

Annex B

ADB AND WORLD BANK RESETTLEMENT TERMINOLOGY

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Asian Development Bank	World Bank
Project Preparation	Project Identification
Initial Social Assessment	Initial Environmental Assessment
<p>Scope of land acquisition Who will be affected? How?</p> <p>Needs, demands and absorptive capacities of sub-groups?</p> <p>What are the likely losses?</p> <p>Links: Poverty? WID? Ethnic minorities?</p> <p>Scope to minimize or eliminate resettlement Impacts?</p> <p>Capacity of the executing agency(s)?</p> <p>ADB to finance resettlement?</p>	<p>Is resettlement required?</p> <p>If so, provide an estimate of the area required and the number of people likely to be affected.</p> <p>Are indigenous people affected?</p> <p>If so, O.D. 4.20 applies and an indigenous peoples' development plan is required.</p> <p>Institutional capacity assessment</p>
TOR for PPTA Feasibility Study	Environmental Impact Assessment (including social impacts such as resettlement)
<p>Review options to minimize or eliminate LAR Effects</p> <p>Inform key DMC agencies of Bank policy</p> <p>Incorporate resettlement into Feasibility Study TORs</p> <p>Determine need for other plans</p>	<p>Review options to minimize or eliminate LAR effects</p> <p>Inform key DMC agencies of World Bank policy</p> <p>Incorporate resettlement into Environmental Impact Study TORs-in most cases now the EIA and resettlement activities are stand-alone.</p> <p>Determine need for other plans</p>

Project Preparation	Project Preparation
Undertake socioeconomic survey and census Work	Undertake socioeconomic census work
Consult with all stakeholders	Consult with all stakeholders; develop participation plan
Minimize or eliminate resettlement impacts	Minimize or eliminate resettlement impacts
Formulate land acquisition and resettlement plan, including compensation, income restoration, and/or relocation	Formulate land acquisition and resettlement plan, including compensation, income Restoration, and, or relocation
Assess policy and legal framework, entitlements, and institutional framework	Assess policy and legal framework, entitlements, and institutional framework
PPTA Feasibility Study	
Recommend enhancement if needed	Recommend enhancement if needed
Prepare Entitlement Matrix	Prepare Entitlement Matrix
Formulate strategy for affected persons without Title	Formulate strategy for affected persons without title
Identify resettlement sites or other relocation Options	Identify resettlement sites or other relocation options
Develop income restoration plans	Develop income restoration plans
Prepare timeframe and schedule vis-à-vis Construction	Prepare timeframe and schedule vis-à-vis construction
Assess costs and budget flows	Assess costs and budget flows
Develop institutional framework	Develop institutional framework
Develop grievance resolution procedures	Develop grievance resolution procedures

Loan Fact-Finding	
<p>Review draft land acquisition and resettlement plan in the field with Executing Agencies and persons affected; modify as required</p> <p>Prepare summary of resettlement plan for RRP</p>	
Management Review Meeting	Country Department Reviews (internal reviews)
<p>Review Resettlement Plan, Summary, and SOCD comments</p>	
Appraisal	Appraisal
<p>Finalize and agree on Resettlement Plan</p> <p>Verify cut-off dates for entitlements</p> <p>Prepare assurance covering LAR</p>	<p>Appraisal team reviews technical feasibility of all aspects of the resettlement plan</p>
Staff Review Committee	
<p>Review Resettlement Plan changes and LAR assurance</p>	
Loan Negotiations	Loan Negotiations
<p>Verify progress in Resettlement Plan schedule and Assurances Section</p> <p>Prepare for TA during preparation, if required</p>	<p>Verify Resettlement Plan schedule, budget, and institutional capacity; write in Assurances Section</p> <p>Prepare for TA during preparation, if required</p>

Project Implementation		Project Implementation	
Role of Executing Agency		Role of Executing Agency	
<p>Inform and consult all affected people</p> <p>Make appropriate notification</p> <p>Pay compensation</p> <p>Develop resettlement sites</p> <p>Implement income restoration measures</p> <p>Monitor and evaluate progress</p> <p>Adjust plan as necessary</p> <p>Resolve grievances</p> <p>Support vulnerable groups</p> <p>Consult</p>	<p>Inform and consult all affected people</p> <p>Make appropriate notification</p> <p>Pay compensation</p> <p>Develop resettlement sites</p> <p>Implement income restoration measures</p> <p>Monitor and evaluate progress</p> <p>Adjust plan as necessary</p> <p>Resolve grievances</p> <p>Support vulnerable groups</p> <p>Consult</p>		
Role of Bank Staff		Role of Bank Staff	
<p>Conduct semi-annual reviews of re-settlement</p> <p>Conduct in-depth mid-term review</p> <p>Report in PAC notes</p>	<p>Conduct semi-annual reviews/supervision of resettlement</p> <p>Conduct in-depth mid-term review</p> <p>Report in PAC notes</p> <p>Periodic progress reports from Executing Agency</p> <p>Periodic external monitoring reports</p>		
Project Completion		Project Completion	
<p>Postresettlement Evaluation</p> <p>Continue supervision and monitoring if required</p>	<p>Project Completion and Audit Reports</p> <p>Arrange for continued monitoring if required</p>		