

COUNTRY AND PORTFOLIO INDICATORS, AND ASSISTANCE PIPELINE

Table A1.1: Progress Toward the Millennium Development Goals and Targets

Goals and Targets	Country Status
Goal 1: Eradicate Extreme Poverty and Hunger	
Target 1: Halve, between 1990 and 2015, the proportion of people whose income is less than \$1/day.	The Government of Uzbekistan does not officially recognize poverty (and hunger) in the country and instead, refers to "living standards". Thus, official statistics do not provide poverty incidence data nor incidence of hunger among the population. The Government's de facto poverty reduction strategy will be developed under ADB's ongoing TA No. 3781-UZB: Comprehensive Medium-Term Strategy for Improving the Living Standards of the People of Uzbekistan, which is being implemented in coordination with the World Bank's Living Standards Assessment Study.
Target 2: Halve, between 1990 and 2015, the proportion of people who suffer from hunger.	No specific target exists for reducing nutrition-based poverty.
Goal 2: Achieve Universal Primary Education	
Target 3: Ensure that, by 2015, children everywhere, boys and girls alike, will be able to complete a full course of primary schooling.	In 2001 the primary school (aged 7-10) gross enrollment rate was 99.6%. The literacy rate is high (99.2 in 1999).
Goal 3: Promote Gender Equality and Empower Women	
Target 4: Eliminate gender disparity in primary and secondary education, preferably by 2005, and in all levels of education no later than 2015.	There is no gender disparity in enrollment at any level. Both women and men have equal access to education.
Goal 4: Reduce Child Mortality	
Target 5: Reduce by two thirds, between 1990 and 2015, the under-5 mortality rate.	Officially reported infant mortality rate declined from 22.8% per 1,000 live births (25.2-urban and 21.7-rural) in 1997 to 18.9 per 1,000 live births (22.4-urban and 17.3-rural) in 2000. ^a
Goal 5: Improve Maternal Health	
Target 6: Reduce by three quarters, between 1990 and 2015, the maternal mortality ratio.	Officially reported mortality rate (per 100,000 live births) declined from 51 in 1992 to 20.7 in 1996 and then grew to 34.5 in 2000.
Goal 6: Combat HIV/AIDS, Malaria, and Other Diseases	
Target 7: Have halted by 2015, and begun to reverse, the spread of HIV/AIDS.	Total number of HIV cases officially documented 1,399 as of 1 July 2002 higher than 779 cases in 2000.
Target 8: Have halted by 2015, and begun to reverse, the incidence of malaria and other major diseases.	About 85 cases of malaria (mainly coming from Tajikistan) were recorded in 1999 compared with 52 imported cases in 1997. TB incidence is 52% higher than in 1994: in Karakalpakstan, 104.5 TB cases per 100,000 (by 25.8% higher than in 1994)
Goal 7: Ensure Environmental Sustainability	
Target 9: Integrate the principles of sustainable development into country policies and programs and reverse the loss of environmental resources.	The principles were integrated in the 1999-2005 State Program of Environmental Protection and Rational Use of Natural Resources up to the year 2005, as well as in the National Environmental Action Plan, National Climate Change Report, and National Desertification Action Plan.

Goals and Targets	Country Status
Target 10: Halve, by 2015, the proportion of people without sustainable access to safe drinking water.	The proportion of population with access to safe potable water increased from 72% (urban) and 46% (rural) in 1990-96 to 90.7% and 72.3% respectively in 2001.
Target 11: By 2020, achieve a significant improvement in the lives of at least 100 million slum dwellers.	No information is available.

HIV/AIDS = human immunodeficiency virus/acquired immunodeficiency syndrome.

Sources: 2001 The Basic Indicators of Social and Economic Development of the Republic of Uzbekistan, Tashkent; United Nations Development Programme. 2001, *Human Development Report*, Uzbekistan 2000. New York. Women and Men of Uzbekistan – statistical collection, Tashkent, 2002. Government estimates, ADB mission estimates.

Table A1.2: Country Economic Indicators

Item	Fiscal Year				
	1997	1998	1999	2000	2001
A. Income and Growth					
1. GDP per Capita (\$, current)	604	607	684	541	451
2. GDP Growth (% , in constant prices)	5.2	4.4	4.4	4.0	4.5
Agriculture	5.8	4.0	5.9	3.2	4.5
Industry	2.5	0.6	1.2	1.3	2.3
Services	5.9	3.0	4.7	13.0	14.2
B. Saving and Investment (current and market prices)					
			(% of GDP)		
1. Gross Domestic Investment	18.9	10.2	11.8	14.4	10.1
2. Gross Domestic Saving	14.9	9.9	10.5	11.9	11.0
C. Money and Inflation					
			(annual % change)		
1. Consumer Price Index	27.6	26.1	26.0	28.2	26.4
2. Total Liquidity (M2)	36.0	28.0	31.5	37.1	54.8
D. Government Finance					
			(% of GDP)		
1. Revenue and Grants	32.0	34.8	32.6	28.5	25.9
2. Expenditure and Onlending	29.7	32.4	31.5	29.5	28.3
3. Overall Fiscal Surplus (Deficit)	(2.2)	(3.4)	(2.2)	(1.0)	(2.3)
E. Balance of Payments					
1. Merchandise Trade Balance (% of GDP)	(0.5)	0.0	0.5	3.7	2.5
2. Current Account Balance (% of GDP)	(4.0)	(0.6)	(1.0)	1.4	(0.6)
3. Merchandise Export (\$) Growth (annual % change)	4.5	(21.8)	(10.0)	0.9	(6.1)
4. Merchandise Import (\$) Growth (annual % change)	(11.2)	(25.2)	10.0	(0.9)	1.6
F. External Payments Indicators					
1. Gross Official Reserves (including gold, \$ million in months of current year's imports of goods)	1,167 3.7	1,168 4.1	1,283 4.7	1,273 5.2	1,212 4.7
2. External Debt Service (% of exports of goods and services)	9.0	9.0	11.0	27.5	–
3. Total External Debt (% of GDP)	18.2	24.8	28.1	32.8	39.9
G. Memorandum Items					
1. GDP (current prices, SUM billion)	987.35	1,358.0	1,942.0	3,194.5	4,868.4
2. Exchange Rate (SUM/\$, average)	66	95	125	237	423
3. Population (million)	23.66	24.05	24.41	24.75	25.06

– = not available; GDP = gross domestic product

Sources: Uzbekistan authorities and International Monetary Fund.

Table A1.3: Country Poverty and Social Indicators

Item	Period		
	1985	1990	Latest Year
A. Population Indicators			
1. Total Population (million)	18.1	20.4	25.06 [2001]
2. Annual Population Growth Rate (% change)	2.7	2.2	–
B. Social Indicators			
1. Total Fertility Rate (births/woman)	3.6 [1995]	2.8 [1998]	2.7 [1999]
2. Maternal Mortality Rate (per 100,000 live births)	48.6	34.1	34.5 [2000]
3. Infant Mortality Rate (below 1 year/1,000 live births)	45.3	34.6	18.9 [2000]
4. Life Expectancy at Birth (years)	–	69.3	–
Female	–	72.4	73.1 [1999]
Male	–	66.1	68.2 [1999]
5. Adult Literacy (%)	94.3 [1989]	97.0 [1999]	98.7 [2001]
Female	91.8 [1989]	–	–
Male	96.5 [1989]	–	–
6. Primary School Gross Enrollment (%)	615,795 [1998]	608,517 [1999]	624,652 [2000]
7. Secondary School Gross Enrollment (%)	–	–	–
8. Child Malnutrition (% below age 5)	–	–	–
9. Population Below Poverty Line (International, %)	–	–	–
10. Population with Access to Safe Water (%)	–	–	85 [1999]
11. Population with Access to Sanitation (%)	–	–	100 [1999]
12. Public Education Expenditure (% of GDP)	–	–	6.7 [2000]
13. Human Development Index	–	0.695	0.698 [1999]
Rank	–	80	99 [1999]
14. Gender-Related Development Index	–	–	0.695 [2001]
Rank	–	–	86 [2001]
C. Poverty Indicators			
1. Poverty Incidence	–	–	–
2. Percent of Poor to Total Population	–	–	–
3. Poverty Gap	–	–	–
4. Poverty Severity Index	–	–	–
5. Inequality (Theil L Index)	–	–	–
6. Human Poverty Index	–	–	–
Rank	–	–	–

– = not available; GDP = gross domestic product.

Sources: Uzbek authorities, International Monetary Fund and United Nations Development Programme-*Human Development Report 2001*. New York.

Table A1.4: Country Environment Indicators

Indicator	1995	Latest Year
A. Energy Efficiency of Emissions		
1. GDP/Unit of Energy Use (PPP\$/kgoe)	–	1.1 [1998]
2. Traditional Fuel Use (% of total energy use)	–	–
3. Carbon Dioxide Emissions	–	–
Tons	–	–
Tons per capita	4.3	4.5 [1998]
B. Water Pollution: Water and Sanitation		
1. % Urban Population with Access to Safe Water	–	90.7 [2001]
2. % Rural Population with Access to Safe Water	–	72.3 [2001]
3. % Urban Population with Access to Sanitation	–	–
C. Land Use and Deforestation		
1. Forest Area (million hectares)	–	8.073 [2000]
2. Average Annual Deforestation	–	–
Square kilometers (km ²)	–	–
% change	–	–
3. Rural Population Density (people/km ² of arable land)	313.1	342.3 [1999]
4. Arable Land (% of total land)	–	10.8 [1996]
5. Permanent Cropland (% of total land)	–	–
D. Biodiversity and Protected Areas		
1. Nationally Protected Area		
Million hectares	–	20,520 [1999]
% of total land	–	4.6
2. Mammals (number of threatened species)	–	27 [1998]
3. Birds (number of threatened species)	–	62 [1998]
4. Higher Plants (number of threatened species)	–	–
5. Reptiles (number of threatened species)	–	14 [1998]
6. Amphibians (number of threatened species)	–	10 [1998]
E. Urban Areas		
1. Urban Population		
Million	8.8	9.1 [1999]
% of total population	38.5	37.2 [1999]
2. Per Capita Water Use (liters/day)	–	–
3. Wastewater Treated (%)	–	–
4. Solid Waste Generated Per Capita (kg/day)	–	–

– = not available; GDP = gross domestic product, kg = kilogram, kgoe = kilograms of oil equivalent, km² = square kilometer, PPP = purchasing power parity.

Source(s): Uzbek authorities, World Bank and United Nations.

Table A1.5: Development Coordination Matrix

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
Agriculture	<p>Development of projects, particularly pilot projects, to demonstrate the utility of comprehensive sectoral reform, and support for rural finance institutions that can potentially mitigate an important bottleneck to sectoral development.</p> <p>One project for \$36 million and 3 TAs since 1997 totaling \$2.475 million. Grain Productivity Improvement Project programmed for 2002 approval.</p>	<p>World Bank (WB): Strategy is to support (i) privatization of state-owned farms, including improving managerial capacity, providing agricultural support services and equipment, off-farm employment creation and rural credit; and (ii) improving water resources management. Two projects are under implementation: Cotton Subsector Improvement Project (\$66M) and Rural Enterprise Support Project (\$36M).</p> <p>EU Tacis: Technical assistance (TA) grants provided for the following small-scale pilot projects: (i) sectoral support to private farmers, (ii) a pilot integrated development project, (iii) training and education for farmers and allied personnel, (iv) food and agriculture policy unit, (v) water resources management and agricultural production, (vi) on-farm pilot irrigation management, (vii) land registration, and (viii) crop forecasting by remote sensing.</p> <p>EBRD: credit lines for development of agricultural processing enterprises.</p> <p>Government of the People's Republic of China: Soft loan for production of mini-tractors (\$12M)</p> <p>Government of Japan: Grant Assistance for procurement of agricultural machinery (\$4M).</p>
Energy	<p>Support regional energy projects that will encourage energy trade and encourage countries to participate in cross-border investments and reduce noneconomic barriers to increased trade.</p> <p>Two regional energy projects programmed for 2003, and one each in 2004, 2005. Regional workshops have been ongoing to facilitate regional dialogue among countries.</p>	<p>EBRD made a \$90 million loan to Uzbneftegaz (the National Corporation for Oil and Gas Industry of Uzbekistan) to upgrade the Fergana Refinery. This will allow the refinery to process domestic crude oil with a high sulphur content as well as to improve its safety system and reduce environmental pollution;</p> <p>EBRD approved €17 million in 2001 for the reconstruction of the Andijan district heating network. The project will consist of the reconstruction of a portion of Andijan district heating network and will involve the creation of a new district heating company;</p> <p>Modernization of generating facilities in Syrdarinskaya Power plant (\$31 million);</p> <p>TACIS: provision of TA projects to reduce energy consumption, conservation and efficiency.</p> <p>EXIMBANK of Japan provided co-financing equivalent to about US\$ 89 million.</p>
Transport	<p>Contribute to the development of regional transport corridors and easing of unnecessary barriers to trade. Strengthen transport institutional capacity and proactive support of sector reforms.</p> <p>Two railway projects and one road project for a total of \$190 million. Seven TAs since 1996 for a total of \$4.25 million. One railway project programmed for 2004.</p>	<p>EBRD: In December 1999 EBRD signed a loan of \$40 million under sovereign guarantee as part of a \$49 million project to support modernization and restructuring of the railways sector in Uzbekistan. The proceeds of the EBRD loan will be used to purchase new electronic locomotives to modernize the freight locomotive fleet. In addition, EBRD provided TA (\$640,000) to implement its loan project and prepare a locomotive fleet management plan. In November 2001 EBRD approved a further €77 million to continue the upgrades. The loan is also aimed at supporting reforms and modernization in the railway sector.</p> <p>JBIC: Provided ¥6.1 billion (\$56 million equivalent) for the construction of a passenger coach repair workshop and procurement of new passenger coaches. JBIC is processing a second project that envisages construction of an electric locomotive workshop, modernization of freight wagon repair workshop, and construction of a new plant for tank wagons.</p>

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
		<p>European Union: Helping to develop the TACIS-TRACECA route covering railway, road, and telecommunications network. The TACIS-TRACECA TAs have included traffic forecasting studies; prefeasibility and feasibility studies of road, rail and port projects; road maintenance and pavement management system, railway maintenance, operations and restructuring studies; and trade and transit facilitation studies and initiatives.</p> <p>KfW: Is being pursued by the Government to prepare and finance railway electrification project</p> <p>WB has approved \$29 million for Urban Transport Project to purchase buses for public transportation system in number of cities</p>
<p>Information and Communications Technology (ICT)</p>	<p>ICT applications cut across various sectors where ADB operations are active, e.g., distance education and computer classes in schools, and railway signal systems and management applications.</p>	<p>EU-TACIS: Project on modernization of telecommunications sector</p> <p>UNDP: The implementation of the preparatory stage of the project: "Human resource development for change", Capacity Building for Internet Technologies Development and Promotion in UZB</p> <p>JBIC: Development of Telecommunication Network in UZB (Phase II)</p> <p>EDCF: Development and modernization of telecommunication network in Andijan and Fergana regions.</p> <p>KfW: Procurement of equipment for monitoring of radio-frequency spectrum</p> <p>USTDA: Assistance in the development and implementation of digital communication network</p> <p>OSIAF: Internet Program – assistance in providing the population with access to modern computer technologies</p> <p>EBRD: Pre-privatization financing for UzbekTelecom, in close collaboration with the World Bank, IFC, and ADB.</p>
<p>Water Resources</p>	<p>Minimize the social costs of transition by focusing on, among others, the fiscal sustainability of municipal services and social infrastructure. In addition, in the rural setting, address the basic needs (e.g. potable water) of the rural population, particularly the poor, and improve agricultural productivity while improving water conservation and management.</p> <p>Two potable water supply projects (one urban, one rural) for a total of \$72 million. Three TAs for a total of \$1.47 million. One water resources management project each in 2003 and 2005, and one regional river basin project in 2005.</p>	<p>WB: Aral Sea-GEF-Water and Environmental Management Project (\$15M) is under implementation. The first phase of Karshi Pumping Cascade Rehabilitation Project is currently under implementation, total project cost - \$120M.</p> <p>USTDA: Financed the Feasibility Study for Amu-Bukhara Canal System Rehabilitation.</p> <p>USAID: Natural Resources Management Program is under implementation. It includes water resource management, water conservation, upstream snowmelt forecasting, water quality monitoring, irrigation system automation, small river basin water management, capacity building of water supply agencies, etc.</p> <p>EU Tacis: Pilot On-Farm Water Management Project (Phase I) in Gafur Guliam District.</p> <p>EU Tacis: Pilot On-Farm Water Management Project (Phase II) in Ak Altin District.</p> <p>French Government: Through BRL Company Hungry Steppe Main Canal Automation Project completed recently.</p>
<p>Rural Water Supply and Sanitation</p>	<p>Address the potable water supply and sanitation needs of the rural population,</p>	<p>WB: Currently under implementation is the Water Supply, Health, and Sanitation Project covering the Republic of Karakalpakstan and Khorezm Province (two</p>

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	<p>particularly the poor; mitigate the ongoing effects of drought in affected parts of the country; and strengthen institutional capacity for potable water resource management to ensure sustainable rural water supply.</p> <p>One project to improve water supply and sanitation in the drought-affected region of Khorezm and the autonomous republic of Karakalpakstan (\$36 million). An ongoing project for innovative poverty reduction in Karakalpakstan with grant funding (\$2.54 million) from the Japan Fund for Poverty Reduction (JFPR) includes a potable water supply component.</p>	<p>phases, total amount \$75 million). Procurement of irrigation and construction machinery, equipment for pumping stations, \$6.88 million</p> <p>KfW: Rural Water Supply Project (\$10 million) in Karakalpakstan and Khorezm Drinking Water Supply (\$9 million) in Khorezm Province.</p> <p>UNDP: Emergency Actions to Mitigate the Consequences of the Drought in Karakalpakstan (Phase I), for \$150,000, Capacity building of the Government agencies in coordinating multidisciplinary drought relief and mitigation actions; procurement and installation of 300 water handpumps.</p> <p>UNDP: Emergency Actions to Mitigate the Consequences of the Drought in Karakalpakstan (Phase II), for \$200,000, Deployment of an international drought prevention and mitigation advisor, development of a national drought preparedness and mitigation strategy, and labor intensive public works program.</p> <p>USAID: Well drilling and 35 handpumps installed in several villages in Muynak district. \$25,000 grant given to the US NGO - Joint Development Associates, Inc.</p> <p>IFRC - Supply of 30 water purification filters for 30 medical aid points, for \$600,000</p> <p>Government of France: Potable Water Supply Project (\$6 million) under implementation.</p> <p>Government of Japan - Procurement of 90 submersible pumps for water wells for Karakalpakstan, for \$39,900.</p> <p>Government of the Republic of Korea - Procurement of 25 tanks for water storage and transportation, for \$20,000</p>
Urban/municipal Services	<p>Address governance and decentralization issues particularly for the sustainable provision and management of communal services; address environmental and conservation issues relating to this sector.</p> <p>One urban water supply and sanitation project covering communal services for 3 cities (\$36 million). One regional project for modernizing power transmission being processed for 2002 approval and 3 planned regional projects (one each for 2003, 2004, and 2005) to improve power and gas transmission would include communal services components.</p>	<p>EBRD: Currently under implementation is the first Andijan District Heating Rehabilitation Project (\$15 million), and another Tashkent District Heating Rehabilitation Project is proposed based on successful progress in implementation of the first project. Besides, Tashkent Solid Waste Management Project (\$19 million) is being implemented.</p> <p>WB: Tashkent Solid Waste Management Project (\$24 million) and Urban Passenger Transport Development Project are under implementation. Bukhara and Samarkand Water Supply and Sanitation Project (\$40 million) recently approved.</p> <p>KFAED: Nukus and Urgench Water Supply Improvement Project (\$19.5 million)</p> <p>KfW: Khorezm Drinking Water Supply Project (\$9 million).</p> <p>Government of Switzerland: Nukus Sewerage System Rehabilitation Project is under implementation.</p> <p>EU Tacis: Pilot Small Scale District Heating Project in Tashkent.</p>
Education	<p>Support efforts to improve the relevance and quality of education and enhance national capacities to plan, design, manage, and monitor sector reforms. Target primarily compulsory education (grades 1-12), along with support for</p>	<p>EU-TACIS: Assistance to the ministries of higher and public education in the Restructuring of Education System covers: education planning, financing and management systems; inter-ministry coordination regarding policies and horizontal issues (1998-2000); Strengthening of Management Training in Fergana & Samarkand (2 projects) - Establishment of new business schools at the State University of Fergana and in 3 institutions in Samarkand (1996-1999); Accountancy</p>

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	<p>early childhood development (ECD). ADB assistance for education also has an antipoverty focus.</p> <p>ADB has been the lead international funding agency in the sector since the start of ADB operations in the country. Two ongoing projects (for 3 loans totaling \$97 million) for basic education textbook development and senior secondary education. Eight TAs have been approved for a total of \$4 million to prepare both projects, to carry out reviews of and capacity building in education finance, basic education, and senior secondary education, and to strengthen institutional capacity to monitor the implementation of education reforms. One regional TA (\$750,000) to facilitate exchange of experiences among the Central Asian republics (CARs) in managing the implementation of education reforms. An education sector development program is being processed to support comprehensive reforms in compulsory education (\$107.5 million). A textbook sector development program is planned for 2004 and one in 2005 to continue support for comprehensive overall sector reforms. A woman and child health development project programmed for 2003 approval would include an ECD component.</p>	<p>Training: Uzbekistan - Specialized training in accounting systems and related skills (1997-2000); Training & Education of Farmers - Establishment of a comprehensive education system targeting farmers in the private sector, facilitating intersectoral mobility (1996-2001)</p> <p>GTZ: Support to Development of Vocational Education and Training covers Reforms in vocational education, curriculum development and implementation for (i) management, (ii) tourism and services, and (iii) agriculture, and introduction of dual training system (1999-2001); Vocational Training Assistance - Provision of consultant services in developing professional (technical/vocational) education (1996-2000)</p> <p>JICA: Economic and Language Education - Invitation of lecturers on Japanese and Economics, training in Japan, and provision of equipment to the Academy of State and Social Construction (1997-1999)</p> <p>USAID: Programs in "Democratic Reform: Civic Education" & "Global Training for Development" - Survey analysis and training for civic leaders and NGOs active in national reform; fellowships to private citizens and civil servants for courses (in the US and CARs) on globalization (1997-2000)</p> <p>World Concern: World Concern Humanitarian Aid - Donation of used computers and children's educational materials (in addition to health supplies and clothing) to hospitals, clinics, schools, and some Government ministries (1995-2000)</p> <p>KfW: Specialists Qualification Development Fund - Support/financing of specialist development, and financing of analytical/scientific projects in relevant disciplines (1998-2001)</p>
Health	<p>Direct support has not been provided by ADB in the past due to a delineation of responsibilities between ADB and the World Bank wherein ADB took the lead agency role for education and the World Bank for health. However, the Government has requested ADB's assistance specifically for woman and child health development. Such support would be consistent with ADB's strategy for human development. Close</p>	<p>WHO/EURO: Reform in public health financing; mother and child health, vaccination and immunization; development of nursing; campaigns against drug addiction, alcohol, and tobacco; emergency and humanitarian action.</p> <p>WB: Provided substantial support for health sector restructuring.</p> <p>Gov of Japan: Upgrading the emergency medical system, improving health care in drought affected areas in UZB, provision of vaccines for children</p> <p>Gov. of Spain: Technical equipping of the Republican Center for emergency medical aid</p> <p>Gov. of Switzerland: Hepatitis Prevention and HIV Diagnosis, Legal Aid Society.</p> <p>JICA: Grant assistance includes (i) study on the restructuring of health and medical system in Republic of Uzbekistan, (ii) baseline survey, (iii) formulation of the basic</p>

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	<p>consultation with the World Bank and other funding agencies will be required.</p> <p>Two health development projects are programmed for 2003 and 2005.</p>	<p>strategies and master plan.</p> <p>UNICEF: The Maternal and Child Health Program, and the Child and Maternal Nutrition Program. The 1995-1999 Maternal and Child Health Program had three major projects: Expanded Program on Immunization (EPI), Control of Diarrhea Diseases (CDD), and Control of Acute Respiratory Infections (ARI). There were three projects under the Child and Maternal Nutrition Program: breastfeeding (BF) promotion, elimination of iodine deficiency disorders (IDD), and control and prevention of iron-deficiency anemia (APC). The 2000-2004 program will build on the achievements and lessons in the program since 1002, and incorporate the key elements of past projects</p> <p>UNDP/UNAIDS/UNODCCP: needs assessment on drug abuse in CARs, HIV/AIDS and STD in UZB-Drug Demand Reduction among injecting drug users, Promotion of multisectoral effective response to HIV/AIDS, Drug abuse and STD in UZB.</p> <p>UNFPA: Projects in Reproductive Health services network, Increasing Political and Community Support for Health Reforms, Reproductive and Gender Issues</p> <p>EU-TACIS: Preventive Health Care Policy</p> <p>GTZ: Family Planning, Motherhood and Childhood protection</p> <p>KfW: The Projects on Reproductive Health and Suppression of Tuberculosis (2nd tranche) in 2001</p> <p>KOICA: Dispatch of Korean medical personnel and experts, assistance to NGOs, provision of equipment</p> <p>NOVIB: Sustainable Health and Development in Aral Sea, 3-year program on sustainable healthcare in Aral region.</p>
Social Protection	<p>Support programs to mitigate the impact of transition on the general population and to increase the efficiency of resource utilization in the social sectors and social protection.</p> <p>Ongoing ADB projects have focused attention on education and human development, urban communal services, and rural water supply. In addition, SME development projects contribute to this through the improvement of employment opportunities. Specific interventions planned for 2003-2005 include the development of a social fund and an employment fund, nonstate social security development, and a JFPR project to provide livelihood opportunities for the retrenched poor.</p>	<p>WB: Provided extensive support on the reform of Uzbekistan's social protection system. One grant on the "Strengthening of the State Pension Fund" has been completed last year.</p>

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
<p>Private Sector Development</p>	<p>Support private sector operations with no government guarantees, develop programs in those sectors that will have a direct impact on reducing the costs of transition, particularly in social sectors, and seek the maximum involvement of other funding agencies. Government specifically requested ADB support for facilitating SME development particularly in rural areas, and developing microcredit institutions including, on a pilot basis, credit unions.</p> <p>Two ongoing SME development projects (totaling \$100 million), one basic education textbook development project provided a credit line of \$20 million to improve the capacity of local private publishers and printers. A microfinance development project is being processed for 2002 approval. Planned interventions for 2003-2005 include a corporate governance and enterprise reform program loan, 2 additional SME credit lines, and TAs for trade policy and review, development of capital market, and strengthening of the Business Women's Association.</p>	<p>EBRD: Two credit lines totaling \$180 million were provided to Uzbekistan to finance the foreign exchange costs of private sector SME projects</p> <p>International Finance Corporation (IFC): Approved a credit line of \$30 million in 1999 without sovereign guarantee.</p> <p>KfW of Germany provided three loans: DM5 million in 1997, DM10 million in 1999, and €15 million in 1999 to finance SME projects. All of them had sovereign guarantee.</p> <p>EBRD's, IFC's, and KfW's loans did not include conditions relating to industrial of financial sector policies.</p> <p>USAID established the Central Asian-American Enterprise Fund (CAAEF). This is a venture capital fund that finances SMEs throughout Central Asia, both through loans and equity. CAAEF's total loans to and equity investments outstanding in SMEs in Uzbekistan at the end of 1999 were \$5 million and \$25 million, respectively.</p> <p>WB: Two loans to this sector have totaled \$49 million. This comprised (i) Institutional building TA Loan for \$21 million was approved in 1994 and (ii) Enterprise Institution Building Loan for \$28 million was approved in 1998.</p> <p>TACIS provided grants of €1.52 million in 1992 and €0.35 million in 1994 to establish Business Communication Center. A grant was also provided in 1997 for €0.9 million and €3.85 million in 1999 to establish the Market Skills Development Center. These grants financed (i) training trainers for capacity building in management and market economy principles and issues; (ii) training managers and entrepreneurs of SMEs in new technologies and financial management; and (iii) disseminating information through the mass media about the market economy's development in the country. Finally Tacis approved in 1999 a €1.5 million TA grant to Chamber of Commodity Producers and Entrepreneurs, Post Privatization Assistance to Enterprises in (i) restructuring selected enterprises; (ii) developing improved methods in the area of cost control, accounting, and organizational structure; and (iii) creating finished products, quality improvements, and sales.</p> <p>UNDP provided a TA for \$500,000 in 1993, which assisted in the policy, legal, and institutional framework for establishing the Foreign Investment Agency to regulate foreign investments</p> <p>Gesellschaft fur Technische Zusammenarbeit (GTZ) provided a grant of DM5 million in 1993 to establish the SME Development Center in Uzbekistan to train entrepreneurs in SME organization, information, and consultancy services. In 1997, GTZ provided a second grant for DM2 million to support export enterprises development</p>
<p>Finance and Governance</p>	<p>Support strengthening of the banking sector and banking skills, improving the supervisory capacity of regulatory authorities, deregulation toward market-based finance and governance, and improving the depth and efficiency of the treasury bill market as a monetary policy instrument; support for overall reforms to</p>	<p>WB: The Enterprise Institution Building Bureau, Financial Institution Building, Development of Institutional Capacity in MOF in the area of antimonopoly and competition policies.</p> <p>WB: Strengthening the banking sector through the provision of the Financial Institution Building Loan (\$25 million)</p> <p>IFC: Establishment of National System on accounting of plastic cards circulation; training of banking professionals in various Ukrainian companies</p> <p>Eurasia Foundation: Assistance to the project on the development of capital</p>

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
	<p>create a stronger financial sector.</p> <p>To date, 6 TAs for a total of \$4.16 million have been approved for strengthening the overall banking sector and the National Bank of Uzbekistan in particular, developing commercial banking skills, developing the insurance industry, reforming pension, and preparing a corporate governance reform program. Planned activities for 2003-2005 include a program loan for corporate governance and enterprise reform and for TAs for trade policy and review, development of capital market, and capacity building for formulation of structural reforms.</p>	<p>markets</p> <p>EBRD: Development of accounting system</p> <p>EBRD: (see above under "private sector development")</p> <p>EU-TACIS: Program for policy issues: Economic trends in UZB, Improving state administrative system and state service reformation, Post-Privatization Assistance to Enterprises</p> <p>UNDP: Sustainable Income Generation, Capacity Building for Sustainable Development, National Junior Professional System, Development of Business Incubators Network, Micro Credit in Karakalpakstan</p> <p>GTZ: Establishment of Study Bank</p> <p>OSIAF: Local Governance Program - Support to Community forums in mahallas, establishment of information resource centers</p>
Poverty Reduction	<p>Creation of income opportunities and sustaining human development and social protection, especially in rural areas by (i) developing the rural economy through pilot projects in agriculture and related industries and rural finance; (ii) encouraging regional cooperation through transport and energy investments that would improve prospects for economic growth particularly in border areas; and (iii) minimizing the social costs of transition by focusing on education, the fiscal sustainability of municipal services and social infrastructure, and early child development.</p> <p>The entire ADB portfolio is consistent with the foregoing strategy with 2 projects in education, 2 in SME development, 2 in water supply, 1 in agriculture, and 3 in transport. In particular, a grant for innovative poverty reduction in Karakalpakstan (\$2.54 million) is ongoing.</p>	<p>UNDP: Collaborated with ADB in providing TA complementing the activities supported by ADB, including the role of local governments and NGOs in poverty reduction.</p> <p>UNDP: Under implementation is a microfinance project in the Republic of Karakalpakstan (\$260,000). A new Sustainable Income Generation Program is under preparation. Implemented the following projects on: NGO Capacity building for provision of access to Justice by the poor / June 2001- December 2003, \$138,000 grant; Sustainable income generation project. January 2001 – December 2001, \$258,000 grant.</p> <p>WB: Preparing an update in 2002 of the 1996 living standards measurement survey (LSMS) with quarterly data collected by the National Statistics Agency.</p> <p>WB: Living Standards Assessment Project is under implementation.</p> <p>UK Government: Financed by DFID and implemented through MercyCorp NGO are two microfinance projects in Namangan and Samarkand provinces.</p> <p>Eurasia Foundation: Implementing the project on assistance in training of government officers, staff of commercial banks, and nonbank financial institutions, managers, entrepreneurs on microcrediting, March 2001- February 2002, \$22,000 grant.</p> <p>JDA: Implementing the Muynak (Karakalpakstan) microcredit fund project. January 2001- December 2002, \$55,000 grant.</p> <p>Joint Development Associates (JDA) of USA funded one microfinance project, and implemented the Emergency Water Well Drilling Program in Karakalpakstan.</p> <p>NOVIB (Netherlands Organization for international Development Cooperation): Implementing the project on NGO capacity building for provision access to justices by the poor / March 2000-February 2004, \$190,000 grant.</p> <p>Save the Children Fund (UK): Implementing the project on legal assistance to vulnerable group of population with particular focus on children, July 2000-March 2002, \$5,000 grant.</p>

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
<p>Gender and Development</p>	<p>Address gender biases in schooling and skills development, and family concerns in ECD; assist in capacity building of women's associations.</p> <p>Crosscutting gender concerns are incorporated into ADB's 2 ongoing education projects and rural water supply project, as well as a microfinance development project being processed for 2002 approval. A national gender consultant has been working in ADB's Uzbekistan Resident Mission since October 2000 under a regional TA for gender development to assist in capacity building of business women's associations in Tashkent and other regions, and to ensure that gender concerns are addressed during the planning and design of ADB projects. Planned activities for 2003-2005 that would have significant gender development components include a women and child health project, two education and one health development projects, a river basin rehabilitation project, and a TA for strengthening of the Business Women's Association.</p>	<p>World Concern: Community development – vocational training Center for vulnerable families, January 2001 – December 2001, \$145,000 grant.</p> <p>DFID (Department for International Development/ Great Britain): Implementing the project on "Fergana valley Women's Microcredit Project / January 2001 - June, 2002". Grant - \$290,000</p> <p>UNDP: Providing support in the form of follow-up activities to the World Conference on Human Rights, the World Summit for Social Development, and the Fourth World Conference on Women. UNDP Uzbekistan Country Office is also taking a role as development partner of the Government in ensuring that gender concerns are duly addressed in the regulatory and legal frameworks; and placing special emphasis on promoting gender equity in pilot grassroots approaches.</p> <p>UNFPA: Implemented the project on Reforms, Reproductive Health, Reproductive and Gender Issues, January 2001 – December 2001, \$30,000 grant.</p> <p>Mercy Corps: Implementing the following projects on: Women's Loan Fund, January 2001 – December 2002, \$5,000 grant. Women's Microlending Program in Fergana Valley, June 2001 –September 2002, \$280,000 grant. Women's Microlending Program in Fergana City, August 2001 –July 2002, \$10,000 grant.</p> <p>NOVIB (Netherlands Organization for international Development Cooperation): Implementing the project on social, legal and economical support of women forms low-incomes, May 2001 –April 2004, \$270,000 grant.</p> <p>OSIAF "SOROS": Provides assistance in the development of women NGOs to address family issues, business, education, and promotion of women rights. The project started on January 2001. Phase II is ongoing, \$416,000 grant.</p> <p>Winrock International (USAID): Implementing the project on "Women Integrated Legal Literacy (WILL); started in 1992, phase II is ongoing.</p>
<p>Environment</p>	<p>Support for reducing air and water pollution, encouraging water conservation, protecting soil resources, and promoting solid waste management.</p> <p>Crosscutting environmental concerns are incorporated into ADB's 2 ongoing water supply projects and one agricultural project. One TA (\$675,000) was approved for strengthening of institutions engaged in environmental protection. Planned activities for 2003-2005 that</p>	<p>WB: Aral Sea-GEF-Water and Environmental Management Project (\$1M).</p> <p>UNDP: Environmental Programme (\$600,000); establishment of the Nuratau-Kyzylkum Biosphere Reserve as a model for biodiversity (\$875,000)</p> <p>UNEP: Assistance to the Projects Implemented within the National Program on Elimination of Ozone Destruction Materials in Production (\$180,000).</p>

Sector/Thematic/Area	ADB Strategy/Activities	Other Development Partners' Strategy/Activities
	<p>would have significant environment components include two water resource management projects, a river basin rehabilitation project, an energy development project, three gas and power transmission projects, and a TA for land management in urban areas.</p>	

DFID = Department for International Development, EBRD = European Bank for Reconstruction and Development, EU TACIS = European Union Technical Assistance to the Commonwealth of Independent States, EXIMBANK = Export-Import Bank, GTZ = German Agency for Technical Cooperation, ICT = information and communication technology, IFC = International Finance Corporation, IFRC = International Federation of Red Cross and Red Crescent Societies, KfW = Kreditanstalt für Wiederaufbau, KFAED = Kuwait Fund for Arab Economic Development, JBIC = Japan Bank for International Cooperation, JDA = Joint Development Associates, JICA = Japan International Cooperation Agency, NOVIB = Netherlands Organization for International Development Cooperation, OSIAF = Open Society Institute Assistance Foundation, TA = technical assistance, UNAIDS = Joint United Nations Programme on HIV/AIDS, UNDP = United Nations Development Programme, UNEP = United Nations Environment Programme, UNICEF = United Nations Children's Fund, UNODCCP = United Nations Office for Drug Control and Crime Prevention, UNFPA = United Nations Population Fund, KOICA = Korean International Cooperation Agency, USAID = United States Agency for International Development, USTDA = U.S. Trade and Development Agency, WB = World Bank; WHO = World Health Organization

Table A1.6: Portfolio Indicators—Portfolio Amounts and Ratings
(public sector loans, as of 31 December 2001)

Sector	Net Loan Amount		Rating ^a													
			Total		Highly Satisfactory		Satisfactory		Partly Satisfactory		Unsatisfactory		Potential Problem ^b		At Risk ^c	
	\$ million	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	(%)
Agriculture and Natural Resources	86.000	18.81	2	20.0	-	-	2	100	-	-	-	-	-	-	-	-
Energy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Finance	50.000	10.93	1	10.0	-	-	1	100	-	-	-	-	-	-	-	-
Industry and Nonfuel Minerals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Multisector	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Social Infrastructure	131.309	28.71	4	40.0	-	-	4	100	-	-	-	-	-	-	-	-
Transport and Communications	190.000	41.55	3	30.0	-	-	2	66.6	-	-	1	33.3	-	-	1	33.3
Total	457.309	100.00	10	100.0	-	-	9	90	-	-	1	10.0	-	-	1	10.0

No. = number

^a One rating for implementation progress and development objectives, based on the lower rating of either.

^b Potential problem loans are satisfactory loans but have four or more risk factors associated with partly satisfactory or unsatisfactory performance.

^c A loan is "at risk" if it is rated as partly satisfactory, as unsatisfactory, or as a potential problem.

Source(s): Asian Development Bank estimates.

Table A1.7: Portfolio Indicators—Disbursements and Net Transfers of Resources
(public sector loans, as of 31 December 2001)

Disbursements and Transfers	OCR	ADF	Total
Disbursements^a			
Total Funds Available for Withdrawal (\$ million)	316.8	18.3	335.1
Disbursed Amount (\$ million, cumulative)	112.8	6.2	119.0
Percentage Disbursed (disbursed amount/total available)	35.6	33.9	35.5
Disbursements (\$ million, latest year)	35.7	4.5	40.2
Disbursement Ratio (%) ^b	12.3	26.0	13.1
Net Transfer of Resources (\$ million)^c			
1997	-	-	-
1998	1.4	0.5	1.8
1999	15.6	0.1	15.7
2000	55.4	1.2	56.6
2001	26.0	4.4	30.5

ADF = Asian Development Fund, OCR = ordinary capital resources.

^a Includes all loans with disbursements during 2001.

^b Ratio of disbursements during the year over the undisbursed net loan balance at the beginning of the year less cancellations during the year. Effective loans during the year have also been added to the beginning balance of undisbursed loans.

^c Includes private sector projects.

Source: Asian Development Bank estimates and Loan Financial Information Services Webpage.

Table A1.8: Portfolio Implementation Status
(public sector loans, as of 31 December 2001)

No.	Sector	Loan No.	Seg	Title	Net Loan Amount		Approval Date	Effective Date	Closing Date		Progress (% complete)
					OCR (\$ million)	ADF (\$ million)			Original (dd/mm/yy)	Revised (dd/mm/yy)	
1	AGR	1504		Rural Enterprise Development Project (sector reflected in the PPR is Agriculture)	50	-	17 Dec 96	13 Jun 97	13 Jun 02	-	-
2	AGR	1833		Ak Altin Agricultural Development	36	-	23 Aug 01	-	31 Mar 07	-	0%
3	FIN	1799		SME Development	50	-	11 Dec 00	18 Oct 01	18 Oct 06	-	-
4	SOC	1594		Basic Education Textbook Development Project	20	-	17 Dec 97	14 Aug 98	30 Jun 03	-	-
5	SOC	1595 (SF)		Basic Education Textbook Development Project	-	18.309	17 Dec 97	14 Aug 98	30 Jun 03	-	40%
6	SOC	1737		Senior Secondary Education Project	57	-	8 Feb 00	01 Feb 01	30 Jun 05	-	5%
7	SOC	1842		Urban Water Supply	36	-	27 Sep 01	-	30 Jun 07	-	-
8	T&C	1631		Railway Rehabilitation	70	-	15 Sep 98	23 Feb 99	31 Dec 03	-	51%
9	T&C	1657		Road Rehabilitation	50	-	15 Dec 98	21 Apr 99	30 Jun 03	-	0%
10	T&C	1773		Railway Modernization	70	-	31 Oct 00	21 Sep 01	31 Dec 05	-	0%
Total					439	18.309					

ADF = Asian Development Fund, AGR = agriculture and natural resources, ENE = energy, FIN = finance, IND = industry and nonfuel minerals, MUL = multisector, no. = number, OCR = ordinary capital resources, OTH = other, Seg = segment (pertaining to loans with more than one withdrawal authority), SOC = social infrastructure, T&C = transport and communications.

No.	Sector	Loan No.	Seg	Title	Cumulative Contracts/ Commitments (\$ million)	Cumulative Disbursements (\$ million)	Rating		Potential Problem ^a	At Risk ^b
							IP	DO		
1	AGR	1504		Rural Enterprise Development Project (sector reflected in the PPR is Agriculture)	50.000	44.259	S	S	No	No
2	AGR	1833		Ak Altin Agricultural Development	0.000	0.000	S	S	No	No
3	FIN	1799		SME Development	0.862	0.500	S	S	No	No
4	SOC	1594		Basic Education Textbook Development Project	18.938	15.288	S	S	No	No
5	SOC	1595 (SF)		Basic Education Textbook Development Project	7.807	6.205	S	S	No	No
6	SOC	1737		Senior Secondary Education Project	5.635	1.517	S	S	No	No
7	SOC	1842		Urban Water Supply	0.000	0.000	S	S	No	No
8	T&C	1631		Railway Rehabilitation	58.368	50.073	S	S	No	No
9	T&C	1657		Road Rehabilitation	0.000	0.424	PS	U	Yes	Yes
10	T&C	1773		Railway Modernization	0.000	0.700	S	S	No	No
Total					141.610	118.966				

DO = development objectives, HS = highly satisfactory, IP = implementation progress, PS = partly satisfactory, S = satisfactory, U = unsatisfactory.

^a "Yes" for loans with four or more risk factors associated with partly satisfactory or unsatisfactory performance.

^b A loan is considered "at risk" if it is rated as "PS" or "U" in either implementation progress or development objectives, or if it is a potential problem loan.

Table A1.9: Assistance Pipeline for Lending Products, 2003 – 2005

Sector Project/Program Name	Poverty Classifi- cation	Thematic Priority	Division	Year of Project Preparatory Assistance	Total	Cost (\$ million)				Cofi- Nancing ^b
						ADB			Gov't ^a	
						OCR	ADF	Total		
2003 Firm Loans										
Agriculture and Natural Resources										
Amu Zang Water Resources Management Project	PI	ECO/ ENV/GG	ECAE	2002	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					85.0	50.0	0.0	50.0	35.0	0.0
Social Infrastructure										
Woman and Child Health Development	PI	HD/GD/ PSD	ECSS	2002	67.0	40.0	0.0	40.0	27.0	0.0
Subtotal					67.0	40.0	0.0	40.0	27.0	0.0
Finance and Industry										
Third SME Development	PI	ECO/HD/ PSD	ECGF	NA	85.0	50.0	0.0	50.0	35.0	0.0
Corporate Governance and Enterprise Reform Program	OTH	ECO/GG	ECGF	2000	Amount subject to further confirmation					
Development of Social Fund and Employment Fund (TA Loan)	PI	ECO/SP	ECGF	NA	16.0	10.0	0.0	10.0	6.0	0.0
Subtotal					101.0	60.0	0.0	60.0	41.0	0.0
Total					253.0	150.0	0.0	150.0	103.0	0.0
2004 Firm Loans										
Social Infrastructure										
Textbook Sector Development Program	PI	HD	ECSS	2002	67.0	40.0	0.0	40.0	27.0	0.0
Subtotal					67.0	40.0	0.0	40.0	27.0	0.0
Transport and Communications										
Third Railway Development	OTH	ECO	ECID	2002	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					85.0	50.0	0.0	50.0	35.0	0.0
Energy										
Small Hydropower Development	OTH	ECO/ ENV	ECID	2003	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					85.0	50.0	0.0	50.0	35.0	0.0
Total					234.0	140.0	0.0	140.0	97.0	0.0

Sector Project/Program Name	Poverty Classifi- cation	Thematic Priority	Division	Year of Project Preparatory Assistance	Total	Cost (\$ million)				
						ADB			Gov't ^a	Cofi- Nancing ^b
						OCR	ADF	Total		
2005 Firm Loans										
Agriculture and Natural Resources										
Second Water Resources Management	PI	ECO/ ENV/GG	ECAE	2004	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					85.0	50.0	0.0	50.0	35.0	0.0
Social Infrastructure										
Second Health Development	PI	HD/GD/ PSD	ECSS	2004	Amount subject to further confirmation					
Education Development	PI	HD/PSD/ SP	ECSS	2004	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					85.0	50.0	0.0	50.0	35.0	0.0
Finance										
Non-State Social Security Development	OTH	SP	ECGF	2004	67.0	40.0	0.0	40.0	27.0	0.0
Fourth SME Development	PI	ECO/HD	ECGF	NA	85.0	50.0	0.0	50.0	35.0	0.0
Subtotal					152.0	90.0	0.0	90.0	62.0	0.0
Total					322.0	190.0	0.0	190.0	132.0	0.0
Regional Cooperation (subject to further confirmation)										
2004										
Infrastructure										
Central Asia Gas Transmission Improvement Project Phase I	OTH	RC/ECO	ECID	2002						
Regional Power Transmission Modernization Phase II	OTH	RC/ECO	ECID	NA						

ADB = Asian Development Bank, ADF = Asian Development Fund, CPI = core poverty intervention, ECAE = Agriculture, Environment, and Natural Resources Division, ECGF = Governance, Finance and Trade Division, ECRD, ECID = Infrastructure Division, ECO = economic growth, ECSS = Social Sectors Division, ENV = environmental protection, GG = good governance, HD = human development, NA = not applicable, OCR = ordinary capital resources, OTH = others, PI = poverty intervention, PPTA = project preparatory technical assistance, RC = regional cooperation, TBD = to be determined.

^a Government share was calculated based on the Bank's Graduation Policy, 1998. Figures were rounded-off.

^b Cofinancing information not available at this time.

Table A1.10: Assistance Pipeline for Nonlending Products and Services, 2003 – 2005

Sector Assistance Name	Responsible Division	Assistance Type	Amount (\$'000)		Total (\$'000)
			ADB	Others	
2003					
Agriculture and Natural Resources					
1. Institutional Development and Policy Reforms in Water Resources Management Sector ^a	ECAE	ID	600.0	0.0	600.0
2. Development of Cadastral Survey and Land Management in Urban Areas – State Committee on Land Resources	ECAE	ID	400.0	0.0	400.0
Subtotal			1,000.0	0.0	1,000.0
Social Infrastructure					
1. Capacity Building for Public Administration Training – Academy for State and Social Construction	ECSS	ID	150.0	0.0	150.0
2. Institutional Strengthening of Business Women's Association	RSPR	ID	150.0	0.0	150.0
3. Textbook Sector Development	ECSS	PP	150.0	0.0	150.0
Subtotal			450.0	0.0	450.0
Governance and Finance					
1. Trade Policy Review and Support	ECGF	ID	150.0	0.0	150.0
2. Institutional Strengthening of the State Antimonopoly Committee	ECGF	ID	600.0	0.0	600.0
3. Development of Capital Market	ECGF	ID	150.0	0.0	600.0
4. Supporting Capacity Building in Economic Policy Analysis and Formulation in Uzbekistan	URM	ID	1,200.0	0.0	600.0
Subtotal			2,100.0	0.0	2,100.0
Infrastructure					
1. Small Hydropower Development	ECID	PP	600.0	0.0	600.0
Subtotal			600.0	0.0	600.0
Others					
1. Provision of Livelihood Opportunities for the Retrenched Poor	ECGF	OTH	0	TBD	TBD
Total			4,150.0	0.0	4,150.0
2003 Standby					
Governance and Finance					
1. Restructuring of Enterprises ^a	ECGF	ID	400.0	0.0	600.0
2004					
Agriculture and Natural Resources					
1. Second Water Resources Management	ECAE	PP	600.0	0.0	600.0
Subtotal			600.0	0.0	600.0
Social Infrastructure					
1. Education Development	ECSS	PP	600.0	0.0	600.0

Sector Assistance Name	Responsible Division	Assistance Type	Amount (\$'000)		Total (\$'000)
			ADB	Others	
2.Second Health Development	ECSS	PP	600.0	0.0	600.0
Subtotal			1,200.0	0.0	1,200.0
Governance and Finance					
1.Non-State Social Security Development	ECGF	PP	600.0	0.0	600.0
2.Restricting of Enterprises ^a	ECGF	ID	400.0	0.0	400.0
Subtotal			1,000.0	0.0	1,000.0
Total			2,800.0	0.0	2,800.0
2005					
To be determined					
Regional Cooperation (Subject to further confirmation)					
2003					
Transport Sector					
1. Kyrgyz Transport Corridor Road Project, Phase II	ECID	PPTA			
Energy/Water Sector					
1. A Study on Amu Darya River Basin Development	ECAE	RETA			
Trade Facilitation Sector					
1. Regional Private Sector Development	ECGF	RETA			
2. Regional Financial Sector Development, Phase I	ECGF	RETA			
Others					
1. Capacity Building in Priority Areas of Regional Cooperation	CARECU	RETA			
2004					
Energy/Water Sector					
1. Participatory Water Management in Central Asia	ECAE	ETSW/ID			
Trade Facilitation Sector					
1. Regional Tourism Development	ECGF	RETA			
2. Trade Facilitation and Customs Cooperation Program, Phase II	ECGF	RETA			
2005					
Trade Facilitation Sector					
1. Regional Financial Sector Development, Phase II	ECGF	RETA			
2. Trade Facilitation and Customs Cooperation Program, Phase III	ECGF	RETA			

ADB = Asian Development Bank, ECAE = Agriculture, Environment, and Natural Resources Division, ECGF = Governance, Finance, and Trade Division, ECID = Infrastructure Division, ECSS = Social Sectors Division, PP = project preparatory.

CONCEPT PAPERS FOR LENDING PRODUCTS

This appendix contains the concept papers for the following lending products:

- (i) Table A2.1: Amu Zang Water Resources Management
- (ii) Table A2.2: Woman and Child Health Development
- (iii) Table A2.3: Third SME Development
- (iv) Table A2.4: Development of Social Fund and Employment Fund
- (v) Table A2.5: Corporate Governance and Enterprise Reform Program
- (vi) Table A2.6: Textbook Sector Development Program
- (vii) Table A2.7: Third Railway Development
- (viii) Table A2.8: Small Hydropower Development
- (ix) Table A2.9: Second Water Resources Management
- (x) Table A2.10: Second Health Development
- (xi) Table A2.11: Education Development Project
- (xii) Table A2.12: Non-State Social Security Development
- (xiii) Table A2.13: Fourth SME Development
- (xiv) Table A2.14: Central Asia Gas Transmission Improvement Project, Phase I
- (xv) Table A2.15: Regional Power Transmission Modernization Project, Phase II

**Table A2.1: Amu Zang Water Resources Management Project
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input checked="" type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input checked="" type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Agriculture and Natural Resources Subsector: Water Management</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Agriculture, Environment, and Natural Resources Division/East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): T. Bayarsaihan</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Agriculture in Uzbekistan is almost totally dependent on irrigation because of low rainfall and a desert environment. Irrigated agriculture practiced on 4.3 million hectares plays an important role in the overall economy, accounting for 35% of GDP, 60% of foreign exchange revenues, and 45% of employment. Major crops are cotton and wheat. Sixty percent of the population live in rural areas and are totally dependent on irrigated agriculture for their livelihood, and in some cases, for domestic and industrial water supplies.</p> <p>Irrigated agriculture in Uzbekistan faces a number of specific problems: (i) irrigation and drainage infrastructure needs rehabilitation and technological improvement, and some key infrastructure requires urgent attention; (ii) increasing shortage of water resources for agricultural and other use, because of increasing water demands in</p>										

the region and inefficient water use; (iii) lack of farmers' incentives to increase farm productivity caused by current policy and management environment in agriculture; and (iv) environmental degradation resulting from poor water management, involving significant waterlogging and salinization of land, which limit crop yields, and lead to pollution of surface water and groundwater resources.

There are three main reasons for the deteriorated condition of infrastructure: (i) a failure to budget for and implement a planned capital replacement program for major items of plant and equipment, now at the end of their design life; (ii) inadequate annual budget provisions for operation and maintenance of hydraulic structures, irrigation and drainage systems; (iii) as originally designed, the physical works and water management systems were to be operated using resources available under the state farm system, but are proving to be inadequate to meet new operating requirements under Shirkat and private farm tenure systems.

The selection of the Amu Zang project is in line with ADB's strategy for Uzbekistan. The Country Operational Strategy (COS) prepared in 2000 emphasizes the importance of interventions in the agriculture and rural development sectors, as most of the poor reside in rural areas. The Country Sector Program Confirmation Mission of ADB in December 2001 included Amu Bukhara and Amu Zang projects as top priority interventions in agriculture sector. The development of such projects is to be supported by the establishment of new institutions – such as WUAs and system management entities – and this Project addresses both of these issues.

b. Goal and purpose: The purpose of the Project is to (i) protect and improve the livelihood of rural communities within the Amu Zang irrigation system through investment interventions to ensure the security of the Amu Zang water supply system from the Amu Darya River; (ii) improve the efficiency of water and energy use in the Amu Zang irrigation system through investments in new technologies and water management practices; (iii) facilitate and accelerate the agriculture and water sector reform process through improved water management interventions that address the specific needs of newly established private farms, support the formation of WUAs, and address constraints that reduce private farm profitability; (iv) improve the environment in the project area and in the Amu Darya River through interventions that reduce water use and drainage access to surface water and groundwater resources, and cause degradation of the land resource; and (v) address critical problems facing the Amu Zang irrigation system, including relevant policy and institutional requirements.

c. Components and outputs: The components of the Project will be defined during the PPTA; however, the following main tasks will be undertaken under the Project: (i) rehabilitate Amu Zang I, Amu Zang II, and Babatag pumping stations to minimize the risk of failure of the water supply infrastructure and provide an acceptable security of water supply from the three major pumping facilities in the Amu Zang irrigation system, (ii) rehabilitate the main canal systems and associated structures to address problems of excessive sedimentation and seepage, and to raise conveyance efficiencies to acceptable levels; (iii) introduce capacity building, institutional strengthening, and improved management arrangements for the rehabilitated water supply system for a more efficient and cost-effective delivery of irrigation water to users; (iv) improve the efficiency of water use within the secondary canal and farm-level parts of the system, by more efficient conveyance and on-farm application systems and better management of the systems by users; and (v) develop organizational arrangements and mechanisms to control water management and ensure that systems are operated and maintained in a sustainable way.

The final output of the Project is to be determined during PPTA.

d. Expected results and deliverables: Project's result will be (i) rehabilitated infrastructure facilities in the Amu Zang Canal System, (ii) improved water management practices within the system and at on-farm level, which will result in reduced water and energy consumption; (iii) improved agricultural productivity, which will increase farmers revenues, and improved soil and water quality that will have a positive impact on the health of the population and on the environment.

e. Social or environmental issues or concerns: Key interventions to address poverty reduction, employment, public health, and environment problems will be determined during the PPTA.

f. Plans for disseminating results/deliverables: All the agencies involved in water resource management and planning at central and local levels, international aid agencies and beneficiaries will be consulted during project implementation to ensure good coordination, cooperation, and compliance with the sector development strategy.

<p>7. Proposed executing/implementing agencies: Ministry of Agriculture and Water Resources</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: This will be determined during the PPTA.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: 2003 Nonlending (project preparatory): 2003 Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: 5 years Nonlending:</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input checked="" type="checkbox"/> Ordinary capital resources: \$50 million <input type="checkbox"/> Asian Development Fund: <input type="checkbox"/> Other:</p> <p>If cofinancing is required, indicate sources, and amount sought: Currently discussions are in progress with the French Government. Exact amount of cofinancing is to be determined during the PPTA. If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: <input checked="" type="checkbox"/> Grant TA funds: \$600,000 (for the attached TA)</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, PPTA = project preparatory technical assistance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.2: Woman and Child Health Development
Concept Paper**

Date: 15 August, 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Social Infrastructure Subsector: Health and Population</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Social Sectors Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): K. Saleh</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Uzbekistan is undergoing an epidemiological transition, facing both communicable and noncommunicable diseases. Most causes of child mortality are avoidable; however, infectious diseases are still prevalent in the country. Almost half of infants die due to acute respiratory infections (ARI). Maternal mortality remains high as a result of inappropriate health care behavior and inadequate access to services. As a result, as many as 43% of births were identified as high risk in 1996. The momentum of the decline in mortality and the gains in life expectancy can only be sustained with additional targeted efforts.</p> <p>Wide geographic and economic disparities exist within the country. Malnutrition among the Uzbek children is high, and above the average for Central Asia. Among Uzbek children, stunting was 31%, and wasting was 12% in 1996. Economic disparities are evident: child malnutrition among the lower income quintile is at least twice that in the upper income quintile. Similarly, anemia prevalence remains high for women and children. Over</p>										

60% of women are anemic. Implementation of appropriate programs focusing on nutrition and health education, anemia screening and prevention (including iron and folate supplements), and provision of other food supplements could easily address these concerns. Currently ADB's regional JFPR Project focusing on improving nutrition for poor mothers and children includes Uzbekistan.

Generally, Uzbekistan has a well-developed and a widely spread health infrastructure. But many facilities provide poor quality and inappropriate services and have inadequate supplies. In addition, with budget constraints currently faced in the health sector, further efforts will be required in improving the efficiency and effectiveness of resource allocation and use, as well as in addressing issues of risk pooling.

In 1996, the Government of Uzbekistan shifted its health care delivery approach to health care of the rural poor. Primary health care (PHC) became central to the development of the health services in Uzbekistan. In 1998, the Government introduced a comprehensive health reform program under Presidential Decree 2107. This mandates the betterment of the health of women and children. The ADB project supports the Government of Uzbekistan's health sector reform program initiative, and addresses health concerns of women and children among the poor and the vulnerable.

b. Goal and purpose: ADB's Project will address the health and nutrition concerns of women and children among the poor and vulnerable populations. The project objectives are to (i) reduce inequity in health care, and (ii) improve efficiency in the delivery of health care. The Project addresses two levels: (i) national level to improve health systems efficiency, and (ii) local/district level to reduce inequity and improve effectiveness in health service delivery.

c. Components and outputs: Indicative project components (subject to refinement during processing) include (i) Community mobilization and participation: With the objective of household behavior modification, the Project will build capacity at the community level. The Project will pilot innovative community-based health delivery models (for greater community involvement in the management and delivery of health care), and recommend effective and sustainable system(s) to the government. In addition, the Project will explore community financing mechanisms.

(ii) Strengthening local/district health service delivery: With the objective of improving quality of health services, the Project will assist in rationalizing PHC services for women and children. The Project will also identify and support cost-effective clinical interventions and strategies; offer training in management and clinical matters; develop protocols and guidelines; refine the health management information systems; and support rationalization of personnel, infrastructure, and medical technology.

(iii) Capacity building and health systems reform: With the objective of improving systems efficiency, the Project will strengthen capacity in the MOH to plan, implement, monitor, and evaluate certain aspects of the health reform activities. In addition, the Project will assist in the development of health management information system, monitoring, supervision, and evaluation systems, and support information technology (IT).

d. Expected results and deliverables: To be defined in detail during TA implementation

e. Social or environmental issues or concerns: Participation of local communities will be crucial in ensuring success of the Project.

f. Plans for disseminating results/deliverables: To be developed during project preparation.

7. Proposed executing/implementing agencies: Ministry of Health of the Republic of Uzbekistan

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The Ministry of Health, Ministry of Public Education, Ministry of Higher and Senior Secondary Education, Ministry of Macroeconomics and Statistics, Ministry of Internal Affairs, Fund "Makhalla", Fund "For the healthy generation", Women' Committees. Provincial and district governments and community organizations. International agencies such as UNFPA, UNICEF, USAID, UNESCO, WHO, IBRD, Project Hope and others.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

- b. Expected date of submission for approval
 Lending: 2003
 Nonlending (project preparatory):
 Nonlending (other than project preparatory):
- c. Period and duration of assistance
 Lending:
 Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$ 40 million
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought: Currently discussions are in process with the French Government. Exact amount of cofinancing is to be determined during the PPTA.

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds:

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, JFPR = Japan Fund for Poverty Reduction, MOH = Ministry of Health, PHC = primary health care, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.3: Third SME Development
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Finance Subsector: Small and Microfinance</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): R. Narasimham</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The Government recognizes the need to develop the SME sector, increase industrial value addition, and promote exports by developing backward and forward linkages and generate additional employment opportunities. Broadening and diversifying the industrial base will help generate foreign exchange earnings through export promotion and savings through import substitution. Industries such as food processing, cotton yarn, textile manufacture, silk production, and electronics are areas whose finished product is much lighter in weight than its raw material inputs. Further, value addition in these industries is generally high. It is therefore economical to locate such industries close to their raw material sources. For its part, the Government has begun addressing some of the SME sector's constraints.</p>										

b. Goal and purpose: The Project's principal goal is to promote balanced and sustainable economic growth and thereby reduce poverty. The Project will (i) revitalize, diversify, and enhance the competitiveness of Uzbekistan's private sector SMEs; (ii) help improve the policy, legal, and regulatory framework for SMEs; (iii) increase value addition through linkages; (iv) generate and sustain additional employment opportunities; and (v) generate foreign exchange through export promotion and import substitution.

c. Components and outputs: The support to SMEs will be provided through a line of credit to identified commercial banks, which will onlend loan proceeds to finance the foreign exchange components of subprojects cost. This will enable SMEs to import capital goods, materials, and technology.

d. Expected results and deliverables: The expected results of the Project are (i) development of institutional capacity in commercial banks, thereby facilitating efficient delivery of credit to SMEs; (ii) increase in domestic investments mobilization and improvement of financial intermediation to promote economic growth and poverty reduction; (iii) modernization of SMEs with imported equipment and technologies allowing them to manufacture export-oriented or import-substituted goods; and (iv) employment generation and poverty reduction.

e. Social or environmental issues or concerns: The Project will have positive social impact due to improved income generation and poverty reduction. The Project will not have any major environmental impact.

f. Plans for disseminating results/deliverables: An additional resource may be allocated in the future if successful project implementation is vividly shown.

7. Proposed executing/implementing agencies: Commercial banks to be determined)

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:
The concept will be developed in close consultations with the Cabinet of ministers, commercial banks.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: Fourth quarter, 2003
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory):
- c. Period and duration of assistance
 - Lending: 4 years
 - Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: \$50 million
 - Asian Development Fund:
 - Other:

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, SME = small and medium enterprise, TA = technical assistance.

**Table A2.4: Development of Social Fund and Employment Fund
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input checked="" type="checkbox"/> Other (TA Loan)</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or sub sector, specify the Sector: Finance Subsector: Governance and finance</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input checked="" type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): R. Subramaniam</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Uzbekistan's social protection system is fairly generous and well-structured. It offers pension and unemployment benefits, besides assistance to low-income families. The Employment Fund also has provisions for worker retraining. While data on wage and pension arrears are not available, there is a monitoring mechanism in place that pursues enterprises in default. The Minister of Labor and Social Protection is a key member of the Commission on Bankruptcy and Sanction, so as to ensure that social concerns in enterprise reforms are effectively addressed.</p> <p>b. Goal and purpose: The Project will enhance the institutional capacity of social and employment funds, design effective social protection measures to deal with unemployment stemming from enterprise restructuring, and will help mitigate the costs of implementing the reforms.</p>										

c. Components and outputs: The Project has both policy reform and institutional capacity components. The policy components will evaluate policy framework and financial sustainability of social and employment funds and recommend reform measures to operational efficiency and financial sustainability. The institutional capacity components will design and implement institutional restructuring plans and offer training programs for staff of social and employment funds.

d. Expected results and deliverables: Social and employment funds will improve their operational efficiency and financial sustainability by introducing market-based management and operational principles.

e. Social or environmental issues or concerns: To mitigate the social costs of implementation of the corporate governance reform, downsizing staff, enterprise restructuring.

f. Plans for disseminating results/deliverables: Workshops, seminars, and policy papers for the government.

7. Proposed executing/implementing agencies: Minister of Labor and Social Protection

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that contribute to the preparation of the Project include the COM, MOF, GKI, and Ministry of Macroeconomics and Statistics, Ministry of Foreign Economic Relations, Ministry of Labor and Social Maintenance.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: 2003
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory):
- c. Period and duration of assistance
 - Lending: not applicable
 - Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: \$10 million
 - Asian Development Fund:
 - Other:

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, MOF = Ministry of Finance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

Table A2.5: Corporate Governance and Enterprise Reform Program**Date: 15 July 2002**

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input checked="" type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other</p> <p><input type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Finance Subsector: Corporate governance</p> <p>b. For project preparatory and lending, classification Core poverty intervention <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): Y. Qian</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The corporate sector of Uzbekistan comprises 110,000 registered enterprises of various types and size and is a major claimant of financial and human resources. About 52,000 state-owned enterprises (SOEs) have so far been privatized through the stock exchange, auctions, or tenders. At present, the State holds shares in over 3,600 enterprises, including 168 strategic enterprises in which it holds more than 25% of the shares. The highly inefficient corporate governance and management structure of enterprises has survived primarily through production, pricing and other types of controls, which have undermined financial and managerial discipline at the enterprise level. A large segment of the industrial sector suffers from low productivity, low profitability, and excess production capacity. Traditionally, SOEs relied on extensive budget support, directed credit from the banking sector, and indirect subsidies through the lower official exchange rates, The Government is now curtailing access to these funding sources to impose hard budget constraints and induce financial discipline.</p>										

b. Goal and purpose: The project will supplement the present initiatives to formulate a program of corporate governance reforms in the enterprise sector by developing the capacities of enterprise managers and directors and the judiciary and regulators. The project will aim at enhancing transparency and disclosure, and safeguarding investors' rights. The project will review the adequacy of the policy, legal, and regulatory framework for corporate governance, and assess the state of corporate governance practices, and capacity building for efficient supervision and regulation of enterprises. The project will help mitigate the costs of implementing the reforms.

c. Components and outputs: The Program will address both external or "upstream" policy and institutional constraints that impede good corporate governance, as well as internal or "downstream" corporate governance weaknesses in the enterprise and financial sectors.

d. Expected results and deliverables: Regarding external or "upstream" policy, legal, and regulatory environment, the Program will address barriers to entry and exit in key economic sectors; legal and operational framework for insolvency; price and output controls; and budget transfers and credit guarantees for enterprises. With regard to the internal or "downstream" constraints, the Program will focus on issues such as the corporatization process, sequencing of the privatization program, foreign and private sector investment policies, roles and functions of the two-board system, minority shareholder rights, and trustee arrangements. As part of the supporting framework, the Program will advocate strengthening financial management of the enterprises sector by encouraging adoption of international accounting standards, and introduce fundamental reforms of the securities market.

e. Social or environmental issues or concerns: To mitigate the social costs of implementing the corporate governance reform, staff downsizing, enterprise restructuring.

f. Plans for disseminating results/deliverables: Conference, workshops, and training seminars

7. Proposed executing/implementing agencies: Ministry of Macroeconomic and Statistics, and GKI.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that contribute to the preparation of the Project include the Office of the President, COM, MOF, GKI, Ministry of Macroeconomics and Statistics, Ministry of Foreign Economic Relations, Customs Committee, CBU, NBU, Securities Committee, as well as Stock Exchange and a number of commercial banks and enterprises (PTAs, JSCs, etc.).

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: 2003 (standby)/ 2004 (firm)
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory):
- c. Period and duration of assistance
 - Lending: To be determined during project preparation
 - Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: Subject to further confirmation
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

b. For nonlending

- No resources required, other than ADB staff
- ADB's administrative budget:
- Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, MOF = Ministry of Finance, NBU = National Bank of Uzbekistan, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.6: Textbook Sector Development Program
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input checked="" type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input checked="" type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Social Infrastructure Subsector: Education</p> <p>b. For project preparatory and lending, classification Core poverty intervention <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Social Sectors Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): M. Cohen</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Improvements in the relevance and the quality of education are critical to Uzbekistan's democratization and transition to a market economy. The Project will support the Government efforts to introduce substantial changes in the textbook development system. The main purpose of these new practices is to enhance competition and transparency throughout the textbook development chain. This is expected to considerably improve the pedagogical quality and substantive contents of textbooks while at the same time maintaining pressure on prices.</p> <p>b. Goal and Purpose: This will be defined in detail during the project preparatory TA (PPTA).</p> <p>c. Components and outputs: This will be developed during the PPTA implementation.</p>										

<p>d. Expected results and deliverables: To be defined in detail during PPTA implementation.</p> <p>e. Social or environmental issues or concerns: To be determined during the PPTA implementation.</p> <p>f. Plans for disseminating results/deliverables: To be developed during project preparation.</p>
<p>7. Proposed executing/implementing agencies: To be determined</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: To be determined</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2004 (firm)</p> <p>b. Expected date of submission for approval Lending: 2004 Nonlending (project preparatory): 2003 Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: This will be defined during project preparation. Nonlending: 1 year</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input checked="" type="checkbox"/> Ordinary capital resources:\$40 million <input type="checkbox"/> Asian Development Fund: <input type="checkbox"/> Other:</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: <input checked="" type="checkbox"/> Grant TA funds: \$150,000</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.7: Third Railway Development
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Infrastructure Subsector: Railway</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Infrastructure Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined.</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: ADB has two ongoing projects with Uzbekistan Railways, which is rehabilitating the main line from Chengeldy to the Khodjadavlet, which passes through Tashkent, Djizzak, Samarkand, and Bukhara.</p> <p>b. Goal and purpose: The goal is to facilitate economic growth in the country by improving the railway network.</p> <p>c. Components and outputs: The Project will further ADB's program of rehabilitating the railway network in Uzbekistan. The consultants under TA 3529-UZB: Furthering Policy Reform in the Railway Sector are preparing a master plan for Uzbekistan Railways. The specific scope of the Project will be determined after the TA consultants have prepared the initial master plan, which will be included in their interim report expected in August 2002.</p>										

<p>d. Expected results and deliverables: To be defined after receipt of the interim report of TA 3529-UZB.</p> <p>e. Social or environmental issues or concerns: The proposed Project will most likely involve rehabilitation of existing railway lines and therefore should have only minimal adverse social or environmental impacts that can be satisfactorily mitigated.</p> <p>f. Plans for disseminating results/deliverables: To be developed during project preparation.</p>
<p>7. Proposed executing/implementing agencies: Uzbek Railway Company (UTY)</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The concept will be developed in close consultations with the Cabinet of Ministers, UTY</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: 2004 Firm Nonlending (project preparatory): Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: To be defined during project preparation Nonlending:</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input checked="" type="checkbox"/> Ordinary capital resources: \$50 million <input type="checkbox"/> Asian Development Fund: \$ <input type="checkbox"/> Other: \$</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: \$ <input type="checkbox"/> Grant TA funds</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.8: Small Hydropower Development
Concept Paper**

Date: 14 August 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Energy Subsector: Gas</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Infrastructure Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): S. O'Sullivan</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The Project will support effective utilization of hydropower potentials and improvement of power supply in rural areas and is consistent with ADB's operational strategy in Uzbekistan of helping the country achieve economic growth in an efficient, equitable, and sustainable manner. ADB will focus on improving the efficiency of power supply, reducing adverse environmental impacts associated with energy production and use, and providing electricity to the poor.</p> <p>b. Goal and purpose: Main purpose of the proposed TA is to examine the feasibility of developing a project on small-scale hydropower development to provide electricity to the poor and reduce environmental pollution created by alternative fuels. The TA will also examine the policy options for improving the market-based policy environment for promotion of small hydropower in rural areas of Uzbekistan.</p>										

c. Components and outputs: A feasibility study to examine a sector project to address electricity supply in rural areas rich in water resources. About 9 small-scale hydropower plants, each with not more than 40 MW installed capacity will be selected, totaling 157 MW. The proposed Project is estimated to cost \$100 million.

d. Expected results and deliverables: A feasibility study report covering technical, institutional, financial, economic, environmental, social, and poverty analysis.

e. Social or environmental issues or concerns: A feasibility study will examine issues related to resettlement, adverse environmental impacts, or any social impacts. Most of the subprojects will be small and no dams or major reservoir construction is expected. Expected environmental and social impacts are positive.

f. Plans for disseminating results/deliverables: Local communities will be consulted at every stage of the feasibility study review.

7. Proposed executing/implementing agencies: Uzbekenergo

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: Environmental improvement, renewable energy development and small-scale energy efficiency promotion activities find difficulties in obtaining financial support due to their scale of operations and diverse location. This feasibility study provides an excellent opportunity to find ways to address this problem.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: 2004
 - Nonlending (project preparatory): 2003
 - Nonlending (other than project preparatory):
- c. Period and duration of assistance
 - Lending: 5 years
 - Nonlending: 2003; one year

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: \$50 million
 - Asian Development Fund:
 - Other:
- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds \$600,000

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, MW = megawatt, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.9: Second Water Resources Management
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Agriculture and Natural Resources Subsector: Water resource management</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Agriculture, Environment, and Natural Resources Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): T. Bayarsaihan</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Agriculture in Uzbekistan is almost totally dependent on irrigation because of low rainfall and a desert environment. Irrigated agriculture practiced on 4.3 million hectares of land plays an important role in the overall economy, accounting for 35% of GDP, 60% of foreign exchange revenues, and 45% of employment. Major crops are cotton and wheat. Sixty percent of the population live in rural areas and are totally dependent on irrigated agriculture for their livelihood, and in some cases, for domestic and industrial water supplies.</p> <p>Irrigated agriculture in Uzbekistan faces a number of specific problems: (i) irrigation and drainage infrastructure needs rehabilitation and technological improvement, and some key infrastructure requires urgent attention; (ii) increasing shortage of water resources for agricultural and other use, because of increasing water demands in</p>										

the region and inefficient water use; (iii) lack of farmers' incentives to increase farm productivity caused by current policy and management environment in agriculture; and (iv) environmental degradation resulting from poor water management, involving significant waterlogging and salinization of land, which limit crop yields, and lead to pollution of surface water and groundwater resources.

Both ADB and the World Bank are preparing major investments to rehabilitate the key irrigation schemes, but investments are limited to only two schemes (Karshi Irrigation System and Amu Zang Irrigation System). The Government accords high priority to rehabilitation of the remaining schemes and/or development of new schemes to support its irrigated agriculture. To help the Government refine its medium-term water sector investment strategy, an ADTA for Supporting Water Resource Management Policy and Capacity Building is being proposed for 2003.

b. Goal and purpose: The proposed loan project reflects the priority and specific policy/ institutional reform thrusts, which have been identified under the 2002 ADTA for Supporting Water Resources Management Policy to ensure sustained and efficient management of water resources in Uzbekistan. Among others, the project is expected to address the need for sustained and efficient supply of irrigation water in a manner that best supports the ongoing agriculture sector reforms; address key environmental issues (such as drainage problems, deteriorating quality of drainage effluent, etc.); and support the Government in further implementing policy/institutional reforms for integrated water resources management at local, national, and subregional levels.

c. Components and outputs: The components of the Project will be defined during the PPTA. The following main tasks will be undertaken under the Project: (i) investment in rehabilitation/development of irrigation infrastructure facilities, (ii) implementation of specific policy/institutional measures to ensure the project's successful and sustained contribution to the intended sector goals, and (iii) implementation of specific subregional policy/ institutional strengthening measures to improve management of shared water in Central Asia.

The final output of the Project is to be determined during PPTA.

d. Expected results and deliverables: Project results will be (i) improved agricultural production, (ii) improved farm income and poverty reduction in the project area, (iii) improved policy coordination and institutional performance in the management of water resources, (iv) improved market-oriented relationship in agriculture, and (v) improved monitoring and management of shared water resources.

e. Social or environmental issues or concerns: Key interventions to address poverty reduction, employment, and public health and environment problems will be determined during the PPTA.

f. Plans for disseminating results/deliverables: All the agencies involved in water resource management and planning at central and local levels, international sources and beneficiaries will be consulted during Project implementation to ensure good coordination, cooperation, and compliance with the sector development strategy.

7. Proposed executing/implementing agencies: Ministry of Agriculture and Water Resources

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:
To be defined during PPTA.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: 2005
 - Nonlending (project preparatory): 2004
 - Nonlending (other than project preparatory):
- c. Period and duration of assistance
 - Lending: 5 years
 - Nonlending: 1 year

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$50 million
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$600,000

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, ADTA = advisory technical assistance, CSP = country strategy and program, PPTA = project preparatory technical assistance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table 2.10: Second Health Development
Concept Paper**

Date: 15 August, 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Social Infrastructure Subsector: Health and population</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Social Sectors Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Uzbekistan is undergoing an epidemiological transition, facing both communicable and noncommunicable diseases. Infectious diseases are still prevalent in the country but most causes of child mortality are avoidable. Almost half of infants die due to acute respiratory infections (ARI). Maternal mortality remains high, as a result of inappropriate health care behavior and inadequate access to services. As a result as many as 43% of births were identified as high risk in 1996. The momentum of the decline in mortality and the gains in life expectancy can only be sustained with additional targeted efforts.</p> <p>Wide geographic and economic disparities still exist within the country. Malnutrition among the Uzbek children is high and above the average for Central Asia. Among Uzbek children, stunting was 31%, and wasting was 12% in 1996. Economic disparities are evident: child malnutrition among the lower income quintile is at least twice that in the upper income quintile. Similarly, anemia prevalence remains high for women and children. Over 60%</p>										

of women are anemic. Implementation of appropriate programs focusing on nutrition and health education, anemia screening and prevention (including iron and folate supplements), and provision of other food supplements could easily address these concerns. Currently, ADB's regional JFPR Project focusing on improving nutrition for poor mothers and children includes Uzbekistan.

Generally, Uzbekistan has a well-developed and a widely spread health infrastructure. But many facilities provide poor quality and inappropriate services and have inadequate supplies. In addition, with budget constraints currently faced in the health sector, further efforts will be required in improving the efficiency and effectiveness of resource allocation and use, as well as in addressing issues of risk pooling.

In 1996, the Government of Uzbekistan shifted its health care delivery approach to health care of the rural poor. Primary health care (PHC) became central to the development of the health services. In 1998, the Government introduced a comprehensive health reform program under Presidential Decree 2107. This mandates the betterment of the health of women and children. The ADB project supports the Government of Uzbekistan's health sector reform program initiative, and addresses health concerns of women and children among the poor and the vulnerable.

b. Goal and purpose: ADB's Project will address the health and nutrition concerns of the poor and vulnerable populations particularly women and children. The project objectives are to (i) reduce inequity in health care, and (ii) improve efficiency in the delivery of health care. The Project addresses two levels: (i) national level to improve health systems efficiency, and (ii) local/district level to reduce inequity and improve effectiveness in health service delivery.

c. Components and outputs: The Project will reinforce interventions under the 2003 Woman and Child Health Development Project and can include (i) Community mobilization and participation. With the objective of household behavior modification, the Project will build capacity at the community level. The Project will pilot innovative community-based health delivery models (for greater community involvement in the management and delivery of health care), and recommend effective and sustainable system(s) to the government. In addition, the Project will explore community financing mechanisms.

(ii) Strengthening local/district health service delivery. With the objective of improving the quality of health services, the Project will assist in the rationalization of PHC services for women and children. The Project will also identify and support cost-effective clinical interventions and strategies; offer training in management and clinical matters; develop protocols and guidelines; refine the health management information systems; and support rationalization of personnel, infrastructure and medical technology.

(iii) Capacity building and health systems reform. With the objective of improving systems efficiency, the Project will strengthen capacity in the MOH to plan, implement, monitor, and evaluate certain aspects of the health reform activities. In addition, the Project will assist in the development of health management information system, monitoring, supervision, and evaluation systems, and support information technology (IT).

d. Expected results and deliverables: To be defined in detail during TA implementation

e. Social or environmental issues or concerns: Participation of local communities will be crucial in ensuring success of the Project.

f. Plans for disseminating results/deliverables: To be developed during Project preparation.

7. Proposed executing/implementing agencies: Ministry of Health of the Republic of Uzbekistan

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The Ministry of Health, Ministry of Public Education, Ministry of Higher and Senior Secondary Education, Ministry of Macroeconomics and Statistics, Ministry of Internal Affairs, Fund "Makhalla", Fund "For the healthy generation", Women' Committees. Provincial and district governments and community organizations. International agencies such as UNFPA, UNICEF, USAID, UNESCO, WHO, IBRD, Project Hope and others.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval

Lending: 2005
 Nonlending (project preparatory): 2004
 Nonlending (other than project preparatory):

- c. Period and duration of assistance
 Lending:
 Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: To be determined
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought: Currently discussions are in process with the French Government. Exact amount of cofinancing is to be determined during the PPTA.

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds: \$600,000

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, IBRD = International Bank for Reconstruction and Development, JFPR = Japan Fund for Poverty Reduction, SCSP = subregional cooperation strategy and program, TA = technical assistance, UNFPA = United Nations Population Fund, UNICEF = United Nations Children's Fund, USAID = United States Agency for International Development, WHO = World Health Organization.

**Table A2.11: Education Development Project
Concept Paper**

Date: 14 August 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other: lending modality to be determined</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Social infrastructure Subsector: Education</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input checked="" type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Social Sectors Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy:</p> <p>ADB has taken a lead role in shaping and funding key education reforms in Uzbekistan, consistent with the country strategy's goal to enhance human development. ADB has provided selective support to the implementation of the national medium-term education development plan (designated as National Program for Personnel Training or NPPT) since its introduction in 1998. The proposed project (the fifth lending activity of ADB in the education sector) will support the last phase of the NPPT (whose implementation time frame was extended to the year 2010).</p> <p>b. Goal and purpose:</p> <p>The project will serve the long-term sector goal of establishing an education system responsive to the needs of a modern market-oriented economy and democratic society. The subsectoral scope of the project is likely to include both basic education (grades 1 to 9) and senior secondary education (grades 10 to 12), concentrating on</p>										

support for education quality, and internal and external efficiency. A review of NPPT achievements will be carried out during the PPTA to better specify government priorities and areas/purpose of ADB support during the final phase of implementation.

c. Components and outputs: The project components and outputs will be identified on the basis of the NPPT implementation review as well as assessment of ADB's past support. In terms of investments, NPPT emphasized the expansion of the network of senior secondary education institutions, teacher education, curriculum reform, textbook development, and social protection. In terms of policy and institutional developments, the principal Government priorities are to encourage private-public partnerships, develop cost-recovery/sharing mechanisms, overhaul budgeting and planning processes, and support an effective school management. While a project loan is initially envisaged, another lending modality (program, sector or cluster loan) may be considered more appropriate to effectively support the reform process.

d. Expected results and deliverables: To be defined in detail during PPTA implementation.

e. Social or environmental issues or concerns: No environmental concerns are anticipated.

f. Plans for disseminating results/deliverables: To be developed during project preparation.

7. Proposed executing/implementing agencies:

The project is likely to be executed and implemented by the two education ministries (MOPE and MOHSSE) under the overall guidance and coordination of the Cabinet of Ministers (COM).

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:

The principal government agencies are expected to participate in the design of the project, including the COM, MOPE, MOHSSE, CSSE and other Republican Institutes. Building on previous dialogue with the ADB, the PPTA may also involve other education authorities and institutions as well as development cooperation partners. Appraisal will be carried out with the ultimate beneficiaries of the envisaged Project.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
 Lending: Fourth quarter, 2005
 Nonlending (project preparatory): Third quarter, 2004
 Nonlending (other than project preparatory):

c. Period and duration of assistance
 Lending: Jan 2006–Dec 2010
 Nonlending: Sep 2004–Sep2005

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$ 50 million
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$600,000

If cofinancing is required indicate sources, and amount sought:
If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.12: Non-State Social Security Development
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or sub sector, specify the Sector: Finance Subsector: Governance</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input checked="" type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input checked="" type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The Law on Bankruptcy is actively implemented for smaller enterprises with private ownership, primarily to enforce tax collection. The Committee on Economic Insolvency of Enterprises (CEI) is engaged in research on the social impact of restructuring medium-size and large strategic enterprises with state shares above 25%. The Government appears to have in place mechanisms for worker retraining and unemployment compensation. However, given that the actual impact of restructuring has been quite insignificant thus far, the reliability of the current system and its financial sustainability are yet to be tested. The Ministry of Labor and Social Maintenance requested ADB to focus on providing targeted poverty reduction support as part of any enterprise-restructuring initiatives that the Government may adopt in the near future.</p>										

<p>b. Goal and purpose: The Project will help to establish a non-state (voluntary and complementary) social security system.</p> <p>c. Components and outputs: On the policy level, the project will help the Government formulate proper policy and establish incentive structure for the development of the non-state social security system. On the legal and regulatory level, the Project will help set up regulatory and supervisory functions and bodies, and develop legal and regulatory framework that is conducive to the development of the non-state social security system. On the operational level, the Project will help selected non-state social security entities to improve their institutional capabilities.</p> <p>d. Expected results and deliverables: A set of proper development policies, legal and regulatory framework, and competitive and efficient non-state social security operations.</p> <p>e. Social or environmental issues or concerns: To mitigate the social costs of implementation of the corporate governance reform, staff downsizing, enterprise restructuring.</p> <p>f. Plans for disseminating results/deliverables: Workshops, seminars and policy papers.</p>
<p>7. Proposed executing/implementing agencies: Ministry of Labor and Social Protection.</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that contribute to the preparation of the Project include the COM, MOF, GKI, and Ministry of Macroeconomics and Statistics, Ministry of Labor and Social Maintenance.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: 2005 Nonlending (project preparatory): 2004 Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: To be determined during project preparation Nonlending: 1 year</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input checked="" type="checkbox"/> Ordinary capital resources: \$40 million <input type="checkbox"/> Asian Development Fund: \$ <input type="checkbox"/> Other: \$</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: \$ <input checked="" type="checkbox"/> Grant TA funds: \$600,000</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.13: Fourth SME Development
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Financial Subsector: Small and Microfinance</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input checked="" type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): R. Narasimham</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: As a result of strengthening and improvement of the legislative basis of small and medium-size business; introduction of simplified procedures for registration of SMEs; simplification and unification of the taxation system, bookkeeping and statistical reporting, the number of registered SMEs has reached 201,900 and made up 90.5% of total economic entities. In 2001 production of the SME sector totaled 24.4% of GDP and the Government target is to increase the SMEs outcome in total GDP up to 30% in the near future. The SMEs sector becomes very important in employment generation. In 2001 about 53% of total labor was in the SME sector. Thus, the absorptive capacity of that sector in Uzbekistan is very high. The project will enhance the competitiveness of Uzbekistan's private sector.</p> <p>b. Goal and purpose: The Project's principal goal is to promote balanced and sustainable economic growth and thereby reduce poverty. The Project will (i) revitalize, diversify, and enhance the competitiveness of</p>										

<p>Uzbekistan's private sector SMEs; (ii) help improve the policy, legal, and regulatory framework for SMEs; (iii) increase value addition through linkages; (iv) generate and sustain additional employment opportunities; and (v) generate foreign exchange through export promotion and import substitution.</p> <p>c. Components and outputs: The support to SMEs will be provided through a line of credit to identified commercial banks, which will onlend loan proceeds to finance the foreign exchange components of subproject costs. ADB will consider some other commercial banks as the project participants.</p> <p>d. Expected results and deliverables: The expected results of the Project are (i) development of institutional capacity in commercial banks and thus efficient delivery of credit to SMEs; (ii) increased mobilization in domestic investments and improved financial intermediation to promote economic growth and poverty reduction; (iii) modernization of SMEs with imported equipment and technologies allowing them to manufacture export-oriented or import-substituted goods; and (iv) employment generation and poverty reduction.</p> <p>e. Social or environmental issues or concerns: The Project will have positive social impacts due to improved income generation and poverty reduction. The Project will not have any major environmental impact.</p> <p>f. Plans for disseminating results/deliverables:</p>
<p>7. Proposed executing/implementing agencies: Commercial banks</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The concept will be developed in close consultations with the Cabinet of Ministers, commercial banks.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: 2005 Nonlending (project preparatory): Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: 4 years Nonlending:</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input checked="" type="checkbox"/> Ordinary capital resources: \$50 million <input type="checkbox"/> Asian Development Fund: \$ <input type="checkbox"/> Other: \$</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: \$ <input type="checkbox"/> Grant TA funds</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, GDP = gross domestic product, SCSP = subregional cooperation strategy and program, SME = small and medium enterprise, TA = technical assistance.

**Table A2.14: Central Asia Gas Transmission Improvement Project, Phase I
Concept Paper**

Date: 18 September 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Energy Subsector: Gas</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Infrastructure Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): E. Hassing</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Natural gas is the predominant energy source in the CARs and the extensive pipeline network in the region reflects its importance. However, inadequate infrastructure and lack of financial resources for exploiting natural gas resources and increasing operations are major hurdles in developing these resources to promote regional economic growth. Further policy and institutional arrangements need to be introduced and implemented to ensure existing intercountry trade in energy including gas is consistent with market-oriented principles and practices. The natural gas sector therefore represents considerable potential for increasing regional cooperation in Central Asia, in particular among Kazakhstan, Kyrgyz Republic, Tajikistan, Turkmenistan, and Uzbekistan. This would help the region to become a larger exporter of energy and, at the same time, enable the CARs to meet their own energy needs in the most economically efficient manner. Promoting energy trade is a key area of the ADB's regional assistance program as endorsed by the Governments at the Ministerial Conference held in March 2002.</p>										

<p>b. Goal and purpose: The goal is to promote sustained economic growth through regional cooperation in the CARs by eliminating technical and institutional bottlenecks and other barriers that constrain efficient operation and flow of natural gas, prevent energy trade, and impede rational use of the transmission system linking them. The Project will encourage efficient natural gas trade in the region, and facilitate wider access to modern energy.</p> <p>c. Components and outputs: Rehabilitation and modernization of the gas transmission network in the CARs. Improved regional policy, institutional and regulatory environment. Given the complexity of the issues especially in regard to the number of countries involved and policy and institutional arrangements needed for improvement, the project will adopt a phased approach. This approach will enable the project to yield tangible benefits as building blocks for further cooperation. Turkmenistan is unlikely to participate in phase I of the project, as it has focused on developing export route through Afghanistan. Consultation with the governments is continuing.</p> <p>d. Expected results and deliverables: Increased economic exchange of gas between the countries.</p> <p>e. Social or environmental issues or concerns: To be assessed by ongoing PPTA</p> <p>f. Plans for disseminating results/deliverables: ADB website, regional conferences, and wide circulation among governments and development partners.</p>
<p>7. Proposed executing/implementing agencies: Gas transmission company in each country.</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: Energy is a key focus of ADB regional assistance confirmed by the participating governments at the Ministerial Conference held in March 2002. The conference also endorsed this project. Further regional consultation meetings will be held during processing of the ongoing PPTA.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: first phase in 2004 Nonlending (project preparatory): Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance Lending: To be further assessed. Nonlending:</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input checked="" type="checkbox"/> Ordinary capital resources: To be further assessed upon completion of PPTA.</p> <p><input checked="" type="checkbox"/> Asian Development Fund: To be decided.</p> <p><input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff</p> <p><input type="checkbox"/> ADB's administrative budget: \$</p> <p><input type="checkbox"/> Grant TA funds: To be further assessed</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CARs = Central Asian republics, CSP = country strategy and program, PPTA = project preparatory technical assistance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A2.15: Regional Power Transmission Modernization Project, Phase II
Concept Paper**

Date: 18 September 2002

<p>1. Type/modality of assistance</p> <p><input checked="" type="checkbox"/> Lending</p> <p> <input checked="" type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Energy Subsector: Power</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Infrastructure Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): S. O'Sullivan</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The Central Asia power system comprises interconnected high-voltage links encompassing southern Kazakhstan, Kyrgyz Republic, Tajikistan, Turkmenistan, and Uzbekistan. The main transmission lines link the power systems of the five countries for parallel operation. The system shares common operational and service management, planning, information channels and control; and connects 83 power plants, including 29 thermal and 48 hydropower plants, with a total installed capacity of about 25,000 megawatts. The unified dispatch center in Tashkent is responsible for maintaining the balanced and synchronized operation of the power transmission and distribution systems of the five countries. Following the dissolution of the Soviet Union in 1991, the countries maintained their balanced and synchronized operation to allow import and export of electricity among them. Market-oriented issues increasingly play a major role in power system management. However, the regional technical operation protocols are less respected and funds for maintenance and rehabilitation are scarce. Each country has been focusing more on power self-sufficiency rather than establishing a competitive regional market that would achieve economically</p>										

efficient patterns of trade.

b. Goal and purpose: To improve the operation and efficiency of the regional power transmission system and enhance economic regional power trade and cooperation among the Central Asian Republics. The Project will encourage intercountry power trading and establish the foundation for a future wholesale regional power market.

c. Components and outputs: Rehabilitated and refurbished 500 kV substations and switchyards—replacement in each substation of circuit breakers, current transformers, protection and control systems, and the DC system.

Rehabilitated and upgraded dispatch and communication facilities and new metering—upgrading of facilities at the unified dispatch center, national control center, and area control centers in each country; and interconnecting telecommunication links. Install new remote terminal units in 500 kV substations and in major power plants. Install new transborder metering together with meter management systems at control centers.

Improved policy, institutional and regulatory environment for the Central Asian grid.

d. Expected results and deliverables:

- Increased economic exchange of energy between the countries and between energy companies
- Improved reliability and quality of electricity supply to industrial, commercial, and domestic consumers in the region
- Reduced primary energy consumption as fuel for power generation
- Optimization of generation in the region with additional generation from hydropower stations and savings in generating costs
- Reduction of unserved energy due to forced outages
- Reduction of technical transmission network losses
- Reduced emissions due to increased use of renewable energy and better energy efficiency

e. Social or environmental issues or concerns: No negative socioeconomic impacts as a direct result of the proposed Project are expected; therefore, all consumers are assured of improved power supply. However, adequate safety nets must be provided to the poor as electricity tariffs rise with the move to full cost recovery pricing in each country.

The proposed Project should not have any adverse environmental impacts that cannot be satisfactorily mitigated. Rehabilitation and upgrading of the transmission and dispatch facilities involve existing component replacement or retrofitting new components to existing equipment. The Project should have net environmental benefits as a result of fuel savings and reduced emissions.

Environmental Classification: Category B

f. Plans for disseminating results/deliverables:

7. Proposed executing/implementing agencies: Power utility/transmission company in each country.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: Three regional meetings, with delegates from ministries of energy, finance, and trade and the power utility companies, have been held to provide direction to the consultants in formulating the scope of the project and to consider the collaborative actions required to enhance regional cooperation and power trading among the countries.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending: October 2004
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory):

- c. Period and duration of assistance
 Lending: To be decided.
 Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 Ordinary capital resources: To be decided.
 Asian Development Fund: To be decided.
 Other:

If cofinancing is required indicate sources, and amount sought: To be decided.

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 No resources required, other than ADB staff
 ADB's administrative budget: \$
 Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

CONCEPT PAPERS FOR NONLENDING PRODUCTS

This appendix contains the concept papers for the following nonlending products:

- (i) Table A3.1: Development of Cadastral Survey and Land Management in Urban Areas–State Committee on Land Resources*
- (ii) Table A3.2: Capacity Building for Public Administration Training–Academy for State and Social Construction*
- (iii) Table A3.4: Trade Policy and Review Support*
- (iv) Table A3.5: Institutional Strengthening of the State Antimonopoly Committee*
- (v) Table A3.6: Development of Capital Markets*
- (vi) Table A3.7: Supporting Capacity Building in Economic Policy Analysis and Formulation in Uzbekistan*
- (vii) Table A3.8: Provision of Livelihood Opportunities for the Retrenched Poor*
- (viii) Table A3.9: Kyrgyz Transport Corridor Road Project, Phase II
- (ix) Table A3.10: A Study on Amu Darya River Basin Development
- (x) Table A3.11: Regional Private Sector Development
- (xi) Table A3.12: Regional Financial Sector Development, Phase I
- (xii) Table A3.13: Capacity Building in Priority Areas of Regional Cooperation
- (xiii) Table A3.14: Participatory Water Management in Central Asia
- (xiv) Table A3.15: Regional Tourism Development
- (xv) Table A3.16: Trade Facilitation and Customs Cooperation Program, Phase II
- (xvi) Table A3.17: Regional Financial Sector Development, Phase II
- (xvii) Table A3.18: Trade Facilitation and Customs Cooperation Program, Phase III

* New TA.

**Table A3.1: Development of Cadastral Survey and Land Management System in Urban Areas - State Committee on Land Resources
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance (double-click on appropriate box)</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other</p>
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Land Resources Management Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input checked="" type="checkbox"/> Environmental protection</p> <p><input type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>
<p>4. Responsible division/department: Agriculture, Environment, and Natural Resources Division/ East and Central Asia Department</p>
<p>5. Responsible ADB officer(s): To be determined</p>
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Since independence, the Government has adopted a policy of reforming agricultural sector aimed at improving the efficient use of water and land resources, increasing output production through introducing new agronomic practices and improved incentives for producers, creating favorable environment for private sector operations in input supply and agricultural processing.</p> <p>The Government recognizes the need for institutional strengthening of the agencies involved in land resources management to address the existing problems through introduction of land management systems and cadastre surveys.</p>

b. Goal and purpose: The purpose of this TA is to (i) strengthen the agencies involved in land resources management through cadastre surveys; (ii) work out and introduce optimal land management systems at provincial level, and a centralized land management system at national level.

c. Components and outputs: The components are as follows: (i) cadastre surveys of land resources through aerospace photography, topographic, geodesic, agrochemical, geobotanic studies and mapping; (ii) automation of filed works through the use of photogrammetric stations, electronic tachometers, GPS systems, arm mapping, storing of information in digital format; (iii) establishment of Land Cadastre Information System based on computerized database, improved inter-ministerial interaction on land resources management; and (iv) development of the mechanism for protection of rights of land owners and tenants.

d. Expected results and deliverables: (i) Comprehensive cadastre information database allowing to monitor the conditions of land resources country-wide established, (ii) recommendations to decision makers on necessary actions prepared, and (iii) qualified staff trained.

e. Social or environmental issues or concerns: TA will have positive environmental impact.

f. Plans for disseminating results/deliverables: All the agencies involved in land resource management at central and local levels, and beneficiaries will be consulted during TA implementation to ensure good coordination, cooperation and compliance with the sector development strategy. Successful mechanisms and procedures will be recommended for country-wide dissemination.

7. Proposed executing/implementing agencies: State Committee for Land Resources

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The concept was developed in close consultations and detailed discussions with the State Committee for Land Resources.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending: To be determined during TA preparation.

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds: \$400,000

If cofinancing is required, indicate sources, and amount sought:
If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.2: Capacity Building for Public Administration Training - Academy for State Social Construction
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Social Infrastructure Subsector: Education</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Social Sectors Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: To be defined during TA preparation.</p> <p>b. Goal and purpose: The TA will contribute to good governance since the academy is the main institution for in-service training of senior and middle-level public officials. The TA will also contribute to the process of liberalization of the economy and implementation of economic reforms in the country by establishing international standard MBA programs and improving contemporary methodology for management training. The Project</p> <p>c. Components and outputs: To be defined during TA preparation.</p>										

<p>d. Expected results and deliverables: The results of the Projects will be (i) an increase in the quality of teaching and development the Higher School of Business as the international standard MBA program; (ii) formation and development of the professional trainers; (iii) contribution to the process of the economy and implementation economic reforms in the country by proving the better training of professional management cadre; (iv) strengthening capacity of the Higher School of Business.</p> <p>e. Social or environmental issues or concerns: To be defined during TA preparation.</p> <p>f. Plans for disseminating results/deliverables: To be developed during TA preparation.</p>
<p>7. Proposed executing/implementing agencies: The Higher School of Business under the Academy of State and Social Construction.</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that will contribute to the preparation of the TA include the Office of the President, COM, MOHSSE, Academy of State & Social Construction under the Office of the President.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory): 2003</p> <p>c. Period and duration of assistance Lending: Nonlending: 2 years</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending</p> <p><input type="checkbox"/> Ordinary capital resources: \$</p> <p><input type="checkbox"/> Asian Development Fund: \$</p> <p><input type="checkbox"/> Other: \$</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending</p> <p><input type="checkbox"/> No resources required, other than ADB staff</p> <p><input type="checkbox"/> ADB's administrative budget: \$</p> <p><input checked="" type="checkbox"/> Grant TA funds: \$150,000</p> <p>If cofinancing is required, indicate sources, and amount sought: If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.3: Institutional Strengthening of Business Women's Association
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input checked="" type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input checked="" type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Poverty Reduction and Social Development Division/ Regional and Sustainable Development Department</p>										
<p>5. Responsible ADB officer(s): S. Lateef</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Economic difficulties of the transition have had an immediate and painful effect on women. The economic restructuring entailed the decrease of women's employment rate in the formal sector and the increase of self-employed women and women working at home in number; also, there has been an increase of the rate of unpaid domestic labor. A majority of unemployed women has low professional qualification or the professions that are not in high demand in the labor market. According to the Ministry of Macroeconomic and Statistics, the rate of women among officially unemployed is 62% and the rate of men is 38%. The average period of time necessary for women to find a job is longer than that for men. Thus more than 88% of unemployed unable to find job within a year are women.</p>										

Transition to a market economy has rendered women less competitive in the employment market and there is a concern that poverty is undergoing a process of feminization. The major barriers to participating in small business activities for women are lack of knowledge about market activities and their lack of capital.

Raising women's economic status has become first and foremost priority for many NGOs. The Business Women's Association (BWA) is one of the largest women's organizations in the country, which has 15 branches nationwide and 4000 members. At the present stage of development of the women's movement, concentrating human and material resources enables this organization to execute real protection of women's business professional activities. Support for businesswomen promotes the creation of jobs and decreasing the unemployment rate of women. Strengthening the capacity of BWA is crucial as a weak civil society and poor governance reinforce each other. Development of women's NGOs is important in terms of enhancing women's leadership and political participation, and also is in line with the National Action Plan, which includes support to women leaders in initiating new women's NGOs.

The selection of the BWA project is in line with ADB's policy on Gender and Development, which emphasizes the importance of building institutional capacity to implement programs for advancing women's status. The development of such projects is to be supported by the establishment of new programs, which assist the Republic of Uzbekistan to fulfill commitments made at the Beijing World Conference on Women to achieve targets, set for women into the 21st century.

b. Goal and purpose: The purpose of the TA is to: (i) broaden scope of activities of the BWA; (ii) increase number of services provided to women-entrepreneurs; (iii) train trainers in remote areas, (iv) create a Training Center of the BWA, (v) equip the BWA and reach a financial sustainability of the BWA at national, regional and local levels on the objectives, scope and implementation arrangements of the Project.

c. Components and outputs: The following main tasks will be undertaken under the TA: (i) identification of problems of women entrepreneurs to minimize the risk of failure of small scale business; (ii) conducting research of labor market to address problems of women's unemployment, (iii) creation the database on loans, counterparts, goods, services, leasing and job opportunities, existing for women, that will result in a more efficient access to the banking system and labor market, (iv) identification of ways to improve the training facilities, by better management of the law systems "pravo", libraries, etc.; and development of mechanisms to provide consultation to women entrepreneurs on relevant subjects to ensure that it is operated and maintained in a sustainable way.

d. Expected results and deliverables: TA assistance will result in business training of women in remote areas and upgrading of qualification of BWA's staff consultants. TA will be also important to propose the measures to increase job opportunities for women with consequences for the economic status of women in remote areas.

e. Social or environmental issues or concerns: TA will be crucially important in identifying key interventions to address gender issues, poverty reduction, women's employment. The social assessment shall analyze the project impact on these issues putting a considerable accent on analysis of beneficiaries' differences in terms of sex, employment, living standards, and access to banking resources.

f. Plans for disseminating results/deliverables: All the agencies involved in training at central and local levels, NGOs and beneficiaries will be consulted during TA implementation to ensure good coordination, cooperation and compliance with the sector development strategy.

7. Proposed executing/implementing agencies: Business Women Association – Republican Office

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The concept was developed in close consultations and detailed discussions with the Cabinet of Ministers, local government, local NGOs, and beneficiaries.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

- b. Expected date of submission for approval
 Lending:
 Nonlending (project preparatory): 2003
 Nonlending (other than project preparatory):
- c. Period and duration of assistance
 Lending:
 Nonlending: 12 months

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 Ordinary capital resources: \$
 Asian Development Fund: \$
 Other: \$

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 No resources required, other than ADB staff
 ADB's administrative budget: \$
 Grant TA funds: \$150,000

If cofinancing is required, indicate sources, and amount sought: French Government Special Fund, in the range of \$230,000 to \$830,000

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.4: Trade Policy Review and Support
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input checked="" type="checkbox"/> Economic, thematic, and sector work</p> <p> <input checked="" type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or sub sector, specify the Sector: Finance Subsector: Trade</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input checked="" type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: In line with the Regional Trade Facilitation and Customs Cooperation Project the Government supports ADB's initiatives in the area of trade promotion. State Customs Committee (SCC) is a large organization with over 4,000 employees. The average tariff rate is around 7.5%, and the Government is at present rationalizing the tariff bands down to three levels - 0, 10, and 30%. The SCC plans to undertake some consolidation of its 9-digit customs commodity classification code. The SCC will share data on trade flows, tariffs collections and customs infrastructure with ADB. The Government requested ADB to launch a trade sector review for Uzbekistan, which could also assess the needs of the customs administration in the context of the Regional Customs Cooperation Project. The Ministry of Foreign Economic Relations also requested ADB support for a logistics center being designed near Tashkent, to provide production, transit and other logistics facilities aimed at boosting external trade. The center will have bonded customs warehouses to facilitate the flow of goods in and out of Uzbekistan.</p>										

b. Goal and purpose: Support the development of a coherent strategy for promoting foreign trade and trade integration of Uzbekistan, and feed into ADB's trade-related development work in the region. The review will supplement and build on ongoing country economic and sector work and take into account all relevant information available from secondary sources and, where indispensable, information from primary sources.

c. Components and outputs: (i) Provide an overview of foreign trade, assess the trade policy; (ii) identify the strengths, weaknesses, and constraints of the whole or selected segments of the private sector; (iii) identify key obstacles to trade facilitation in relation to hard and soft trade infrastructure; and (iv) identify key areas for trade facilitation and regional cooperation depending on findings in (ii) and (iii); and (v) make adequate policy recommendations.

d. Expected results and deliverables: A set of background papers on various trade- policy-related issues, and a summary trade-policy review paper.

e. Social or environmental issues or concerns: Social and environmental consequences of expanded trade and changed trade pattern will be examined.

f. Plans for disseminating results/deliverables: Workshops, seminars and policy papers.

7. Proposed executing/implementing agencies: Ministry of Foreign Economic Relations

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that will contribute to the preparation of the TA include the COM, MOF, and Ministry of Macroeconomics and Statistics, Ministry of Foreign Economic Relations, Customs Committee.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
Lending:
Nonlending (project preparatory):
Nonlending (other than project preparatory): 2003

c. Period and duration of assistance
Lending:
Nonlending: To be determined during TA preparation

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$150,000

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, MOF = Ministry of Finance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.5: Institutional Strengthening of the State Antimonopoly Committee
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <ul style="list-style-type: none"> <input type="checkbox"/> Lending <ul style="list-style-type: none"> <input type="checkbox"/> Project loan <input type="checkbox"/> Program loan <input type="checkbox"/> Sector loan <input type="checkbox"/> Sector development program loan <input type="checkbox"/> Other <input checked="" type="checkbox"/> Nonlending <ul style="list-style-type: none"> <input type="checkbox"/> Project preparatory <input type="checkbox"/> Other than project preparatory <ul style="list-style-type: none"> <input type="checkbox"/> Economic, thematic, and sector work <input checked="" type="checkbox"/> Institutional development <input type="checkbox"/> Other: 						
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or sub sector, specify the Sector: Governance and Finance Subsector: Governance</p> <p>b. For project preparatory and lending, classification</p> <ul style="list-style-type: none"> <input type="checkbox"/> Core poverty intervention <input type="checkbox"/> Poverty intervention <p>c. Key thematic area(s)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other: </td> <td style="width: 50%; vertical-align: top;"> <ul style="list-style-type: none"> <input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection </td> </tr> </table>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other: 	<ul style="list-style-type: none"> <input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection 				
<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Economic growth <input type="checkbox"/> Gender and development <input type="checkbox"/> Environmental protection <input type="checkbox"/> Regional cooperation <input type="checkbox"/> Other: 	<ul style="list-style-type: none"> <input type="checkbox"/> Human development <input checked="" type="checkbox"/> Good governance <input checked="" type="checkbox"/> Private sector development <input type="checkbox"/> Social protection 					
<p>3. Coverage</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;"><input checked="" type="checkbox"/> Country</td> <td style="width: 33%;"><input type="checkbox"/> Subregional</td> <td style="width: 33%;"><input type="checkbox"/> Interregional</td> </tr> <tr> <td colspan="3"><input type="checkbox"/> Internal policy development</td> </tr> </table>	<input checked="" type="checkbox"/> Country	<input type="checkbox"/> Subregional	<input type="checkbox"/> Interregional	<input type="checkbox"/> Internal policy development		
<input checked="" type="checkbox"/> Country	<input type="checkbox"/> Subregional	<input type="checkbox"/> Interregional				
<input type="checkbox"/> Internal policy development						
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>						
<p>5. Responsible ADB officer(s): To be determined</p>						
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The Government plans to enhance the role of the market in price formation and resource allocation during 2002. By 30 June 2002, the number of monopolies and monopoly products are expected to be reduced by 25% and 16%, respectively, which will provide enterprises with greater freedom to set prices. In addition, the authorities have undertaken to eliminate by end-2002 the controls on trade margins for socially important goods that were introduced following the unification of the official and over-the-counter exchange rates on November 2001.</p> <p>b. Goal and purpose: The Project aims to facilitate the development and enhance the role of the Antimonopoly Committee as a generating and coordinating center in forming necessary organizational, economic, and financial conditions, strong regulatory basis for a healthy competitive environment; strengthening</p>						

antimonopoly regulation system; providing control on the observance of antimonopoly legislation; protecting the rights of consumers and advertising activities.

c. Components and outputs: (i) Analytical and methodological provision, (ii) Network and software provision, (iii) Upgrading of qualification and training on modern standards for competition policy through internships and seminars overseas.

d. Expected results and deliverables: A general result of the Project will be a more adequate orientation of the Antimonopoly Committee's activities to implement efficient competition policies and strategies. Practically, the major results of the Project will be establishing the main working group of specialists capable of applying modern principles and methods of competition policy, as well as strengthening legislation in the area of natural monopolies; protecting the rights of consumers and advertising activities; disseminating ideas on competition, consumer rights protection among population; providing information and software databases; enhancing wider and more active participation of the committee in the international arena.

e. Social or environmental issues or concerns: To be determined.

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: The State Antimonopoly Committee of Uzbekistan

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that will contribute to the preparation of the TA include the COM, MOF, Ministry of Macroeconomics and Statistics, State Antimonopoly Committee.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
 Lending:
 Nonlending (project preparatory):
 Nonlending (other than project preparatory): 2003

c. Period and duration of assistance
 Lending:
 Nonlending: To be determined during TA preparation.

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$600,000

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, MOF = Ministry of Finance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.6: Development of Capital Markets
Concept Paper**

Date: 15 July 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input checked="" type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or sub sector, specify the Sector: Governance and Finance Subsector: Finance</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined.</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: To facilitate the process of corporate governance and restructuring, the Government has to introduce fundamental reforms in the securities market. Steps required in this area are (i) segregating the securities market regulator (Center on Coordination and Control of the Securities Market) and bringing in all laws and resolutions under one umbrella securities law; (ii) enhancing the enforcement powers of the securities market regulator and its capacity and skills level; (iii) developing the capacity of the stock exchange as a self-regulatory organization to promote stability and confidence; and (iv) developing the securities firms, brokerage industry, and institutional investors.</p> <p>b. Goal and purpose: The Project will aim to (i) develop the capital market; (ii) automate (provide and install software) the over-the-counter (OTC) securities market regulation methods; and (iii) develop a system for</p>										

monitoring and regulating transactions in the OTC securities market. The primary objectives are (i) study foreign experience in development of monitoring and regulation methods in OTC securities markets; (ii) provide international consultancy and expertise to strengthen the practical and research trends; (iii) develop a proper legislation and automated control and monitoring program for the OTC securities markets' activities. The secondary objective is to improve the interaction system among the Center for Securities Market, central Depository, depositories at the secondary level, registering companies and transaction registers in the OTC markets to establish an efficient monitoring system.

c. Components and outputs: (i) improvement of the OTC securities market monitoring and regulation system through improvement of the methodology; (ii) analysis of OTC securities markets' methods based on the leading international experience; (iii) development of software for control and monitoring of the OTC securities markets operations; (iv) enhancement of the conduct and registration of OTC transactions and support for the regulatory framework in the subsector; (v) training on regulations, monitoring, and analysis of the OTC securities markets.

d. Expected results and deliverables: (i) establishing the effective automated system of regulation and monitoring activities of the OTC securities markets; (ii) developing the analytical methodology for OTC SM; (iii) enhancing the legislative and regulatory framework for OTC transactions; (iv) training qualified local staff on regulations, monitoring, and analysis of the OTC securities markets.

e. Social or environmental issues or concerns: To be determined.

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: To be determined.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The principal Government agencies that will contribute to the preparation of the TA include the COM, MOF, Ministry of Macroeconomics and Statistics, CBU, NBU, State Antimonopoly Committee, Stock Exchange, CCFSM.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending: To be determined during TA preparation.

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: \$
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$150,000

If cofinancing is required, indicate sources, and amount sought:
If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, COM = Cabinet of Ministers, CSP = country strategy and program, MOF = Ministry of Finance, NBU = National Bank of Uzbekistan, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.7: Supporting Capacity Building in Economic Policy Analysis
and Formulation in Uzbekistan
Concept Paper**

Date: 15 August 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input checked="" type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Uzbekistan Resident Mission</p>										
<p>5. Responsible ADB officer(s): To be determined.</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: While progress has been made since independence in pushing forward the transition to a market economy, a substantial agenda of structural reforms remains to be implemented to complete this transition. The structural reforms are essential to reduce Uzbekistan's vulnerability to external shocks by diversifying its economic and production structure. However, Uzbekistan has only limited capabilities to address such complex policy issues. Capacity building for policy and strategy formulation is therefore an area where ADB support is urgently needed.</p> <p>The main justification for the TA is the ongoing reforms for macroeconomic stabilization and the complementary structural reforms, which are both needed for the country's transition to a competitive economy. Liberalization</p>										

and structural reforms will be the top priorities for economic policy in the future. It is expected that, in the medium term, these reforms will improve the general welfare of the population as the economy responds to new incentives and economic growth accelerates. In the short term, however, some parts of the society will be adversely affected. Economic policies will therefore have to focus on both protecting vulnerable groups in the population and accelerating progress in implementing structural reforms. The TA will be instrumental in strengthening the capabilities of the Government to identify and address the key issues involved in these reforms. This capability, once put in place will enable the Government to examine, with some rigor, the policy options available for addressing the range of issues facing the economy and those that are particularly related to successfully implementing the needed structural reforms in the economy.

b. Goal and purpose: The TA is aimed at enhancing government capabilities in the general area of economic policy formulation. The TA will result in the development of long-, medium-, and short-term strategic frameworks for structural reforms in key sectors, including public utilities, privatization and the financial sector. The social impact of macroeconomic reforms will also be covered. The TA will provide resources for the continuation of capacity building and enable Government access to international expertise for addressing policy-related concerns and training needs.

c. Components and outputs: The TA will be used to support the Center for Effective Economic Policy under the Ministry of Macroeconomics and Statistics in (i) training staff, (ii) providing analytical materials for building the library, (iii) organizing workshops and seminars on topical themes, (iv) periodically locating international experts to interact with the Center's staff; and (v) arranging exchange programs for the Center's staff.

d. Expected results and deliverables: The TA is expected to generate enhanced institutional capacity of the Government in economic policy analysis and formulation.

e. Social or environmental issues or concerns: The TA is expected to build Government capability to assess the impact of structural reforms on vulnerable groups in the population and institute the necessary safety nets.

f. Plans for disseminating results/deliverables: Dissemination will be through workshops and wide circulation of materials (including consultants' reports) within the Government.

7. Proposed executing/implementing agencies: Center for Effective Economic Policy, Ministry of Macroeconomics and Statistics

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: Capacity building for policy and strategy formulation is an area where ADB has provided support in response to the Government's limited capability to address complex policy issues that emanate from the economic transition process. As a component of the long-term capacity building process, the TA will continue ongoing SSTA, which commenced in 2001.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): First half, 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending: 2nd half of 2003-2005

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

b. For nonlending

No resources required, other than ADB staff

ADB's administrative budget: \$

Grant TA funds: \$1.2 million

If cofinancing is required, indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, SSTA = small scale technical assistance, TA = technical assistance.

7. Proposed executing/implementing agencies: To be determined.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:
The principal Government agencies that will contribute to the preparation of the project include the COM, MOF, Ministry of Macroeconomics and Statistics, Ministry of Labor and Social Maintenance.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds
 - Other: To be determined

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.9: Kyrgyz Transport Corridor Project, Phase II
Concept Paper**

Date: 18 September 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input checked="" type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Transport Subsector: Roads</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input checked="" type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Infrastructure Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): J. Miller</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The TA will prepare a project for the second phase of rehabilitation of sections of the Andizhan-Osh-Irkeshtam-Kashgar road passing through the southern Kyrgyz Republic. The road, which links the PRC and Uzbekistan, needs rehabilitation to allow effective use of this international transport corridor. Increased trade and communication along this route would improve relations between PRC, Kyrgyz Republic, and Uzbekistan, as well as generate economic benefits in all three. The route is likely to have extraregional transport potential as well. It is envisioned that the projects will address cross-border issues to facilitate trade and traffic. TA 6024-REG: Regional Cooperation in Transport Projects in Central Asia is presently studying cross-border issues, financing modes, and regional coordination, and dialogue (including establishing a regional working group) to facilitate preparation and implementation of the first and succeeding phases of road rehabilitation.</p> <p>b. Goal and purpose: To prepare a second phase transport project to reduce poverty and increase regional</p>										

trade and cooperation between PRC, Kyrgyz Republic, and Uzbekistan.

c. Components and outputs: The project will include civil works for rehabilitation, consulting services for project implementation, institutional strengthening and policy reform, and possibly purchase of equipment for road maintenance.

d. Expected results and deliverables: Rehabilitation of the road. Increased levels of regional trade and traffic. Reforms in cross-border procedures. Improved financing and practices for road maintenance.

e. Social or environmental issues or concerns: To be assessed during the TA.

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: : Ministries of Transport and Communication of Kyrgyz Republic, People's Republic of China, and Uzbekistan.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: A regional working group is being formed under TA 6024-REG, and meetings will begin in October 2002.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
Lending:
Nonlending (project preparatory): 2003
Nonlending (other than project preparatory):

c. Period and duration of assistance
Lending: 2003/2004
Nonlending:

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources: \$
 - Asian Development Fund: \$ To be determined.
 - Other: \$

If cofinancing is required indicate sources, and amount sought: Anticipated cofinancing of about \$5 million for each phase, source not yet identified.

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds: \$450,000

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, PRC = People's Republic of China, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.10: A Study on Amu Darya River Basin Development
Concept Paper**

Date: 26 June 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input checked="" type="checkbox"/> Other than project preparatory</p> <p> <input checked="" type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Agriculture and Natural Resources Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Agriculture, Environment, and Natural Resources/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): T. Bayarsaihan</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Central Asia is a relatively water-rich region of the world. Two large river systems, the Amu Darya and Syr Darya, provide more than 90% of the water resources flowing into the Aral Sea basin. Both the Amu Darya and Syr Darya basins have been intensively developed for irrigated crops through massive irrigation infrastructure built in 1960s. Overextraction of water from the rivers significantly reduced the amount of water discharged to the Aral Sea. In reaction, the sea began to recede rapidly and high salt concentrations have led to serious environmental and ecological disasters. Despite worsening environmental degradation in and around the Aral Sea, the riparian countries remain heavily dependent on the water in these rivers to support irrigated agriculture as a key to support national economies and the livelihood of vast rural populations, as well as industry and domestic water supply.</p> <p>Water management facilities in the Aral Sea basin were originally built in the Soviet era, to allow sharing among</p>										

the Central Asian countries of a multiyear supply of water for power generation (required by the upstream riparian countries in winter) and irrigation (for the lower riparian countries during summer). With the collapse of the Soviet Union, however, the mechanism to manage transboundary water in the Amu Darya and the Syr Darya has become dysfunctional. The collaborative framework built since 1993 – based on the Interstate Commission for Water Coordination (ICWC) – has not been effective, leaving the riparian states to manage increasingly critical and difficult issues of transboundary water on a highly ad hoc annual agreement among themselves. The issue of transboundary water management has been recognized as a key to forge sustained economic and environmental collaboration among the Aral Sea basin countries. Support and assistance from aid agencies to address the transboundary water management issue, particularly in the Syr Darya basin, have been substantial, but the outputs delivered thus far have been less than spectacular.

During the recent ICWC conference in February 2002 in Almaty, the riparian states renewed their commitment for improved cooperation, and requested donors for increased assistance. A specific proposal was also presented to ADB for assistance to strengthen water management in the Amu Darya basin by strengthening the existing Basin Water Organization (a river basin authority created as an executive arm of ICWC).

The Amu Darya is the larger of the two rivers, and drains an area of about 700,000 square kilometers, covering Afghanistan, Tajikistan, Turkmenistan, and Uzbekistan. Compared to the Syr Darya, the Amu Darya basin does not currently experience highly visible transboundary conflicts. Presently, Uzbekistan and Turkmenistan split the Amu Darya River discharge of approximately 60 cubic km per year on average, with Afghanistan using only 2 cubic km. With investment and development interests for Afghanistan, however, Uzbekistan and Turkmenistan now recognize Afghanistan's increasing need for its share of water as a possible transboundary issue.

b. Goal and Purpose: The goal of the proposed study is to forge a stronger and sustainable collaborative framework among the Amu Darya's riparian states for more efficient and environmentally sustainable management of the water resources in the basin.

The specific purpose of the study is to help the Amu Darya's riparian states identify the existing and emerging transboundary water management issues; identify specific skill/institutional gaps that need to be filled for them to address, or to initiate in addressing, such issues; and formulate a practical program to fill the identified gaps by realigning the existing institutional framework and skills within a specific short and medium time frame.

c. Components and outputs: Subject to further review by and discussions with the riparian states, the proposed study would include the following as components:

- Resources endowment review/assessment
- Institutional review of regional and national water management agencies
- Identification/analysis of transboundary water management issues
- Comparative analysis with other international experience in managing transboundary waters
- Specific need assessment and formulation of institutional/skill development programs
- Multi-stakeholder workshops

It is expected that the study will be based on the outputs from the ongoing RETA (RETA6001) for the Regional Consultation for the Third World Water Forum, under which preliminary consultation and discussions are to be held with the Central Asian governments on transboundary water issues and the necessary policy actions.

d. Expected results and deliverables: The study will result in (i) a set of specific transboundary issues, either existing or emerging, as mutually recognized and agreed upon by the riparian states; and (ii) specific proposals for the riparian states to address these issues through a stronger cooperation framework, using the existing Amu Darya Basin Water Organization.

e. Social or environmental issues or concerns: Issues relating to environmental/ecological degradation in and around the Aral Sea have attracted international interest. There have been a number of externally-assisted activities in this regard. ADB has also assisted in formulating the Regional Environmental Action Plan in Central Asia (TA No.5934). The proposed study will maintain close linkage with these past/ongoing studies and initiatives to make sure that the study reflects the experiences and findings of the existing and emerging environmental issues relating to water resources in general and to the Amu Darya in particular.

f. Plans for disseminating results/deliverables: The study is expected to involve a number of multi-stakeholder workshops with a large number of participants from the riparian states. Through these workshops, progress and outcomes of the study will be disseminated.

<p>7. Proposed executing/implementing agencies: Asian Development Bank</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: The request for assistance was conveyed by the Scientific and Information Center of ICWC during the ICWC conference held in Almaty in February 2002 (ref. BTOR, 25 March 2002 by T. Bayarsaihan, ECAE). A detailed framework of the study will be discussed with the concerned authorities of the Central Asian governments through RETA6001 (under which a workshop on transboundary water issues in Central Asia is planned for September 2002).</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory):</p> <p>c. Period and duration of assistance(s) Lending: Nonlending:</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input type="checkbox"/> Ordinary capital resources: \$ <input type="checkbox"/> Asian Development Fund: \$ <input type="checkbox"/> Other: \$</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: \$ <input checked="" type="checkbox"/> Grant TA funds \$900,000</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.11: Regional Private Sector Development
Concept Paper**

Date: May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined.</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: A vibrant private sector is vital to growth and development in the CARs. While the share of the private sector of GDP in the CARs has increased, large state-owned enterprises dominate in the industrial sectors. These sectors tend to be heavily concentrated with few enterprises as well. Resource-rich countries like Kazakhstan and Azerbaijan have attracted foreign direct investments (FDI) in their oil industries, but the other countries have received comparatively small amounts of FDI and there is lack of private financing for infrastructure, for example. Lack of coherent investment and competition policies hamper private sector development and investments, both domestic and foreign. These countries have to explore the options for public-private partnerships in infrastructure finance. Private capital is necessary to complement the scarcity of government funding for investments and infrastructure in particular.</p>										

<p>b. Goal and purpose: The goal is to promote private sector development in the region to enhance trade, investments, and growth. The purpose of the TA is to identify the constraints to investment and private sector development and develop measures and actions to address these in a regional context.</p> <p>c. Components and outputs: The TA will (i) examine investment policies, competition policies, the legal framework for public-private partnership for infrastructure financing, and the potential for the region's economies to attract private capital both foreign direct investment and financing for infrastructure; and (ii) develop recommendations for policies and guidelines for investment and competition policies in line with the proposed WTO recommendations, and measures to facilitate and enhance the flow of foreign direct investment and promote private-public partnerships for infrastructure finance.</p> <p>d. Expected results and deliverables: Reports, workshops.</p> <p>e. Social or environmental issues or concerns: none</p> <p>f. Plans for disseminating results/deliverables: To be determined.</p>
<p>7. Proposed executing/implementing agencies: ADB will execute the overall TA. The ministries of trade and industry, chambers of commerce or equivalents, industry associations or equivalent in the respective countries in the East and Central Asian region will be the implementing agencies.</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: Chambers of commerce (and equivalents) and industry associations have been consulted in the preparation of the initial concept.</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2001</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory): 2003</p> <p>c. Period and duration of assistance Lending: Nonlending: To be determined.</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input type="checkbox"/> Ordinary capital resources: <input type="checkbox"/> Asian Development Fund: <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: <input checked="" type="checkbox"/> Grant TA funds: To be determined.</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CARs = Central Asian republics, CSP = country strategy and program, GDP = gross domestic product, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.

**Table A3.12: Regional Financial Sector Development Phase I
Concept Paper**

Date: 18 September, 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input checked="" type="checkbox"/> Other: RETA</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The financial sectors in the CARs are dominated by banks that provide basic financial services, but the financial sectors are shallow as indicated by low ratios of deposits and credit to GDP, ineffective in financial intermediation due to inadequate experience in credit risk and management, and ineffective in providing credit and trade finance to the private sector. While efforts have been made to upgrade regulation and supervision of financial institutions, enforcement is still lagging. The financial institutions are not effective in providing financing and catalyzing private sector development in the region, and capital markets have yet to develop to broaden and deepen the financial sectors. Effective financial sectors and institutions will catalyze private sector development and facilitate regional trade and growth.</p> <p>b. Goal and purpose: The goal is to promote effective financial sectors in the region that can catalyze private sector development, regional trade, and growth. The purpose is to identify weaknesses in the financial sectors in</p>										

the region that are obstacles to private sector development, and develop recommendations and actions to address them. The TA will also complement the private sector and financial management assessments being undertaken for each of the countries in the region.

c. Components and outputs: The TA will have two components. The first component is a diagnostic study of the financial sectors in the region to assess (i) the capability of financial institutions in the region to provide financing (working capital, medium-term credits, trade finance) to support private sector development, (ii) the state of regulation and supervision of financial institutions and need for capacity building, and (iii) the options and role of the capital markets to support enterprise growth and development in a regional context. The second component will be development of a blueprint for (i) capacity building for financial sector regulators, (ii) harmonization of banking sector policies, and (iii) development and integration of capital markets.

d. Expected results and deliverables: Reports containing the diagnostic and blueprint.

e. Social or environmental issues or concerns: No social and environmental issues involved

f. Plans for disseminating results/deliverables: workshops

7. Proposed executing/implementing agencies: ADB will execute the overall TA. The ministries of finance, central banks, and other regulatory agencies in the respective countries in the East and Central Asian region will be the implementing agencies.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2001
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending: TBD

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds TBD

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CARs = Central Asian republics, CSP = country strategy and program, GDP = gross domestic product, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.13: Capacity Building in Priority Areas of Regional Cooperation
Concept Paper**

Date: 26 July 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Human development</p> <p><input type="checkbox"/> Good governance</p> <p><input type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>
<p>4. Responsible division/department: Operations Coordination Division/ East and Central Asia Department</p>
<p>5. Responsible ADB officer(s): L. Wu</p>
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Supporting regional economic cooperation is one of the three pillars of ADB's poverty reduction strategy and a strategic focus in ADB operations as enunciated in the LTSF. Under the overall objective of promoting sustained economic growth and poverty reduction, ADB has provided support for economic cooperation in Central Asia since 1997. Its operational focus is on three areas: transport- to alleviate the region's locational disadvantage; energy – to encourage rational use of vital resources of energy and water; and trade facilitation – to promote trade within the region and with the outside world. To date, modest success has been achieved, as evidenced in (i) increased awareness and understanding of cooperation, with an overall framework established to further cooperation; and (ii) a preliminary set of priority regional projects identified in each of the focused areas, which are now at various stages of implementation.</p>

With the gradual return of peace to Afghanistan, renewed world focus on Central Asia, and a general climate more conducive to cooperation in the region, ADB faces new challenges: principally, how to advance cooperation to assist the countries in seizing this historical window of opportunity to achieve and maintain peace, stability, and prosperity through cooperation. One key to future success on a sustainable basis lies in strengthening the capacity of the countries participating in the ADB-supported Central Asia regional cooperation program. Specifically, the institutional capacity of government agencies involved in regional cooperation and the capacity of the officials in charge of or participating in regional cooperation (policy making and implementation) would be the basis for bringing forward the cause of regional cooperation.

This important role of capacity for regional cooperation is clearly recognized by the participating governments, and the request for ADB to assist in capacity building for regional cooperation especially in the three priority areas of cooperation was reflected in the Ministerial Statement issued at the Ministerial Conference on Economic Cooperation in Central Asia held on 25-26 March 2002.

b. Goal and purpose: The overall goal of the TA is to promote economic growth and reduce poverty in Central Asia. The specific purpose is to promote economic cooperation by strengthening the capacity of the participating governments for regional cooperation, particularly in the area of transport, energy, and trade facilitation.

c. Components and outputs: The TA will have the following components and corresponding outputs:

(i) A comprehensive assessment of the capacity building needs. The output will be a comprehensive capacity building plan. The plan will identify and analyze the medium and longer term needs for institutional and technical capacity building, and a project to meet these needs with sequenced steps of implementation, with clear monitorable indicators.

(ii) Capacity building activities for national focal points (NFPs). As NFPs are a central part of the overall framework and key to the coordination of cooperation activities in their respective governments, their capacity both as an institution and as individual officials would be crucial to future success of cooperation. The capacity building needs including training needs must be tailored to suit the specific mandate of the NFPs.

(iii) Sectoral capacity building activities. Two sets of activities including training courses will be important: (i) more skills and capacity in the more general area of administration and management pertinent to economic cooperation; and (ii) more technical skills and capacity specific to their respective sectors.

(iv) Supporting activities. Based on the assessment, some support for equipment may be necessary to ensure the effectiveness of capacity-building activities.

d. Expected results and deliverables: The TA is expected to (i) enhance the institutional capacity of the governments in furthering regional cooperation in general; and (ii) strengthen technical and institutional capacity of the priority sectors of economic cooperation.

e. Social or environmental issues or concerns: none foreseen.

f. Plans for disseminating results/deliverables: Dissemination will be through workshops, meetings, publications, and other means including ADB web sites, in addition to wide circulation of materials (including consultant reports) within each government and among aid agencies and other stakeholders.

7. Proposed executing/implementing agencies: Operations Coordination Division/East and Central Asia Department

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: ADB has committed to developing capacity building at the request of the governments as explicitly stated in the Ministerial Statement of the Ministerial Conference on Economic Cooperation in Central Asia during 25-26 March 2002.

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 1st half 2003
- c. Period and duration of assistance
 - Lending:
 - Nonlending: 2003-2005

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources: \$
 - Asian Development Fund: \$
 - Other: \$

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget: \$
 - Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, LTSF = long-term strategic framework, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.14: Participatory Water Management in Central Asia
Concept Paper**

Date: 28 May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input checked="" type="checkbox"/> Other than project preparatory</p> <p><input checked="" type="checkbox"/> Economic, thematic, and sector work</p> <p><input checked="" type="checkbox"/> Institutional development</p> <p><input type="checkbox"/> Other:</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Water Resources Subsector:</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input checked="" type="checkbox"/> Human development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Gender and development</td> <td><input checked="" type="checkbox"/> Good governance</td> </tr> <tr> <td><input checked="" type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development	<input checked="" type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance	<input checked="" type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input checked="" type="checkbox"/> Human development									
<input checked="" type="checkbox"/> Gender and development	<input checked="" type="checkbox"/> Good governance									
<input checked="" type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Agriculture, Environment, and Natural Resources Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): M. A. Malik</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Agriculture in many Central Asian countries is heavily dependent on irrigation. Most of the existing irrigation systems were built in the 1960s during the Soviet era. As a result of severe financial crisis followed by the collapse of the Soviet Union, the governments have not been able to meet the increasing operation and maintenance (O&M) budget requirements. As a result, these massive and energy-intensive irrigation infrastructure systems are rapidly deteriorating. At the same time, rapid privatization of farms in the irrigated command areas also resulted in disruption of on-farm water management.</p> <p>Concerned with the declining efficiency of the irrigation supply systems, many Central Asian governments place high priority on the immediate rehabilitation/ restoration of the supply systems, such as high-lift pumps and long feeder (main) canals. However, issues relating to the maintenance of on-farm water courses and management of irrigation water distribution (where largest loss of water takes place) do not receive much attention from the policy makers and sector planners.</p>										

In many other parts of Asia, the importance of on-farm water management through strong beneficiary participation has been recognized as key in addressing issues relating to sustained maintenance of irrigation systems and equitable distribution of water. In some Asian countries, participatory management of water management has advanced to a stage of irrigation management transfer to the beneficiaries, resulting in more efficient assessment/collection of irrigation fees, and more cost-effective, transparent and participatory management of irrigation systems. Initial attempts to develop participatory management of on-farm irrigation distribution (e.g., through development of water user associations) are implemented in a number of Central Asian countries, but their experiences and progress are not shared.

b. Goal and purpose: The goal of the proposed study is to promote awareness by Central Asian governments of the importance and potential of participatory water resources management.

The specific purpose of the study is to compile the collective experience and lessons gained in participatory management of water in Central Asia, analyze comparative progress/outcome in relation to the relevant policy framework, and study the relevance of the experiences and lessons from other Asian countries where participatory water resources management is in a much advanced stage.

c. Components and outputs: The study will involve specific case studies on selected irrigation systems in Central Asia, secondary data analyses of experiences in other Asian countries, participatory workshops (including presentation of advanced irrigation management transfer in Indonesia or Philippines), and preparation of policy recommendations.

d. Expected results and deliverables: The study is expected to result in a set of specific policy recommendations for the Central Asian governments to promote participatory water resources management.

e. Social or environmental issues or concerns: To be determined.

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: Asian Development Bank

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance: None so far.

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2004

b. Expected date of submission for approval

Lending:

Nonlending (project preparatory):

Nonlending (other than project preparatory): To be determined

c. Period and duration of assistance

Lending:

Nonlending: To be determined

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

a. For lending

Ordinary capital resources: \$

Asian Development Fund: \$

Other: \$

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

b. For nonlending

- No resources required, other than ADB staff
- ADB's administrative budget: \$
- Grant TA funds

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.15: Regional Tourism Development
Concept Paper**

Date: May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input checked="" type="checkbox"/> Other: RETA</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Supporting sustainable economic growth through regional cooperation will greatly enhance the economic transition process in the CARs. One sector that particularly lends itself to regional cooperation is the tourism sector. Regional tourism development is an engine to economic growth and presents an opportunity for sustainable economic and social development by generating foreign exchange revenues, promoting economic diversification, and strengthening regional cooperation and collaboration.</p> <p>The most suitable countries for a regional approach to tourism development among the CARs are Kazakhstan, the Kyrgyz Republic, and Uzbekistan. In addition to their natural beauty, these countries share a rich historic past and cultural heritage. Both topography and politics have resulted in historical trade patterns in the CARs, such as the legendary Silk Road, a loose network of trade routes linking the CARs with the People's Republic of</p>										

China (PRC), Europe, South Asia and central Siberia. More recently, land bridges such as the famous Trans-Siberian Railroad were established. Coupled with the region's Tian Shan Mountains attracting both foreign and domestic skiers and trekkers, the three countries provide considerable potential for tourism development.

b. Goal and purpose: The objectives of the proposed RETA are to (i) evaluate the feasibility of sustainable development of the tourism potential of Kazakhstan, the Kyrgyz Republic, and Uzbekistan in a way that advocates subregional cooperation; (ii) promote economic growth in the subregion; (iii) facilitate information exchange with the private sector; and (iv) identify a pipeline of subregional tourism development projects.

c. Components and outputs: The components of the RETA include (i) comprehensive analysis of the current status of the tourism sector in the three countries and the subregion, including identification of obstacles to sector growth on a subregional basis; (ii) preparation of a tourism development study identifying subregional projects based, among others, on social, economic, legal, financial, and environmental analyses; (iii) identification of ways and management mechanisms needed to increase subregional cooperation in tourism development while preserving and protecting the joint cultural and historical heritage and the environment; and (iv) dissemination of tourism development strategy to key stakeholders including the private sector.

d. Expected results and deliverables: The major outputs of the RETA include (i) a subregional tourism development study, including a pipeline of subregional tourism development projects; (ii) identification of subregional economic growth potential through tourism development; (iii) cooperative management mechanisms for subregional tourism development; (iv) enhanced visibility of tourism development in the subregion through an industry forum and publication on study results; and (v) identification of opportunities for private sector participation.

e. Social or environmental issues or concerns: None.

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: Asian Development Bank

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:

9. Timetable for assistance design, processing, and implementation

- a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002
- b. Expected date of submission for approval
 - Lending:
 - Nonlending (project preparatory):
 - Nonlending (other than project preparatory): 2004
- c. Period and duration of assistance
 - Lending:
 - Nonlending: TBD

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
 - Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:
If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
 - No resources required, other than ADB staff
 - ADB's administrative budget:

Grant TA funds: To be determined.

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CARs = Central Asian republics, CSP = country strategy and program, RETA = regional technical assistance, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.16: Regional Trade Facilitation and Customs Cooperation Program, Phase II
Concept Paper**

Date: May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input checked="" type="checkbox"/> Other: RETA</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined.</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Supporting regional economic cooperation to promote sustainable economic growth and poverty reduction is a key objective of ADB's operations. Facilitating trade, especially cross-border trade, has been a focus of ADB's program in the Central Asian region since 1997. Since 2000, ADB's trade facilitation has focused on the potential for customs modernization and cooperation. The first phase of technical assistance for regional trade facilitation and customs cooperation being processed in 2002 comprises the following components: (i) harmonization and simplification of documentation; (ii) simplification of border and transit procedures; (iii) establishment of data transfer protocols; (iv) coordinated data gathering and information systems; (v) development of common performance standards; (vi) effective use of technical resources; (vii) possible regional initiatives to promote post-transit/entry compliance audits; (viii) establishing pilot joint processing centers of customs; and (ix) regional capacity building and training initiatives.</p>										

b. Goal and purpose: The goal is to establish efficient and effective customs arrangements in the region that will facilitate foreign trade and investment, encourage movement of goods and people, and promote economic growth.

c. Components and outputs: The Phase II TA will build on and carry forward the activities started in Phase I, but expand issues related to the WTO. Phase II will tentatively focus on (i) continued capacity building for customs officials, (ii) applying information and communication technology for trade facilitation and customs and regional information linkages, (iii) expanding pilot joint-processing centers, (iv) supporting implementation of simplified border and transit procedures, and (v) assessing issues relating to WTO accession for applicant countries and developing action plans to support applicant countries in their preparation for WTO accession. The details will be decided upon completion of Phase I TA.

d. Expected results and deliverables: Reports, workshops, and seminars.

e. Social or environmental issues or concerns: none

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: The ministries for trade and state customs administrations in the respective countries in the East and Central Asian region will be the implementing agencies. ADB will execute the overall TA.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
 Lending:
 Nonlending (project preparatory):
 Nonlending (other than project preparatory): 2004

c. Period and duration of assistance
 Lending:
 Nonlending: TBD

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds To be determined.

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.

**Table A3.17: Regional Financial Sector Development Phase II
Concept Paper**

Date: May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p> <input type="checkbox"/> Project loan</p> <p> <input type="checkbox"/> Program loan</p> <p> <input type="checkbox"/> Sector loan</p> <p> <input type="checkbox"/> Sector development program loan</p> <p> <input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p> <input type="checkbox"/> Project preparatory</p> <p> <input type="checkbox"/> Other than project preparatory</p> <p> <input type="checkbox"/> Economic, thematic, and sector work</p> <p> <input type="checkbox"/> Institutional development</p> <p> <input checked="" type="checkbox"/> Other: RETA</p>										
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p> <input type="checkbox"/> Core poverty intervention</p> <p> <input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Economic growth</td> <td><input type="checkbox"/> Human development</td> </tr> <tr> <td><input type="checkbox"/> Gender and development</td> <td><input type="checkbox"/> Good governance</td> </tr> <tr> <td><input type="checkbox"/> Environmental protection</td> <td><input checked="" type="checkbox"/> Private sector development</td> </tr> <tr> <td><input checked="" type="checkbox"/> Regional cooperation</td> <td><input type="checkbox"/> Social protection</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>	<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development	<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance	<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development	<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Economic growth	<input type="checkbox"/> Human development									
<input type="checkbox"/> Gender and development	<input type="checkbox"/> Good governance									
<input type="checkbox"/> Environmental protection	<input checked="" type="checkbox"/> Private sector development									
<input checked="" type="checkbox"/> Regional cooperation	<input type="checkbox"/> Social protection									
<input type="checkbox"/> Other:										
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>										
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>										
<p>5. Responsible ADB officer(s): To be determined</p>										
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: The financial sectors in the CARs are dominated by banks that provide basic financial services, but the financial sectors are shallow as indicated by low ratios of deposits and credit to GDP; ineffective in financial intermediation due to inadequate experience in credit risk and management; and ineffective in providing credit and trade finance to the private sector. While efforts have been made to upgrade regulation and supervision of financial institutions, enforcement is still lagging. The financial institutions are not effective in providing financing and catalyzing private sector development in the region, and capital markets have yet to develop to broaden and deepen the financial sectors. Effective financial sectors and institutions will catalyze private sector development and facilitate regional trade and growth.</p> <p>b. Goal and purpose: The goal is to promote effective financial sectors in the region that can catalyze private sector development, regional trade, and growth.</p>										

<p>c. Components and outputs: The second phase of assistance for the region's financial sectors will aim to implement reforms identified during phase I in these areas: (i) harmonization of banking sector policies, (ii) capacity building for regulators, and (iii) development and integration of capital markets. The details will be decided upon completion of the first phase TA in 2003.</p> <p>d. Expected results and deliverables: To be determined.</p> <p>e. Social or environmental issues or concerns: none</p> <p>f. Plans for disseminating results/deliverables: To be determined.</p>
<p>7. Proposed executing/implementing agencies: The ADB will execute the overall TA. The ministries of finance, central banks, and other regulatory agencies in the respective countries in the East and Central Asian region will be the implementing agencies.</p>
<p>8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:</p>
<p>9. Timetable for assistance design, processing, and implementation</p> <p>a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002</p> <p>b. Expected date of submission for approval Lending: Nonlending (project preparatory): Nonlending (other than project preparatory): 2005</p> <p>c. Period and duration of assistance Lending: Nonlending: TBD</p>
<p>10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)</p> <p>a. For lending <input type="checkbox"/> Ordinary capital resources: <input type="checkbox"/> Asian Development Fund: <input type="checkbox"/> Other:</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p> <p>b. For nonlending <input type="checkbox"/> No resources required, other than ADB staff <input type="checkbox"/> ADB's administrative budget: <input checked="" type="checkbox"/> Grant TA funds To be determined.</p> <p>If cofinancing is required indicate sources, and amount sought: If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):</p>

ADB = Asian Development Bank, CARs = Central Asian republics, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance.

**Table A3.18: Regional Trade Facilitation and Customs Cooperation Program, Phase III
Concept Paper**

Date: May 2002

<p>1. Type/modality of assistance</p> <p><input type="checkbox"/> Lending</p> <p><input type="checkbox"/> Project loan</p> <p><input type="checkbox"/> Program loan</p> <p><input type="checkbox"/> Sector loan</p> <p><input type="checkbox"/> Sector development program loan</p> <p><input type="checkbox"/> Other:</p> <p><input checked="" type="checkbox"/> Nonlending</p> <p><input type="checkbox"/> Project preparatory</p> <p><input type="checkbox"/> Other than project preparatory</p> <p><input type="checkbox"/> Economic, thematic, and sector work</p> <p><input type="checkbox"/> Institutional development</p> <p><input checked="" type="checkbox"/> Other: RETA</p>
<p>2. Assistance Focus</p> <p>a. If assistance focuses on a particular sector or subsector, specify the Sector: Trade Subsector: Customs</p> <p>b. For project preparatory and lending, classification</p> <p><input type="checkbox"/> Core poverty intervention</p> <p><input type="checkbox"/> Poverty intervention</p> <p>c. Key thematic area(s)</p> <p><input checked="" type="checkbox"/> Economic growth</p> <p><input type="checkbox"/> Gender and development</p> <p><input type="checkbox"/> Environmental protection</p> <p><input checked="" type="checkbox"/> Regional cooperation</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> Human development</p> <p><input checked="" type="checkbox"/> Good governance</p> <p><input checked="" type="checkbox"/> Private sector development</p> <p><input type="checkbox"/> Social protection</p>
<p>3. Coverage</p> <p><input type="checkbox"/> Country <input checked="" type="checkbox"/> Subregional <input type="checkbox"/> Interregional</p> <p><input type="checkbox"/> Internal policy development</p>
<p>4. Responsible division/department: Governance, Finance, and Trade Division/ East and Central Asia Department</p>
<p>5. Responsible ADB officer(s): To be determined.</p>
<p>6. Description of assistance(s)</p> <p>a. Background/linkage to country/regional strategy: Supporting regional economic cooperation to promote sustainable economic growth and poverty reduction is a key objective of ADB's operations. Facilitating trade, especially cross-border trade, has been a focus of ADB's program in the region since 1997. Since 2000, ADB's trade facilitation has focused on the potential for customs modernization and cooperation. The first phase of technical assistance for regional trade facilitation and customs cooperation being processed in 2002 comprises the following components: (i) harmonization and simplification of documentation, (ii) simplification of border and transit procedures, (iii) establishment of data transfer protocols, (iv) coordinated data gathering and information systems, (v) development of common performance standards, (vi) effective use of technical resources, (vii) possible regional initiatives to promote post-transit/entry compliance audits, (viii) establishing pilot joint processing centers of customs, and (ix) regional capacity building and training initiatives. Phase II TA in 2004</p>

aims to carry forward these initiatives to ensure continuity and expand more on WTO-related issues, and the proposed Phase III will further expand and carry forward these initiatives and strengthen regional cooperation.

b. Goal and purpose: The goal is to establish efficient and effective trade and customs arrangements in the region that will facilitate foreign trade and investment, encourage movement of goods and people, and promote economic growth.

c. Components and outputs: Phase III will carry forward the components initiated in phases I and II to ensure continuity in capacity building, harmonization of procedures, and data sharing. Phase III will have a stronger focus on WTO accession matters for applicant countries, by providing more capacity building for the applicant countries to facilitate the necessary adjustments in policies, regulations and standards to comply with WTO requirements. The details will be decided upon completion of Phase II TA in 2004.

d. Expected results and deliverables: Reports, workshops and seminars.

e. Social or environmental issues or concerns: none

f. Plans for disseminating results/deliverables: To be determined.

7. Proposed executing/implementing agencies: The State Customs Administrations in the respective countries in the East and Central Asian region will be the implementing agencies. The ADB will execute the overall TA.

8. Nature/extent of government/beneficiary involvement in identifying or conceptualizing the assistance:

9. Timetable for assistance design, processing, and implementation

a. Year included in CSP, CSP update, SCSP, SCSP update, or interregional work plan: 2002

b. Expected date of submission for approval
Lending:
Nonlending (project preparatory):
Nonlending (other than project preparatory): 2005

c. Period and duration of assistance
Lending:
Nonlending: To be determined.

10. Financing Plan (Indicate possible financing sources and amount of assistance, cost estimates, and financing arrangements)

- a. For lending
- Ordinary capital resources:
 - Asian Development Fund:
 - Other:

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

- b. For nonlending
- No resources required, other than ADB staff
 - ADB's administrative budget:
 - Grant TA funds: To be determined.

If cofinancing is required indicate sources, and amount sought:

If known, provide cost estimates and financing arrangements. (e.g., total cost, ADB financing, other financing, and government financing):

ADB = Asian Development Bank, CSP = country strategy and program, SCSP = subregional cooperation strategy and program, TA = technical assistance, WTO = World Trade Organization.