

APEC Financial Regulators Training Initiative
Seminar on Risk Management for Securities Regulators

Presented by the Financial Services Authority (FSA) - UK

17-21 May 2010
 Manila, Philippines

Hour	Monday	Tuesday		Wednesday	Thursday	Friday	
9:00 to 10:30	Participant Registrations (9:00 – 9:15)	9.00 to 9.30	Implementing a risk-based approach	The use of enforcement and regulatory tools	Risk Governance and Reporting	Wrap-up session – Lessons learnt	
	Welcome and Opening Remarks (9:15 – 10.00)						
	Official Photo& Participant Introductions (10.00 - 10.15)	9.30 to 10.30	ARROW – Setting the scene for planning	ARROW – Setting the scene for evaluation	ARROW – Setting the scene for validation, communication with firms & on-going monitoring		
	FSA introduction to the week (10.15 - 10.30)						
Break		Break		Break		Break	
11:00 to 12:30	Managing risk within your organisation	ARROW Case Study – Planning (Part 1)		ARROW Case Study – evaluation (Part 1)	ARROW Case Study - validation	Certificate Presentation & Closing Remarks	
LUNCH		LUNCH		LUNCH		LUNCH	
1:45 to 3:15	Managing risk within your regulated firms – overview of ARROW II & the ARROW risk model (Part 1)	1.45 to 2.30	ARROW Case Study – Planning (Part 2)	ARROW Case Study – evaluation (Part 2)	Economy Presentations – presentations by participants on risk related regulatory issues	FREE TIME	
		2.30 to 3.15	ARROW – Setting the scene for discovery				
Break		Break		Break		Break	
3:30 to 4.30	Managing risk within your regulated firms – overview of ARROW II & the ARROW risk model (Part 2)	Changes to ARROW since the financial crisis		Quality assurance and compliance monitoring	Economy Presentations – presentations by participants on risk related regulatory issues	FREE TIME	
4.30 to 5.00	Daily Summary & Day 2 materials issued	Daily Summary & Day 3 materials issued		Daily Summary & Day 4 materials issued	Daily Summary & Q&A		