



Design and Monitoring Framework

Project Number: 42414
June 2009

GEO: Proposed Georgian Sustainable Urban Transport Project

A design and monitoring framework is an active document, progressively updated and revised as necessary, particularly following any changes in project design and implementation. In accordance with ADB's public communications policy (2005), it is disclosed before appraisal of the project or program. This draft framework may change during processing of the project or program, and the revised version will be disclosed as an appendix to the report and recommendation of the President.

Asian Development Bank

PRELIMINARY DESIGN AND MONITORING FRAMEWORK
Georgian Sustainable Urban Transport Project

Design Summary	Performance Targets/Indicators	Data Sources/Reporting Mechanisms	Assumptions and Risks
<p>Impact Improved urban environment, local economy and public health within urban areas in Georgia</p>	<ul style="list-style-type: none"> • Efficient, reliable and affordable urban transport services provided to major urban centers over MFF period • Increase by 20% the percentage of urban population with direct access to public transport compared to base line in 2008 • Attractiveness and preservation of cultural heritage with 20% pavement upgraded or road renovated compared to base line in 2007 • Reduce particles emissions by 15% compared to baseline in 2007 • Reduce accidents and casualties by 20% compared to baseline in 2007 • Attractiveness and preservation of cultural heritage with 20% increase in tourist nights compared to baseline in 2008 	<ul style="list-style-type: none"> • Multiple Indicators Municipal departments, Municipal companies, NGO • Surveys from Direction of Road of Georgia (MOED statistics) • Data and surveys from Municipal transport department • Air quality statics from Ministry of Environment Protection and Natural Resources and National Environment Agency of Georgia • GEF - Climate change office and UNEP-UNFCCC surveys • Department of Tourism and Resorts and MIA/ Border Police of Georgia Georgian tourism Association and Tbilisi Tourist Information Center 	<p>Assumptions</p> <ul style="list-style-type: none"> • Political and economic stability prevails <p>Risks</p> <ul style="list-style-type: none"> • Georgian cities adversely affected by broader economic shocks • Internal political divisiveness slows impetus for reform and implementation
<p>Outcome Design of a multitranche financing facility (MFF), including summary sector road map and medium term investment program acceptable to ADB and Government of Georgia (GoG)</p>	<ul style="list-style-type: none"> • Signed MoU on MFF based on PPTA outputs 	<ul style="list-style-type: none"> • Loan processing mission results 	<p>Assumptions</p> <ul style="list-style-type: none"> • Prompt decision-making by GoG • Tbilisi municipality opens to fundamental reforms <p>Risks</p> <ul style="list-style-type: none"> • Political divisions force approach • Reluctance to conduct a full planning process • Lack of capacity from agencies, consulting and construction companies

<p>Outputs</p> <p>1. Sector strategy</p> <p>2. Policy Framework and Institutional Development Plan</p> <p>3. Investment program</p> <p>4. Financial Analysis and Plan</p> <p>5. Due diligence analyses and documentation</p> <p>6. Consultation and communication strategy and plan</p> <p>7. Capacity development for accelerated program implementation</p>	<ul style="list-style-type: none"> • Urban transport MFF Road Map and broader investment plan agreed and consistent with urban development and other donor supports • Design procurement strategy to be time effective and involve private sector in specific tasks for streamlined implementation • Promote international involvement with national companies to streamline implementation and facilitate safeguard compliance. • Priority infrastructure improvements identified; cost-effective approaches recommended, leveraging private sector • Financial improvements identified and municipal development fund modality agreed • Social and environment safeguard requirements met; economic analysis cleared internally. • Public awareness achieved, with positive press and community feedback • Implementation support to MDF to secure monitoring 	<ul style="list-style-type: none"> • Tbilisi urban transport masterplan published and enforced • City website and communication materials • NGO Progress and periodic reports • Workshops • Press reports 	<p>Assumptions</p> <ul style="list-style-type: none"> • Effective cooperation and coordination between MDF and municipalities • Available and accurate data exchange • Availability of high quality consultants and companies willing to work in Georgia • GoG receptive to reform agenda and to strategic planning <p>Risks</p> <ul style="list-style-type: none"> • Internal political turmoil shifting focus from urban issues • Insufficient MDF capacity to deliver projects and tenders on time
<p>Activities with Milestones</p> <p>1.1 Sectorial, policy and institutional review</p> <p>2.1 Legal and regulatory review</p> <p>3.1 Mapping, master planning, feasibility studies and preliminary design on infrastructure works</p> <p>4.1 Financial analysis and update of financial management capacity</p> <p>5.1 Economic analysis</p> <p>5.2 Socio-economic analysis</p> <p>5.3 Environmental safeguard review and documentation, including EARF and IEEs</p> <p>5.4 Social safeguard review and documentation, including LARF and LARPs</p> <p>5.5 Risk analysis and mitigation plan</p> <p>6.1 Communications needs review, planning and community outreach</p> <p>6.2 Consultative workshop</p> <p>7.2 Establishing implementation systems including monitoring systems and baselines</p>			<p>Inputs</p> <ul style="list-style-type: none"> • ADB: \$1,100,000 grant from TASF - IV • Government: \$160,000 equivalent through office space, counterpart staff, administrative support, data, maps and reports, etc.

Milestones <ul style="list-style-type: none">• Consultants fielded (August 2009)• Inception Report (August 2009)• Interim Report (October 2009)• Draft Final Report (December 2009)• Final Report (March 2010)• Workshop consultants (October 2010)	
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