:

Nonlending: To be determined.

**10. Financing Plan** (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

a. For lending

Ordinary capital resources:

Asian Development Fund:

Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

b. For nonlending

No resources required, other than ADB staff

ADB's administrative budget:

Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.