

Appendix 2

Sector and Thematic Reports

Suggested Outline of Contents of Sector and Thematic Reports

| Main Subjects | Indicators/Method of Assessment | Source of Data/ Method of Feedback |
|---|---|--|
| Status of MDGs in the Concerned Sector/ Thematic Area in Region | | |
| Status of MDGs in concerned sector/thematic area and contribution of ADB sector/thematic work in achieving the MDGs. | MDG indicators, where applicable, or intermediate proxy indicators (as used in CPSs/CPS Reviews); RSDD assessments | Reports of UN agencies, supplemented by data from country sources; country documents such as CPS and CPS Reviews |
| Contributions to Country Level Operations⁷⁴ | | |
| <ol style="list-style-type: none"> Quality of thematic assessments and sector assessments and road maps in CPSs finalized during the review period <ul style="list-style-type: none"> Conformity with sector/thematic policies Linkage and integration to CPS outcomes and 3 pillars of PRS Consistency of program with sector/thematic assessments Depth, comprehensiveness, data quality Analysis of partnerships and work of other stakeholders Sector/thematic implementation record <ul style="list-style-type: none"> Contribution to CPS outcomes Portfolio performance issues, implementation record and development objectives, including trends at the regional and institution-wide levels Lending and TA operations—commitment and disbursement trends, region and institution-wide in OCR, ADF and grants Evaluation Analysis of broad trends including project quality | <ol style="list-style-type: none"> Assessment of CPS documents by RSDD RSDD assessment based on <ul style="list-style-type: none"> Progress of key policy, institutional changes, and other important development objectives being monitored Portfolio analysis RSDD assessment based on post-evaluation data | <ol style="list-style-type: none"> CPS documents COSO data,⁷⁵ SPD MIT database,⁷⁶ Country Performance Assessments for ADF countries, CPS Reviews,⁷⁷ PPRs and other existing reports, RD sources PCRs/TCRs; PPARs/TPARs and other OED sources, RD sources |

continued on next page

⁷⁴ OED has developed a framework for assessing the soundness of strategy which may be considered. This involves assessing: (i) adequacy of strategic gap analysis through establishment of baseline indicators and timebound targets; (ii) quality sector analysis; (iii) adequacy of option identification; (iv) internal integrity of strategy; (v) partnership and external consistency; (vi) positioning in terms of organizational competence and comparative advantage; (vii) acceptability of strategy by key stakeholders; (viii) feasibility of strategy; (ix) basis for strategy selection.

⁷⁵ The PPMS system needs to reflect sector implementation information more meaningfully. SPD, COSO, OIST, and RSDD should coordinate to ensure that such information is available from the system.

⁷⁶ Available in W drive under SPD.

⁷⁷ The CPS Reviews should cover progress of key policy and institutional reforms identified in the CPS sector road maps and thematic assessments that are necessary for implementation of the CPS.

| Main Subjects | Indicators/Method of Assessment | Source of Data/ Method of Feedback |
|---|--|--|
| Contributions to Regional and Subregional Contributions to Regional and Subregional Cooperations | | |
| <ol style="list-style-type: none"> 1. Contribution of sector/theme to RCPS 2. Regional activities conducted in sector/thematic area | <ol style="list-style-type: none"> 1. Assessment of contribution to RCPS outcomes 2. Assessment of regional activities by RSDD | <ol style="list-style-type: none"> 1. RCPS documents, MIT data 2. Report from departments on subregional activities, RSDD sources for regional activities, MIT data |
| Contributions to Sector/Thematic Policy/Strategy | | |
| <ol style="list-style-type: none"> 1. Contribution of lending and nonlending activities to key elements of sector/thematic policy or strategy (specify examples of key elements in individual guidelines) 2. Issues encountered in policy/strategy implementation and whether review is necessary 3. In case of sectors, mainstreaming of themes in sector area; in case of themes, mainstreaming sector wise. | RSDD assessment | Based on RRP, TA papers, completed PCRs, TCRs, PPARs, TPARs, CPS completion and evaluation reports, special evaluation studies. |
| Contributions to Knowledge Management and Good Practices | | |
| <ol style="list-style-type: none"> 1. Spread of good practices in sector/thematic area 2. Knowledge generation in ADB 3. Knowledge dissemination | RSDD assessments of all KPS including ESW, guidelines, tool kits, etc. | RSDD information base supplemented by information from RDs and networks |
| Human Resources | | |
| Skills mix and adequacy (use competency framework if available) | RSDD analysis of <ol style="list-style-type: none"> 1. Gaps/surpluses of specialist positions in departments⁷⁸ 2. Unfilled vacancies 3. Percentage of specialists completed ADB training requirements | BPMSD data sources supplemented with information from RDs and networks |
| Partnerships | | |
| <ol style="list-style-type: none"> 1. Funding generated, including bilateral funds 2. Participation of NGO/civil society 3. Harmonization | RSDD assessment based on <ol style="list-style-type: none"> 1. Cofinancing generated (including parallel) 2. Bilateral grants and funds generated 3. SWAPs approved 4. Proportion of projects with NGO/civil society participation 5. Number of joint projects, conferences | <ol style="list-style-type: none"> 1. OCO/RDs/PSOD data 2. OCO/RDs/MIT data/RSDD 3. Report from RDs 4. NGO Center/report from RDs 5. Reports collected from departments |
| Recommendations Regarding Future Activities | | |
| In the light of past performance and on basis of analysis of each of the aspects highlighted above, what changes are required for more effective sector/thematic outcomes in the future, including <ul style="list-style-type: none"> • Recommendations about future program • Suggestions regarding knowledge management • Suggestions on project quality and quality of sector/thematic assessments and road maps • Analysis of existing policy/strategy and whether review necessary • HR and other suggestions | Overall RSDD recommendations | RSDD information consultation with networks |

⁷⁸ Assessment of gaps may require collecting information on actual number of specialists working in the specialist positions as opposed to number of specialists recruited.