



# Draft Design and Monitoring Framework

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Project Number: 38160  
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## Tonga: Preparing the Integrated Urban Development Project

## DESIGN AND MONITORING FRAMEWORK

<b>Design Summary</b>	<b>Performance Targets/Indicators</b>	<b>Data Sources/Reporting Mechanisms</b>	<b>Assumptions and Risks</b>
<p><b>Impact</b> Sustained urban planning and management with improved provision of basic social services in low-lying areas of Nuku'alofa</p>	<p>Improved drainage and flood management<sup>a</sup></p> <p>Improved living conditions in project area<sup>a</sup></p>	<p>Loan progress reports</p> <p>Asian Development Bank (ADB) and Government project completion report</p> <p>Government statistics</p>	<p><b>Assumptions</b></p> <ul style="list-style-type: none"> <li>• There is continued political will.</li> <li>• Project design is implemented effectively.</li> <li>• Government and ADB sign loan agreement.</li> </ul> <p><b>Risk</b> Resource availability</p>
<p><b>Outcome</b> Project design and improved urban planning and management</p>	<p>Memorandum of Understanding signed in Appraisal Mission in December 2006</p> <p>Government initiates implementation of urban planning and management strategy (UPMS) by March 2007</p>	<p>Memorandum of Understanding</p> <p>Review missions</p> <p>Technical assistance (TA) reports</p> <p>Cabinet papers/records</p>	<p><b>Assumption</b></p> <ul style="list-style-type: none"> <li>• Broad stakeholder support for urban planning and management is developed and sustained.</li> </ul> <p><b>Risks</b></p> <ul style="list-style-type: none"> <li>• Government priorities change.</li> <li>• Government seeks other sources of funding.</li> </ul>
<p><b>Outputs</b></p> <p>1. Detailed urban planning and management strategy that addresses, among others</p> <ul style="list-style-type: none"> <li>• Institutional arrangements</li> <li>• Policy and legislative arrangements</li> <li>• Resourcing needs</li> <li>• Prioritization</li> <li>• Strategy and action plan to achieve improvements in urban living conditions and provision of urban services</li> </ul> <p>2. Feasibility study for a project for an integrated urban development project in Nuku'alofa that will provide sufficient information for processing an investment project suitable for ADB financing</p>	<p>UPMS endorsed by Government by December 2006</p> <p>Draft feasibility study and design by October 2006</p> <p>Final feasibility study by February 2007</p>	<p>Review missions</p> <p>TA reports</p> <p>ADB loan processing documentation</p>	<p><b>Assumptions</b></p> <ul style="list-style-type: none"> <li>• Effective stakeholder participation and sense of ownership are developed.</li> <li>• Appropriate discrete project within available funding levels is feasible.</li> </ul> <p><b>Risks</b></p> <ul style="list-style-type: none"> <li>• Government political will to promote urban planning and management is absent.</li> <li>• Land tenure constraints cannot be effectively addressed.</li> <li>• The public does not accept urban planning needs and requirements.</li> </ul>

<p><b>Activities with Milestones</b></p> <p><b>1.0 UPMS</b></p> <ol style="list-style-type: none"> <li>a. Identify key stakeholders and their representatives by February 2006</li> <li>b. In a participatory approach, assess urban development constraints and opportunities by June 2006</li> <li>c. Complete social surveys and hold workshops with broad range of stakeholders, individually and jointly, by July 2006</li> <li>d. Assess institutional and legal arrangements for urban planning and management by March 2006</li> <li>e. Research and identify examples of UPMS from other small island states and similar small economies</li> <li>f. Identify a suite of options for improving existing mechanisms for urban planning and management, citing advantages and disadvantages of various approaches</li> <li>g. Identify priority geographic areas and services for upgrading, replacement, or installation of new basic social services, including conceptual design for investment project to be investigated by the feasibility study, by July 2006</li> <li>h. Refine terms of reference (TOR) for phase 2, feasibility study by July 2006</li> <li>i. Assess existing resources, e.g., human, technical, and financial, for urban planning and management by July 2006</li> <li>j. Prepare detailed urban planning and management strategy that will include, but will not be limited to, recommendation for institutional arrangements, policy and legislation, capacity development, and necessary infrastructure or basic services improvements by October 2006</li> <li>k. Prepare Cabinet briefing papers and related assistance to attain formal government endorsement of the UPMS</li> </ol> <p><b>2.0 Feasibility Study</b></p> <ol style="list-style-type: none"> <li>a. Review data, information, and draft strategies developed in phase 1, UPMS</li> <li>b. Identify strategy components suitable for inclusion in an investment project, e.g., infrastructure improvements and implementation of institutional adjustments, and capacity building by August 2006</li> <li>c. Lead participatory workshops with broad range of stakeholders to agree on project components and activities by October 2006</li> <li>d. Complete economic, social (including poverty impact), financial, and environmental analysis in accordance with relevant ADB guidelines necessary to complete project design suitable for ADB financing by September 2006</li> <li>e. Prepare draft final report and prepare inputs to a draft report and recommendation of the President (RRP) suitable to support loan fact-finding, by October 2006</li> </ol> <p><b>3.0 Reporting</b></p> <ol style="list-style-type: none"> <li>a. Inception report by February 2006</li> <li>b. Interim report by July 2006</li> <li>c. Technical reports</li> <li>d. Draft final report by October 2006</li> <li>e. Final report by December 2006</li> </ol>	<p><b>Inputs</b></p> <ul style="list-style-type: none"> <li>• ADB: \$700,000</li> <li>• Government: \$94,000 (in kind or in cash)</li> </ul> <p><b>Inputs</b></p> <ul style="list-style-type: none"> <li>• Beneficiaries' participation in surveys and workshops</li> <li>• Private sector participation in surveys and workshops</li> </ul>
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<sup>a</sup> Quantitative indicators will be developed under the project preparatory technical assistance.