

Japan Special Fund

Annual Report 2001

Office of Cofinancing Operations
Asian Development Bank
Mandaluyong City, Philippines

ADB's Poverty Reduction Strategy

(From ADB President Chino's speech to the 34th Annual Meeting)

“ADB is firmly committed to an Asia and Pacific region free of poverty. It is not an easy road to follow, but by working together we can fulfill a promise to our children –



and to their children too – of an Asia and Pacific region where all can live with dignity and hope.”

ABBREVIATIONS

ACCSF	-	Asian Currency Crisis Support Facility
ADB	-	Asian Development Bank
AOTA	-	advisory and operational technical assistance
ASEAN	-	Association of Southeast Asian Nations
DMC	-	developing member country
GMS	-	Greater Mekong Subregion
GOJ	-	Government of Japan
HNSDP	-	Health and Nutrition Sector Development Program
IPA	-	interest payment assistance
JSF	-	Japan Special Fund
NPPFB	-	National Pension and Provident Fund Bureau
ODA	-	official development assistance
OED	-	Operations Evaluation Department
PDR	-	People's Democratic Republic
PEDP	-	primary education development program
PPTA	-	project preparatory technical assistance
PRC	-	People's Republic of China
PSOs	-	public service obligations
RETA	-	regional technical assistance
SFIs	-	specialized financial institutions
SME	-	small and medium enterprise
SOEs	-	state-owned enterprises
TA	-	technical assistance
TPAR	-	technical assistance performance audit report
WSS	-	water supply and sanitation

NOTE

In this report, "\$" refers to US dollars.

CONTENTS

	Page
I. BACKGROUND	1
II. OVERVIEW OF JAPAN SPECIAL FUND OPERATIONS IN 2001	1
A. Overview	1
B. Recognition of Japan Special Fund Assistance	4
C. Evaluation of Japan Special Fund–Financed Technical Assistance Projects	5
D. Contributions from the Government of Japan	5
E. Balance of Contributions Available for New Commitments	5
F. Operational Highlights	5
G. Financial Statements	7
H. Home Page	7
III. ASIAN CURRENCY CRISIS SUPPORT FACILITY	7
A. Activities	7
B. Sector Activities	7
APPENDIXES	
1 Members of the Asian Development Bank	9
2 Japan Special Fund Grants in 2001 and Implementation Status	10
3 Implementation Progress Report on Japan Special Fund Grants, 1988–2001	36
4 Use of the Japan Special Fund	112
5 Sector Distribution of Japan Special Fund Grants, 2001 and 1988–2001	115
6 Financial Statements	116
7 Asian Currency Crisis Support Facility Grants, 1999–2001	124

I. BACKGROUND

1. The Japan Special Fund (JSF) was established in March 1988, and provides grants to Asian Development Bank's (ADB) developing member countries (DMCs) to help prepare projects and undertake technical or policy studies. As a special fund that consists of resources entirely contributed by the Government of Japan, it supports ADB operations to reduce poverty, and such activities as environmental protection, gender and empowerment, private sector promotion, and finance sector reform.
2. As an untied grant, JSF continues to support the ADB's mission of promoting the social and economic progress of the Asia and Pacific region by helping finance ADB's technical assistance (TA) program in several DMCs (Appendix 1).
3. JSF's role has become even more important since 1999, when ADB refocused its goals on poverty reduction. In 2001, JSF took on the challenge of stalled progress, which has increased absolute poverty in crisis-affected Asia. JSF has endeavored to alleviate the plight of the poor and their children, who have suffered the social consequences of the crisis.
4. JSF, through ADB as administrator, processes batches of TA proposals during the year. TA proposals needing urgent attention are processed separately. JSF has a two-step approval process, involving initial and final approval. Each proposal is screened by the Office of Cofinancing Operations, which seeks initial approval from the JSF authorities. They thoroughly review the proposals and seek clarifications to gain a complete understanding of the TA proposals and to maintain project quality. The JSF authorities then advise ADB of the initially approved proposals. Once a proposal is reviewed and approved by an interdepartmental staff review committee, final approval for JSF financing is requested.

II. OVERVIEW OF JAPAN SPECIAL FUND OPERATIONS IN 2001

A. Overview

5. This year, JSF continued to support ADB operations to reduce poverty and contributed heavily to the agriculture and natural resources, social infrastructure, and other sectors through preselected TA proposals by operational departments. During 2001, JSF provided \$53.8 million for 96 TAs on an untied basis, accounting for 37% of all TA approvals.
6. The different types of technical assistance financed by JSF as grants are project preparatory technical assistance (PPTA), advisory and operational technical assistance (AOTA), and regional technical assistance (RETA). A PPTA helps prepare the feasibility and detailed design of loan projects. An AOTA supports institutional strengthening, sector and policy studies, and capacity building that may or may not be project related. A significant number of AOTAs are attached to loan projects but the number of stand-alone AOTAs is increasing. A RETA addresses issues of regional or subregional interest, and assists a group of DMCs on specific issues.
7. In Bangladesh, for example, a PPTA was provided to help the Government prepare a feasible investment project to mitigate the effects of riverbank erosion

threatening the Meghna-Dhonagoda Irrigation Project and Pebra Irrigation and Rural Development Project, which built flood protection embankments. In Cambodia, another PPTA will help the Ministry of Agriculture, Forestry and Fisheries plan and execute its statutory responsibilities in water resource management and development. An AOTA extended to Kazakhstan will help farmers fight locusts and another one in the Philippines will contribute to the improvement of the judiciary. An example of a RETA is the TA on Facilitating Cross-Border Trade and Investment for Small and Medium Enterprise (SME) Development in the Greater Mekong Subregion (GMS), which sought to evaluate, plan, and pilot-test fast-track investment approval procedures along the East-West Economic Corridor.

8. To help prepare a feasible investment project to mitigate the effects of riverbank erosion threatening Bangladesh's flood protection embankments, JSF is supporting the preparation of measures to serve as pilots for riverbank erosion control and management throughout the country to benefit many local communities living on the floodplains.

Box 1: Jamuna-Meghna River Erosion Mitigation, Bangladesh

The technical assistance will help the Government prepare a feasible investment project to mitigate the effects of riverbank erosion threatening the Meghna-Dhonagoda Irrigation Project and Pebra Irrigation and Rural Development Project flood protection embankments. The objectives are to (i) identify and design feasible structural measures to control riverbank, erosion which are sustainable and cost-effective for agricultural land; and (ii) prepare and implement systems for sustainable riverbank management, incorporating local participation in the institutional framework, monitoring, operation and maintenance, annual planning processes, and a cost-recovery mechanism.

TA 3659-BAN: *Jamuna-Meghna River Erosion Mitigation*, for \$1,000,000, approved on 28 May 2001.

9. In Cambodia, a TA to prepare a loan for the Northwest Irrigation Loan Project will support the goal expressed in the Government's Socioeconomic Development Plan II (2001–2005) of poverty reduction through participatory rural development. Irrigation is vital to rural development, and developing groundwater resources and affordable small- and medium-scale systems that can be managed by beneficiaries is important.

Box 2: Rural Water Supply and Sanitation, Cambodia

The technical assistance (TA) objective is to help the Government achieve its rural water supply and sanitation development targets by preparing a project that will improve access of the rural population, particularly the poor, women, and other vulnerable groups, to safe, sustained, and equitable water supply and sanitation services. The TA will also help improve sector policies and strengthen institutional capabilities.

TA 3688-CAM: *Rural Water Supply and Sanitation*, for \$700,000, approved on 23 July 2001.

10. To help Kazakhstan fight locusts, which are a major threat to agriculture, JSF has provided a \$700,000 TA grant to develop a program to monitor and control locusts. Locust infestation has been increasingly severe since late 1998, and the Government fears that an even more severe and extensive plague will ensue unless large-scale mitigative steps are taken in regions most prone to infestation.

Box 3: Technology and Institutional Development for Sustainable Locust Management, Kazakhstan

The advisory and operational technical assistance aims to help the Ministry of Agriculture and its agencies develop capacity and appropriate modern technologies by formulating an environmentally sound program for locust monitoring or locust control.

TA 3647-KAZ: *Technology and Institutional Development for Sustainable Locust Management*, for \$700,000, approved on 23 April 2001.

11. To help improve the Philippine judiciary by supporting its independence, accountability, impartiality, and competence, JSF has approved a \$1,200,000 TA to help (i) design a framework for the judiciary's fiscal and administrative autonomy, (ii) improve the appointment process and incentives system for judges, and (iii) strengthen the capacity of the Philippine Judicial Academy to deliver judicial training.

Box 4: Strengthening the Independence of the Judiciary, Philippines

The goal of the technical assistance (TA) is to help improve the Philippine judiciary by supporting its independence, accountability, impartiality, and competence. Under the TA, (i) a framework for judiciary's fiscal and administrative autonomy will be designed, (ii) the appointment process and the accountability and incentive system under which the judges and justices' function will be improved, and (iii) the capacity of Philippine Judicial Academy to deliver judicial training will be strengthened.

TA 3693-PHI: *Strengthening the Independence of the Judiciary*, for \$1,200,000, approved on 2 August 2001.

12. A RETA to evaluate how to improve investment opportunities by SMEs in a common economic space (GMS) and how to make proposals has been extended to the six countries that comprise the GMS. The RETA will also explore measures to ease investment in SMEs, by investors from within and outside the region.

Box 5: Facilitating Cross-Border Trade and Investment for Small and Medium Enterprise Development in the Greater Mekong Subregion

The components of the technical assistance are the following:

- (i) evaluation of the investment environment for small and medium enterprises (SMEs), and of investment in SMEs from investors within and outside the region;
- (ii) planning for increased regional capacity to collect, process, and disseminate investment-related information to facilitate investment;
- (iii) pilot-testing of fast-track investment approval procedures along the East–West Economic Corridor; and
- (iv) support for the Subregional Working Group on Investment and for the Greater Mekong Subregion (GMS) Business Forum as mandated by the Ministerial Conference of GMS.

TA REG-6020: *Facilitating Cross-Border Trade and Investment for SME Development. In the GMS*, for \$600,000 approved on 21 December 2001.

B. Recognition of Japan Special Fund Assistance

13. In 2001, JSF launched a visibility campaign to promote awareness of the fund's assistance. Several signing ceremonies attended by representatives from the Government of Japan, mostly from the Japanese embassy in the DMCs, have taken place, generating goodwill and strengthening the visibility of JSF assistance among the recipient governments and the donor.

14. During the year, JSF assistance received favorable reviews.

15. The first TA to East Timor funded through JSF was successfully designed and implemented a joint poverty assessment based on effective donor coordination and a signed memorandum of understanding between the East Timor Transitional Authority, ADB, World Bank, and United Nations Development Programme. The TA will enable the East Timorese to make informed policy decisions, by improving the quality of poverty information available to them and enhancing their capacity to collect and analyze this information for their particular needs. This poverty assessment is the first step for a full collaborative poverty reduction strategy.

16. A well-attended signing ceremony of a TA project financed by JSF was held in September 2001 in Hanoi, emphasizing the objective of the Government of Viet Nam and ADB to provide rural children with better access to education. The guests were led by the deputy governor of the State Bank of Viet Nam, Tran Minh Tuan; vice minister of education and training, Nguyen Van Vong; the resident representative of ADB in Viet Nam, John Samy; and a representative from the Government of Japan.

17. During the ceremony, it was stressed that “enriched human capital may reduce poverty more sustainably than any other measures.” Investing in education strengthens the quality and productivity of the future labor force by developing the human mind, capacity, expertise, knowledge, and skills, and empowers the poor to boost their incomes and leave the pain of poverty behind.

C. Evaluation of Japan Special Fund-Financed Technical Assistance Projects

18. As provided by the agreement between ADB and the Government of Japan establishing JSF, a JSF team¹ participated in the supervision and evaluation mission of the Second Basic Health Services and the Prevention and Control of HIV-AIDS TA projects in Cambodia in October, which proved to be well implemented. To further systematize review and evaluation, the guidance and expertise from the Operations Evaluation Department (OED) will be sought, since it is mainly responsible for the preparation of TA performance audit reports (TPARs), which are prepared on a highly selective basis. TPARs are generally based on ADB-wide priorities, and on relevant and appropriate lessons for design and implementation of TAs in a particular sector or country. TPARs will assess implementation performance, evaluate the achievement and impact of the TA, and draw lessons from the experience to improve JSF's TA activities. Postevaluated projects, programs, and TA operations are classified as generally successful, partly successful, or unsuccessful. ADB's TPARs from 1995 on are available online at OED's website at <http://adb.org>.

D. Contributions from the Government of Japan

19. In 2001, the Government of Japan provided ¥3.7 billion, all in regular contributions, as its 13th annual contribution to JSF. This brought Japan's cumulative contributions to JSF to ¥94.3 billion (about \$813.5 million equivalent), comprising regular contributions of ¥76.3 billion and supplementary contributions of ¥18 billion.

E. Balance of Contributions Available for New Commitments

20. Of the total contribution received by JSF as of 31 December 2001, \$717 million had already been used to fund TAs. As of 31 December 2001, TAs totaling \$23.6 million had been approved by the Government of Japan and ADB, but had not yet become effective, and more TAs totaling \$3.4 million had been approved by the Government of Japan and were being processed by ADB. At the end of 2001, the uncommitted balance available for new commitments was \$39.3 million.

F. Operational Highlights

1. Total Approvals for the Year and Cumulative Totals

21. In 2001, JSF provided about \$53.8 million for 96 TAs (including country-specific TAs and RETAs) (Appendix 2), compared with \$77.1 million the previous year. This total represents about 37% of ADB's total TA Program during 2001. From 1988 to the end of 2001, \$780.6 million under JSF was committed to finance 1,275 TAs (Appendix 3).

2. Total Approvals by Country

22. During 2001, JSF financed 76 new country-specific TAs in 24 countries for \$45.1 million. Since its establishment, JSF has supported 34 countries (Appendix 4).

¹ Coordinator and staff

3. Nature and Type of Technical Assistance Projects Supported

23. In support of ADB's goal to eradicate poverty in the region, JSF has made untied funds available for AOTAs, PPTAs, and RETAs.

24. In 2001, JSF supported 30 AOTAs for \$16.2 million. Since its inception in 1988, JSF has financed 597 AOTAs for \$375.4 million.

25. During 2001, JSF financed 46 PPTAs for \$29 million. Cumulative approvals of PPTAs from 1988 to 2001 totaled \$316.3 million for 526 TAs.

26. In 2001, JSF financed 20 RETAs for \$8.7 million. From 1988 to 2001, \$88.9 million for 152 RETAs was approved for JSF financing.

4. Total Approvals by Sector

27. JSF can support a whole range of sectors and subsectors (Appendix 5). Since JSF's inception, the sectors receiving the most support were agriculture and natural resources (28% of total funding, with 295 grants for \$192.7 million) and social infrastructure (22% of total funding, with 271 grants for \$155.9 million).

**Table 1: Japan Special Fund
Technical Assistance Grants by Sector, 1988–2001**

Sector	2000			2001			1988–2001		
	No.	\$'000	%	No.	\$'000	%	No.	\$'000	%
Agriculture and Natural Resources	20	14,050.0	21	20	13,108.0	29	295	192,725.5	28
Energy	6	4,164.0	6	5	3,040.0	7	125	71,963.4	10
Finance	11	8,065.0	12	2	1,000.0	2	63	38,766.0	6
Industry and Nonfuel Minerals	1	400.0	1	1	1,250.0	3	40	20,721.0	3
Social Infrastructure	24	16,624.0	25	17	11,015.0	24	271	155,933.0	22
Transport and Communications	15	10,809.0	17	12	6,270.0	14	177	113,025.0	16
Multisector	1	830.0	1	4	2,650.0	6	9	10,714.0	2
Others	16	11,085.4	17	15	6,800.0	15	143	87,823.2	13
Subtotal	94	66,027.4	100	76	45,133.0	100	1,123	691,671.1	100
RETAs	19	11,060.0		20	8,650.0		152	88,927.4	
Total	113	77,087.4		96	53,783.0		1,275	780,598.5	

RETA = regional technical assistance.

G. Financial Statements

28. Since 1997, explanatory notes to JSF financial statements have been included in ADB's annual report to show the actual and realistic balances available to finance new TA proposals under JSF (Appendix 6), providing a clear picture of the uncommitted JSF balances to date.

H. Home Page

29. Beginning with the 1997 JSF annual report, the English version of the JSF annual report has been made available through the ADB website under the Cofinancing Opportunities section. Copies of the Japanese version are available through the ADB-Japanese Representative Office.

III. ASIAN CURRENCY CRISIS SUPPORT FACILITY

A. Activities

30. The Asian Currency Crisis Support Facility (ACCSF), established in March 1999 for a 3-year period as an independent component of JSF to support ADB DMCs most affected by the recent financial crisis, continued to be administered by ADB. Again, ACCSF was entirely funded by the Government of Japan as part of its financial assistance under the New Miyazawa Initiative.

31. At the end of 2001, Japan's cumulative contributions for the interest payment assistance (IPA) and TA component of ACCSF amounted to ¥27.5 billion (\$241.0 million equivalent). The uncommitted balance of funds for new approvals of IPA and TA was \$89.1 million as of 31 December 2001.

32. Indonesia, the Philippines, and Thailand were the beneficiaries in 2001. ADB approved 16 TA grants for ACCSF funding of \$16.1 million. As of 31 December 2001, the cumulative TA approvals for ACCSF since its inception, totaled \$34.2 million for 33 projects (Appendix 7).

33. ACCSF is expected to close by March 2002.

34. ACCSF financing targets activities that support policy dialogue, human resource development, institutional strengthening, and other efforts focusing on bank restructuring and corporate debt restructuring; creation or development of sound financial monitoring, supervision, and regulation; enhancement of public sector and corporate governance; development of social safety nets; and protection of the environment. During 2001, ACCSF financed 16 TAs as part of ADB's response to the aftermath of the financial crisis.

B. Sector Activities

35. In 2001, ACCSF financed 11% of the total value of TAs approved by ADB. The breakdown of ACCSF TA approvals by sector is shown in Table 2.

**Table 2: Asian Currency Crisis Support Facility
Technical Assistance Grants by Sector, 1999–2001**

Sector	2000			2001			1999–2001		
	No.	\$'000	%	No.	\$'000	%	No.	\$'000	%
Agriculture and Natural Resources	1	1,100.0	14	-	-	-	3	2,320.0	7
Energy	-	-	-	1	990.0	7	1	990.0	3
Finance	-	-	-	4	6,300.0	44	5	9,300.0	29
Industry and Nonfuel Minerals	2	3,500.0	46	-	-	-	2	3,500.0	11
Social Infrastructure	3	2,775.0	37	5	3,990.0	28	13	12,115.0	37
Multisector	-	-	-	1	1,000.0	7	1	1,000.0	3
Others	1	225.0	3	3	2,028.0	14	6	3,161.0	10
Subtotal	7	7,600.0	100	14	14,308.0	100	31	32,386.0	100
RETAs	-	-	-	2	1,800.0	-	2	1,800.0	-
Total	7	7,600.0		16	16,108.0		33	34,186.0	

RETA = regional technical assistance.

MEMBERS OF THE ASIAN DEVELOPMENT BANK

Regional	Year of Membership	Nonregional	Year of Membership
Afghanistan*	1966	Austria	1966
Australia	1966	Belgium	1966
Azerbaijan*	1999	Canada	1966
Bangladesh*	1973	Denmark	1966
Bhutan*	1982	Finland	1966
Cambodia*	1966	France	1970
China, People's Republic of*	1986	Germany	1966
Cook Islands*	1976	Italy	1966
Fiji Islands*	1970	Netherlands	1966
Hong Kong, China*	1969	Norway	1966
India*	1966	Spain	1986
Indonesia*	1966	Sweden	1966
Japan	1966	Switzerland	1967
Kazakhstan*	1994	Turkey	1991
Kiribati*	1974	United Kingdom	1966
Korea, Republic of*	1966	United States	1966
Kyrgyz Republic*	1994		
Lao People's Democratic Republic*	1966		
Malaysia*	1966		
Maldives*	1978		
Marshall Islands*	1990		
Micronesia, Federated States of*	1990		
Mongolia*	1991		
Myanmar*	1973		
Nauru*	1991		
Nepal*	1966		
New Zealand	1966		
Pakistan*	1966		
Papua New Guinea*	1971		
Philippines*	1966		
Samoa*	1966		
Singapore*	1966		
Solomon Islands*	1973		
Sri Lanka*	1966		
Taipei, China*	1966		
Tajikistan*	1998		
Thailand*	1966		
Tonga*	1972		
Turkmenistan*	2000		
Tuvalu*	1993		
Uzbekistan*	1995		
Vanuatu*	1981		
Viet Nam*	1966		

* Developing member country

Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
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Azerbaijan

1	AZE-3774	Urban Water Supply and Sanitation	PP	Social Infrastructure	740.0	29 Oct 2001	15 Nov 2001	C	Analyze the water supply and sanitation (WSS) sector in secondary towns in Azerbaijan, and identify and prepare an investment project to rehabilitate WSS services and provide institutional restructuring for them in selected towns for possible ADB funding.
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Bangladesh

2	BAN-3766	Primary Education Sector Improvement	PP	Social Infrastructure	800.0	23 Oct 2001	13 Nov 2001	D	Help the Primary and Mass Education Division prepare the pro-poor primary education development program (PEDP) II, 2003-2008, consisting of a policy framework, strategies, programs, and an investment plan. Based on PEDP II, prepare a primary education sector improvement project, taking into account other pipeline and prospective aid support programs.
3	BAN-3755	Road Network Improvement and Maintenance II	PP	Transport and Communications	600.0	23 Oct 2001	30 Oct 2001	D	Help the Government prepare a project suitable for ADB financing to strengthen the integrated road networks in Bangladesh, and facilitate connection of the rural poor to regional centers.

AO = advisory and operational, GOJ = Government of Japan, PP = project preparatory, REG = regional, TA = technical assistance.
A = TA completed, B = consultants fielded, C = consultants not yet fielded and/or TA agreement signed, D = TA agreement not yet signed, E = others.

Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
4	BAN-3753	PP	Transport and Communications	150.0	23 Oct 2001	29 Oct 2001	C	Carry out a pre-feasibility study to investigate whether a project to strengthen border access roads and improve land port facilities in Banglabandh will enable the benefits from free cross-border shipments from Nepal to Bangladesh through Banglabandh to fully materialize.
5	BAN-3723	PP	Agriculture and Natural Resources	440.0	4 Sep 2001	19 Sep 2001	C	Help the Government formulate a project proposal to promote rural development, poverty reduction, and economic growth in 16 districts of southwestern Bangladesh.
6	BAN-3690	PP	Social Infrastructure	350.0	5 Jul 2001	27 Jul 2001	B	Prepare a project that will support the economic development of secondary towns to improve the welfare of town residents, including the urban poor, and enhance the quality of the urban environment.
7	BAN-3659	PP	Agriculture and Natural Resources	1,000.0	27 Apr 2001	28 May 2001	B	Help the Government prepare a feasible investment project to mitigate the effects of riverbank erosion threatening the Meghna-Dhonagoda Irrigation Project and Pabna Irrigation and Rural Development Project flood protection embankments.

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Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
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Bhutan

8	BHU-3825	Rural Electrification and Network Expansion	PP	Energy	700.0	10 Dec 2001	21 Dec 2001	D	Establish the technical, economic and social feasibility of the rural electrification and network expansion project, and provide the Department of Power with capacity to prepare similar future projects for external financing.
9	BHU-3796	Institutional Development of the National Pension and Provident Fund Bureau	AO	Others	300.0	4 Sep 2001	12 Dec 2001	C	Review and establish National Pension and Provident Fund Bureau's (NPPFB) strategic role and institutional set up under a medium-term master plan, and provide capacity-building assistance to institutionalize NPPFB as a sustainable agency.
10	BHU-3790	Institutional Development of the Department of Employment and Labor	AO	Others	200.0	23 Oct 2001	7 Dec 2001	D	Strengthen Bhutan's labor administration.

Cambodia

11	CAM-3758	Northwest Irrigation Sector	PP	Agriculture and Natural Resources	1,200.0	4 Sep 2001	31 Oct 2001	C	Help build the capacity of the Ministry of Water Resources and Meteorology to effectively and efficiently plan and execute its statutory responsibilities in water resources management and
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A = TA completed, B = consultants fielded, C = consultants not yet fielded and/or TA agreement signed, D = TA agreement not yet signed, E = others.

Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
								development. Prepare an investment sector project suitable for possible ADB financing to develop irrigated agriculture to increase rural incomes.
12	CAM-3695	PP	Agriculture and Natural Resources	600.0	5 Jul 2001	6 Aug 2001	C	Help the Government prepare a detailed proposal for an agriculture sector development program in Cambodia to reduce rural poverty through faster agricultural growth.
13	CAM-3688	PP	Social Infrastructure	700.0	5 Jul 2001	23 Jul 2001	B	Help the Government achieve its rural WSS development targets by preparing a project that will improve access of the rural population, particularly the poor, women, and other vulnerable groups.
14	CAM-3653	PP	Social Infrastructure	700.0	27 Apr 2001	3 May 2001	B	Prepare a detailed project feasibility report for the Government and ADB.
15	CAM-3651	AO	Transport and Communications	850.0	12 Dec 2000	27 Apr 2001	B	Help the Government formulate a comprehensive and integrated transport sector strategy to develop an efficient transport system to support poverty reduction and national economic growth.

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Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
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China, People's Republic of

16	PRC-3776	Ningxia Roads Development	PP	Transport and Communications	300.0	23 Oct 2001	16 Nov 2001	D	Prepare an integrated roads development project to support sustainable economic growth and reduce poverty in the southern part of the Ningxia Hui Autonomous Region.
17	PRC-3730	Gansu Hydropower	PP	Energy	950.0	5 Jul 2001	27 Sep 2001	D	Assess the rationale, justification, and technical design of the project; check its economic and financial viability; advise on the scope of the project components; recommend measures to enhance the poverty impact of the project; evaluate the soundness of the financial governance procedures in Gansu Electric Power Construction Investment and Development Company and Gansu Hexi Hydropower Development Company; and incorporate appropriate environmental impact mitigation measures and prepare a resettlement plan.
18	PRC-3663	Optimizing Initiatives to Combat Desertification in Gansu Province	AO	Agriculture and Natural Resources	610.0	4 Dec 2000	5 Jun 2001	C	Help the Gansu provincial government develop policies and supporting strategies and projects to improve natural resource management and foster sustainable development by controlling and preventing desertification

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Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
								and enhancing oasis ecosystems in the Hexi Corridor.
19	PRC-3642	PP	Transport and Communications	770.0	22 Aug 2000	20 Mar 2001	B	Help the Government prepare an integrated roads development project to support pro-poor economic growth and reduce poverty in the western part of Yunnan Province.

Cook Islands

20	COO-3815	AO	Others	250.0	4 Dec 2001	19 Dec 2001	C	Help the Government build a body of expertise in government departments to ensure that the principles of output-based management, good business planning, and reporting continue to be met; and expertise among members of parliament to enable them to effectively review the annual reports of government departments.
21	COO-3795	PP	Others	250.0	4 Dec 2001	12 Dec 2001	D	Help the Government formulate an outer islands development program.

East Timor

22	ETM-3819	AO	Transport and Communications	250.0	4 Dec 2001	19 Dec 2001	D	Provide assistance to reestablish an appropriate framework to develop an efficient, cost-effective postal system in East Timor.
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Japan Special Fund Grants in 2001 and Implementation Status

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Implementation Status	Objective
23	ETM-3803	AO	Others	950.0	23 Oct 2001	14 Dec 2001	D	Help the public servants and decision makers in the traditional and commercial sectors to provide strategic vision and direction for equitable and sustainable economic development.
24	ETM-3748	AO	Energy	400.0	4 Sep 2001	22 Oct 2001	D	Formulate a least-cost and technically optimum strategy to develop and expand the power networks throughout East Timor to support the country's economic and social development.
25	ETM-3731	AO	Multisector	500.0	4 Sep 2001	1 Oct 2001	D	Support establishment of sustainable operations, management, and administration of the transport sectors.

Indonesia

26	INO-3807	PP	Energy	500.0	4 Dec 2001	18 Dec 2001	D	Help prepare a project to improve regional power transmission and develop a competitive electricity market in Java-Bali. Help prepare a new framework for private sector participation in power projects outside Java-Bali.
27	INO-3793	PP	Agriculture and Natural Resources	600.0	23 Oct 2001	12 Dec 2001	C	Help the Government (i) formulate a project to promote participatory approaches to sustainable management of

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Japan Special Fund Grants in 2001 and Implementation Status

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								water resources; (ii) improve the efficiency and productivity of irrigated agriculture in primarily small- and medium-scale systems; (iii) increase and diversify farm income; and (iv) reduce poverty.
28	INO-3775	PP	Social Infrastructure	1,000.0	4 Sep 2001	15 Nov 2001	C	Develop a project proposal that will improve the health status of the population, particularly the poor and vulnerable groups, in all districts of selected provinces by improving the health system's responsiveness to local needs.
29	INO-3671	AO	Energy	490.0	12 Dec 2000	11 Jun 2001	C	Prepare a comprehensive gas sector development plan that will guide and promote the development of Indonesia's gas infrastructure over the next 5 years.

Kazakhstan

30	KAZ-3740	PP	Multisector	600.0	4 Sep 2001	12 Oct 2001	C	Help the Government prepare an investment project to improve the quality of and access to early childhood and women's development services.
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31	KAZ-3647	AO	Agriculture and Natural Resources	700.0	4 Dec 2000	23 Apr 2001	B	Help the Ministry of Agriculture and its related agencies develop capacity and appropriate modern technologies by formulating an environmentally sound program for locust monitoring and control.
32	KAZ-3633	PP	Finance	600.0	2 Nov 2000	21 Feb 2001	B	Support economic growth by promoting development of the urban small and medium enterprise (SME) sector.

Kiribati

33	KIR-3786	AO	Others	500.0	29 Oct 2001	4 Dec 2001	D	Help Kiribati (i) develop a comprehensive national strategy and program to achieve broad-based economic growth, and improve the quality of life and reduce poverty; and (ii) implement the plan effectively, through intermittent expert inputs.
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Kyrgyz Republic

34	KGZ-3757	AO	Transport and Communications	650.0	10 Oct 2001	31 Oct 2001	C	Help the Government develop the capacity to maintain secondary roads using community maintenance techniques; and develop a set of measures for regulatory reforms to increase the competitiveness of the markets for transport services.
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Lao People's Democratic Republic

35	LAO-3817	Northern Economic Corridor	PP	Transport and Communications	600.0	10 Dec 2001	19 Dec 2001	D	Help the Government update all project parameters of the prefeasibility study for the existing road in the Lao People's Democratic Republic (PDR) from Houayxay to Boten; and prepare a pre-investment study to determine the feasibility of developing a viable economic corridor.
36	LAO-3794	Tree Plantation for Livelihood Improvement	PP	Agriculture and Natural Resources	700.0	4 Dec 2001	12 Dec 2001	C	Prepare an investment project that will improve livelihood of the rural population through tree plantations.
37	LAO-3756	Roads for Rural Development	PP	Transport and Communications	400.0	23 Oct 2001	30 Oct 2001	D	Help the Government formulate the next steps to implement the road sector strategy to develop an efficient road transport system, and to design the envisaged roads for a rural development project.
38	LAO-3746	Capacity Building for Environment and Social Management in Energy and Transport	AO	Multisector	600.0	4 Sep 2001	22 Oct 2001	C	Help the Government (i) strengthen compliance and enforcement mechanisms for the Environment Protection Law, (ii) establish sustainable financial mechanisms for environmental management, and (iii) create a policy framework for involuntary resettlement and compensation.

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								and institutionalization of public involvement.
39	LAO-3737	PP	Finance	400.0	4 Sep 2001	10 Oct 2001	B	Help the Government design a performance-based reform program for the banking and enterprise sectors, which draws on lessons from the past, integrates the World Bank program for state-owned enterprise reform, and is closely coordinated with ADB's and the United Nations Development Programme's current activities in rural finance and microfinance.
40	LAO-3718	PP	Agriculture and Natural Resources	700.0	4 Sep 2001	11 Sep 2001	C	Prepare a sector investment project to develop community-managed irrigation and related institutional strengthening, consistent with government and ADB water and poverty reduction policies.
41	LAO-3641	AO	Others	300.0	7 Mar 01	19 Mar 2001	B	Help the Lao Women's Union accelerate implementation of the Beijing Platform for Action, based on a gender-mainstreaming approach.
42	LAO-3626	AO	Others	400.0	12 Dec 2000	25 Jan 2001	D	Enhance the Government's project financial management with respect to official development assistance (ODA) funds, by (i)

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								improving the national budget process and fund-flow mechanism, and (ii) strengthening institutional capacity to manage financial activities of ODA-funded projects.

Maldives

43	MLD-3826	Postsecondary Education and Skills Development	PP	Social Infrastructure	400.0	4 Dec 2001	21 Dec 2001	D	Help the Government prepare a project addressing issues of capacity, access, and relevance of postsecondary education, including technical vocational education and training for out-of-school and unemployed youth, especially in the atolls where most of the poor live.
44	MLD-3824	Strengthening of the Public Accounting System	PP	Others	150.0	23 Oct 2001	19 Dec 2001	C	Prepare the proposed public accounting system project for a computerized, double-entry, cash-based, public accounting system with a central ledger and a check production unit under the control of the Ministry of Finance and Treasury.
45	MLD-3797	Enhancement of the Social Security System	AO	Others	150.0	23 Oct 2001	12 Dec 2001	C	Enhance the operational capacity of a consolidated social security system; determine the social security system's priorities, coverage, and sustainabi-

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								lity; and prepare a practical and workable step-by-step action plan to establish the recommended social security system.
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Mongolia

46	MON-3750	Second Health Sector	PP	Social Infrastructure	600.0	4 Sep 2001	29 Oct 2001	C	Develop a project proposal to improve the health service delivery system, particularly in rural areas, to improve the people's health.
47	MON-3686	Crop Production	PP	Agriculture and Natural Resources	500.0	5 Jul 2001	23 Jul 2001	C	Help the Government prepare a crop development project, focusing on interventions that contribute mostly to poverty reduction.
48	MON-3685	Integrated Development of Basic Urban Services in Secondary Towns	PP	Social Infrastructure	700.0	5 Jul 2001	19 Jul 2001	B	Help prepare a financially and economically viable investment project that will improve basic urban services in eight secondary towns in line with the Poverty Partnership Agreement.

Nepal

49	NEP-3745	Community-Managed Irrigation (Central and Eastern Basins) Sector	PP	Agriculture and Natural Resources	600.0	4 Sep 2001	18 Oct 2001	C	Contribute to reducing poverty and enhancing the socio-economic status of small-scale farmers by improving farmer-managed irrigation systems management.
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50	NEP-3700	AO	Social Infrastructure	775.0	7 Mar 2001	7 Aug 2001	C	Create a tool to optimize use of water in the Kathmandu Valley and identify immediate needed improvements to the water supply there.
51	NEP-3625	PP	Agriculture and Natural Resources	800.0	4 Dec 2000	25 Jan 2001	B	Help the Government design the envisaged second rural infrastructure development project for three clusters of hilly districts in the Far Western, Western, Central, and Eastern regions.

Pakistan

52	PAK-3802	PP	Social Infrastructure	800.0	4 Dec 2001	14 Dec 2001	D	Prepare a project suitable for ADB financing to will facilitate sustainable urban development in selected low-income communities in urban centers in southern Punjab; and develop a city- and town-level, sustainable, and participatory approach to the severe environmental problems of poor urban communities.
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Papua New Guinea

53	PNG-3827	PP	Social Infrastructure	500.0	20 Dec 2001	21 Dec 2001	D	Help the Government prepare a gender and population project to help implement the National Population Policy.
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54	PNG-3812	Strengthening Public Sector Management	AO	Others	850.0	4 Dec 2001	18 Dec 2001	D	Improve overall public sector performance, and service delivery in health, education, agriculture, and works.
55	PNG-3762	Health Sector Review	AO	Social Infrastructure	250.0	23 Oct 2001	6 Nov 2001	D	Identify modalities and priority areas for assistance in the light of past experience, and assess whether current policies, skills, and management systems are compatible with the requirements of a sectorwide approach.
56	PNG-3717	Southern Road Maintenance and Upgrading	PP	Transport and Communications	400.0	5 Jul 2001	11 Sep 2001	C	Prepare a feasibility study for road upgrading and maintenance in southern Papua New Guinea.
57	PNG-3716	Road Authority Development	PP	Transport and Communications	700.0	5 Jul 2001	11 Sep 2001	C	Assess performance goals that will be achieved by transforming the Department of Works and Transport into an autonomous road authority; establish resource requirements to implement the change; assess the feasibility of the transformation; and outline an appropriate and acceptable strategy and pragmatic itinerary to implement the transformation program.

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Philippines

58	PHI-3814	Microfinance for Rural Development	PP	Agriculture and Natural Resources	560.0	4 Dec 2001	19 Dec 2001	D	Help the Government undertake a quantitatively oriented review of the microfinance subsector, and prepare a microfinance project for rural development to reduce poverty.
59	PHI-3693	Strengthening the Independence of the Judiciary	AO	Others	1,200.0	27 Apr 2001	2 Aug 2001	B	Contribute to the improvement of the Philippine judiciary by supporting its independence, accountability, impartiality, and competence.
60	PHI-3692	Integrated Coastal Resource Management	PP	Agriculture and Natural Resources	598.0	5 Jul 2001	2 Aug 2001	C	Help the Government prepare the integrated coastal resource management project to reduce extensive poverty in coastal communities, and reverse the degradation of coastal resources.

Sri Lanka

61	SRI-3822	Enabling Small and Medium Enterprise Growth (attached to the Small and Medium Enterprise Sector Development Program, Loans 1894/1895)	AO	Industry and Nonfuel Minerals	1,250.0	23 Oct 2001	20 Dec 2001	C	Support the (i) establishment of a SME baseline survey and assessments, (ii) preparation of deregulation studies, (iii) preparation of a framework for secured transactions, and (iv) promotion of the use of information and communication technology by SMEs.
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62	SRI-3707	Rural Finance Sector Development	PP	Agriculture and Natural Resources	550.0	5 Jul 2001	24 Aug 2001	C	Prepare a sector development program or project suitable for ADB financing to support the Government's efforts to reduce poverty by promoting efficiency in the rural financial sector, which is essential for the growth of agricultural and rural businesses.
63	SRI-3639	Aquatic Resources Development and Quality Improvement	PP	Agriculture and Natural Resources	800.0	7 Mar 2001	19 Mar 2001	B	Prepare an investment project for the sustainable development and management of inland fisheries and aquaculture in Sri Lanka to increase the production of fish and fish products from fresh-water fisheries and aquaculture, and thus create new income-generating opportunities in coastal and non-coastal areas.
64	SRI-3624	Integrating Cleaner Production into Industrial Development	AO	Others	800.0	12 Dec 2000	25 Jan 2001	B	Help the Government reduce industrial pollution by employing a cleaner-production strategy and practice in industrial development, thereby integrating environmental concerns into industrial development.

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Tajikistan

65	TAJ-3738	Second Road Rehabilitation	PP	Transport and Communications	600.0	4 Sep 2001	11 Oct 2001	C	Help the Government prepare the second road rehabilitation project.
66	TAJ-3704	Social Sector Development	PP	Multisector	950.0	5 Jul 2001	16 Aug 2001	C	Provide an understanding of and a broad perspective on the social sectors, and help the Government prepare the social sector development project to improve system efficiency, effectiveness, and quality in the health and education sectors. Increase access to basic social services by the poor and girls. Set in place a monitoring and policy dialogue mechanism.

Thailand

67	THA-3645	Planning for Sustainable Urbanization	AO	Social Infrastructure	500.0	7 Mar 2001	30 Mar 2001	B	Identify and implement pilot programs comprising (i) building of local government capacity, (ii) development of processes for collaborative planning, (iii) an innovative cities program involving anchor cities and urban learning networks, and (iv) community networking in peri-urban areas.
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68	THA-3643	AO	Agriculture and Natural Resources	250.0	7 Mar 2001	20 Mar 2001	B	Help the Government formulate policy options and identify priority activities in the agriculture sector for future development.
Uzbekistan								
69	UZB-3729	AO	Social Infrastructure	600.0	4 Sep 2001	27 Sep 2001	D	Strengthen sector institutions and train concerned staff at various levels of government in management systems to deliver sustainable urban water supply services.
Vanuatu								
70	VAN-3816	AO	Others	200.0	4 Dec 2001	19 Dec 2001	D	Help the Government enhance governance through providing the Parliament with a core institutional strengthening program; improve the efficiency of the Parliament's operations; and support the objectives of the Comprehensive Reform Program.
71	VAN-3789	AO	Others	300.0	23 Oct 2001	7 Dec 2001	C	Build the capacity of government institutions to facilitate service improvements across and/or within the public service.

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Viet Nam

72	VIE-3818	Forests for Livelihood Improvement in the Central Highlands	PP	Agriculture and Natural Resources	800.0	23 Oct 2001	19 Dec 2001	D	Harness the full development and conservation potential of Viet Nam's forest sector.
73	VIE-3809	Central Region Urban Development	PP	Social Infrastructure	1,000.0	4 Dec 2001	18 Dec 2001	D	Prepare an urban development project suitable for ADB financing to facilitate sustainable urban development in selected provincial and district towns in the Central Region of Viet Nam.
74	VIE-3772	Capacity Building for Central Region Poverty Reduction	AO	Agriculture and Natural Resources	800.0	4 Sep 2001	14 Nov 2001	D	Improve local service delivery performance in selected pilot areas and develop a set key policy and practice changes to enhance poverty-focused service delivery modalities.
75	VIE-3741	Framework for Microfinance Development	AO	Agriculture and Natural Resources	300.0	4 Sep 2001	12 Oct 2001	C	Help the Government prepare a framework to develop a sustainable pro-poor financial system to efficiently provide services to a larger segment of poor households; develop corresponding policy, legal, and regulatory guidelines; and enhance the institutional capacity of State Bank of Viet Nam to implement the framework.

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76	VIE-3670	PP	Social Infrastructure	600.0	27 Apr 2001	7 Jun 2001	B	Prepare analytical papers focusing on key issues in upper secondary education, including teacher training; and produce a detailed project proposal for the upper secondary education development project for consideration by the Government and ADB.

Regional

77	REG-6020	REG	Agriculture and Natural Resources	600.0	7 Mar 2001	21 Dec 2001	C	Promote the competitiveness of the Greater Mekong Subregion (GMS) through cross-border trade and investment facilitation which benefit SMEs in the subregion.
78	REG-6019	REG	Others	200.0	4 Dec 2001	21 Dec 2001	E	Support the training of officials from ministries of finance and central banks of eligible ASEAN member countries; help the ASEAN Surveillance Coordination Unit in its economic surveillance activities; and help improve information flows and ADB's knowledge of the opportunities and risks facing its developing member countries (DMCs).

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79	REG-6017	REG	Others	500.0	4 Dec 2001	21 Dec 2001	C	Strengthen national institutions involved in the GMS Program so that the GMS countries can assume greater roles and responsibilities in planning, managing, and coordinating the GMS Program.
80	REG-6016	REG	Others	150.0	10 Dec 2001	19 Dec 2001	E	Share knowledge and best practices on air quality management; improve policy and regulatory frameworks at the regional level; promote integrated air quality management strategies; and undertake selected pilot projects to encourage innovation to address mobile, stationary, and area sources of air pollution.
81	REG-6015	REG	Multisector	500.0	4 Dec 2001	19 Dec 2001	B	Help the governments of the People's Republic of China (PRC) and Mongolia to jointly implement the initial activities to promote economic cooperation in the region.
82	REG-6014	REG	Others	650.0	4 Dec 2001	18 Dec 2001	D	Further strengthen audit capability to adequately address emerging audit and governance

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	Asian Organization of Supreme Audit Institutions							issues through effective training and knowledge sharing among supreme audit institutions in Asia.
83	REG-6011 Strengthening the Collaborative Vegetable Research Network in Cambodia, Lao People's Democratic Republic, and Viet Nam (Phase II)	REG	Agriculture and Natural Resources	650.0	4 Sep 2001	17 Dec 2001	D	Increase vegetable production and productivity in Cambodia, Lao PDR, and Viet Nam.
84	REG-6009 Capacity Building and Regional Cooperation for Economic Statistics in Selected Pacific Developing Member Countries	REG	Others	500.0	4 Dec 2001	13 Dec 2001	D	Upgrade the capacity of concerned agencies to prepare a minimum set of economic statistics, particularly the key economic indicators, in selected Pacific DMCs.
85	REG-6008 Gender and Governance Issues in Local Government	REG	Others	600.0	29 Oct 2001	4 Dec 2001	C	Build the capacity of grassroots women leaders in local governments to perform their role and function more effectively and to promote more efficient and transparent public service delivery.
86	REG-6004 Greater Mekong Subregion Telecommunica-	REG	Transport and Communications	700.0	23 Oct 2001	2 Nov 2001	C	Help the governments of Cambodia, Lao PDR, and Viet Nam, which will participate in the

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	tions Sector Policy Formulation and Capacity Building							Phase-I Backbone Project, prepare a sector policy, an act, and a sector reform program on telecommunications.
87	REG-6001 Regional Consultations for the Third World Water Forum	REG	Agriculture and Natural Resources	1,000.0	4 Sep 2001	9 Oct 2001	B	Promote integrated water resources management, improved water services for the poor, and subregional water cooperation, by supporting the preparation and organization of the third World Water Forum.
88	REG-6000 Study on Monetary and Financial Cooperation in East Asia	REG	Others	500.0	5 Jul 2001	2 Oct 2001	B	Develop a road map of policy options, at the regional and country levels, to promote the ongoing monetary and financial cooperation efforts by ASEAN countries plus the PRC, Japan, and Republic of Korea.
89	REG-5999 Third ADB-Organisation for Economic Co-operation and Development (OECD) Conference on Combating Corruption in the Asia-Pacific Region	REG	Others	150.0	4 Sep 2001	24 Sep 2001	E	Strengthen the exchange of experience and cooperation between the different anti-corruption actors in the region; foster regional cooperation; increase knowledge of specific problems related to corruption and identify effective counter-strategies; promote coordination of international TA in the field of anti-bribery and good governance; and help set a reporting agenda for countries willing to

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								commit to the Action Plan and put in place a secretariat for the initiative and an informal advisory group to support countries.
90	REG-5998 Youth- and Gender-Sensitive Public Expenditure Management in the Pacific	REG	Others	300.0	4 Sep 2001	24 Sep 2001	C	Improve public expenditure management by integrating a gender and youth perspective into governments' budget policies.
91	REG-5996 Ten Years After Rio: Promoting Subregional Cooperation for Sustainable Development	REG	Others	200.0	4 Sep 2001	13 Sep 2001	B	Help countries in Southeast Asia and Central Asia establish subregional strategies and develop an integrated and participatory action plan that will be implemented in the medium and long term; and strengthen subregional cooperation in addressing common problems and poverty reduction.
92	REG-5993 Eleventh ADB Tax Conference	REG	Others	150.0	5 Jul 2001	9 Aug 2001	A	The 5-day conference will focus on emerging issues as they relate to tax policy and administration. The conference will address communication technology and poverty reduction issues as they relate to tax policy administration.

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93	REG-5991	REG	Social Infrastructure	400.0	5 Jul 2001	1 Aug 2001	C	Identify a range of health-financing options that could help DMC governments diversify their sources of financing of health services for the poor.
94	REG-5990	REG	Others	300.0	5 Jul 2001	18 Jul 2001	B	Help the Pacific DMC governments assess their information and communication technology readiness, prepare the framework for future development in the sector, and identify bankable projects for such.
95	REG-5977	REG	Others	150.0	7 May 2001	13 Mar 2001	E	Facilitate a dialogue between private business and customs authorities to establish a framework for cooperation on measures to simplify and harmonize customs procedures and to promote good governance in customs.
96	REG-5974	REG	Agriculture and Natural Resources	450.0	23 Feb 2001	6 Mar 2001	A	Promote regional cooperation among the participating countries to strengthen management of environmentally sensitive coastal and marine resources using integrated coastal zone management approaches.
Total				53,783.0				

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A = TA completed, B = consultants fielded, C = consultants not yet fielded and/or TA agreement signed, D = TA agreement not yet signed, E = others.

USE OF THE JAPAN SPECIAL FUND

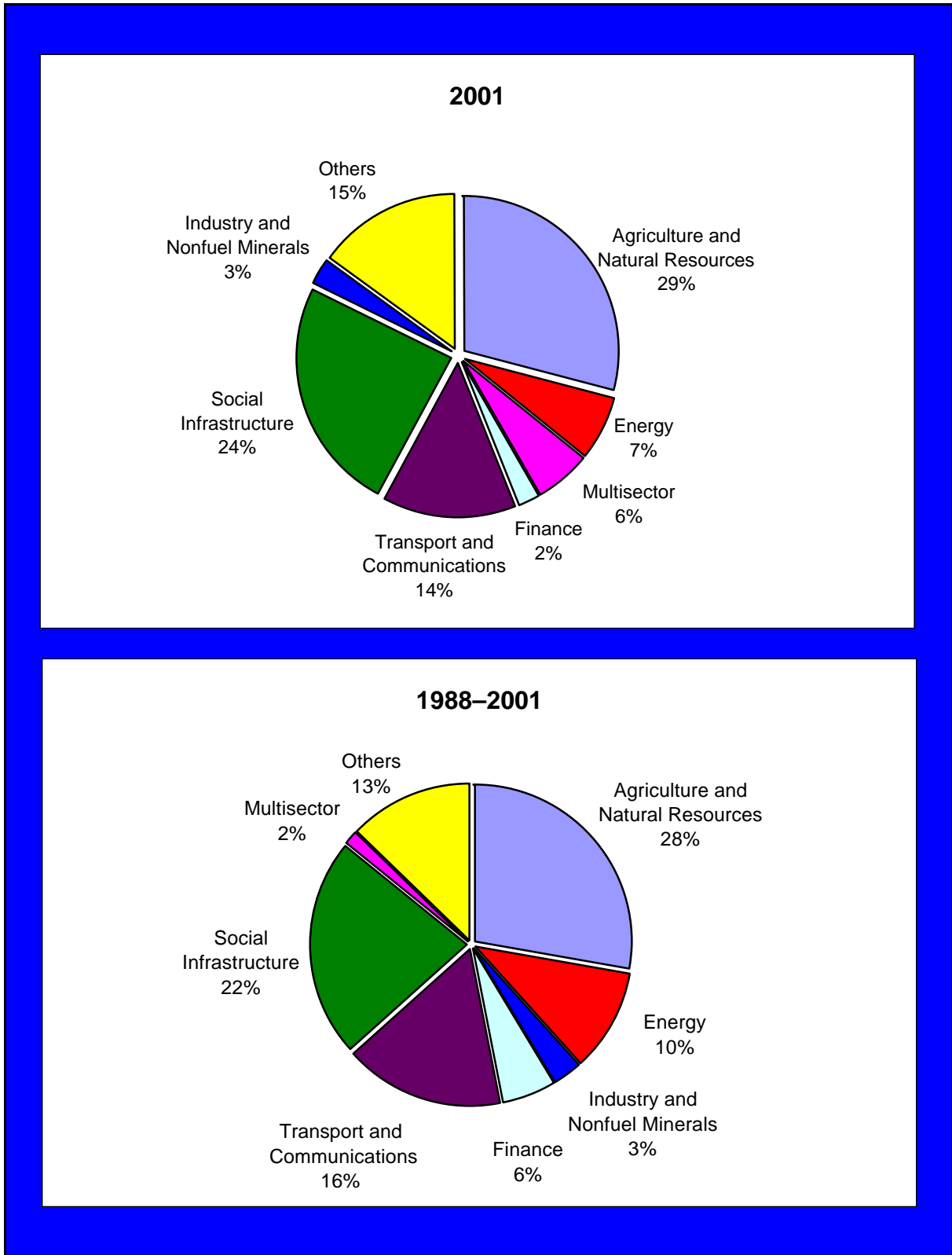
Table A4.3: JSF-Financed Technical Assistance, by Country and Regional Activities, 1996–2001

Country	1996		1997		1998		1999		2000		2001		Total ^a (1988–2001)		
	No.	\$'000	No.	\$'000	No.	\$'000	No.	\$'000	No.	\$'000	No.	\$'000	No.	\$'000	%
Azerbaijan	-	-	-	-	-	-	-	-	-	-	1	740	1	740	0.1
Bangladesh	3	1,250	9	5,270	2	1,780	5	3,400	6	4,590	6	3,340	68	41,880	5.4
Bhutan	3	1,340	5	1,900	4	2,150	3	1,650	4	1,954	3	1,200	28	13,119	1.7
Cambodia	8	5,950	2	1,000	2	1,365	3	2,981	5	3,386	5	4,050	35	29,058	3.7
PRC	13	8,677	19	11,436	16	11,307	18	14,592	13	11,050	4	2,630	170	107,252	13.7
Cook Islands	-	-	-	-	1	750	-	-	-	-	2	500	7	2,862	0.4
East Timor	-	-	-	-	-	-	-	-	2	900	4	2,100	6	3,000	0.4
Fiji Islands	1	600	1	1,400	1	800	-	-	-	-	-	-	12	6,508	0.8
India	7	4,055	6	3,880	1	1,000	-	-	-	-	-	-	29	17,845	2.3
Indonesia	11	7,260	10	7,485	7	7,410	6	3,636	3	2,195	4	2,590	116	75,405	9.7
Kazakhstan	2	1,950	2	1,200	-	-	1	850	5	3,480	3	1,900	18	12,631	1.6
Kiribati	-	-	-	-	-	-	-	-	1	350	1	500	5	2,315	0.3
Kyrgyz Republic	2	1,900	4	2,808	6	3,804	1	600	5	3,090	1	650	26	17,868	2.3
Lao PDR	7	3,350	6	3,580	6	3,690	6	4,040	3	2,300	8	4,100	58	33,114	4.2
Malaysia	-	-	2	1,088	-	-	-	-	-	-	-	-	13	6,281	0.8
Maldives	-	-	1	625	1	400	2	810	1	700	3	700	13	5,600	0.7
Marshall Islands	1	600	2	663	-	-	-	-	1	340	-	-	13	5,610	0.7
FSM	-	-	1	934	2	2,488	-	-	-	-	-	-	10	7,002	0.9
Mongolia	6	3,227	8	5,207	3	1,310	3	1,920	4	2,985	3	1,800	51	32,170	4.1
Nauru	-	-	-	-	-	-	-	-	1	300	-	-	1	300	0.0
Nepal	7	4,284	6	3,221	4	2,800	4	2,300	6	3,735	3	2,175	49	28,444	3.6
Pakistan	6	3,650	6	4,550	-	-	1	300	-	-	1	800	51	27,896	3.6
Papua New Guinea	2	619	1	150	4	2,323	2	1,081	3	1,420	5	2,700	30	15,354	2.0
Philippines	9	6,104	8	4,792	5	4,618	1	1,000	4	3,228	3	2,358	83	51,200	6.6
Samoa	-	-	1	600	2	1,910	-	-	1	500	-	-	15	6,780	0.9
Solomon Islands	-	-	-	-	-	-	-	-	-	-	-	-	2	1,650	0.2
Sri Lanka	3	1,800	8	6,650	8	7,020	4	3,530	6	5,600	4	3,400	60	40,854	5.2
Tajikistan	-	-	-	-	1	1,500	4	2,795	6	3,800	2	1,550	13	9,645	1.2
Thailand	3	1,550	7	4,300	4	2,705	-	-	2	1,600	2	750	36	19,374	2.5
Tonga	-	-	-	-	-	-	-	-	-	-	-	-	9	2,962	0.4
Tuvalu	-	-	-	-	-	-	-	-	1	250	-	-	4	1,186	0.2
Uzbekistan	1	600	6	4,350	3	2,650	3	1,400	4	3,020	1	600	18	12,620	1.6
Vanuatu	1	360	-	-	-	-	-	-	1	330	2	500	7	1,826	0.2
Viet Nam	10	7,550	7	5,650	5	2,930	7	6,568	6	4,924	5	3,500	66	51,322	6.6
Subtotal	106	66,676	128	82,739	88	66,710	74	53,453	94	66,027	76	45,133	1,123	691,671	88.6
Regional	14	12,466	9	6,035	26	20,953	18	7,510	19	11,060	20	8,650	152	88,927	11.4
Total	120	79,142	137	88,774	114	87,663	92	60,963	113	77,087	96	53,783	1,275	780,598	100.0

PRC = People's Republic of China, FSM = Federated States of Micronesia, JSF = Japan Special Fund, Lao PDR = Lao People's Democratic Republic.

^a In determining the cumulative total number of TAs, supplementary TAs are not counted separately from the original TAs.

SECTOR DISTRIBUTION OF JAPAN SPECIAL FUND GRANTS, 2001 AND 1988–2001



Note: Excludes regional technical assistance.



FINANCIAL STATEMENTS

REPORT OF INDEPENDENT AUDITORS

Asian Development Bank

In our opinion, the accompanying statements of financial position and the related statements of activities and changes in net assets and of cash flows present fairly, in all material respects, in terms of United States dollars, the financial position of the Asian Development Bank-Japan Special Fund at 31 December 2001 and 2000, and the results of its activities and changes in net assets and its cash flows for the years then ended, in conformity with generally accepted accounting principles in the United States. These financial statements are the responsibility of the management of the Asian Development Bank; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with generally accepted auditing standards in the United States which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

[Handwritten signature]
 Director, Internal Audit
 Asian Development Bank

Detroit, Michigan
 27 February 2002

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
STATEMENT OF FINANCIAL POSITION
31 December 2001 and 2000

Expressed in Thousands of United States Dollars (Note B)

	2001			2000		
	ACCSF	JSF Regular & Supplementary	Total	ACCSF	JSF Regular & Supplementary	Total
ASSETS						
DUE FROM BANKS (Note B)	\$ 1,315	\$ 663	\$ 1,978	\$ 61	\$ 537	\$ 598
INVESTMENTS (Notes A, B, and C)						
Government and government-guaranteed obligations	35,967	-	35,967	103,281	10,676	113,957
Time deposits	80,964	96,085	177,049	127,616	136,256	263,872
Other securities	64,546	181,676	246,222	-	145,816	145,816
	<u>181,477</u>	<u>277,761</u>	<u>459,238</u>	<u>230,897</u>	<u>292,748</u>	<u>523,645</u>
EQUITY INVESTMENTS (Notes A, B, and D), net	-	105	105	-	338	338
ACCRUED REVENUE	431	1,335	1,766	46	6,701	6,747
OTHER ASSETS (Note E)	23	6,170	6,193	71	4,289	4,360
TOTAL	\$183,246	\$286,034	\$469,280	\$231,075	\$304,613	\$535,688
LIABILITIES AND UNCOMMITTED BALANCES						
ACCOUNTS PAYABLE AND OTHER LIABILITIES						
Payable to Ordinary Capital Resources	\$ -	\$ 143	\$ 143	\$ -	\$ 144	\$ 144
Other Liabilities (Note E)	10,327	43	10,370	4,807	60	4,867
	<u>10,327</u>	<u>186</u>	<u>10,513</u>	<u>4,807</u>	<u>204</u>	<u>5,011</u>
UNDISBURSED COMMITMENTS (Notes B and F)						
Technical assistance	14,918	117,605	132,523	13,099	114,416	127,515
Interest payment assistance	58,115	-	58,115	76,185	-	76,185
	<u>73,033</u>	<u>117,605</u>	<u>190,638</u>	<u>89,284</u>	<u>114,416</u>	<u>203,700</u>
NET ASSETS (JSF-2) (Note B), represented by:						
Uncommitted balances (Note G)						
Unrestricted	-	8,505	8,505	-	43,469	43,469
Temporarily restricted (Notes A and H)	99,366	57,794	157,160	136,637	57,276	193,913
	<u>99,366</u>	<u>66,299</u>	<u>165,665</u>	<u>136,637</u>	<u>100,745</u>	<u>237,382</u>
Net accumulated investment income						
Temporarily restricted (Notes A and H)	520	101,944	102,464	347	89,248	89,595
	<u>99,886</u>	<u>168,243</u>	<u>268,129</u>	<u>136,984</u>	<u>189,993</u>	<u>326,977</u>
TOTAL	\$183,246	\$286,034	\$469,280	\$231,075	\$304,613	\$535,688

The accompanying notes are an integral part of these financial statements (JSF-4).

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS
For the Years Ended 31 December 2001 and 2000

Expressed in Thousands of United States Dollars (Note B)

	2001			2000		
	ACCSF	JSF Regular & Supplementary	Total	ACCSF	JSF Regular & Supplementary	Total
CHANGES IN UNRESTRICTED NET ASSETS						
CONTRIBUTIONS (Notes B and H)	\$ -	\$ 30,929	\$ 30,929	\$ -	\$ 37,561	\$ 37,561
REVENUE FROM OTHER SOURCES	-	74	74	-	150	150
UNREALIZED INVESTMENT GAINS (LOSSES) ON EQUITY INVESTMENTS (Note D)	-	205	205	-	(330)	(330)
NET ASSETS RELEASED FROM (PUT BACK INTO) RESTRICTIONS (Notes B and H)	8,779	894	9,673	9,632	(557)	9,075
Total	8,779	32,102	40,881	9,632	36,824	46,456
EXPENSES						
Technical assistance (Notes B and F)	8,700	64,227	72,927	9,575	59,274	68,849
Administrative expenses	79	1,413	1,492	57	1,485	1,542
Provision for losses (Notes B and D)	-	431	431	-	-	-
Total	8,779	66,071	74,850	9,632	60,759	70,391
CONTRIBUTIONS AND REVENUE LESS THAN EXPENSES	-	(33,969)	(33,969)	-	(23,935)	(23,935)
EXCHANGE LOSSES (Note B)	-	(992)	(992)	-	(116)	(116)
DECREASE IN UNRESTRICTED NET ASSETS BEFORE TRANSLATION ADJUSTMENTS	-	(34,961)	(34,961)	-	(24,051)	(24,051)
TRANSLATION ADJUSTMENTS (Note B)	-	(3)	(3)	-	802	802
DECREASE IN UNRESTRICTED NET ASSETS	-	(34,964)	(34,964)	-	(23,249)	(23,249)
CHANGES IN TEMPORARILY RESTRICTED NET ASSETS						
CONTRIBUTIONS (Notes B and H)	-	-	-	136,587	-	136,587
REVENUE FROM INVESTMENTS (Notes B and C)	252	14,110	14,362	401	19,823	20,224
NET ASSETS (RELEASED FROM) PUT BACK INTO RESTRICTIONS (Notes B and H)	(8,779)	(894)	(9,673)	(9,632)	557	(9,075)
EXCHANGE (LOSSES) GAINS (Note B)	(218)	-	(218)	10	-	10
TRANSLATION ADJUSTMENTS (Note B)	(28,353)	(2)	(28,355)	(16,514)	676	(15,838)
(DECREASE) INCREASE IN TEMPORARILY RESTRICTED NET ASSETS	(37,098)	13,214	(23,884)	110,852	21,056	131,908
(DECREASE) INCREASE IN NET ASSETS	(37,098)	(21,750)	(58,848)	110,852	(2,193)	108,659
NET ASSETS AT BEGINNING OF YEAR	136,984	189,993	326,977	26,132	192,186	218,318
NET ASSETS AT END OF YEAR	\$ 99,886	\$168,243	\$268,129	\$136,984	\$189,993	\$326,977

The accompanying notes are an integral part of these financial statements (JSF-4).

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
STATEMENT OF CASH FLOWS
For the Years Ended 31 December 2001 and 2000

Expressed in Thousands of United States Dollars (Note B)

	2001			2000		
	ACCSF	JSF Regular & Supplementary	Total	ACCSF	JSF Regular & Supplementary	Total
CASH FLOWS FROM OPERATING ACTIVITIES						
Contributions received	\$ -	\$ 30,929	\$ 30,929	\$ 136,587	\$ 37,561	\$ 174,148
Interest on investments received	536	18,096	18,632	128	17,731	17,859
Technical assistance disbursed	(7,010)	(62,893)	(69,903)	(2,684)	(60,622)	(63,306)
Interest assistance paid	(12,398)	-	(12,398)	(3,736)	-	(3,736)
Administrative expenses paid	(55)	(1,470)	(1,525)	(14)	(2,038)	(2,052)
Others—net	(218)	(902)	(1,120)	11	19	30
Net Cash (Used in) Provided by Operating Activities	<u>(19,145)</u>	<u>(16,240)</u>	<u>(35,385)</u>	<u>130,292</u>	<u>(7,349)</u>	<u>122,943</u>
CASH FLOWS FROM INVESTING ACTIVITIES						
Sales of investment	8,419	29,966	38,385	9,309	-	9,309
Maturities of investment	3,733,758	6,864,848	10,598,606	2,273,868	1,656,089	3,929,957
Purchases of investment	(3,721,982)	(6,878,441)	(10,600,423)	(2,419,865)	(1,652,737)	(4,072,602)
Net Cash Provided by (Used in) Investing Activities	<u>20,195</u>	<u>16,373</u>	<u>36,568</u>	<u>(136,688)</u>	<u>3,352</u>	<u>(133,336)</u>
Effect of Exchange Rate Changes on Due from Banks	<u>204</u>	<u>(7)</u>	<u>197</u>	<u>6,384</u>	<u>(1)</u>	<u>6,383</u>
Net Increase (Decrease) in Due from Banks	1,254	126	1,380	(12)	(3,998)	(4,010)
Due from Banks at Beginning of Year	<u>61</u>	<u>537</u>	<u>598</u>	<u>73</u>	<u>4,535</u>	<u>4,608</u>
Due from Banks at End of Year	<u>\$ 1,315</u>	<u>\$ 663</u>	<u>\$ 1,978</u>	<u>\$ 61</u>	<u>\$ 537</u>	<u>\$ 598</u>
RECONCILIATION OF (DECREASE) INCREASE IN NET ASSETS TO NET CASH (USED IN) PROVIDED BY OPERATING ACTIVITIES:						
(Decrease) Increase in net assets (JSF-2)	\$ (37,098)	\$ (21,750)	\$ (58,848)	\$ 110,852	\$ (2,193)	\$ 108,659
Adjustments to reconcile (decrease) increase in net assets to net cash (used in) provided by operating activities:						
Translation adjustments	28,353	5	28,358	16,514	(1,478)	15,036
Change in undisbursed commitments	(16,251)	3,189	(13,062)	(1,043)	(3,879)	(4,922)
Amortization of discounts/premiums	623	(1,401)	(778)	(264)	(1,245)	(1,509)
Provision for losses charged	-	431	431	-	-	-
Unrealized investment losses (gains)	45	(203)	(158)	7	382	389
Loss from sales of investments	-	19	19	-	-	-
Others—net	5,183	3,470	8,653	4,226	1,064	5,290
Net Cash (Used in) Provided by Operating Activities	<u>\$ (19,145)</u>	<u>\$ (16,240)</u>	<u>\$ (35,385)</u>	<u>\$ 130,292</u>	<u>\$ (7,349)</u>	<u>\$ 122,943</u>

The accompanying notes are an integral part of these financial statements (JSF-4).

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
NOTES TO FINANCIAL STATEMENTS
31 December 2001 and 2000
NOTE A—NATURE OF OPERATIONS

The Japan Special Fund (JSF) was established in March 1988 when Japan and the Asian Development Bank (ADB) entered into a financial arrangement whereby Japan agreed to make an initial contribution and ADB became the administrator. The purpose of JSF is to help developing member countries (DMCs) of ADB restructure their economies and broaden the scope of opportunities for new investments, thereby assisting the recycling of funds to DMCs of ADB. While JSF resources are used mainly to finance technical assistance (TA) operations, these resources may also be used for equity investment operations in ADB's DMCs. Under the agreement between ADB and Japan, ADB may invest the proceeds of JSF pending disbursement. The revenue from such investments, if retained by ADB, may only be used to pay for direct and identifiable costs incurred in the administration of JSF.

In March 1999, the Board approved the acceptance and administration by ADB of a new facility, the Asian Currency Crisis Support Facility (ACCSF) to assist Asian currency crisis-affected member countries (CAMCs). Funded by Japan, ACCSF was established within JSF to assist in the economic recovery of CAMCs through interest payment assistance (IPA) grants, TA grants, and guarantees. ACCSF funds must be committed within three years after the date the facility became effective. Thereafter, the use of any residual funds, if and when the purpose of ACCSF is considered to be fulfilled, shall be decided in consultation between ADB and Japan.

NOTE B—SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES
Presentation of the Financial Statements

The financial statements of JSF are presented on the basis of unrestricted and temporarily restricted net assets. ACCSF funds are separately reported in the financial statements.

JSF reports the contributions of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated assets. When the donor restriction expires, that is, when a stipulated time or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the Statement of Activities and Changes in Net Assets as "NET ASSETS RELEASED FROM RESTRICTIONS."

Investments

All investment securities held by JSF are reported at estimated fair value, with realized and unrealized gains and losses included in revenue. Estimated fair value generally represents market value. Time deposits are classified as "Held-to-Maturity" and are reported at cost.

Contributions

Contributions by Japan are included in the financial statements from the date indicated by Japan that funds are expected to be made available. Contributions which are restricted by the donor for specific TA projects/programs or for IPA grants are classified as temporarily restricted contributions. Those without any stipulation as to specific use are accounted for as unrestricted contributions and reported as such.

Technical Assistance and Interest Payment Assistance

Technical assistance and interest payment assistance are recognized in the financial statements when the related project or assistance is approved and becomes effective. Upon completion of the TA project, any undisbursed amount is written back as a reduction in TA for the period and the corresponding undisbursed commitment is eliminated, accordingly.

Functional Currency and Reporting Currency

The functional currency of JSF is the Japanese yen, while the reporting currency is the United States dollar. The financial statements are expressed in thousands of current United States dollars.

Translation of Currencies

Assets, liabilities, and uncommitted balances are translated from the functional currency to the reporting currency at the applicable rates of exchange at the end of a reporting period. Contributions included in the financial statements during the year are translated at the applicable exchange rates as of the respective dates of commitment. Revenue and expense amounts in Japanese yen are translated for each semi-monthly period at the applicable rates of exchange

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
NOTES TO FINANCIAL STATEMENTS
31 December 2001 and 2000

at the beginning of each period; such practice approximates the application of average rates in effect during the period. Exchange gains or losses on currency transactions arise on translation differences between the date a project/program is committed and the timing of disbursements. Exchange gains or losses are charged or credited to operations.

Equity Investments

Equity investments with readily determinable fair values are reported at fair value. The reserve against losses is established quarterly based on Management's evaluation of potential losses on investments without readily determinable fair values.

Accounting Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires Management to make estimates and assumptions that affect the reported amounts of assets and liabilities as at the end of the year and the reported amounts of income and expenses during the year. The actual results could differ from those estimates.

Statement of Cash Flows

For the purposes of the statement of cash flows, the JSF considers that its cash and cash equivalents are limited to "DUE FROM BANKS."

Reclassification

Certain 2000 amounts have been reclassified to conform with the 2001 presentation.

NOTE C—INVESTMENTS

The main investment management objective is to maintain security and liquidity. Subject to these parameters, ADB seeks the highest possible return on its investments. Investments are governed by the Investment Authority approved by the Board of Directors in 1999. ADB is restricted by its Investment Authority to invest in investment instruments which are obligations of a limited class of issuers, primarily government or government-guaranteed obligations and corporate obligations.

The currency compositions of the investment portfolio as of 31 December 2001 and 2000 expressed in United States dollars are as follows:

Currency	2001			2000		
	ACCSF	JSF Regular and Supplementary	Total	ACCSF	JSF Regular and Supplementary	Total
Japanese yen	\$181,477,000	\$ -	\$181,477,000	\$230,897,000	\$ -	\$230,897,000
United States dollar	-	277,761,000	277,761,000	-	292,748,000	292,748,000
Total	<u>\$181,477,000</u>	<u>\$277,761,000</u>	<u>\$459,238,000</u>	<u>\$230,897,000</u>	<u>\$292,748,000</u>	<u>\$523,645,000</u>

The annualized rates of return on the average investments held under ACCSF and JSF funds during the year, based on the portfolio held at the beginning and end of each month were 0.12% and 4.76%, respectively (0.18% and 6.42% -2000).

NOTE D—EQUITY INVESTMENTS

ADB used JSF resources to make an equity investment in India in 1990. In May 2001, due to the unavailability of a reliable market for the investment, ADB reported the

investment at its written down value of \$105,000 (\$338,000 - 2000).

NOTE E—OTHER ASSETS AND LIABILITIES

Included in other assets and liabilities are interfund transfers between JSF and ACCSF. As at 31 December 2001, an amount of \$431,000 (\$584,000 - 2000) was receivable from ACCSF. The said amount is reflected as other assets under JSF and other liabilities under ACCSF.

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
NOTES TO FINANCIAL STATEMENTS
31 December 2001 and 2000
NOTE F—UNDISBURSED COMMITMENTS

Undisbursed commitments are denominated in United States dollars and represent TA projects/programs and IPA grants which have become effective but not yet disbursed. During 2001, an amount of \$2,920,000 (\$11,751,000 - 2000), representing completed and canceled TA projects, has been written back as a reduction in technical assistance for the period, and the corresponding undisbursed commitment has been eliminated. The fair value of undisbursed commitments approximates the amounts outstanding because JSF expects

that disbursements will substantially be made for all the projects/programs covered by the commitments.

NOTE G—UNCOMMITTED BALANCES

Uncommitted balances comprised of amounts which have not been committed by ADB as at 31 December 2001. These balances include TA projects/programs that had been approved but not yet become effective.

As of 31 December 2001 and 2000 these balances are as follows:

	2001			2000		
	ACCSF	JSF		ACCSF	JSF	
		Regular and Supplementary	Total		Regular and Supplementary	Total
Uncommitted balances	\$99,366,000	\$ 66,299,000	\$165,665,000	\$136,637,000	\$100,745,000	\$237,382,000
TA projects/programs approved by Japan and ADB but not yet effective	(7,540,000)	(23,555,000)	(31,095,000)	(1,875,000)	(38,725,000)	(40,600,000)
TA projects/programs approved by Japan and not yet effective	(2,750,000)	(3,440,000)	(6,190,000)	(8,865,000)	(10,918,000)	(19,783,000)
Uncommitted balances available for new commitments	<u>\$89,076,000</u>	<u>\$ 39,304,000</u>	<u>\$128,380,000</u>	<u>\$125,897,000</u>	<u>\$ 51,102,000</u>	<u>\$176,999,000</u>

NOTE H—CONTRIBUTIONS AND TEMPORARILY RESTRICTED NET ASSETS

All contributions for the years ended 31 December 2001 and 2000 were received during the respective years. Some of the contributions received were with restriction that were to be utilized for specific TA projects/programs and IPA grants. Such contributions were classified as temporarily restricted support.

Temporarily restricted uncommitted balances are available for the following purposes as of 31 December 2001 and 2000:

	2001	2000
Asian Currency Crisis Support Facility	\$ 99,366,000	\$136,637,000
Japan Special Fund Environment-Related Activities	29,365,000	29,113,000
Financial Sector	11,009,000	10,997,000
Private Sector Promotion	9,916,000	9,676,000
Gender and Development Training/Symposium	7,110,000	7,096,000
	394,000	394,000
	<u>57,794,000</u>	<u>57,276,000</u>
Total	<u>\$157,160,000</u>	<u>\$193,913,000</u>

ASIAN DEVELOPMENT BANK-JAPAN SPECIAL FUND
NOTES TO FINANCIAL STATEMENTS
31 December 2001 and 2000

Accumulated investment income net of accumulated administrative expenses has been categorized as temporarily restricted net assets because, under the terms of the agreement between ADB and Japan, it may only be used for defraying of the JSF's administrative expenses.

Net assets released from restrictions relate to commitments for technical assistance and interest payment assistance satisfying the conditions specified by the donor and, in the case of accumulated investment income, to defray the administrative expenses of the Fund.

NOTE I—GUARANTEES

To assist in the economic recovery of CAMCs, a guarantee facility is provided under ACCSF. In this connection, Japan has made available a noninterest-bearing, nonnegotiable note in the amount of ¥360 billion (\$2.7 billion), encashable by ADB at any time to meet any calls on the guarantee facility. As of 31 December 2001, no guarantee has been made.

NOTE J—FAIR VALUE OF FINANCIAL INSTRUMENTS

Fair value of a financial instrument is defined as the amount at which the instrument could be exchanged in a current transaction between willing parties, other than in a forced or liquidation sale.

The fair value of financial instruments that are short-term approximates their carrying amounts.

If available, quoted market values are used to determine fair values. Financial instruments for which market quotations are not readily available are valued using methodologies and assumptions which necessarily require the use of subjective judgments. Accordingly, the actual value at which such financial instruments could be exchanged in a current transaction or whether they are actually exchangeable is not determinable.

The carrying amounts of JSF's assets, liabilities, and uncommitted balances are considered to approximate fair values for all significant financial instruments. See Notes B, C, D, and F for discussions relating to investments, equity investments, and undisbursed commitments.

Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective	
Indonesia								
1	INO-3813	Support to the Partnership for Governance Reform	AO	Others	750.0	4 Dec 2001	18 Dec 2001	Support development of good local governance and of greater participation in policy development and implementation at the local level.
2	INO-3804	Private Sector Participation Development Facility for Urban Infrastructure	PP	Social Infrastructure	600.0	23 Oct 2001	17 Dec 2001	Help formulate, design, and prepare a TA loan project for local governments to finance consultancy services to solicit and manage private sector participation in urban infrastructure projects.
3	INO-3798	Local Government Capacity Building for Decentralization	PP	Others	713.0	4 Dec 2001	14 Dec 2001	Help local governments plan their long-term staff capacity-building needs according to local development priorities and enable them to conduct their decentralized responsibilities efficiently and with the highest governance standards, particularly relating to provision of basic services and poverty reduction.
4	INO-3761	Regulatory Framework for Private and Public Water Supply and Wastewater Enterprises	AO	Social Infrastructure	790.0	23 Oct 2001	6 Nov 2001	Help develop and implement a regulatory framework; and establish a regulatory body or bodies to facilitate credible, independent, autonomous, accountable, and transparent regulation for water supply and

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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
							wastewater enterprises in Indonesia.
5	INO-3728	AO	Finance	1,000.0	5 Jul 2001	25 Sep 2001	Identify and separate public service obligations (PSOs) from state-owned enterprises, (SOEs) and to identify alternative, more efficient provision mechanisms, including opening of business opportunities for private sector companies to provide PSOs.
6	INO-3714	AO	Finance	2,600.0	5 Jul 2001	5 Sep 2001	Facilitate corporate restructuring and privatization of SOEs; strengthen corporate governance of SOEs; and increase SOEs' operational and financial efficiency.
7	INO-3646	PP	Social Infrastructure	800.0	7 Mar 2001	3 Apr 2001	Help the Government identify and prepare a sector project for districts to establish urban poverty reduction systems and programs, in partnership with the central Government, civil society, and the private sector.
8	INO-3620	AO	Finance	1,700.0	4 Dec 2000	12 Jan 2001	Support the development of the Financial Services Supervisory Institution as an effective oversight institution for the financial sector.

Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
9	INO-3518	AO	Agriculture and Natural Resources	1,100.0	22 Aug 2000	19 Oct 2000	Help the Executing Agency develop a system of disbursing, monitoring, and accounting of project funds in 11 districts in 6 provinces.
10	INO-3417	AO	Industry and Nonfuel Minerals	2,000.0	23 Feb 2000	16 Mar 2000	Develop a policy and institutional framework for small and medium enterprises in accordance with best practice.
11	INO-3416	AO	Industry and Nonfuel Minerals	1,500.0	23 Feb 2000	16 Mar 2000	Provide institutional and analytical support to help the Government review and rationalize the industrial regulatory system based on consistent economic criteria to assess net benefits of policy interventions.
12	INO-3394	AO	Others	225.0	28 Oct 1999	25 Jan 2000	Help develop the Government's internal capacity to guide public expenditure management reforms.
13	INO-3252	AO	Others	420.0	23 Aug 1999	3 Sep 1999	Help strengthen the implementation of the environment impact assessment regulation at the national, provincial, district, and municipality levels.

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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
14	INO-3234	PP	Agriculture and Natural Resources	380.0	30 Jun 1999	30 Jul 1999	Help the Government prepare a holistic program for policy and institutional reform in the natural resources and environmental management sector.
15	INO-3233	AO	Others	488.0	30 Jun 1999	30 Jul 1999	Prepare a strategy to restructure public services based on a comprehensive study of such services. Support the ADB's policy dialogue on fiscal reform, help the Government maximize net revenues in the budget, and improve governance.
16	INO-3179	AO	Social Infrastructure	1,540.0	11 Mar 1999	25 May 1999	Develop a project performance monitoring system to provide information to improve participatory development planning and implementation.
17	INO-3178	AO	Social Infrastructure	460.0	11 Mar 1999	25 Mar 1999	Help the Government build capacity to support the decentralized fiscal system proposed under the Intergovernmental Fiscal Relations Law.

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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective	
18	INO-3177	Capacity Building to Support Decentralized Administrative Systems (attached to the Community and Local Government Support Sector Development Program, Loan 1677)	AO	Social Infrastructure	500.0	11 Mar 1999	25 Mar 1999	Help the Government reorganize and set up administrative systems at the district level, in line with the new decentralized structure as envisaged in the Regional Government Law.
19	INO-3176	Capacity Building for Decentralized Health Services Management (attached to the Health and Nutrition Sector Development Program, Loans 1675/1676)	AO	Social Infrastructure	1,000.0	11 Mar 1999	25 Mar 1999	Strengthen district- and community-level capacity to manage and improve the effectiveness of the delivery of basic and health services, in particular planning, budgeting, and financial management.
20	INO-3175	Monitoring and Evaluating the Health and Nutrition Sector Development Program (Supplementary)	AO	Social Infrastructure	1,000.0	15 Nov 1999	25 Jan 2000	Permit more effective monitoring and evaluating of the Health and Nutrition Sector Development Program (HNSDP).
21	INO-3175	Monitoring and Evaluating the Health and Nutrition Sector Development Program(attached to the Health and Nutrition Sector Development Program, Loans 1675/1676)	AO	Social Infrastructure	1,000.0	11 Mar 1999	25 Mar 1999	Develop a monitoring system to enable the Government and ADB to assess progress, identify constraints, and adjust delivery mechanisms to improve efficiency of the HNSDP; and evaluate the effectiveness of HNSDP strategies, and refine and institutionalize them later.

Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective	
Philippines								
22	PHI-3820	Competition Policy for the Electricity Sector	AO	Energy	990.0	4 Sep 2001	19 Dec 2001	Create an environment that will encourage efficiency and reliability in production of electricity without unjustifiable price increases and quality reductions.
23	PHI-3773	Strengthening the Regulatory and Market Governance (attached to the Nonbank Financial Governance Program, Loan 1858)	AO	Finance	1,000.0	5 Jul 2001	15 Nov 2001	Strengthen market governance by focusing on analytical and institutional support for the development of an effective self-regulatory organization framework to address the potential conflicts of interest in the Philippine Stock Exchange's newly demutualized ownership structure; Securities and Exchange Commission's capacity to investigate and enforce market regulations; an efficient management information system and staff training to effectively use the system; and skills and standards in accounting and auditing.
24	PHI-3760	Metro Manila Urban Services for the Poor	PP	Social Infrastructure	1,000.0	23 Oct 2001	5 Nov 2001	Help the Government formulate a phased, metropolitan-wide 15-year strategy for slum eradication and urban upgrading in Metro Manila; initiate a

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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
							process to formulate local government unit-level integrated urban development interventions in support of the strategy, including support for the transfer of national Government lands to local governments; and prepare, for possible external funding, a high-priority investment project.
25	PHI-3703	AO	Social Infrastructure	800.0	5 Jul 2001	8 Aug 2001	Help establish independent water regulatory mechanisms.
26	PHI-3482	AO	Social Infrastructure	775.0	30 Jul 1999	24 Aug 2000	Help the Government strengthen management capacity and improve the quality of the technical education and skills development system.
27	PHI-3469	AO	Social Infrastructure	1,000.0	28 Oct 1999	20 Jul 2000	Provide capacity building for the Pasig River Rehabilitation Commission, Metro Manila Development Authority, and

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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
	to the Pasig River Environmental Management and Rehabilitation Sector Development Program, Loans 1745/1746)						local government units.
28	PHI-3291 Development of Poor Urban Communities	PP	Social Infrastructure	850.0	30 Jun 1999	10 Nov 1999	Help the Government prepare an integrated project to improve access by low-income communities to land tenure, affordable shelter, and the provision or upgrading of basic municipal infrastructure and community facilities.
29	PHI-3282 Community-Based Forest Resources Management	PP	Agriculture and Natural Resources	840.0	23 Aug 1999	25 Oct 1999	Formulate a community-based forest resources management project that is consistent with national strategy and priorities and will be based in selected Mindanao provinces.

Thailand

30	THA-3780 North–Northwest Region Area Development	PP	Multisector	1,000.0	23 Oct 2001	26 Nov 2001	Prepare a sector project to help the Government implement its policy to decentralize governance to local government authorities, focusing on those close to major international highway corridors in the north and northeast.
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Asian Currency Crisis Support Facility Grants, 1999–2001

TA No.	TA Title	TA Type	Sector	Grant (\$'000)	GOJ Approval	ADB Approval	Objective
31	THA-3662	AO	Others	565.0	4 Dec 2000	5 Jun 2001	Strengthen the capacity of constitutional and civil society bodies to identify, address, and prevent official misuse of office.
32	THA-3355	AO	Finance	3,000.0	17 Jun 1999	21 Dec 1999	Rationalize the Government's role in the overall financial system and among the specialized financial institutions (SFIs) and establish an appropriate governance framework for SFIs; and develop appropriate institutional mechanisms and strategies for small- and medium-scale enterprise financing.

Regional

33	REG-6012	REG	Others	300.0	23 Oct 2001	18 Dec 2001	Further strengthen the institutional and technical capacities of the ministries of finance of selected ASEAN countries.
34	REG-5975	REG	Others	1,500.0	2 Nov 2000	5 Mar 2001	Promote efficient insolvency regimes, particularly in respect of cross-border insolvency.

Total 34,186.0

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