

APEC Financial Regulators Training Initiative

Seminar on Regulation of New Products

8-12 March 2010

Shanghai, People's Republic of China

Hour	Monday	Tuesday	Wednesday	Thursday	Friday
9:00 to 10:30	Participant Registrations (9:00 – 9:30) Welcome and Opening Remarks by Dr. JIANG Yang(Assistant Chairman of CSRC) (09:30-9:45) Special Address 1.Stock Index Futures 2.Margin Trading (9:45 – 10:30)	Collateralised Debt Obligations	Credit Linked Notes Regulation & Risks	An effective Compliance Framework for regulation of new products	Disclosure
	Official Photo & Participant Introductions Break	Break	Break	Break	Break
11:00 to 12:30	Regulation and Risk Management of New Products	CDOs Case Study & Class Exercise	Case Study & Class Exercise Credit Linked Notes	Regulation of CIS/Unit Trusts	Wrap-up Session – Sharing of Experience Presenters & Participants
	LUNCH	LUNCH	LUNCH	LUNCH	LUNCH
1:45 to 3:15	Credit Derivatives regulation & Risks	Regulation of Financial Advice	Regulation of Futures Products - Margin Trading (SBL & short selling), Stock Index Futures (SIF)	The application of Risk- Based Supervision to Derivatives & Structured Products	FREE TIME
	Break	Break	Break	Break	Break
3:30 to 5:00	Case Study & Class Exercise Credit Derivatives	Index-Linked Notes & Other Similar Products Regulation & Risks	Investigation & Enforcement Practices and Case Studies covering new products	Visit to China Financial Futures Exchange(CFFEX)	FREE TIME