



Initial Poverty and Social Assessment

Project Number: 40006
September 2009

IND: Project Preparatory Technical Assistance for Urban Transport

An initial poverty and social assessment (IPSA) is prepared in the early stage of the project cycle to assess the significance of social issues for a project. In accordance with ADB's public communications policy (PCP, 2005), the IPSA is disclosed upon completion. The final summary social assessment is included as an appendix to the project's report and recommendation of the President.

Asian Development Bank

INITIAL POVERTY AND SOCIAL ANALYSIS

Country/Project Title:

Lending/Financing Modality: Department/Division:

I. POVERTY ISSUES

A. Linkages to the National Poverty Reduction Strategy and Country Partnership Strategy:

The Country Partnership Strategy for India (2009-2012, expected for approval in 2009) is closely aligned with the priorities of India's 11th Five Year Plan (FY2007–FY2011). It supports the Government's efforts towards promoting broad-based and inclusive growth, catalyzing investment, and improving the effectiveness of development interventions. In order to make the growth process more inclusive, the Government of India will focus on infrastructure investment in Indian cities to improve public urban transport in the coming years. Under ADB's Country Operations Business Plan (2009), the PPTA will support inclusive economic growth in Indian cities by preparing investment for public urban transport improvements, which will create affordable and safe modes of transport for commuters.

B. Targeting Classification

1. Select the targeting classification of the project:

General Intervention Individual or Household (TI-H); Geographic (TI-G); Non-Income MDGs (TI-M1, M2, etc.)

2. Explain the basis for the targeting classification:

The ensuing Project is aimed to reduce poverty and deprivation, and support economic growth by way of the following: (i) providing enhanced access to jobs, markets and social services, including health and education; (ii) providing urban habitations with affordable and safe transport connections; and (iii) improving the efficiency and sustainability of municipal governments by providing capacity building, research and development, and development of financing and implementation arrangements for maintaining state public transport throughout their economic life.

C. Poverty Analysis

1. If the project is classified as TI-H, or if it is policy-based, what type of poverty impact analysis is needed? *N.A.*

2. What resources are allocated in the PPTA/due diligence?

The PPTA has allocated nine person-months of National Consultants' time to conduct social and poverty analysis.

3. If GI, is there any opportunity for pro-poor design (e.g., social inclusion subcomponents, cross subsidy, pro-poor governance, and pro-poor growth)?

The Project is designed to support the Government of India's poverty reduction strategy by (i) providing equal job opportunities for women and ethnic minorities during project construction and operation; and (ii) disseminating information and preparing local communities to take advantage of the enhanced accessibility of various markets, jobs, government policies and initiatives that will become available to them after the construction phase.

II. SOCIAL DEVELOPMENT ISSUES

A. Initial Social Analysis

Based on existing information:

1. Who are the potential primary beneficiaries of the project? How do the poor and the socially excluded benefit from the project?

A poverty and social analysis will be carried out through the TA to identify the socio-economic profile of the population in the project influenced areas, expected benefits and constraints and ability of the poor and vulnerable groups to benefit from the Project.

2. What are the potential needs of beneficiaries in relation to the proposed project?

Efforts will be made to identify potential needs for the beneficiaries during PPTA study.

3. What are the potential constraints in accessing the proposed benefits and services, and how will the project address them?

Efforts will be made to address potential constraints if identified during PPTA study.

B. Consultation and Participation

1. Indicate the potential initial stakeholders: Ministry of Urban Development, Government of India, State and municipal government officials, other development partners active in India's Urban Transport sector; India Railways, Bus companies and other public transport provider, business and community groups; urban road users, etc.
2. What type of consultation and participation (C&P) is required during the PPTA or project processing (e.g., workshops, community mobilization, involvement of non-government organizations and community-based organizations, etc.)?
Workshops, community mobilization for transact walks and consultation.
3. What level of participation is envisaged for project design?
 Information sharing Consultation Collaborative decision making Empowerment
4. Will a C&P plan be prepared? Yes No Please explain.
Community meetings and focus group discussions will be organized during the course of the social assessment. These will involve men, women, farmers, indigenous people, schedule castes, high income groups, poor, shopkeepers, and bus/taxi/rickshaw drivers etc. to ensure a comprehensive perspective on the project as well as its impacts. Other key stakeholders such as community representatives, Block Development Officers, health worker, school teachers etc. will also be consulted by means of key stakeholder discussions. In addition, consultations will also be undertaken with the affected households and communities during the course of the resettlement census survey of all affected people along all project road/railway alignments.

C. Gender and Development

1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project/program?
The Project design would include measures so that women's groups can be actively engaged in developing the Project to ensure that their needs are addressed. In addition, the EA will be required to ensure that women and men are given equal opportunities for employment on the project. Contractors will be required to ensure equal payment for equal work. A specific clause will be included in the bidding documents of the civil works. Monitoring mechanism will be developed to monitor these actions in due course.
2. Does the proposed project/program have the potential to promote gender equality and/or women's empowerment by improving women's access to and use of opportunities, services, resources, assets, and participation in decision making? Yes No
3. Could the proposed project have an adverse impact on women and/or girls or to widen gender inequality?
 Yes No
Women and men will equally benefit from the Project through employment opportunities during construction and operation as well as lower costs of transport. However, efforts will be made to identify possible positive and negative impacts on women during the PPTA stage, and, if necessary, to provide some indirect benefits or mitigating features (such as provision for employment of women in project construction work, information campaigns on HIV/AIDS risk, or special resettlement assistance to households headed by women).

III. SOCIAL SAFEGUARD ISSUES AND OTHER SOCIAL RISKS

Issue	Nature of Social Issue	Significant/Limited/No Impact/Not Known	Plan or Other Action Required
Involuntary Resettlement	The TA will identify any potential land acquisition and resettlement required for the proposed project.	not known	<input type="checkbox"/> Full Plan <input type="checkbox"/> Short Plan <input type="checkbox"/> Community Participation Framework <input type="checkbox"/> No Action <input checked="" type="checkbox"/> Uncertain
Indigenous Peoples	The TA will identify any ethnic group along the projects and identify the likely project impact on indigenous people and/or ethnic minority.	not known	<input type="checkbox"/> Plan <input type="checkbox"/> Other Action <input type="checkbox"/> Indigenous Peoples Framework <input type="checkbox"/> No Action <input checked="" type="checkbox"/> Uncertain

Labor <input checked="" type="checkbox"/> Employment Opportunities <input type="checkbox"/> Labor Retrenchment <input type="checkbox"/> Core Labor Standards	Child labour issue, employment opportunities for women, provisions on labour (including equal pay for equal work) will be explored during PPTA stage.	not known	<input type="checkbox"/> Plan <input type="checkbox"/> Other Action <input type="checkbox"/> No Action <input checked="" type="checkbox"/> Uncertain
Affordability	No affordability issues are expected.	no impact	<input type="checkbox"/> Action <input checked="" type="checkbox"/> No Action <input type="checkbox"/> Uncertain
Other Risks and/or Vulnerabilities <input checked="" type="checkbox"/> HIV/AIDS <input type="checkbox"/> Human Trafficking <input type="checkbox"/> Others (conflict, political instability, etc.), please specify	The issues of HIV/AIDS, gender, human trafficking, and road safety will be explored during PPTA stage.	not known	<input type="checkbox"/> Plan <input type="checkbox"/> Other Action <input type="checkbox"/> No Action <input checked="" type="checkbox"/> Uncertain

IV. PPTA/DUE DILIGENCE RESOURCE REQUIREMENT

1. Do the TOR for the PPTA (or other due diligence) include poverty, social and gender analysis and the relevant specialist/s?
 Yes No If no, please explain why.

2. Are resources (consultants, survey budget, and workshop) allocated for conducting poverty, social and/or gender analysis, and C&P during the PPTA/due diligence? Yes No If no, please explain why.