

## APPROACHES TO SECTOR ASSESSMENTS

### A. Approaches to Sector Assessments

1. **Approach to the Evaluation of Lending Operations.** The review of continuing and completed program loans and project loans will be undertaken through sector assessments and will include

- (i) the review of related documents, e.g., project preparation technical assistance (PPTA) papers and reports, back-to-office reports (BTORs), midterm reports, project performance report (PPRs), project completion reports (PCRs) and project performance audit/ evaluation reports (PPARs/PPERs). The final list of technical assistance (TAs) to be reviewed will be confirmed after the preliminary compilation and selection is complete.
- (ii) Structured interviews will be conducted with executing and/or implementing agencies, project officers and consultants, and include using a questionnaire format to summarize key interview findings.
- (iii) The findings from interviews and filled-in questionnaires and the results of the document review will be entered into summary sheets that will be used as the basis for deriving overall ratings of performance based on relevance, responsiveness and results, including results to date for ongoing assistance.
- (iv) An overall rating will be assigned to reviewed programs and projects.

2. **Approach to the Evaluation of Nonlending Operations.** Key TA projects that were approved over the country assistance program evaluation (CAPE) period will be reviewed. This review will take into account the work on TAs carried out as part of the special evaluation study on the performance of TAs. The study examined 23 TA projects, some of which are in Viet Nam, and was completed in 2007. The methodology to be adopted in the CAPE will broadly follow that used in the study in order that the findings will be comparable. The work will be carried out in four phases:

- (i) review of related documents, e.g., advisory TA (ADTA) papers and reports, TA completion reports (TCRs), TA performance evaluation/audit reports (TPERs/TPARs), and BTORs. The final list of programs projects to be reviewed will be confirmed after the preliminary compilation and selection is complete.
- (ii) Structured interviews will be conducted with executing and/or implementing agencies, project officers and consultants, and include using a questionnaire format to summarize key interview findings.
- (iii) The findings from interviews and filled-in questionnaires and the results of the document review will be entered into summary sheets that will be used as the basis for deriving overall ratings of performance based on relevance, responsiveness and results.
- (i) An overall rating will then be assigned to each TA.

### B. Lessons Learned, Good Practices, and Future Strategy

3. Based on the evaluation of the ADB's assistance program and strategy positioning, its contribution to development results in Viet Nam, and ADB's response and performance, and overall results and development effectiveness, the implications for ADB's future assistance strategy to Viet Nam will be assessed as follows.

- (i) **Programming lessons identified and good practices.** What lessons and good practice can be identified from the past experience for the next country partnership strategy (CPS) in terms of ADB's strategy? Should ADB maintain its strategic focus or widen assistance to other sectors, regions, and agencies? Should any areas of assistance be discontinued or phased out? In what areas could ADB provide more assistance and better practice? Is the current trend toward program lending in support of improving public resource management to address the Millennium Development Goals and the private sector enabling environment? Is ADB equipped for this task?
- (ii) **Operations lessons identified and good practices.** How can the development effectiveness of ADB's assistance in Viet Nam be improved? What lessons can be derived for ADB's project preparation and implementation in Viet Nam? How can capacity building efforts be strengthened? What existing and new products and best practices can be used to address identified problems? How can ADB's public and private sector operations be enhanced?
- (iii) **Strengths, weaknesses, opportunities, and threats.** A range of good practices will be identified based on the CAPE, summarized by strengths to build into designs, weaknesses to avoid, opportunities to capitalize upon, and threats to manage.
- (iv) **Future strategic considerations.** How should future partnership between Viet Nam and ADB be promoted given that Viet Nam is potentially an emerging middle income country, but with regional and environmental challenges?

### C. Overall Performance Rating

4. The CAPE performance assessment methodology will combine assessments of development effectiveness for sector operations with assessments of ADB country positioning and strategy, the contributions of ADB programs to development results, and ADB performance. Sector ratings will be based the standard five evaluation criteria of relevance, effectiveness, efficiency, sustainability, and sector impact recommended by ADB's *Guidelines for the Preparation of Country Assistance Program Evaluation Reports*. Given the emphasis on both broad based and sector specific program lending in recent years, as well as a range of ongoing sector and area projects no additional sector weightings are assigned at this stage of the evaluation. Sector ratings will be derived from an analysis of available PPERs, PCRs, TCRs, and rapid sector assessments and studies conducted under CAPE.