

**INVESTMENT LOAN ASSESSMENT
(Draft Stakeholder Perception Survey)**

Loan No.	Title	Appraisal Start	Appraisal End	Negotiations Start	Negotiations End

Effectiveness in Loan Agreement	Actual Effectiveness	Physical End Date	Financial End Date	Number of Extensions

Loan Amount	Approved/Revised	Committed	Uncommitted

Related TAs	PPTA	
	ADTA	
	ADTA	

1. Interviews

	Name	Address/email address	Completed
Project Officer			
Agency			
Beneficiaries			

2. Impact

Impact	Achievement	Impact		
		Poverty	Growth	Equity
Overall Rating	Key – 3 = Above Expectations 2 = Meets Expectations 1 = Low 0 = Negligible			

3. Outcomes

Outcome	Target	Achievement	Outcome			
			Technical	Policy/ Regulatory	Capacity Building	Other
Overall Rating		Key – 3 = Above Expectations 2 = Meets Expectations 1 = Below Expectations 0 = Negligible				

4. Component Outputs

Components and Outputs	Target	Achievement

5. Evaluation Criteria

<p>Relevance What institutional and/or market problem did the operation set out to address?</p> <p>Where these the seen as the priority problem/binding constraint, then and now?</p> <p>Was there a demand for the project goods and services? Has the market for the good or service changed?</p> <p>Was the project's rational and justification for government and ADB's involvement clear then and now?</p> <p>Was the project consistent with longer-term development needs and Government's development strategy and priorities?</p> <p>What project alternatives were considered at the design stage? How did these factors affect the project's present relevance?</p>		
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<p>Was the operation consistent with ADB corporate policy and strategy, and consistent with global agreements such as harmonization?</p> <p>How effective was dialogue and consultation with stakeholders during preparation?</p>		
<p>Effectiveness</p> <p>Was the outcome for the operation stated in the CPS?</p> <p>Were planned activities undertaken and outputs achieved?</p> <p>Were the sufficient and the right activities planned and undertaken to achieve outputs and outcomes?</p> <p>Were resources sufficient to generate planned activities and outputs?</p> <p>Were major implementation issues resolved?</p> <p>What were major shortcomings, unintended side effects? What were the reasons?</p> <p>How far have assistance outcomes been achieved? Are they verifiable?</p> <p>What factors affecting achievement of outputs and outcome were within and outside EA and ADB's control?</p>		
<p>Efficiency</p> <p>Was the operation prepared, negotiated and started in a timely manner?</p> <p>Were EA's/IAs ready to provide services and products as planned?</p> <p>Were beneficiaries aware of the projects purpose, costs and benefits?</p> <p>Were services, products, delivered as planned and on time?</p> <p>Were unit costs in line with planned costs, reasonable and in line with comparator programs?</p> <p>Did the government at the relevant level provide counterpart funds as and when needed and planned?</p> <p>Were transactions costs reasonable?</p> <p>Were there unanticipated costs?</p> <p>Were benefits realized, used, and valued as planned by beneficiaries?</p>		

<p>Did users pay for goods and services as planned?</p> <p>How does the EIRR (where applicable) at project completion compare with the ex-ante evaluation?</p>		
<p>Sustainability</p> <p>Are institutions or goods and service providers able to continue to provide the service or goods after project completion?</p> <p>Are beneficiaries still using the goods and services?</p> <p>How satisfied are beneficiaries with goods and services provided as a result of the assistance?</p> <p>Are beneficiaries still paying for goods and services where expected? What factors affect willingness-to-pay?</p> <p>How does the FIRR (where applicable) at project completion compare with the ex-ante evaluation?</p> <p>Are institutions able to finance recurrent costs and pay for goods and services after project completion? What factors have affected the fiscal sustainability?</p> <p>Are personnel involved in the TA and/or loan or those who received training and advisory support still with the project/program? Do present personnel have the capacity to provide the service supported by the TA? What is the degree of resilience of development benefit:</p> <ul style="list-style-type: none"> • technical • financial • economic • social support • environmental • ownership 		
<p>Institutional Development</p> <p>How has the operation contributed to the strengthening of the public institution capacity for stable, transparent, predictable implementation of mandates?</p> <p>How has the operation contributed to improving organization capacity?</p> <p>How has the operation contributed to improving private sector capacity?</p> <p>How has the operation contributed to improving civil society and NGO organization?</p> <p>How has the operation contributed toward improving governance of the public sector?</p>		

<p>Other Impacts</p> <p>How did the project contribute to macro-economic balance and growth?</p> <p>How did the project contribute to improved and more equitable distribution of goods, services, income improvements?</p> <p>How did the project contribute to poverty reduction and MDGs?</p> <p>How did the project contribute to environmental sustainability?</p> <p>Were there any unanticipated positive or negative impacts?</p>		
Overall rating		
<p>Key – 3 = High 2 = Substantial 1 = Low 0 = Negligible</p>		

6. Key Issues

ADTA Rapid Assessment

1. Name of ADTA			
2. ADTA No.			
3. Recipient Agency			
4. Date of TA Approval			
5. Date of TA Commencement		6. Date of TA Completion	
7. ADTA Amount		8. Amount unspent at closure in Agency	

PREPARATION OF ADTA

1. How high was this ADTA in the Government's priorities?

High Medium Low Don't Know

2. To what degree did the ADTA meet the Agency's priorities?

High Medium Low Don't Know

3. Who was the principal player in identifying the need for the TA?

ADB Mainly Executing Agency
 ADB assisted by Agency Don't know

4. Did the TA build on previous work of a TA or ADB investment loan?

Yes No Don't Know

5. Could the TA be regarded as a step in a more general reform program which required further assistance in the future?

Yes No Don't Know

6. Was there adequate consultation with relevant Agency staff in the development of the terms of reference for the ADTA?

Yes No Don't Know

6a. *If No, please state reasons:*

13. Did the design seek to transfer skills to the Agency by the end of the ADTA?

Yes No Don't Know

13a. *If Yes:* How satisfied was the Agency with the approach to technology and skills transfer to Agency staff?

Very satisfied Satisfied
 Not satisfied Don't know

12b. *If Not Satisfied,* please state reasons: _____

14. Did the project seek to make recommendations for policy reform?

Yes No [Go to Q18] Don't Know

14a. *If Yes:* How suitable were the policy recommendations?

Very suitable Suitable
 Not suitable Don't know

14b. *If not suitable,* please state reasons: _____

15. Was there sufficient and appropriate involvement from central agencies like the Office of the President, Ministry of Finance and other agencies responsible for determining whether or not the proposals were accepted?

Yes No Don't Know

14a. *If No,* please indicate problems that occurred as a result.: _____

16. Did the Agency alter the policy recommendations of the ADTA to be accepted by the Government?

Yes No Don't Know

17. To what extent have the policy recommendations been accepted by the Government?

Fully

Partially

Not at all

Don't know

IMPLEMENTATION OF ADTA

18. When were the counterpart staff made available for the ADTA?

At the outset

Shortly after the start of the
ADTA

Late in the implementation of the
ADTA

Don't know

19. Did the counterpart staff work full time on the ADTA?

Yes

No

Don't Know

20. Were the counterpart staff the people who continued (or will continue) the work of the ADTA after completion?

Yes

No

Don't Know

21. Were recommendations made by the ADTA to improve the functioning of the Agency?

Yes

No

Don't Know

21a. *If Yes:* How substantially were they acted upon?

Substantially

Partially

Not at All

21b. Were the recommendations easy to implement?

Yes

No

Don't Know

22. Did the ADTA do any training of staff?

Yes

No [Go to Q27]

Don't Know

22a. *If Yes*: What level of long term improvement in staff performance did the training produce?

Marked improvement

Some improvement

No improvement

Don't know

23. Was the training

Too long?

Just right?

Too short?

24. How many staff were trained by the ADTA? (Please indicate number) _____

25. Do majority of the counterpart staff who worked on the ADTA still work with the Agency?

Yes

No

Don't Know

25a. *If No*: Do they still work in the public sector?

Yes

No

Don't Know

25b. *If No*: Broadly, why did they leave the Agency and the public sector?

26. Of those who are still with the Agency, how well are the trained staff performing now?

Exceptional

Satisfactory

Partly satisfactory

Unsatisfactory

Not applicable

26a. If unsatisfactory, how much more training is required?

A lot

A little

Don't know

26b: Is there any specific training program that you feel is needed? Please indicate the topic.

27. How well did the ADTA contribute to the major objectives of the assistance?

- All objectives were achieved Most of the objectives were achieved
 Few objectives were achieved Nothing was achieved.

28. Did the Agency senior management play a major role in the work of the ADTA?

- Yes No Don't Know

28a. *If No:* Did the lack of involvement have an adverse effect on the outcomes of the ADTA?

- Yes No Don't Know

29. How many supervision missions of the ADTA were conducted by ADB? (Please indicate number)

_____ Don't Know

30. How satisfactory was ADB's supervision of the ADTA?

<input type="checkbox"/> Very satisfactory	<input type="checkbox"/> Satisfactory
<input type="checkbox"/> Unsatisfactory	

30a. *If unsatisfactory,* please indicate reasons: _____

31. Was the ADB project officer competent to manage the technical requirements of supervision?

- Yes No Don't Know

31a. *If No,* please indicate reasons.: _____

32. Did the ADB project officer demonstrate a good understanding of the broad requirements of the ADTA?

- Yes No Don't Know

32a. *If No,* please indicate reasons: _____

33. Please list the major problems with the ADTA implementation.

PERFORMANCE OF CONSULTANTS

34. How well did the consultants understand the needs of Agency?

- Understood completely**
 Generally understood
 Understood a little
 Don't Know

35. How well did the consultants communicate with officers and staff of the Agency?

- Communicated effectively**
 Generally communicated well
 Communication was poor
 Don't Know

36. On balance, how effective was the consultant team in communicating and/or demonstrating best practice?

- Very effective**
 Partially effective
 Ineffective
 Don't Know

37. Did the consultants

- help the Agency do the required work?**
 do the work for the Agency?

38. Was there a problem involving the consultant/s?

- Yes**
 No
 Don't Know

- 38a. *If Yes:* Was any problem involving the consultant/s resolved?

- Fully**
 Partially
 Not at all
 Don't Know

39. Would it have been useful if the consultants had been available for a longer period?

Yes

No

Don't Know

GENERAL

40. Please rate ADB's responsiveness and flexibility.

Very satisfactory

Satisfactory

Unsatisfactory

Don't Know

40a. *If Unsatisfactory, in what way?* _____

41. Please rate the long term effectiveness of the ADTA on the Agency.

Successful

Partly successful

Unsuccessful

Don't know

42. Compared with your experience with other donors, how would you rate ADB?

The best

Better than most

Average (Just like the others)

Worse than most

The worst

Don't know
