

## PROCUREMENT PLAN

Table A7.1: Project Information

Project Information	
Country	India
Name of Borrower	India
Project Name	MFF: Uttarakhand Urban Sector Development Program
Loan or TA Reference	TBD
Date of Effectiveness	TBD
Amount (\$)	\$350 million
Of which Committed, (\$)	–
Executing Agency	Urban Development Department The Government of Uttarakhand
Approval Date of Original Procurement Plan	–
Approval of most recent Procurement Plan	–
Publication for Local Advertisements	–
Period Covered by this Plan	2008–2016

MFF = multitranchise financing facility, TA = technical assistance, TBD = to be determined.

Source: Asian Development Bank.

Table A7.2: Procurement Thresholds, Goods and Related Services, and Supply and Installation of Works

Procurement Method	Threshold
International Competitive Bidding Works	\$10,000,000 and above
International Competitive Bidding Goods	\$1,000,000 and above
National Competitive Bidding Works	Below \$10,000,000
National Competitive Bidding Goods	Below \$1,000,000
Limited International Bidding	Below \$1,000,000
Shopping	Below \$100,000

Source: Asian Development Bank.

Table A7.3: Indicative Contract Packages

No	Contract Description	Method	Advertisement	Contracts Value (\$ million)	Prior Review	Comments
1	Water supply optimization works in Dehradun	NCB	July 2008	8.4	Required	To be financed by tranche 1
2	Mobile generator in Dehradun	NCB	July 2008	0.4		To be financed by tranche 1
3	GIS-based pipeline network mapping in Dehradun	NCB	July 2008	0.2		To be financed by tranche 1
4	Hydrogeological survey	NCB/ SHP	July 2008	0.1		To be financed by tranche 1
5	Water supply optimization works in Nainital	NCB	July 2008	5.6	Required	To be financed by tranche 1
6	GIS-based pipeline network mapping in Nainital	NCB	July 2008	0.2		To be financed by tranche 1
7	Water supply optimization works in Haridwar	NCB	July 2008	7.1	Required	To be financed by tranche 1
8	GIS-based pipeline network mapping in Haridwar	NCB	July 2008	0.2		To be financed by tranche 1
9	Supply, installation, testing and commissioning of domestic water meters for Dehradun, Nainital, and Haridwar	LIB/ NCB	July 2008	5.6	Required	To be financed by tranche 1
10	Laying, testing, and commissioning of sewer lines in Dehradun	ICB	July 2008	11.3	Required	To be financed by tranche 1
11	Design, supply, construction, testing, and commissioning of sewage treatment plant in Dehradun	NCB	July 2008	8.3	Required	To be financed by tranche 1
12	GIS-based property tax mapping and system for Dehradun, Haridwar, and Nainital	NCB	July 2008	0.3		To be financed by tranche 1
13	Rehabilitation and augmentation of water supply system in Dehradun	ICB	September 2009	11.8	TBD <sup>a</sup>	To be financed by tranche 2

No	Contract Description	Method	Advertisement	Contracts Value (\$ million)	Prior Review	Comments
14	Construction of water overhead tanks in Dehradun	NCB	September 2009	7.6	TBD <sup>a</sup>	To be financed by tranche 2
15	Rehabilitation and augmentation of water supply system in Nainital	NCB	September 2009	4.2	TBD <sup>a</sup>	To be financed by tranche 2
16	Design, supply, construction, testing, and commissioning of capacity water softening plant in Nainital	NCB	September 2009	0.2	TBD <sup>a</sup>	To be financed by tranche 2
17	Rehabilitation and augmentation of water supply system in Rudrapur	NCB	March 2010	3.9	TBD <sup>a</sup>	To be financed by tranche 2
18	Supply, installation, testing and commissioning of domestic water meters in Rudrapur	LIB/NCB	January 2010	0.7	TBD <sup>a</sup>	To be financed by tranche 2
19	Supply, laying, testing, and commissioning of sewer lines in Rudrapur	NCB	March 2010	3.7	TBD <sup>a</sup>	To be financed by tranche 2
20	Design, supply, construction, testing, and commissioning of a sewage treatment plant in Rudrapur	NCB	March 2010	2.4	TBD <sup>a</sup>	To be financed by tranche 2
21	Improvement of basic infrastructure in about 69 slums in Dehradun	NCN/SHP	March 2010	3.8	TBD <sup>a</sup>	To be financed by tranche 2 May divide into various contracts
22	Improvement of basic infrastructure in about 10 slums in Nainital	NCN/SHP	March 2010	1.0	TBD <sup>a</sup>	To be financed by tranche 2 May divide into various contracts
23	Improvement of basic infrastructure in about 20 slums in Haridwar	NCN/SHP	March 2010	1.3	TBD <sup>a</sup>	To be financed by tranche 2 May divide into various contracts
24	Improvement of basic infrastructure in about 16 slums in Rudrapur	NCN/SHP	March 2010	4.8	TBD <sup>a</sup>	To be financed by tranche 2 May divide into various contracts
25	Rehabilitation, expansion and augmentation of water supply system including water treatment plants for Roorkee, Srinagar, Pauri, Uttarkashi, Gopeshwar, and Rudraprayag	ICB/NC B	September 2010	23.0	TBD <sup>a</sup>	To be financed by tranche 3. May divide into various contracts
26	Rehabilitation, expansion and augmentation of water supply system including water treatment plants for Almora, Bageshwar, Pithoragarh, and Champawat	ICB/NC B	September 2010	15.0	TBD <sup>a</sup>	To be financed by tranche 3. May divide into various contracts
27	Rehabilitation, expansion and augmentation of water supply system including water treatment plants for Haldwani, Kashipur, Kichcha, and Ramnagar	ICB/NC B	September 2010	11.0	TBD <sup>a</sup>	To be financed by tranche 3. May divide into various contracts
28	Construction of sewerage system including sewage treatment plant in Roorkee	NCB	March 2011	8.00	TBD <sup>a</sup>	To be financed by tranche 3. May divide into various contracts
29	Construction of sewerage system including sewage treatment plant in Gangotri, Yamunotri, Barkot and Uttarkashi	NCB	March 2011	7.0	TBD <sup>a</sup>	To be financed by tranche 3. May divide into various contracts
30	Construction of sewerage system including sewage	NCB	March 2011	9.0	TBD <sup>a</sup>	To be financed by tranche 3.

No	Contract Description	Method	Advertisement	Contracts Value (\$ million)	Prior Review	Comments
	treatment plant in Badrinath, Joshimath, Gopeshwar and Rudraprayag					May divide into various contracts
31	Construction of sewerage system including sewage treatment plant in Almora, Bageshwar, Champawat, and Pithoragarh	NCB	March 2011	8.0	TBD <sup>a</sup>	To be financed by tranche 3 May divide into various contracts
32	Construction of sewerage system including sewage treatment plant in Haldwani, Kashipur, Kichcha, and Ramnagar	ICB/ NCB	March 2011	19.0	TBD <sup>a</sup>	To be financed by tranche 3 May divide into various contracts
33	Urban roads and transport improvement in Gopeshwar, New Tehri, Pauri, Roorkee, Rudraprayag, Sri Nagar, and Uttarkashi	ICB/ NCB	September 2012	30.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
34	Urban roads and transport improvement in Almora, Bageshwar, Champawat, Haldwani, Kashipur, Pithoragarh, and Rudrapur	ICB/ NCB	September 2012	41.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
35	Design, supply, construction, testing and commissioning of solid-waste landfill site in Badrinath, Barkot, Gopeshwar, Gangotri, Haridwar, Josimath, Kedarnath, Kotdwar, Manglore, Mussoouri, New Tehri, Pauri, Roorkee, Rudraprayag, Sri Nagar, Uttarkashi, and Yamunotri	ICB/ NCB	January 2012	8.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
36	Supply and installation of solid-waste collection and transportation equipment in Badrinath, Barkot, Haridwar, Gangotri, Gopeshwar, Josimath, Kedarnath, Kotdwar, Manglore, Mussoouri, New Tehri, Pauri, Roorkee, Rudraprayag, Sri Nagar, Uttarkashi, and Yamunotri.	ICB/ NCB	July 2012	19.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
37	Design, supply, construction, testing and commissioning of solid waste landfill site in Almora, Bageshwar, Bajpur, Champawat, Haldwani, Jashpur, Kashipur, Kichcha, Pithoragarh, Ramnagar, Rudrapur, and Sitarganj	ICB/ NCB	January 2012	11.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
38	Supply and installation of solid-waste collection and transportation equipment in Almora, Bageshwar, Bajpur, Champawat, Haldwani, Jashpur, Kashipur, Kichcha, Pithoragarh, Ramnagar, Rudrapur, and Sitarganj	ICB/ NCB	July 2012	14.0	TBD <sup>a</sup>	To be financed by tranche 4 May divide into various contracts
	<b>Total</b>			<b>316.1</b>		

GIS = geographic information system, ICB = international competitive bidding, LIB = limited international bidding, NCB = national competitive bidding, SHP = shopping, TBD = to be determined at the time of periodic financing request.

<sup>a</sup> Prior review but subject to assessment of the capacity of the Executing Agency during the implementation of the first project for conversion to post review.

Source: Asian Development Bank estimates.

**Table A7.4: Procurement Thresholds for Consultants Services**

Procurement Method	Threshold
Quality and Cost-Based Selection	\$200,000 and above
Consultants Qualifications Selection	Below \$200,000
Least-Cost Selection	Below \$100,000
Single Source Selection	For continuous assignment after first assignment

Source: Asian Development Bank estimates.

**Table A7.5: Indicative Consultancy Contract Packages**

No	Contract Description	Method	Advertisement	Contracts Value (\$ million)	Prior Review	Comments
1	Investment Program Management Consultant	QCBS <sup>a</sup>	September 2007	1.9	Required	To be financed by Tranche 1
2	Design and Supervision Consultant for Gahrwal Region	QCBS <sup>a</sup>	September 2007	2.0	Required	To be financed by Tranche 1
3	Design and Supervision Consultant at Nainital for Kumaun Region	QCBS <sup>a</sup>	September 2007	2.0	Required	To be financed by Tranche 1
4	Capacity-Building Consultants	QCBS	September 2007	1.2	Required	To be financed by Tranche 1
5	Solid-Waste Segregation Campaign	QCBS	September 2007	0.5	Required	To be financed by Tranche 1
6	Solid-Waste Management Strategy and Planning	QCBS	September 2007	0.3	Required	To be financed by Tranche 1
7	Slum Improvement Strategy and Planning	CQS	September 2007	0.1		To be financed by Tranche 1
8	Continuation of Investment Program Management Consultant	SSS	August 2011	1.1	TBD <sup>b</sup>	To be financed by Tranche 2 or Tranche 3
9	Continuation of Design and Supervision Consultant for Gahrwal Region	SSS	August 2011	1.5	TBD <sup>b</sup>	To be financed by Tranche 2 or Tranche 3
10	Continuation of Design and Supervision Consultant for Kumaun Region	SSS	August 2011	1.0	TBD <sup>b</sup>	To be financed by Tranche 2 or Tranche 3
	<b>Total</b>			<b>11.6.</b>		

CQS = consultants qualifications selection, QCBS = quality- and cost-based selection, SSS = single source selection, TBD = to be determined.

<sup>a</sup> The initial contract period of some consulting services will be 4 years while the Investment Program will last for 8 years, and therefore, it is likely that the Uttarakhand government will consider additional 4-year contracts. Continuity in the technical approach, experience acquired, and continued professional liability of the same consultant will make continuation with the initial consultant preferable to new competition, subject to satisfactory performance in the initial assignment. Since continuity for downstream work is essential, the initial request for proposal will outline the prospect of single source selection for continuation of previous work carried out by the firm, and the factors used for the selection of the consultant will take the likelihood of continuation into account.

<sup>b</sup> Prior review but subject to assessment of the capacity of the Executing Agency during the implementation of the first project for conversion to post-review.

Source: Asian Development Bank estimates.