



Technical Assistance Consultant's Report

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Facilitating Knowledge Management for Pro-Poor Policies and Projects - Inception Report (Financed by the Poverty Reduction Cooperation Fund)

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Asian Development Bank

Facilitating Knowledge Management for Pro-Poor Policies and Projects

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Expanded Inception Report (to be read in
conjunction with the Abridged Inception Report)



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1. Summary

1.1 The initial Inception Report was presented on 5 May 2006, as set out in the project proposal. In the discussions of what should be covered in the report, it was agreed that some issues would be left for further work in more detailed preparation for an Inception Visit to ADB headquarters and would be discussed during this visit. In particular it was agreed that further work would be carried out to: analyse the PRF-NPRS portfolio of TAs in order to suggest a long-list of In-Depth Case Studies and to further look at the case for proposed country visits; and, develop frameworks for Short Case and In-Depth Case Studies. The visit provided a valuable opportunity to meet with a range of staff in ADB in order to further develop the very preliminary stakeholder analysis presented in the Inception Report and clarify what knowledge products will be of most value for the organisation.

1.2 During the visit a list of six Thematic Synthesis Studies was agreed with a further two themes for later discussion. A list of 78 Short Case Studies was agreed, of which 29 will be considered for development into In-Depth Case Studies. A process for developing studies was agreed and a schedule of country visits for 2006 was proposed. Discussions about the Final Synthesis Paper will be carried out early in 2007.

The Inception Visit also provided the opportunity to develop the detailed schedule of work, to ensure that the work plans for knowledge product development meet the needs for dissemination and feedback to ADB staff. The current schedule will be reviewed and adjusted as necessary in August 2006 and early in 2007.

2. Methodology

2.1 The visit provided a valuable opportunity to meet with a range of staff in ADB in order to further develop the very preliminary stakeholder analysis presented in the Inception Report. The schedule of meetings during the Inception Visit was developed in order to get the views of a range of stakeholders in ADB headquarters, as well as beginning to collect data on the TAs that some of them are involved in (see Annex 1 for full Meeting Schedule and notes from individual meetings). We would like to take this opportunity to thank all of those who met with us during our visit to the ADB headquarters and who provided us with valuable insights into the organization and the program.

2.2 It was agreed that the primary audience for the knowledge products is an internal ADB audience. At the same time, it is important that these products be developed with a wider audience in mind, including stakeholders in DMCs, and internationally. An analysis of the ADB perspectives is presented in the form of a stakeholder analysis set out in Annex 2. It is the intention that this preliminary analysis be revisited and added to as the work develops, particularly to take account of views gathered in country visits.

2.3 The first stage of the Inception Visit was to go through the analysis of the portfolio and proposed long-list of In-Depth Case Studies. The discussion focused on issues around ensuring balance across the portfolio, practicalities of collecting materials and experience for the TAs, and progress of tranches of TAs. The final list of 29 In-Depth Case Studies and 78 Short Case Studies (which includes the former) is set out in Annex 3 (see also Annex 4 for the regional coverage of selected TAs). The list leaves some flexibility to be able to identify further examples for inclusion and, indeed, to leave some examples out, if necessary. It should be noted that only 15 of the 29 TAs will be developed into full In-Depth Case Studies, while the remaining 14 will be developed into Short Case Studies only.

2.4 An equally important element of the discussions was on the process of collecting materials, interviewing those involved in the TAs, drafting the studies and agreeing their completion. The process for completing Short Case Studies is set out in a flow diagram in Annex 5, highlighting issues



that will require further work in order to resolve them, particularly the issues around clearing studies for publication.

3. Thematic Synthesis Studies

3.1 An initial list of seven themes¹ was suggested in the development of the initial Inception Report (see paragraph 3.1 of 5 May report). In preparation for the inception visit an analysis of the portfolio was carried out, looking at these seven themes and a set of "cross-cutting" themes for comparison². This analysis was used as the basis for discussion of a list of potential Thematic Studies to be developed as one of the key Knowledge Products. A proposed list of themes, combining five of the original themes with two cross-cutting themes, was presented to a range of stakeholders in ADB to get feedback. It was generally agreed that there was a need for a balance between more sectoral themes, such as pro-poor infrastructure, which will focus on a number of case studies, and more cross-cutting themes, such as process and influencing, which will look at examples from across the portfolio. In addition, a number of themes were suggested, including institution and capacity building, and social protection³, which will be revisited at a later stage in the work.

3.2 The final agreed list is as follows:

Sectoral Themes -

- Policies and strategies – TAs that support the development of local and national level poverty reduction policies and strategies;
- Pro-Poor Infrastructure – TAs that are aimed at developing innovative approaches to including the poor in Transport, Energy and Urban Development;
- Regional Integration and Poverty – TAs that are aimed at developing an understanding of the linkages between regional integration and poverty reduction;
- Transition and Reform – TAs developing an understanding of the impact of processes of globalisation, sectoral restructuring and privatisation and developing innovative approaches to mitigate these impacts;

Cross-cutting Themes

- Inclusion, Equity and Targeting – examining the coverage of the full range of cross-cutting themes (see footnote 2) in the portfolio, drawing out examples of good practice to help in developing future guidelines; and,
- Process and Influencing – looking at issues in the implementation of the TAs in the portfolio and focusing particularly on their strategic use in country programmes (see paragraph 3.4 below for further discussion).

3.3 The first four themes will all be focused on specific sets of case studies drawn from the portfolio, whilst the last two will look more generally across the range of TAs and will include an analysis of the portfolio as a whole. In developing the schedule of work it was agreed that sectoral Thematic Studies will be developed first, linked to the proposed country visits (see Annex 6 for Thematic Studies for the first 4 themes and case studies identified). It is intended that the Cross-cutting thematic studies will draw on the full range of short and in-depth case studies and so will be developed towards the end of the RETA.

3.4 There was some discussion about what the Thematic Study on Process and Influencing should cover. There was a general consensus that the study should look at the processes of TA development, implementation and impact, exploring the factors that affect the success and failure of implementation. It was also proposed that the study should consider the use of TAs to influence the development of policy, both within ADB and that of DMCs. It was agreed that the notion of influence will have to be developed further, drawing on the experience in the TAs.

¹ In the case of this RETA, themes are defined as topics that are common across a number or all of the TAs in the PRF-NPRS portfolio, which will be explored in synthesis papers looking at a number of case studies.

² The crossing-cutting themes used were: gender, participation, inequality and exclusion, influencing, corruption and governance, violence, conflict and vulnerability, children and youth, and social responsibility.

³ It was discussed that social protection might be included under the Inclusion, Equity and Targeting theme, and institution building under Process and Influencing. A decision will be made later in the work.



3.5 A very preliminary framework for Thematic Synthesis Studies, making a distinction between the sectoral and cross-cutting themes, is set out in Annex 7.3. The framework will be informed through analysis of information and lessons learnt collected through preparation of case studies and field visits.

4. Short Case Studies

4.1 The pilot Short Case Studies prepared by SDD were discussed in some detail and have been the basis for a range of comments to date. The conclusions reached in these discussions are recorded in revised frameworks for Short Case Studies in Annex 7.1.

5. In-Depth Case Studies

5.1 A framework for In-Depth Case Studies was also discussed. The conclusions reached are recorded in a revised framework for In-Depth Case Studies set out in Annex 7.2.

5.2 The collection of information and materials for In-Depth Case Studies will be supplemented by country visits, either by the SDD team or, where possible, by interviews with project officers in Manila and, in some cases, field visits by the NPRS-PRF Coordinator or RETA 6270 consultant/ team leader. Possible country visits are as follows:

- PRC in September 2006 – SDD;
- Central Asia in August/ September 2006 – ADB;
- Mekong, including Vietnam, Cambodia and Lao, November/ December 2006 – SDD;
- Other Central Asia, perhaps Tajikistan – ADB;
- South Asia, possibly Pakistan, early 2007 – SDD.

6. Final Synthesis Paper

6.1 It was originally proposed that the final synthesis paper would cover a review of the portfolio, looking at issues of process and coverage of cross-cutting themes. These sets of issues are now covered by two Thematic Synthesis Studies: Inclusion, Equity and Targeting; and, Process and Influencing. The coverage of the Final Synthesis Paper will, therefore, be looked at again in early 2007.

7. Revised Work Program and Schedule of Inputs

7.1 The final stage of the Inception Visit was the preparation of a more detailed schedule for the next seven months, June 2006 to February 2007, set out in Annex 8. A meeting will be arranged at the end of August 2006 to review progress against the proposed schedule and to agree if any adjustments are required. It is also intended that there will be a visit by members of the SDD team to Manila early in 2007 to further review progress and to make detailed plans for the remainder of the contract.



Annex 1 – Meeting Schedule

Meeting/Activity	Notes
Wednesday, 24 May	
Patrick Brandt, Director's Advisor, ED-Chair 3	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on ADB ▪ Views on PRF-NPRS <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Overview of the portfolio, in terms of what has been successful; ▪ Assessment of the sustainability of the poverty trust funds; ▪ Guidance for moving forward. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Assessment of progress and learning in the trust funds; ▪ Poverty in a regional context, looking particularly at the Mekong; ▪ Highlighting specific examples of good practice, such as GMS Regional Economic Integration.
David Taylor, Alternate Director, ED-Chair 11	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on ADB ▪ Views on PRF-NPRS <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Guidance on how to develop good lessons into guidance for the design of investments; ▪ Assessment of how technical assistance can have an impact on strategic high-level dialogue with government; ▪ Highlighting practical examples for discussion with board colleagues. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ How does ADB bring added value and how does government policy get influenced to bring benefits in terms of poverty reduction; ▪ Highlighting specific examples of good practice, such as rural connectivity in Cambodia and Vietnam.
<p>Focus Group Discussion, attendees: Raza Ahmad, Governance Specialist, RSCG (RSDD)</p> <p>Naved Hamid, Principal Economist, SAOC (SARD)</p> <p>Kim Jraiw, Senior Transport Specialist, EATC (EARD)</p> <p>Manoshi Mitra, Senior Social Development Specialist, SEAE (SERD)</p> <p>Steve Pollard, Principal Economist (Poverty Reduction), PAOD</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Specific examples of TAs and their use in country and regional programmes ▪ Types of knowledge product that will be of use in work <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Assistance in developing case studies of good practice for wider dissemination; ▪ Assessment of the process of TA implementation to highlight examples of both good and bad practice for lesson learning; ▪ Contributing to a dialogue on poverty reduction, highlighting what works. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Focus on the process of implementation, highlighting factors that affect success and failure and looking at



<p>(PARD)</p> <p>Olivier Serrat, Senior Project Economist (Natural Resources), SEAE (SERD)</p> <p>John Whittle, Principal Project Economist, CWAE (CWRD)</p>	<p>process of influence;</p> <ul style="list-style-type: none"> ▪ All of the TAs that the officers are involved in will be the subject of both short and in-depth case studies.
<p>Water Team</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Sharing experience of the Water for All work ▪ Possible linkages with Water for All dissemination <p>Experience:</p> <ul style="list-style-type: none"> ▪ Need to focus more on products and audiences and not just dissemination; ▪ Shift from more theoretically based approaches to more accessible styles, packaged for different audiences; ▪ Wide use of web-based materials by audiences in DMCs; ▪ Greatest demand from website is for specific case studies.
<p>Thursday, 25 May</p>	
<p>Robert J. Dobias, Director, RSGS (RSDD)</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on the RETA ▪ Expectations for the TA <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Highlighting examples of good practice from PRF-NPRS and drawing out key lessons; ▪ Delivery of Knowledge Products according to the agreed schedule.
<p>Toshio Kondo, Senior Evaluation Specialist, OED</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on OED's role and programme ▪ Contribution that knowledge products might make <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Contribution to the development of lesson-learning and dissemination within ADB; ▪ Better understanding of achievement of results by ADB.
<p>Graham Dwyer, External Relations Specialist, DER</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on role of DER ▪ Expectations of PRF-NPRS <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Overview of PRF-NPRS and major achievements in the portfolio; ▪ Project specific success stories, with an emphasis on development results; ▪ Specific examples of success stories that might be disseminated through the wider media. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Dissemination of an overview of PRF-NPRS within ADB; ▪ Dissemination of success stories in donor countries, with a more academic approach; ▪ Look for newsworthy stories and pictures for more local dissemination.



<p>RSDD's Poverty Unit (RSDD-PU) Shiladitya Chatterjee, Head, Poverty Unit Armin Bauer, Senior Economist</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Development of PRF-NPRS ▪ Expectations of the Knowledge Products <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Distillation of ADB's work and analysis into readable and accessible formats; ▪ Development and use of knowledge products in a strategic way to influence development of policy in ADB; ▪ Knowledge products that are focused on areas of current interest, such as regional integration, pro-poor infrastructure and inclusive development. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Regional integration, such as Mekong Regional Economic Integration, lessons from capacity-building in the NPRS, making infrastructure pro-poor, developing ideas about inclusive development; ▪ Sharing dissemination of knowledge products through the Poverty Unit's website; ▪ The process of TA implementation and how influencing is achieved.
<p>Friday, 26 May</p>	
<p>Steve Pollard, Principal Economist (Poverty Reduction), PAOD (PARD)</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Follow-up to discussion in Focus Group <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Assistance in keeping work in the public eye; ▪ Maintaining interest in the process within ADB and disseminating results more widely. <p>Suggestions for Knowledge Product:</p> <ul style="list-style-type: none"> ▪ Evolutionary story of use of TAs in the Pacific Department; ▪ Developing knowledge on poverty in the region using both quantitative and qualitative approaches; ▪ Presenting and using this understanding of poverty to develop ADB's policy and to start a dialogue within islands; ▪ Taking forward understanding of poverty in specific islands and in specific sectors.
<p>Caroline Vandenabeele, Senior Counsel, OGC</p>	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Follow-up discussion on TA 6188: Legal Identity and Impact on Social Exclusion <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Involvement in latter stages of TA to help in developing case study; ▪ Assistance in developing case study for wider dissemination. <p>Suggestions for Knowledge Product:</p> <ul style="list-style-type: none"> ▪ Drawing out experience of the TA for use in sectors such as education and governance; ▪ Tracing impact of TA on upcoming projects; ▪ Possible participation in regional workshop in November in Cambodia.



Monday, 29 May	
Guido Geissler, Planning and Policy Specialist, SPMS (SPD)	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on policy developments in ADB ▪ Expectations of Knowledge Products <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Drawing out lessons of how ADB's investments can be more poverty focused; ▪ Examples of how ADB's work can demonstrate development impact. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Work in PRC on food security and the food industry; ▪ Examples from PRC and India on how poverty has been dealt with, examples of dealing with regional disparities.
Asha Newsum, Principal Governance Specialist, RSCG (RSDD)	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on governance in ADB ▪ Expectations of Knowledge Products <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Case studies and experience need to be put in the context of ADB's lending; ▪ Need for there to be sufficient material on lessons learned for products to be credible. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Look at example of the review of Governance and Anti-Corruption Policy.
Nigel Rayner, Director, EATC (EARD) and Chair of the Transport Committee	<p>Topics:</p> <ul style="list-style-type: none"> ▪ Background on infrastructure community of practice ▪ Follow-up on PRC roads networks TA <p>Expectations:</p> <ul style="list-style-type: none"> ▪ Pulling together lessons and common themes from country examples; ▪ Guidance in developing arguments for rural infrastructure that is focused on poverty reduction. <p>Suggestions for Knowledge Products:</p> <ul style="list-style-type: none"> ▪ Strategic use of TA in PRC to influence the development of government policy on rural roads ▪ Size and flexibility of funds available for TA enabled the creation of a critical mass.

CWAE = Central and West Asia Agriculture, Environment and Natural Resources Division
 CWRD = Central and West Asia Department
 DER = Department of External Relations
 EARD = East Asia Department
 EATC = East Asia Transport Division
 OED = Operations Evaluation Division
 OGC = Office of the General Counsel
 PAOD = Pacific Department Office of the Director General
 PARD = Pacific Department
 RSCG = Capacity Development and Governance Division
 RSDD = Regional and Sustainable Development Department
 RSGS = Gender, Social Development and Civil Society Division
 SAOC = South Asia Country Coordination and Regional Cooperation Division
 SARD = South Asia Department
 SEAE = Southeast Asia Agriculture, Environment and Natural Resources Division
 SERD = Southeast Asia Department
 SPD = Strategy and Policy Department
 SPMS = Management Support Division



Annex 2 - ADB Stakeholders

Primary Stakeholders	
Stakeholder Group	Interests
NPRS-PRF Secretariat Part B Consultancy Team	<ul style="list-style-type: none"> ▪ Developing credible and quality analytical knowledge products ▪ Continuing to develop and maintain good relations with operations departments ▪ Having quality materials that can be drawn on for dissemination within ADB and to a wider audience in the region and internationally
Regional Departments	<ul style="list-style-type: none"> ▪ Highlighting and disseminating specific examples of successful TAs ▪ Developing models and approaches for use elsewhere in the region and more widely ▪ Access to flexible funds quickly to be able to support strategic work
Project Officers dealing with TAs	<ul style="list-style-type: none"> ▪ Publicising experience of TAs more widely in ADB ▪ Drawing out lessons of design and implementation ▪ Wider dissemination of knowledge developed in TAs
Consultants working on TAs	<ul style="list-style-type: none"> ▪ Information source ▪ Verification of draft case study contents
DMC Government Partners	<ul style="list-style-type: none"> ▪ Publicizing of good practice ▪ Wider dissemination of knowledge (in local language if needed) ▪ Lessons for the executing agencies
Local Implementing Agencies	<ul style="list-style-type: none"> ▪ Publicizing of good practice ▪ Wider dissemination of knowledge (in local language if needed) ▪ Lessons for the executing agencies

Secondary Stakeholders	
Stakeholder Group	Interests
Senior Management in ADB	<ul style="list-style-type: none"> ▪ Greater focus of ADB program on Infrastructure and Regional Integration ▪ Poverty Reduction seen as an aspect of Social Development ▪ Getting better value from TAs
Regional and Sustainable Development Department	<ul style="list-style-type: none"> ▪ Demonstrating the impact and success of PRF-NPRS ▪ Keeping to deadlines for product delivery and reporting
Donor Representatives	<ul style="list-style-type: none"> ▪ Evidence that Trust Funds are having influence ▪ Evidence from specific TAs to use in



	<ul style="list-style-type: none"> Board discussions ▪ Links to possible evaluations and guidance on whether to provide further support
OED	<ul style="list-style-type: none"> ▪ Inputting lessons into the development of new TAs ▪ Development of new types of evaluation to better feed into program development ▪ Maintaining independence whilst keeping relationships with operations departments
Department of External Relations	<ul style="list-style-type: none"> ▪ Presenting an overview of work within ADB ▪ Telling success stories, focused on development results in donor countries ▪ Marketing bigger success stories in relevant DMCs
Poverty Unit (RSDD- PU)	<ul style="list-style-type: none"> ▪ Materials to influence debates within ADB on poverty reduction ▪ Demonstrating the success of the PRF-NPRS through change in ADB, alignment at the country level and influential themes ▪ Distilling experience into readable and accessible formats
Communities of Practice	<ul style="list-style-type: none"> ▪ Providing materials and support for developing internal knowledge products
Those involved in other Trust Funds – such as Water for All, Poverty and Environment	<ul style="list-style-type: none"> ▪ Sharing experience and resources to better influence within ADB ▪ Collaboration on future work



Annex 3 – Portfolio Analysis for selected RETA only (highlights indicate potential long case studies)

See Chapter 3 for discussion of Thematic classification. Thematic classifications 05. Institution Building and 07. Social Protection have been included in this analysis as these areas will be revisited for possible inclusion in the two cross-cutting themes, Process and Influencing, and Inclusion, Equity and Targeting respectively.

Number	No. long case studies	Thematic classification	Fund	TA number	Country/ region	TA Name	Cross-cutting themes
1	1	01. Policies and strategies	NPRS	TA 4627	Lao	Public expenditure planning for NGPES	Governance
2	2		NRPS/PRF and PRF	TA 6120, 6308	Inter-regional	Developing a Social Protection Index for Committed Poverty Reduction; and Upscaling...	Vulnerability
3	3		NPRS	TA 3781	Uzbekistan	Comprehensive medium-term strategy for improving the living standards of the people in Uzbekistan	Participation, governance
4	4		NPRS	TA 4383	Mongolia	Participatory poverty assessment and monitoring	Participation, Governance
5	5		PRF	TA 4222	PRC	Policy Study on Poverty Reduction Strategy-Trends, Challenges and Future Directions	Influencing
6	6	- local policy/decentral	PRF/NPRS	TA 4679 (PRF) and 4644 (NPRS)	Vietnam	Formulating provincial poverty programs for Hue, Quang Tri and Dak Nong	Governance
7	7	- local policy/decent	PRF	TA 4015	Pakistan	Enhancing capacity for resource management and poverty reduction in Punjab	Influencing, governance

8			NPRS	TA 4621	Azerbaijan	Participatory strategy development and implementation for achieving the MDGs	Participation, governance
9			NPRS	RETA 6177	Sub-regional: ECRD	Mainstreaming Gender into Poverty Reduction Strategies in Central Asian Region	Cross-cutting themes: Gender, Participation and Governance/ social policy
10			PRF	TA 4200	PRC	Fund for strategic policy conferences and studies for poverty reduction	Influencing
11			PRF	TA 4307	PRC	Poverty reduction in key forestry conservation programs	Inequality and exclusion, influencing
12			PRF	TA 4308	PRC	Poverty reduction in grassland improvement program	Inequality and exclusion, influencing
13		- local monitoring/decent	PRF	TA 4454	PRC	Developing a Poverty Monitoring system at Country Level	Influencing
14			PRF	TA 4319	Pakistan	Determinants and drivers of poverty reduction and ADB's contribution in rural Pakistan	Inequality and exclusion, influencing
15			PRF	TA 4331	Viet Nam	Support pro-poor health policies in Viet Nam	Inequality and exclusion, governance
16	8	02. Pro-poor infrastructure (transport/ energy ad urban)	PRF	TA 4322	PRC	Poverty Impact of Area-wide networks	Inequality and exclusion
17	9		PRF	TA 4423	Tajikistan	Community-based Micro-Hydropower Development in Remote Rural Areas of	Inequality and Exclusion; Pilot



						Tajikistan	
18	10		PRF	TA 4142	PRC	Fighting Poverty through HIV/AIDS on Road Projects in Yunnan Province	Inequality and Exclusion; Social responsibility
19	11		PRF	TA 4690 (connected to 4689)	Vietnam	Strengthening Institutional Capacity of Local Stakeholders for implementation for Son La Livelihood and Resettlement Plan	Social responsibility
20			PRF	TA 4689 (connected to 4690)	Vietnam	Benefit sharing mechanisms for people adversely affected by power generation projects in Viet Nam	Social responsibility
21			PRF	TA 4694	PRC	Urban Poverty Strategy Study II	Inequality and exclusion
22			PRF	TA 4649	PRC	Alternative Energy Supply for Rural Poor in Remote Areas	Inequality and exclusion
23			PRF	TA 4402	PRC	Heating supply for urban poor in Liaoning province	Inequality and exclusion
24			PRF	TA 4461	Afghanistan	Poverty Reduction and Rural Renewable Energy Development	Gender, Participation Pilot
25			PRF	TA 4760	Nepal	Enhancing poverty reduction impact of road projects	Inequality and exclusion, social responsibility
26		- pilot	PRF	TA 4776	Nepal	Enabling the private sector to undertake poverty-focused water distribution in KTM Valley	Inequality and exclusion, social responsibility
27			PRF	TA 6260	Inter-regional (IND, PAK, VIE, INO)	Socioeconomic Impact of road crashes on the poor	Social responsibility



28			PRF	TA 6130	2 cities (Dhaka and Phnom Penh)	Study of urban violence in Asia; towards more effective urban targeting	Influencing, violence and vulnerability
29	12	03. Regional Poverty	NPRS	TA 6171	Sub-regional-MKRD	Reviewing Poverty Impact of Regional Economic Integration in the GMS	Governance/ social policy
30	13		PRF	TA 6190	Sub-regional – MKRD	Preventing the trafficking of Women and Children and Promoting Safe Migration in GMS	Gender, children
31	14		NPRS/PRF	TA 6047; 6061; 6245	Sub-regional-PARD	RETAs related to regional poverty strategy implementation and National Poverty Reduction Strategies in Pacific Developing Member Countries	Participation
32			PRF	TA 6315	Sub-regional – CWRD	Remittance and Poverty in Central Asia and South Caucasus	Gender, Influencing
33			PRF	TA 4108	Lao	Integrating the poor in regional trade through standard-setting for private sector operations	Inequality and exclusion, governance, social responsibility
34			PRF	TA 6118	Sub-regional – GMS	Promoting NGO support for poverty alleviation in the GMS subregion	Participation and influencing



35	15	04. Transition and Reform	PRF	TA 4131	Cambodia (1 case with BAN)	Preventing Poverty and Empowering Female Garment Workers Affected by the changing International Trade Environment	Gender, vulnerability
36			PRF	TA 4320	Bangladesh (1 combined case with CAM)	Social Protection of Poor Female Workers in the Garment Sector in the Context of Changing Trade Environment	Gender, Vulnerability
37	16		PRF	TA 4680	PRC	Alternative Options to Facilitate Coal Sector Restructuring	Inequality and exclusion
38	17		PRF	TA 4566	PRC	Poverty reduction in coal mine areas in Shaanxi Province	Inequality and exclusion
39	18		PRF	TA 4405	Kyrgyz republic	Study on pricing systems and cost recovery in irrigation	Gender, participation
40		PRF	TA 4408	Kyrgyz republic	Study of the impact of land reform on agriculture, poverty reduction and environment	Participation, inequality and exclusion, vulnerability	
41	19		PRF	TA 4664	Tajikistan	Farm analysis and awareness raising for debt resolution	Vulnerability
42		- Inclusion	PRF	TA 4434	Lao PDR	Poverty reduction through tenure consolidation, participatory natural resource management and local community skills building	Participation, inequality and exclusion
43	20	05. Institutional Building/ process	PRF	TA 3947	Cambodia	Sustainable Employment Promotion	Gender, Governance



						for Poor Women	
44	21		PRF	TA 4376	Cambodia	Capacity building for the Tonle Sap poverty reduction initiative	
45			PRF	TA 4283	Cambodia	Participatory poverty study of the Tonle Sap Basin	Influencing
46	22		NPRS/PRF	TA 4255, 3955 (CAM); 4277 (PAK); 4252 (VIE)	Cambodia, Pakistan, Vietnam	Poverty specialists in Resident Missions	Influencing
47			PRF	TA 4392	Lao	Marketing Support for Organic Produce of Ethnic Minorities	Inequality and exclusion, influencing
48		- monitoring and evaluation	PRF	TA 4303	Bangladesh	Strengthening capacities for poverty monitoring and evaluation	Influencing
49			PRF	TA 4602	Pakistan	Support to implementation of gender reform action plans	Gender, influencing, governance
50			PRF	TA 6109	Inter-regional	NGO Partnerships for Poverty Reduction	Influencing
51			PRF	TA 6296	Inter-regional	Developing pro-poor governance capability and knowledge	Governance
52			PRF	TA 4638	Vietnam	Implementing the Regulatory and Supervisory Framework for Microfinance	Governance
53			PRF	TA 4430	PRC	Rural finance reforms and development of microfinance institutions	Inequality and exclusion
54	23	06. Inclusion, equity, targeting	PRF	TA 4537	Pakistan	Implementing the public safety reforms in	Gender, inequality and



						4 districts in Punjab	exclusion, children and youth
55	24		PRF	TA 6188	Inter-regional (Cambodia, Bangladesh, Nepal)	Establishing legal identify for social inclusion	Inequality and exclusion, governance
56	25	- pilot	PRF	TA 6247 and 6316	Sub-regional – Mekong	HIV/AIDS risk reduction among ethnic minority groups thru communication; Asian community broad casting initiative	Inequality and exclusion
57	26	- pilot	PRF	TA 4707	Bangladesh	Participation of Urban Poor in Municipal Governance	Participation, inequality and exclusion, civil society and governance
58	27	- pilot	PRF	TA 4759	Nepal	Reaching the most disadvantaged groups in mainstream rural development	Participation, inequality and exclusion, vulnerability
59	28		PRF	TA 4339	Lao	The extent and impact of gender inequality in access to land	Gender, inequality and exclusion
60	29	- local policy/ decentralization - partnership	PRF	TA 4580	PRC	NGO Government partnerships in village level poverty alleviation	Participation, governance
61		- policy/strategy	NPRS	TA 6242	Sub-regional (Vietnam and Lao)	Developing new policy paradigms for sustainable livelihoods protection and natural resource management among ethnic minorities of the GMS: A study of Policies and their impact, strategies for change	Inequality and exclusion, vulnerability



62		- partnership	PRF	TA 6109	Inter-regional	NGO Partnerships for poverty reduction	Influencing
63		- pilot	PRF	TA 4541	Afghanistan	Natural resource management and environmental protection (w/ GEF)	Participation, inequality and exclusion
64		- pilot; pro-poor infra	PRF	TA 4653	Marshall Islands	Increasing ownership and effective demand for improved urban waste management and disposal	Participation
65			PRF	TA 6248	Inter-regional	Legal empowerment for women and disadvantaged groups	Gender, inequality and exclusion, influencing
66		- piloting tools	PRF	TA 6224	Inter- regional (Vietnam and Sri Lanka)	Pilot testing participatory assessment methodologies for water supply and sanitation	Participation, inequality and exclusion
67		- pilot	PRF	TA 6278	Inter-regional	Innovative ICT in education and its potential for reducing poverty in Asia and the Pacific	Inequality and exclusion
68		- pilot	PRF	TA 4774	Nepal	Economic and Social Inclusions of the Disadvantaged Poor through Micro-Irrigation and Other Livelihood Enhancement Initiatives	Inequality and exclusion
69			PRF	TA 4442	Sri Lanka	Psycho-social health n conflict-affected areas	Conflict
70		- social services	PRF	TA 4787	Lao PDR	Revitalizing community demand for	Inequality and exclusion,



						Immunization	vulnerability
71			PRF	TA 6115	Sub-regional-Mekong	Poverty reduction in upland communities through improved community and industrial forestry	Gender, inequality and exclusion, social responsibility
72			PRF	TA 4215	PRC	Safe drinking water and sanitation for the rural poor	Inequality and exclusion
73		07. Social Protection	PRF	TA 4276	Vietnam	Developing a Social Security System in Vietnam	Inequality and exclusion, vulnerability
74			PRF	TA 4205	Vietnam	Early child development for the poor	Inequality and exclusion, children, vulnerability
75			PRF	TA 4009	Lao	Social protection: Issues and options	Inequality and exclusion, vulnerability
76			PRF	TA 4574	Cambodia	Community Self-Reliance and Flood Risk Reduction	Vulnerability
77			PRF	TA 4755	Cambodia	Developing deposit services for rural Cambodia	Inequality and exclusion
78			PRF	TA 4761	Sri Lanka	Micro-insurance sector development	Inequality and exclusion, governance

NB. RETA's that should be combined into 1 case study have been indicated through a merged cell in the second column.

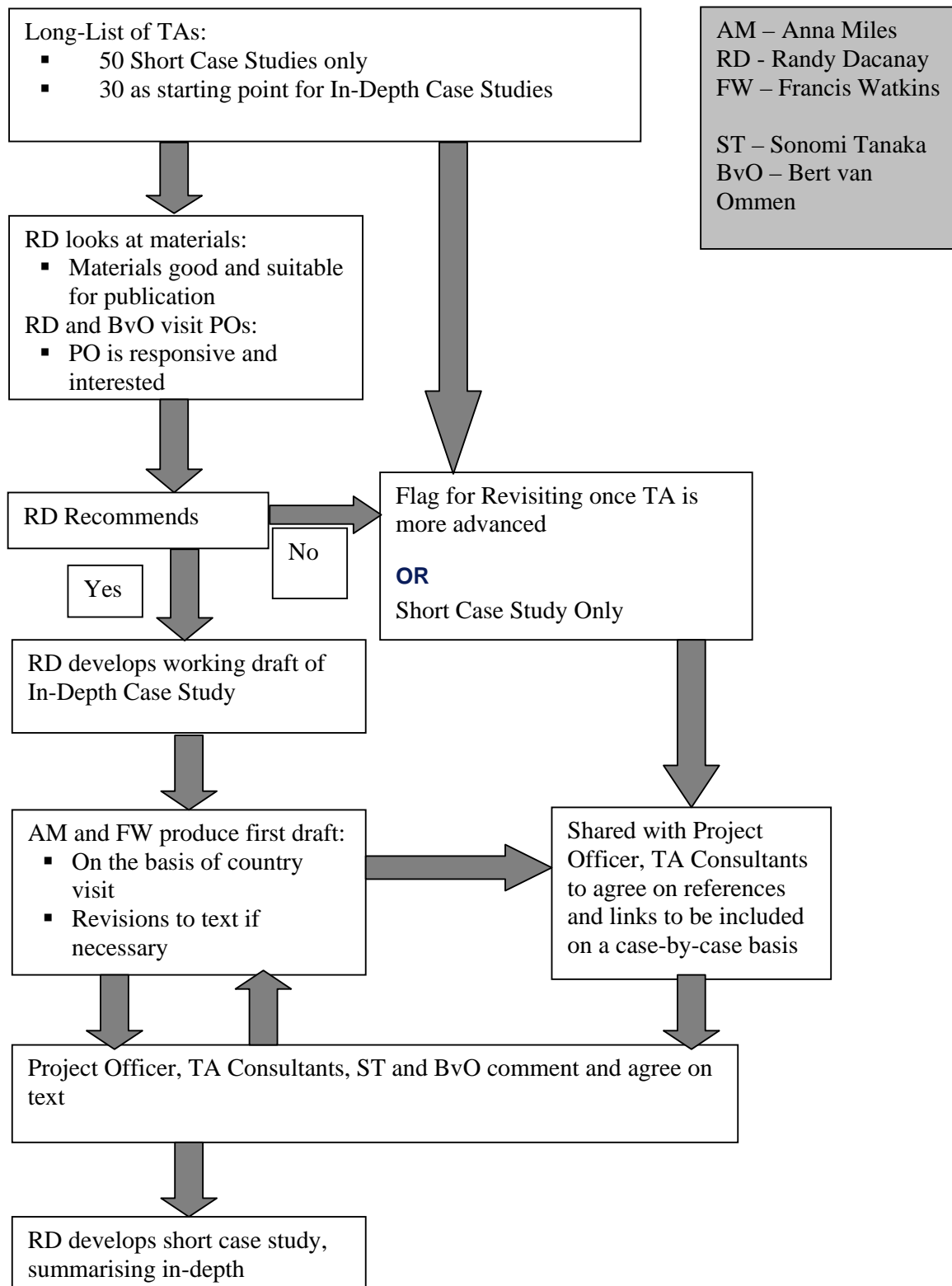


Annex 4 – Regional Coverage of Selected TA

Region	Sub-regional TAs	Country	Total Number of TAs
ECRD	2	Azerbaijan	1
		Kyrgyz Republic	2
		Mongolia	1
		Tajikistan	2
		Uzbekistan	1
		Regional total	9
PRC			15
MKRD	6	Cambodia	6
		Lao	7
		Vietnam	7
		Regional total	26
PARD	1	Royal Marshall Islands	1
		Regional total	2
SARD	0	Afghanistan	2
		Bangladesh	4 (2 combined with Cambodia)
		Nepal	4
		Pakistan	4
		Sri Lanka	2
		Regional total	16
Inter - Regional	10 (of which 1 is the RM poverty specialists)		10
Total			78

(NB: Each RETA is counted here although it has been agreed that a number should be combine into 1 case study where appropriate. Combine RETA are indicated in the list in Annex 3.)

Annex 5 – Process for developing Short and In-Depth Case Studies



Annex 6 – Sectoral Thematic Studies

Pro-poor infrastructure – transport, energy and urban

TA number	Country/ region	TA Name
In-Depth Case Studies		
4322	PRC	Poverty Impact of Area-wide networks
4423	Tajikistan	Community-based Micro-Hydropower Development in Remote Rural Areas of Tajikistan
4142	PRC	Fighting Poverty through HIV/AIDS on Road Projects in Yunnan Province
4690, connected to 4689)	Vietnam	Strengthening Institutional Capacity of Local Stakeholders for implementation for Son La Livelihood and Resettlement Plan
4689, connected to 4690	Vietnam	Benefit sharing mechanisms for people adversely affected by power generation projects in Viet Nam
Short Case Studies		
4694	PRC	Urban Poverty Strategy Study II
4649	PRC	Alternative Energy Supply for Rural Poor in Remote Areas
4402	PRC	Heating supply for urban poor in Liaoning province
4461	Afghanistan	Poverty Reduction and Rural Renewable Energy Development
4760	Nepal	Enhancing poverty reduction impact of road projects
4776	Nepal	Enabling the private sector to undertake poverty-focused water distribution in KTM Valley
6260	Inter-regional	Socioeconomic Impact of road crashes on the poor – India, Pakistan, Vietnam and INO
6130	Inter-regional	Study of urban violence in Asia; towards more effective urban targeting - 2 cities, Dhaka and Phnom Penh

Regional Poverty

TA number	Country/ region	TA Name
In-Depth Case Studies		
6171	Sub-regional- MKRD	Reviewing Poverty Impact of Regional Economic Integration in the GMS
6190	Sub-regional – MKRD	Preventing the trafficking of Women and Children and Promoting Safe Migration in GMS
6047, 6061, 6245	Sub-regional- PARD	RETAs related to regional poverty strategy implementation and National Poverty Reduction Strategies in Pacific Developing Member Countries
Short Case Studies		
6315	Sub-regional – CWRD	Remittance and Poverty in Central Asia and South Caucasus
4108	Lao	Integrating the poor in regional trade through standard-setting for private sector operations
6118	Sub-regional – GMS	Promoting NGO support for poverty alleviation in the GMS subregion



Transition and Reform

TA number	Country/ region	TA Name
In-Depth Case Studies		
4131	Cambodia	Preventing Poverty and Empowering Female Garment Workers Affected by the changing International Trade Environment
4320	Bangladesh	Social Protection of Poor Female Workers in the Garment Sector in the Context of Changing Trade Environment
TA 4680	PRC	Alternative Options to Facilitate Coal Sector Restructuring
4566	PRC	Poverty reduction in coal mine areas in Shaanxi Province
4405	Kyrgyz republic	Study on pricing systems and cost recovery in irrigation
4408	Kyrgyz republic	Study of the impact of land reform on agriculture
4664	Tajikistan	Farm analysis and awareness raising for debt resolution
Short Case Studies		
4434	Lao PDR	Poverty reduction thru land tenure consolidation...

Policies and strategies

TA number	Country/ region	TA Name
In-Depth Case Studies		
4627	Lao	Public expenditure planning for NGPES
6120, 6308	Inter-regional	Developing a Social Protection Index for Committed Poverty Reduction; and Upscaling...
3781	Uzbekistan	Comprehensive medium-term strategy for improving the living standards of the people in Uzbekistan
4383	Mongolia	Participatory poverty assessment and monitoring
4222	PRC	Policy Study on Poverty Reduction Strategy-Trends, Challenges and Future Directions
4679, 4644	Vietnam	Formulating provincial poverty programs for Hue, Quang Tri and Dak Nong
4015	Pakistan	Enhancing capacity for resource management and poverty reduction in Punjab
Short Case Studies		
4621	Azerbaijan	Participatory strategy development and implementation for achieving the MDGs
6177	Sub-regional: ECRD	Mainstreaming Gender into Poverty Reduction Strategies in Central Asian Region
4200	PRC	Fund for strategic policy conferences and studies for poverty reduction
4307	PRC	Poverty reduction in key forestry conservation programs
4308	PRC	Poverty reduction in grassland improvement program
4454	PRC	Developing a Poverty Monitoring system at Country Level
4319	Pakistan	Determinants and drivers of poverty reduction and ADB's contribution in rural Pakistan
4331	Viet Nam	Support pro-poor health policies in Viet Nam



Annex 7 - Frameworks for Knowledge Products

7.1 Short Case Studies

General:

- Model on ADB's Water for All briefs - <http://www.adb.org/Water/Water-Briefs/default.asp>
- Total length of 1000 words, banner heading and footer, two justified columns, to fit on a single side of US Letter paper format – Helvetica 10 point. Part B consultants will provide the banner header-footer and logo design in template format
- Title, 4-5 words, including country name and introduction to project
- Introductory sentence (including RETA title) explaining the purpose of the project in plain English.

Framework:

- Poverty Issues to be addressed by the project, covering inclusion, equity and targeting
- The process of project development, implementation, partnership and influence, covering factors affecting the success or failure of the project
- How the project addresses the theme identified, highlighting issues arising from the project
- Key lessons learned in the project, conclusions and next steps.

Short Case Studies to be developed in the next phase (for full schedule see Annex 8):

- Revised Pilot Short Case Study, TA 4131 - Preventing Poverty and Empowering Female Garment Workers Affected by the Changing International Trade Environment – see below
- Revised Short Case Studies to be based on Pilot In-Depth Case Studies, TA 4131, TA 6047, TA 6171
- Short Case Studies for PRC – see table below

TA number	TA Name	Thematic classification
4200	Fund for strategic policy conferences and studies for poverty reduction	Policies and Strategies
4307	Poverty reduction in key forestry conservation programs	Policies and Strategies
4308	Poverty reduction in grassland improvement program	Policies and Strategies
4454	Developing a Poverty Monitoring system at Country Level	Policies and Strategies
4694	Urban Poverty Strategy Study II	Pro-poor infrastructure
4649	Alternative Energy Supply for Rural Poor in Remote Areas	Pro-poor infrastructure
4402	Heating supply for urban poor in Liaoning province	Pro-poor infrastructure
4430	Rural finance reforms and development of microfinance institutions	Institution Building
4215	Safe drinking water and sanitation for the rural poor	Inclusion, Equity and Targeting



7.2 In-Depth Case Studies

General:

- Models on ODI Briefings - <http://www.odi.org.uk/publications/briefing/index.html>;
- 10-15 pages and presentation, still to be discussed and decided. Part B consultants will provide the banner header-footer and logo design in template format
- Total of 15 to be drawn from an initial list of 29-30 – see Annex 4;
- Having decided on the themes to be investigated and having chosen a list of short and in-depth case studies, there are clear links to country and regional clusters and hence to country visits (see Annex 4) – discussed in the main report;
- The in-depth case studies in particular will form the basis of the thematic studies, comprising the main body of evidence to be used.

Framework:

1. **Introductory box** with TA name and number, goal, start and finish dates, amount, and executing agency
2. **Executive Summary**, telling the story of why the project has been selected and covering the main poverty issues and implementation processes
3. **Poverty issues**, covering inclusion, equity and targeting, looking at the context for project development, the findings from any project research and surveys, and the impact of the project on poverty and policy
4. **The process** of project development, implementation, partnership and influence, covering factors affecting the success or failure of the project, in more detail
5. **Context** of the relevant theme, how the project sought to address this issue, implications from the project implementation for the local context, the region and internationally
6. **Key lessons learned**, conclusions and next steps.

In-Depth Case Studies to be developed in next phase (for full schedule see Annex 8):

- On basis of Pilot Short Case Studies: TA 4131 - Preventing Poverty and Empowering Female Garment Workers Affected by the Changing International Trade Environment; TA 6047 - National Poverty Reduction Strategies in Pacific Developing Member Countries; TA 6171 - Reviewing Poverty Impact of Regional Economic Integration in the Greater Mekong Sub-region
- TAs for PRC in preparation for country visit – see table below

TA number	TA Name	Thematic classification
4222	Policy Study on Poverty Reduction Strategy-Trends, Challenges and Future Directions	Policies and Strategies
4322	Poverty Impact of Area-wide networks	Pro-poor infrastructure -transport, energy and urban
4142	Fighting Poverty through HIV/AIDS on Road Projects in Yunnan Province	Pro-poor infrastructure - transport, energy and urban
4680	Alternative Options to Facilitate Coal Sector Restructuring	Transition and Reform
4566	Poverty reduction in coal mine areas in Shaanxi Province	Transition and Reform
4580	NGO Government partnerships in village level poverty alleviation	Inclusion, Equity and Targeting



7.3 Thematic Studies

General:

- Total of 6 Thematic Studies, divided into two types, specific themes and cross-cutting themes;
- Approximately 50 pages with presentation still to be discussed and divided;
- Possible models might include ADB's Poverty and Social Development Series - http://www.adb.org/Documents/Books/Poverty_Social_Dev/default.asp;
- The specific Thematic Studies will draw on both In-Depth Case Studies and a wider range of Short Case Studies to be more illustrative of the PRF-NPRS portfolio;
- The cross-cutting Thematic Studies will look across the whole PRF-NPRS portfolio and will be developed towards the end of the work.

The final agreed list is as follows (see discussion in Chapter 3 for the selection process for themes):

Sectoral Themes -

- Policies and strategies – TAs that support the development of local and national level poverty reduction policies and strategies;
- Pro-Poor Infrastructure – TAs that are aimed at developing innovative approaches to including the poor in Transport, Energy and Urban Development;
- Regional Integration and Poverty – TAs that are aimed at developing an understanding of the linkages between regional integration and poverty reduction;
- Transition and Reform – TAs developing an understanding of the impact of processes of globalisation, sectoral restructuring and privatisation and developing innovative approaches to mitigate these impacts;

Cross-cutting Themes

- Inclusion, Equity and Targeting – examining the coverage of the full range of cross-cutting themes in the portfolio, drawing out examples of good practice to help in developing future guidelines; and,
- Process and Influencing – looking at issues in the implementation of the TAs in the portfolio and focusing particularly on their strategic use in country programmes.

Specific Thematic Study framework:

1. **Thematic background** – highlighting the main themes and their broad development in the international development literature, the themes in the context of the relevant sub-regions of Asia and the relationship between the theme and issues of poverty in the region;
2. **ADB context** – highlighting ADB's current approach to the theme in policy and in the program, looking at regional differences, the approach in the context of poverty reduction in the ADB, the broad contextual background about the theme in relation to the PRF-NPRS, and the background for choosing the thematic study;
3. **Case Studies** – an introduction to the case studies selected (both In-Depth and Short) setting them in the context of country and regional programs, a set of at least three In-Depth Case Studies, drawing out the main themes emerging from the examples and supported by further evidence and examples from Short Case Studies;
4. **Main issues** emerging from the analysis of case studies – highlighting a number of issues that are common to the case studies selected, setting them in the context of ADB's broader policy and programs, and drawing out key lessons and guidance for wider application in ADB;
5. **Conclusions** – providing an overview of the thematic study as a whole, highlighting the main issues drawn out and setting an agenda for taking them forward.

Cross-Cutting Thematic Study frameworks:

Inclusion, Equity and Targeting⁴

1. **Thematic background** – this section will set out current thinking on inclusion, equity and targeting, exploring relevant literature and identifying the core areas for the body of the report. This will include setting out why inclusion and equity matter within poverty reduction, specific

⁴ This Thematic Study may include TAs covering the topic of Social Protection.



attention to gender issues along with broader inclusion, equity and targeting issues. This section could also include focus on Social Protection.

2. **ADB context** – setting out ADB’s policy approach and implementation in the program. This section will be draw its analysis from the broad ADB policy context.
3. **Review of the PRF-NPRS portfolio** – review of inclusion, equity and targeting within the NPRS/PRF portfolio as a whole. Reviewing the TAs covered by case studies against the main themes highlighted in the background, and drawing out common themes and contrasts from the portfolio. This will focus on examples of good practice and lesson learning within the portfolio
4. Illustration of **main sub-themes from case studies** developing the review of themes in the context of country case studies, drawing on more detailed illustrations from In-Depth and Short Case Studies, particularly those with a direct focus on the issues and highlighting the main experiences of the portfolio;
5. **Conclusions** - providing an overview of the thematic study as a whole, highlighting the main issues drawn out and setting an agenda for taking them forward.

Influencing, Partnership and Process⁵

1. **Thematic background** – looking at existing literature on the partnership and influencing in development and more widely, drawing out the main themes for further exploration;
2. **ADB context** – setting out ADB’s approach as set out in policy and linking this to the implementation in the program, focusing on any differences between regions and countries and highlighting approaches taken in the country programs illustrated through the case studies;
3. **Review of the PRF-NPRS portfolio** – setting the context of the PRF-NPRS programs and their development through the portfolio, reviewing the TAs covered by case studies against the main themes highlighted in the background, and drawing out common themes and contrasts from the portfolio;
4. Illustration **main themes in case studies** – developing the review of themes in the context of country case studies (possibly China, Cambodia, Vietnam and Pakistan), drawing on more detailed illustrations from In-Depth Case Studies (for example the use of Poverty Specialists in Resident Missions) and on Short Case Studies, and highlighting the main experiences of the portfolio;
5. **Main issues** emerging from the analysis of case studies – drawing out a number of key issues from the case studies selected, and highlighting guidance for wider application in ADB;
6. **Conclusions** - providing an overview of the thematic study as a whole, highlighting the main issues drawn out and setting an agenda for taking them forward.

⁵ This Thematic Study may include TAs covering the topic of Institution Building.



Annex 8 – Schedule: June 2006 – February 2007

Country	Case study RETA/ Activity	Thematic Category	Work in progress	Deadline	Peer Review
PRC	INDEPTH		June (RD)	31 st August (FW/AM)	
	1. TA 4222 Policy study on Poverty Reduction	Policies and Strategies			
	2. TA 4322 Poverty Impact of Area- wide networks	Pro-Poor Infrastructure			
	3. TA 4142 Fighting Poverty through HIV/AIDS on Road Projects in Yunnan Province	Pro-Poor Infrastructure			
	4. TA 4680 Alternative Options to Facilitate Coal Sector Restructuring TA 4566 Poverty reduction in Coal mine areas in Shaanxi Province	Transition and Reform			
	5. NGO Government partnerships in village level poverty alleviation	Inclusion, Equity and Targeting			
	SHORT		July (RD)	31 st August (FW/AM)	
	1. TA 4200 Fund for strategic policy conferences and studies for poverty reduction	Policies and Strategies			
	2. TA 4307 Poverty reduction in key forestry conservation programs	Policies and Strategies			
	3. TA 4308 Poverty reduction in grassland improvement program	Policies and Strategies			
	4. TA 4454 Developing a poverty monitoring system at country level	Policies and Strategies			
	5. TA 4694 Urban Poverty Strategy Study 2	Pro-Poor Infrastructure			
	6. TA 4649 Alternative Energy Supply for Rural Poor in remote Areas	Pro-Poor Infrastructure			
	7. TA 4402 Heating supply for urban poor in Liaoning province	Pro-Poor Infrastructure			
	8. TA 4430 Rural Finance reforms and development of mirco-finance institutions	Institution Building			
	9. TA 4215 Safe dinking water and sanitation for rural poor	Inclusion, Equity and Targeting			
	Teleconference with the team to review progress and process			31 st August	
	Field visit 1: China		September		
					September-SDD, Allyson Thirkell
Central Asia	INDEPTH		June (RD)	31 st August (FW/AM)	



Kyrgyz	1. TA 4405 Study on pricing systems and cost recovery in irrigation TA 4408 Study of the impact of land reform on agriculture, poverty reduction and environment	Transition and Reform			
Mongolia	2. TA 4383 Participatory poverty assessment and monitoring	Policies and Strategies			
Tajikistan	3. TA 4423 Community-based micro-hydropower development in remote rural areas	Pro-Poor Infrastructure			
	4. TA 4664 Farm analysis and awareness raising for debt resolution	Transition and Reform			
Uzbekistan	5. Comprehensive medium-term strategy for improving the living standards of the people of Uzbekistan	Policies and Strategies			
	SHORT		July (RD)	31 st August (FW/AM)	
Azerbaijan	1. TA 4621 Participatory strategy development and implementation	Policies and Strategies			
Sub-regional	2. Mainstreaming gender into poverty reduction strategies in Central Asian Region	Policies and Strategies			
	3. Remittance and Poverty in Central Asia and South Caucasus	Regional Poverty			
					September SDD, Allyson Thirkell
Mekong					
Sub-regional	INDEPTH		August/September/October (RD)	31 st October (FW/AM)	
	1. TA 6247 and 6316 HIV/AIDS risk reduction among ethnic minority groups	Inclusion, Equity and Targeting			
	2. TA 6190 Preventing the trafficking of women and children	Regional Poverty			
	3. TA 6171 Reviewing poverty impact of regional economic integration	Regional Poverty			
Inter-regional	4. TA 6188 Establishing legal identity for social inclusion	Inclusion, Equity and Targeting			
	5. Socio-economic impact of road crashes	Pro-poor Infrastructure			
	SHORT		August/September/October (RD)	31 st October (FW/AM)	
	1. TA 6118 Promoting NGO support for poverty alleviation in the GMS subregion	Regional Poverty			
	2. TA 6242 Developing new policy paradigms for sustainable livelihoods protection and natural resource management	Inclusion, Equity and Targeting			
	3. TA 6115 Poverty reduction in upland communities through improved community and industrial forestry	Inclusion, Equity and Targeting			
Cambodia	INDEPTH				
	1. TA 4131 Preventing Poverty and	Transition			



	Empowering Female Garment workers affected by the changing International Trade environment (combined with TA 4320 – Bangladesh)	and Reform			
	2. TA 3947 Sustainable Employment Promotion for Poor Women	Institution Building			
	3. TA 4376 Capacity building for the Tonle Sap poverty reduction initiative TA 4283 Participatory poverty study of the Tonle Sap Basin	Institution Building			
	SHORT		August/ September/ October (RD)	31 st October (FW/AM)	
	1. TA 4574 Community Self- Reliance and Flood Risk Reduction	Social Protection			
	2. TA 4755 Developing Deposit services for rural Cambodia	Social Protection			
Lao	INDEPTH		August/ September/ October (RD)	31 st October (FW/AM)	
	1. TA 4627 Public expenditure planning for NGPES	Policies and Strategies			
	2. TA 4339 The Extent and Impact of Gender Inequality in access to land	Inclusion, Equity and Targeting			
	SHORT		August/ September/ October (RD)	31 st October (FW/AM)	
	1. TA 4108 Integrating the poor in regional trade through standard-setting for private sector operations	Regional Poverty			
	2. TA 4392 Marketing Support for Organic Produce of Ethnic Minorities	Institution Building			
	3. TA 4009 Social protection: Issues and options	Social Protection			
	4. TA ?? Revitalising community demand for immunization	Inclusion, Equity and Targeting			
	5. TA 4434 Poverty reduction through tenure consolidation, participatory natural resource management and local community skills building	Transition and Reform			
Vietnam	INDEPTH		August/ September/ October (RD)	31 st October (FW/AM)	
	1. TA 4679/ 4644 Formulating provincial poverty programs for Hue, Quang Tri and Dak Nong	Policies and Strategies			
	2. TA 4690 Strengthening Institutional Capacity of Local Stakeholders for implementation for Son La Livelihood and Resettlement Plan TA 4689 Benefit sharing mechanisms for people adversely affected by power generation projects	Pro-poor Infrastructure			
	SHORT		August/ September/	31 st October	



			October (RD)	(FW/AM)	
	1.TA 4331 Support pro-poor health policies in Vietnam	Policies and Strategies			
	2. TA 4638 Implementing the regulatory and supervisory framework for microfinance	Institution Building			
	3. TA 4276 Developing a Social Security System in Vietnam	Social Protection			
	4. TA 4205 Early Child Development for the Poor	Social Protection			
	Field Trip 2: Mekong		November		
					November/December SDD- Sue Phillips
THEMATIC PAPERS	Regional Poverty and Cooperation		November onwards with thematic workshop in early 2007 (FW/AM)	TBC	SDD Pool consultant
	Pro-poor Infrastructure (transport, energy and urban)		November onwards with thematic workshop in early 2007 (FW/AM)	TBC	SDD Pool Consultant
	Transition and Reform		November onwards with thematic workshop in early 2007 (FW/AM)	TBC	SDD Pool Consultant
	Proposed SDD Visit to Manila <ul style="list-style-type: none"> - Preparation for the second half of the RETA - Stock-take of progress - Possible thematic workshops to draw out ADB knowledge, triangulate field visit findings and enable participation of project officers in thematic analysis 		Early 2007		



Facilitating Knowledge Management for Pro-Poor Policies and Projects

Asian Development Bank

8th June 2006

RETA Team Supplement to the Expanded Inception
Report



CONTENTS

1. Acknowledgements and Aims of the Inception Visit
2. Knowledge Products and Schedule of Production
3. Perspectives on ADB

Annexes:

See Expanded Inception Report (upd. 8th June 2006) for referenced annexes



1. Acknowledgements and Aims of the Visit

This note provides a supplement for the RETA team to the main Expanded Inception Report. It provides details of decisions made during the inception visit over process and next steps. It should be read in conjunction with the main report. The annexes referred to are those provided in the main Expanded Inception Report- 8th June 2006.

1.1 An inception visit by Anna Miles and Francis Watkins from Social Development Direct to ADB Headquarters in Manila took place from 23 to 29 May 2006. The SDD team, Randy Dacanay, Anna Miles and Francis Watkins, had the opportunity to work together in person for the first time and met through the week for a series of very useful discussions with Sonomi Tanaka, Cecilia Santiago, Zarah Zafra, Bert van Ommen, and Tina Cuyugan.

1.2 We would first of all like to thank all of the RETA team for the organization of the Inception Visit, the schedule that was put together so quickly, for the support, but most of all for the good company throughout the week, in both formal and informal settings. Thanks to Sonomi Tanaka, Cecilia Santiago, Zarah Zafra, Bert van Ommen, and Tina Cuyugan.

1.3 We would also like to thank all of those who met with us during our visit to the ADB headquarters and who provided us with valuable insights into the organization and its program – see Annex 1 for a list of meetings held.

1.4 The initial Inception Report was presented in April 2006, revised and agreed for 5 May 2006, as set out in the project proposal. In the discussions of what should be covered in the report, it was agreed that some issues would be left for further work in more detailed preparation for an Inception Visit to ADB headquarters and would be discussed during this visit. In particular it was agreed that further work would be carried out to:

- Analyse the PRF-NPRS portfolio of TAs in order to suggest a long-list of In-Depth Case Studies and to further look at the case for proposed country visits;
- Develop five examples of Short Case Studies, in order to be able to agree on the framework for these studies;
- Develop a framework for the In-Depth Case Studies, based on one of the pilot Short Case Studies.

1.5 In addition, it was suggested that an Inception Visit would be a good opportunity for the SDD consultant team to work together with the Part B consultants to develop a more detailed schedule of work and ensure that the work plans for knowledge product development meet the needs for dissemination and feedback to ADB staff. The visit provided a valuable opportunity to meet with a range of staff in ADB in order to further develop the very preliminary stakeholder analysis presented in the Inception Report.

2. Knowledge Products and Schedule of Production

2.1 The first stage of the Inception Visit was to go through the analysis of the portfolio and proposed long-list of In-Depth Case Studies. An updated analysis of the portfolio is presented in Annex 3. The analysis was used as the basis for developing a list of potential themes for the Thematic Studies (see Annex 6 for Thematic Studies for the first 4 themes and case studies identified). It was particularly useful to be able to present this proposed list of themes to a range of stakeholders in ADB, most of whom agreed with what had been set out. The final agreed list is as follows:

- Policies and strategies;
- Pro-Poor Infrastructure – Transport, Energy and Urban Development;
- Regional Integration and Poverty;
- Transition and Reform;
- Inclusion, Equity and Targeting; and,
- Process and Influencing.

2.2 The first four themes will all be focused on specific sets of case studies drawn from the portfolio, whilst the last two will look more generally across the range of TAs and could include an analysis of the portfolio as a whole. In addition, two further themes have been identified for further reflection: Institution Building; and, Social Protection. It may be possible to include them under the cross-cutting themes, Inclusion, Equity and Targeting, and Process and Influencing respectively. This is an issue that will be revisited later in the work.

2.3 Having agreed the list of Thematic Studies, the next stage was to develop and agree on the list of In-Depth Case Studies, and then the list of Short Case Studies. The discussion focused on issues around ensuring balance across the portfolio, practicalities of collecting materials and experience for the TAs, and progress of tranches of TAs. The final list of 29 In-Depth Case Studies and 78 Short Case Studies (which includes the former) is set out in Annex 3. The list leaves some flexibility to be able to identify further examples for inclusion and, indeed, to leave some examples out, if necessary. It should be noted that only 15 of the 29 TAs will be developed into full In-Depth Case Studies, while the remaining 14 will be developed into Short Case Studies only.

2.4 An equally important element of the discussions was on the process of collecting materials, interviewing those involved in the TAs, drafting the studies and agreeing their completion. The process for completing Short Case Studies is set out in a flow diagram in Annex 5, highlighting issues that will require further work in order to resolve them, particularly the issues around clearing studies for publication.

2.5 The collection of information and materials for In-Depth Case Studies will be supplemented by country visits, either by the SDD team or, where possible, by interviews with project officers in Manila and, in some cases, field visits by Sonomi Tanaka and Bert van Ommen. A more detailed schedule for the rest of 2006 is discussed in paragraph 2.6 below. Possible country visits are as follows:

- PRC in September 2006 – SDD;
- Central Asia in August/ September 2006 – Sonomi Tanaka;
- Mekong, including Vietnam, Cambodia and Lao, November/ December 2006 – SDD;
- Other Central Asia, perhaps Tajikistan – Bert van Ommen;
- South Asia, possibly Pakistan, early 2007 – SDD.

2.6 The pilot Short Case Studies prepared by SDD and the framework for In-Depth Case Studies, based on one example, were discussed in some detail and have been the basis for a range of comments to date. The conclusions reached in these discussions are recorded in revised frameworks for Short Case Studies and In-Depth Case Studies set out in Annex 7. In addition in this annex frameworks for the two types of Thematic Studies are proposed, and will be the subject of further discussion. Annex 7 also records the agreements on the next stages for study development. Again, this will be set out in the context of other work in the detailed schedule discussed in paragraph 2.6 below.

2.7 The final stage of the Inception Visit was the preparation of a more detailed schedule for the next seven months, June to July 2006. The schedule, in detail for 2006, and for the rest of the contract is set out in Annex 8. A meeting will be arranged at the end of August 2006 to review progress against the proposed schedule and to agree if any adjustments are required. It is also intended that there will be a visit by members of the SDD team to Manila early in 2007 to further review progress and to make detailed plans for the remainder of the contract.

2.8 There were a number of issues that were explored in the team discussion of the schedule. These issues will require further discussion as implementation proceeds, and include:

- The schedule that was agreed is ambitious and, with a number of country visits proposed for this year, will cover a substantial portion of the portfolio. It was noted that this frontloading of the work may have implications, such as the issues raised below, and should be kept under review at regular intervals;
- Care will have to be taken to maintain a balance in the workload of the different members of the SDD team. Concerns were raised in particular that Randy might be overburdened by the demands early in the schedule. This issue will be kept under review by the SDD team in regular monthly discussions and in the wider team meetings. In addition it was suggested that others in the wider ADB team, such as Bert, Cecile and Zarah, could be able to provide support to Randy, if it is required. It was agreed that to start this Randy will work closely with Bert in the next few months to meet with TA Project Officers;
- It was pointed out that, in the agreed schedule, with 29 potential In-Depth Case Studies highlighted for PRC, the Mekong and Central Asian countries, there could be a risk of producing all of the proposed 15 studies for these regions alone. A number of mitigating actions were suggested, such as – a rigorous approach to selecting studies by the SDD team, and close liaison with the wider team to look at other factors, such as TA workshops, movement of Project Officers, and general interest in the TA subject within ADB. Again, this issue will be revisited in the regular review meetings;
- Ensuring a regional balance in the case studies covered in the portfolio will need to be kept under review. In particular, given the broad spread of TAs in South Asia and Central Asia, there will be a need to look at the start of 2007 at the case for further country visits. Another approach that was suggested was a visit to Manila in early 2007 to focus on further scheduling, working closely with Project Officers on South Asian TAs, and possibly developing workshops on some of the three Thematic Studies proposed in the schedule - Pro-Poor Infrastructure, Regional Integration and Poverty, and Transition and Reform.



3. Perspectives on ADB

3.1 Agreement was reached that the primary audience for the knowledge products discussed above is an internal ADB audience. At the same time, it is important that these products be developed with a wider audience in mind, including stakeholders in DMCs, and internationally.

3.2 The schedule of meetings during the Inception Visit was developed in order to get the views of a range of stakeholders in ADB headquarters, as well as beginning to collect data on the TAs that some of them are involved in. An analysis of these perspectives is presented in the form of a stakeholder analysis, focused on ADB, set out in Annex 2. It is the intention that this preliminary analysis be revisited and added to as the work develops, particularly to take account of views gathered in country visits.

