

EVALUATION MATRIX

Evaluation Criteria/Sub-Criterion	Evaluation Scope	Method
<p>1. Relevance</p> <p>(i) Consistency with national government's development priorities</p> <p>(ii) Consistency with Asian Development Bank's (ADB) assistance strategy</p> <p>(iii) Project formulation and justification</p>	<ul style="list-style-type: none"> • Analysis of the national government's development strategy, particularly rural development • Analysis of ADB's institutional objectives and strategies, sector strategy and Viet Nam Country Program Strategy • Appropriateness of the project's investment modality compared with available options • Adequacy of the assessment of problems, opportunities, and lessons at the time of approval • Analysis of the extent to which project formulation adopted the appropriate solution for the identified problem • Degree of coordination and/or complementarity with development partners • Analysis of the counterfactual situation 	<p>Review documentation—report and recommendation to the President (RRP), project completion report (PCR), back to office reports (BTORs), VIE country operational strategy 1995; country strategy and program update (2005- 2006); country strategy and program (2007-2010)</p> <p>Interviews with ADB staff, Government officials in Hanoi and different provinces, beneficiaries, and key donors</p>
<p>2. Effectiveness</p> <p>(i) Achievement of the Project of its outcome</p> <p>(ii) Contributing factors to the timeliness or delays in project implementation</p> <p>(iii) Changes in scope and/or implementation arrangements</p> <p>(iv) Factors contributing to Project success or failure</p>	<ul style="list-style-type: none"> • Analysis of actual outcome at the time of completion against envisaged targets • Analysis of factors contributing to timely Project implementation • Assessment of factors responsible for any shortfall in achievement or exceeded expectations • Analysis of the reasons for change(s) • Assessment of the effect(s) of the change(s) on project outcome 	<p>Review documentation including budget papers</p> <p>Interviews with ADB staff, Government officials in Hanoi and beneficiaries</p> <p>Survey results</p>

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<p>3. Efficiency</p> <p>(i) Achievement of the Project outcome with efficient use of resources</p> <p>(ii) Achievement of the economic benefits at least costs</p>	<ul style="list-style-type: none"> • Analysis of use of resources actual outcome at the time of completion against envisaged targets • Analysis of costs incurred and any deviations • Analysis of the benefits and costs in the selected subprojects • Calculation of economic internal rate of return at OEM for irrigation and road subprojects and cost effectiveness for water supply subprojects.. 	<p>Review documentation—annual budget papers, BTORs, project report, consultants’ reports</p> <p>Interviews with Government officials, ADB staff</p> <p>Survey results</p>
<p>4. Sustainability</p> <p>(i) Availability of adequate and effective demand for the rehabilitated infrastructures under the Project</p> <p>(ii) Ownership and commitment of the leaders in government and, implementing agencies</p> <p>(iii) Financial viability of operating entities</p> <p>(iv) Institutional capacity</p> <p>(v) Adequacy of policies, regulatory conditions, and incentives for continued operation, maintenance and stakeholder participation</p>	<ul style="list-style-type: none"> • Assess the demand and utilization of rehabilitated infrastructures under the Project including pricing of outputs • Assessment of ownership and commitment of stakeholders or Project outputs • Assessment of the effects of the financial and operating arrangements on the project’s financial viability and sustainability • Assess arrangements and capacity of the institutions and groups in O&M planning and implementation • Assess appropriateness of policies, regulatory conditions and incentives to ensure continued regular activities assisted by the Project 	<p>Review documentation—RRP, PCR, BTORs, monitoring reports</p> <p>Interviews with Government officials, beneficiaries and key donors.</p>
<p>5. Impact</p> <p>(i) Impact on institutions</p>	<ul style="list-style-type: none"> • Assess whether training provided under the Project to the national and provincial staff of the Ministry of Agriculture and Rural Development were effective 	<p>Focus group discussions with relevant representatives Ministry of Agriculture and Rural Development (MARD), Ministry of Transport (MoT) and water users’ groups</p>

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	<p>at various stages of the project cycle including effectiveness of project steering committees, the EA and provincial project management units</p> <ul style="list-style-type: none"> • Adequacy of CPMU and PPMU staffing • Compliance with loan covenants • Quality of monitoring and evaluation processes and reporting • Assessment of coordination and communication between the EA and ADB; EA and implementing agencies and provincial governments 	officials