

# COMPLIANCE REVIEW QUARTERLY

## e-NEWSLETTER



### OCRP WELCOMES THE COMPLIANCE REVIEW PANEL IN MANILA

The Office of the Compliance Review Panel (OCRP) provided administrative and technical support to the Compliance Review Panel (CRP) who convened in Manila on 14-18 September 2009 to hold interviews of ADB staff for its compliance review of PRC: Fuzhou Environmental Improvement Project. CRP also discussed preparatory works for its upcoming monitoring of PAK: Chashma Right Bank Irrigation Project (Stage III) and the likely arrangements for the imminent review of the Accountability Mechanism policy. CRP held discussions with OAG and attended an RSES briefing on the Safeguard Policy Updates.



### OCRP TO HOST IAMnet

On 18 September 2009, ADB approved a technical assistance (TA) grant of \$150,000 for the piloting of a private Community of Practice (CoP) for Independent Accountability Mechanisms in the form of a virtual learning network called **IAMnet** (<http://iamnet.adb.org>). The CoP will have as members several international and bilateral finance institutions such as the AfDB, World Bank, IFC/MIGA/CAO, EBRD, EIB, IADB, JBIC, NEXI, and OPIC. OCRP will implement the TA and will host the website during a 12-month pilot period commencing in October 2009. **IAMnet** features an online discussion to be led by an international expert, news items authored by individual members, IAM News linking current news events from the various members; a toolkit on accountability, compliance and governance; and a calendar of events hosted by members. OCRP will recruit individual consultants to serve as online facilitator and website administrator. **IAMnet** may provide an ideal platform for members to exchange information on policy reviews now underway at different institutions.

### OCRP TO DEVELOP A WEB-BASED SYSTEM TO MONITOR IMPLEMENTATION OF COMPLIANCE RECOMMENDATIONS

OCRP will develop a web-based tracking system to monitor Management's implementation of Board-approved remedial actions on compliance review. The monitoring system, to be known as MIRA – Management's Implementation of Remedial Actions for compliance review – will be developed by a web developer who will commence work in October 2009. OCRP expects MIRA to be rolled out in the first quarter of 2010 followed by a six-month pilot period.

## NEW CHAIR AND NEW MEMBERS, BOARD COMPLIANCE REVIEW COMMITTEE, July/September 2009

On 1 July 2009, a new Chair and new members were appointed by ADB to the Board Compliance Review Committee (BCRC). The new Chair is Executive Director Edward Westreicher and the new members are Executive Director Howard Brown, Executive Director Siraj Shamsuddin, Alternate Executive Director Md. Aminul Islam Bhuiyan, and Alternate Executive Director James Tsuen-hua Shih. Another new BCRC member, Alternate Executive Director Govinda Bahadur Thapa, was appointed on 1 September 2009. All six BCRC members will serve up to 30 June 2011.

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## ORIENTATION ON ADB ACCOUNTABILITY MECHANISM, July and August 2009

OCRP's Bruce Purdue and Dewi Utami, together with OSPF's Robert May and Karin Oswald, gave separate briefings to new ADB staff, ADB interns, and members of External Forum on Gender and Development about ADB's Accountability Mechanism. The briefings were held in ADB Headquarters, Manila in July and August 2009.

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## THE 6<sup>th</sup> ANNUAL MEETING OF INDEPENDENT ACCOUNTABILITY MECHANISMS, 24-25 June 2009

CRP, OCRP and the Special Project Facilitator attended the 6<sup>th</sup> Annual Meeting of Independent Accountability Mechanisms (IAMs) held in Washington D.C. on 24-25 June 2009. This year's event was hosted by IFC/MIGA's Compliance Advisor Ombudsman (CAO) and attended by international and bilateral finance institutions such as the ADB, AfFD, EBRD, EIB, IADB, IFC/MIGA, JBIC, NEXI, OPIC, and World Bank. Among the topics discussed were: new developments, especially the reviews of IAMs at EIB, EBRD, and IADB; governance and independent

accountability mechanisms; collaborative complaint handling; obstacles and opportunities in dispute resolution and compliance; measuring the performance of IAMs; and external and internal outreach. OCRP's Bruce Purdue presented the proposal for the establishment of a community of practice for IAMs i.e., IAMnet, which was welcomed, and SPF Robert May moderated a group discussion on collaborative complaint-handling on projects involving multiple IFIs.

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## OCRP and CRP MEETINGS IN WASHINGTON D.C., June 2009

Coinciding with the 6<sup>th</sup> Annual Meeting of Independent Accountability Mechanisms, CRP and OCRP held its first joint meeting for 2009 in Washington D.C. Following the meeting, the CRP determined the eligibility of the request for compliance review for the PRC: Fuzhou Environmental Improvement Project. CRP and OCRP also discussed the work program for the remainder of 2009, the specific tasks in relation to the monitoring of two cases, namely – PAK: Chashma Right Bank Irrigation Project (Stage III) and SRI: Southern Transport Development Project as well as the planned outreach activities for 2009.

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The ADB North American Resident Office arranged for CRP and OCRP to meet with locally-based civil society organizations (CSOs) and nongovernment organizations (NGOs) on 23 June 2009. The OCRP informed the representatives as to the future review of ADB's Accountability Mechanism. OCRP also met with the secretariat to the World Bank's High Level Commission on Modernizing the Governance of the World Bank Group.



## OCRP REVAMPS THE CRP WEBSITE

OCRP is currently revising the CRP website with the help of a web developer. The new homepage highlights the important features of the site such as How to File a Request for Compliance Review, the compliance review cases, information on the CRP, and expressions of interest for compliance experts. The website provides information on the role of both the CRP and OCRP under the compliance review phase of ADB's Accountability Mechanism. These improvements are expected to facilitate a visitor's ability to navigate the site and access information so they can better understand and make use of the Accountability Mechanism.



## NEW BROCHURE ON COMPLIANCE REVIEW , June 2009

In June 2009, OCRP published a new brochure on Compliance Review and has since translated it into 6 DMC languages – Armenian, Georgian, Chinese, Russian, Thai, and Urdu. The new brochure targets project affected people and guides them in filing a request for compliance review with the independent Compliance Review Panel. The brochure and its translated versions are available in the website: [www.compliance.adb.org](http://www.compliance.adb.org). New versions in Bahasa Indonesia, Hindi, and Pilipino are currently under preparation.

## VISITORS

OCRP received the following visitors:

- *Heidi Crebo-Rediker*, Chief for International Finance and Economics, U.S. Senate Foreign Relations Committee, and *Nicholas Ma*, U.S. Senate Foreign Relations Committee, 24-26 August 2009
- *Susan Park*, Lecturer from the University of Sydney, 1-3 July 2009
- *Ken Norman*, Managing Director of Pario Solutions Group, 18 June 2009

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