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## Semiannual Progress Report on the Implementation of Japan Special Fund (as of 31 December 2009)

1. The paragraphs that follow and the attached tables constitute the report on implementing the Japan Special Fund (JSF) up to 31 December 2009.<sup>1</sup>

2. **Approvals by Type, July–December 2009.** Between 1 July and 31 December 2009, the JSF provided about \$23.2 million for 25 technical assistance (TA) projects, \$9.9 million (43%) of which went to 13 project preparatory TAs; \$6.5 million (28%) to 7 capacity development TAs; \$4.8 million (21%) to 4 policy advisory TAs; and \$2.0 million (9%) to research and development TAs. There is an increase (in terms of both number of projects and amount) in ADB approvals for JSF funding in the second half of 2009, from only \$18.5 million (17 TAs) in the previous half year period.

3. **Approvals by Sector, 2009.** JSF TA approvals in 2009 amounted to \$41.6 million (42 projects), compared with \$54.8 million last year, a decrease of 24%. After agriculture and natural resources (22 percent), the next largest recipient of JSF approvals was energy, which received \$6.6 million, equivalent to 16 percent of total approvals. With regard to the other sectors, transport and ICT received the third most assistance from JSF, accounting for 15 percent, followed by multisector with 12 percent, finance with 11 percent, three sectors namely education, public sector management, and water supply and other municipal infrastructure and services with 6 percent each, and health and social protection with 5 percent. (Table 1)

4. **Cumulative Approvals, 1988–2009.** Cumulative JSF TA approvals for the period 1988–2009 totaled \$1.11 billion for 1,726 TA projects. (Table 2)

5. **Cumulative Implementation Status, 1988–2009.** Of the 1,726 TA projects (\$1.11 billion) cumulatively approved by ADB for the period 1988–2009, 1,536 projects (\$926.6 million) have been financially closed, 41 (\$35.1 million) have been completed but not yet closed, and 149 (\$148.9 million) are ongoing. (Table 3)

6. **Termination.** Project preparatory TA (7009-VIE), Second Ring Road (Completing the Circle) project in Viet Nam has been terminated in 2009 due to the executing agency's insistence to construct a 14-lane road in Ho Chi Minh City instead of the recommended 10-lane road. In as much as ADB could not agree with the implications that will be caused by this change in road configuration, it was decided to

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<sup>1</sup> In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgements as to the legal or other status of any territory or area.

terminate the services of the consultants, close the TA, and remove the project from ADB's financing plan for the loan.

7. **Partial Cancellation.** The following five TAs from Pacific Department were partially cancelled in 2009:

	<b>TA No.</b>	<b>TA Title</b>	<b>Original JSF Amount (\$'000)</b>	<b>Revised JSF Amount (\$'000)</b>	<b>Date of Financial Close</b>
1.	7079-PAL	Development of a Sustainable Health Financing Scheme	400.00	289.00	Oct 2009
2.	4932-PNG	Power Sector Development Plan	500.00	466.70	Sep 2009
3.	3716-PNG	Road Authority Development	700.00	559.99	Sep 2009
4.	4488-TON	Youth Microenterprise Development	250.00	157.95	Sep 2009
5.	6202-REG	Diagnostic Studies for Secured Transactions Reforms in the Pacific Region	870.00	818.00	Expected to be closed by 31 March 2010

8. **Completion Report Circulated in 2009.** ADB rated 36 completed JSF-financed TA projects, 2 (6%) of which were rated highly successful, 17 (47%) successful, 16 (44%) partly successful, and 1 (3%) unsuccessful. (Table 4)

9. **Unsuccessful Project.** Advisory TA (3569-PRC), Jiangsu Highway Build-Operate-Transfer (BOT) project in People's Republic of China, was rated unsuccessful because it was cancelled without achieving its objectives. The consultants were not deployed as the Jiangsu Provincial Government decided not to pursue the project on a BOT basis and instead to implement the project with its own financing as it considered the BOT process to be too time consuming and cumbersome.

10. **Processing of JSF Funding On Hold.** The ADB and the Government of Japan are reviewing the JSF TA Program. However, the enhanced JFPR, which will support project grant and TA projects, will accept applications for TA funding previously provided by JSF, starting 1 February 2010, until further notice. Since the JSF will continue to implement previously approved TA projects, annual and semi-annual progress reports will continue to be provided.

11. **Tables.** Attached are the following tables:

- 1 JSF TA Approvals by Sector, 2009
- 2 Cumulative JSF TA Approvals by Year 1988–2009
- 3 Cumulative JSF TA Implementation Status by DMC 1988–2009
- 4 JSF TA Completion Reports Circulated in 2009

**Table 1**  
**JSF TA Approvals by Sector, 2009**

TA No.	TA Title	TA Type	JSF Grant (\$'000)	ADB Approval
<b>Agriculture and Natural Resources</b>				
1	7432-BAN Second Chittagong Hill Tracts Rural Development	PP	900.0	07-Dec-09
2	7371-BAN Sustainable Rural Infrastructure Improvement	PP	500.0	05-Nov-09
3	7364-INO Flood Management in Selected River Basins (Phase II)	PP	1,000.0	12-Oct-09
4	7362-LAO Northern Rural Infrastructure Development	PP	800.0	12-Oct-09
5	7302-REG Capturing Economic Benefits from Ecosystem Services	RD	600.0	26-Jun-09
6	7298-NEP High Mountain Agribusiness and Livelihood Improvement	PP	620.0	08-Jun-09
7	7276-REG Supporting Investments in Water-Related Disaster Management	R-CD	2,000.0	27-Apr-09
8	7258-PHI Agusan River Basin Integrated Water Resources Management	PP	930.0	24-Mar-09
9	7241-LAO Sustainable Natural Resource Management and Productivity Enhancement*	CD	700.0	23-Feb-09
10	7230-BAN Second Crop Diversification	PP	500.0	29-Jan-09
11	7229-NEP Community Irrigation	PP	750.0	29-Jan-09
	<b>Sum</b>	<b>11 TA Projects</b>	<b>9,300.0</b>	<b>22%</b>
<b>Education</b>				
12	7453-INO Polytechnic Development	PP	1,200.0	15-Dec-09
13	7426-BAN Teaching Quality Improvement II in Secondary Education	PP	500.0	08-Dec-09
14	7299-LAO Strengthening Technical Vocational Education and Training	PP	1,000.0	15-Jun-09
	<b>Sum</b>	<b>3 TA Projects</b>	<b>2,700.0</b>	<b>6%</b>
<b>Energy</b>				
15	7363-SRI Sustainable Power Sector Support II	PP	800.0	13-Oct-09
16	7325-INO Java-Bali 500 kV Power Transmission Crossing	PP	1,000.0	03-Aug-09
17	7318-BHU Rural Renewable Energy Development	PP	900.0	27-Jul-09
18	7273-BAN Supporting Implementation of the Bangladesh Climate Change Strategy and Action Plan (Subproject 1)	CD	680.0	27-Apr-09

CD = capacity development    DMC = developing member country    JSF = Japan Special Fund

PA = policy and advisory    PP = project preparatory    RD = research and development    TA = technical assistance

C = cluster    R = regional    S = small-scale    \* Attached to a loan project

**Table 1**  
**JSF TA Approvals by Sector, 2009**

	<b>TA No.</b>	<b>TA Title</b>	<b>TA Type</b>	<b>JSF Grant (\$'000)</b>	<b>ADB Approval</b>
19	7266-SRI	Rural Household Connection*	CD	2,000.0	14-Apr-09
20	7242-BAN	Power System Efficiency Improvement	PP	1,200.0	20-Feb-09
		<b>Sum</b>	<b>6 TA Projects</b>	<b>6,580.0</b>	<b>16%</b>
<b>Finance</b>					
21	7472-CAM	Implementation of Subprogram 4 of the Financial Sector Program II	CD	750.0	18-Dec-09
22	7397-MON	Policy and Institutional Support for Banking Sector Systemic Risk Management	PA	2,000.0	27-Nov-09
23	7264-REG	Trade Finance Capacity Development	R-CD	2,000.0	31-Mar-09
		<b>Sum</b>	<b>3 TA Projects</b>	<b>4,750.0</b>	<b>11%</b>
<b>Health and Social Protection</b>					
24	7446-LAO	Building Capacity for the Health Sector Program Approach	CD	500.0	14-Dec-09
25	7400-PNG	Strengthening Rural Primary Health Services Delivery	PP	600.0	04-Dec-09
26	7257-PHI	Public-Private Partnership in Health*	CD	1,000.0	25-Mar-09
		<b>Sum</b>	<b>3 TA Projects</b>	<b>2,100.0</b>	<b>5%</b>
<b>Multisector</b>					
27	7450-REG	Project Preparation Support for Livable Cities in Asia	RD	2,000.0	14-Dec-09
28	7405-BHU	Capacity Building of the National Environment Commission in Climate Change	CD	700.0	07-Dec-09
29	7342-IND	Supporting an Initiative for Mainstreaming Public-Private Partnerships for Providing Urban Amenities in Rural Areas	CD	1,500.0	11-Sep-09
30	7287-COO	Infrastructure Services Delivery Improvement	CD	600.0	19-May-09
		<b>Sum</b>	<b>4 TA Projects</b>	<b>4,800.0</b>	<b>12%</b>
<b>Public Sector Management</b>					
31	7475-TON	Support for Economic and Strategic Management	CD	500.0	18-Dec-09
32	7452-INO	Support for Local Government Finance and Governance Reform 2	PA	1,000.0	10-Dec-09

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**Table 1**  
**JSF TA Approvals by Sector, 2009**

TA No.	TA Title	TA Type	JSF Grant (\$'000)	ADB Approval
33	7427-PNG Supporting Public Financial Management	CD	1,000.0	10-Dec-09
<b>Sum</b>		<b>3 TA Projects</b>	<b>2,500.0</b>	<b>6%</b>
<b>Transport and ICT</b>				
34	7483-THA Implementation Plan for the Strategic Intercity Motorway Network*	PA	1,000.0	15-Dec-09
35	7420-PNG Improving Road User Charges and Private Sector Participation in Road Development	PA	800.0	08-Dec-09
36	7411-NEP Road Connectivity	PP	600.0	07-Dec-09
37	7324-IND Karnataka State Highway Network Improvement Strategy	CD	1,500.0	03-Aug-09
38	7252-IND Capacity Development to Enhance Project Readiness and Results Monitoring for Transport Projects	CD	1,500.0	18-Mar-09
39	7237-IND Rural Roads Sector III	PP	1,000.0	20-Feb-09
<b>Sum</b>		<b>6 TA Projects</b>	<b>6,400.0</b>	<b>15%</b>
<b>Water Supply and Other Municipal Infrastructure and Services</b>				
40	7382-PAL Sanitation Sector Development	PP	700.0	20-Nov-09
41	7327-IND Kolkata Environmental Improvement Project Phase II	PP	400.0	07-Aug-09
42	7231-BAN City Region Development	PP	1,400.0	29-Jan-09
<b>Sum</b>		<b>3 TA Projects</b>	<b>2,500.0</b>	<b>6%</b>
<b>Total</b>			<b>41,630.0</b>	

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**Table 2**  
**Cumulative JSF TA Approvals by Year**  
**1988–2009**

Year of ADB Approval	No. of Projects				Cumulative	JSF Grant (\$'000)				
	Original	(Supplementary)	(Fully Cancelled)	Balance		Original	(Cancellation)		Balance	Cumulative
							(Full)	(Partial)		
1988	41		1	40	40	16,495.00	580.00		15,915.00	15,915.00
1989	54		2	52	92	25,854.00	1,247.00		24,607.00	40,522.00
1990	58	1		57	149	27,965.74			27,965.74	68,487.74
1991	54	1		53	202	30,911.10			30,911.10	99,398.84
1992	67	1		66	268	38,709.15			38,709.15	138,107.99
1993	95	2		93	361	53,974.75			53,974.75	192,082.74
1994	132	2	1	129	490	63,706.25	300.00		63,406.25	255,488.99
1995	131	4	2	125	615	79,096.40	800.00		78,296.40	333,785.39
1996	122	2	2	118	733	79,892.00	750.00	26.30	79,115.70	412,901.09
1997	139	3	2	134	867	90,344.15	1,570.00		88,774.15	501,675.24
1998	116	4	1	111	978	89,162.50	900.00		88,262.50	589,937.74
1999	92	1		91	1,069	60,963.00			60,963.00	650,900.74
2000	113	1	3	109	1,178	77,087.40	2,000.00	550.19	74,537.21	725,437.95
2001	96		2	94	1,272	53,583.00	1,000.00	269.51	52,313.49	777,751.44
2002	65			65	1,337	36,436.00		65.08	36,370.92	814,122.36
2003	67			67	1,404	36,890.00			36,890.00	851,012.36
2004	71	1	2	68	1,472	40,021.50	1,300.00	144.05	38,577.45	889,589.81
2005	48	3	2	43	1,515	28,350.00	1,150.00		27,200.00	916,789.81
2006	64	2	1	61	1,576	56,587.50	600.00		55,987.50	972,777.31
2007	55	1	2	52	1,628	43,088.00	1,800.00	33.30	41,254.70	1,014,032.01
2008	57	1		56	1,684	54,950.00		111.00	54,839.00	1,068,871.01
2009	42			42	1,726	41,630.00			41,630.00	1,110,501.01
<b>Total</b>	<b>1,779</b>	<b>30</b>	<b>23</b>	<b>1,726</b>		<b>1,125,697.44</b>	<b>13,997.00</b>	<b>1,199.43</b>	<b>1,110,501.01</b>	

**Table 3**  
**Cumulative JSF TA Implementation Status by DMC**  
**1988–2009**

DMC	JSF Grant (\$'000)	No. of Projects	Status of TA Implementation (No. of Projects)					
			Ongoing					
			A	B	C	D	E	F
1 Afghanistan	1,800.0	1			1			
2 Azerbaijan	4,580.0	7						7
3 Bangladesh	64,815.0	101	4	1	9		1	86
4 Bhutan	19,519.0	39		1	3		2	33
5 Cambodia	41,733.0	53	1		2		2	48
6 People's Republic of China	110,451.3	178					1	177
7 Cook Islands	3,962.0	9			1			8
8 Fiji Islands	9,978.0	18				1		17
9 India	46,295.0	59	1	3	15		2	38
10 Indonesia	92,991.5	138	2	1	6			129
11 Kazakhstan	13,881.0	22					1	21
12 Kiribati	3,745.0	7			1			6
13 Kyrgyz Republic	22,268.0	34						34
14 Lao People's Democratic Republic	46,506.0	78	1	1	5		2	69
15 Malaysia	6,281.0	13						13
16 Maldives	6,350.0	15						15
17 Marshall Islands	6,110.0	14						14
18 Federated States of Micronesia	9,102.0	14		1	1			12
19 Mongolia	43,969.8	63	1	1	2		2	57
20 Nauru	300.0	1						1
21 Nepal	42,093.5	71			9		3	59
22 Pakistan	41,376.0	69		1	3		2	63
23 Palau	2,689.0	4	1		1			2
24 Papua New Guinea	26,980.2	46	2	1	3		3	37
25 Philippines	64,083.9	100		2	4		3	91
26 Samoa	10,480.0	22			3			19
27 Solomon Islands	3,650.0	3			1			2
28 Sri Lanka	51,439.0	75		1	2			72
29 Tajikistan	18,969.9	30			1			29
30 Thailand	21,074.0	38	1					37
31 Timor-Leste	6,750.0	12			3		1	8
32 Tonga	5,419.9	14	1		1			12
33 Tuvalu	2,077.0	7			2			5

A = TA agreement not signed, B = TA agreement signed and/or consultants not fielded, C = consultants fielded, D = others, DMC = developing member country, E = TA completed, F = TA financially closed  
Note: In determining the total number of TAs, supplementary TAs are not counted separately from the original TAs.

DMC	JSF Grant (\$'000)	No. of Projects	Status of TA Implementation (No. of Projects)					
			Ongoing				E	F
			A	B	C	D		
34 Uzbekistan	19,795.0	32						32
35 Vanuatu	2,426.0	8			1			7
36 Viet Nam	80,472.0	100			11	1	5	83
37 Regional	156,087.9	231		2	23	2	11	193
<b>Total</b>	<b>1,110,501.0</b>	<b>1,726</b>	<b>15</b>	<b>16</b>	<b>114</b>	<b>4</b>	<b>41</b>	<b>1,536</b>

A = TA agreement not signed, B = TA agreement signed and/or consultants not fielded, C = consultants fielded,  
D = others, DMC = developing member country, E = TA completed, F = TA financially closed  
Note: In determining the total number of TAs, supplementary TAs are not counted separately from the original TAs.

**Table 4**  
**JSF TA Completion Reports Circulated in 2009**

TA No.	TA Title	TA Type	ADB Approval	JSF Grant (\$'000)	Completion	TCR Overall Rating	Closing	Undisbursed Amount (\$'000)	
<b>Highly Successful</b>									
1	4187-KGZ	Institutional Strengthening for Community-Based Early Childhood Development*	AD	29-Sep-03	500.0	Dec-07	Highly Successful	Nov-08	75.6
2	7079-PAL	Development of a Sustainable Health Financing Scheme	AD	14-May-08	289.0	Sep-09	Highly Successful	Oct-09	35.9
<b>Subtotal Highly Successful</b>		<b>2 Project(s)</b>		<b>789.0</b>					
<b>Successful</b>									
1	4115-AZE	Early Childhood Development	PP	14-May-03	600.0	Mar-06	Successful	Jan-07	90.4
2	4626-BAN	Corporatization of the Bangladesh Power Development Board	AD	02-Aug-05	800.0	Oct-08	Successful	Feb-09	3.4
3	4830-CAM	Implementation of Telecommunications Sector Policy Reforms and Capacity Building	AD	25-Aug-06	1,000.0	Jun-09	Successful	Nov-09	48.2
4	3138-PRC	Regulatory Framework for the Engagement of Consultants	AD	22-Dec-98	700.0	Feb-04	Successful	Mar-04	48.2
5	3384-INO	Sustainable Management System for Tree Crops Development	AD	28-Dec-99	950.0	Apr-04	Successful	May-05	32.6
6	4431-KAZ	Financial Sector Governance	AD	08-Nov-04	800.0	Mar-09	Successful	Jul-09	180.7
7	4747-KGZ	Banking Sector and Capital Market Development Program*	AD	20-Dec-05	600.0	Sep-08	Successful	Dec-08	39.0

ADB = Asian Development Bank, AD = advisory, GOJ = Government of Japan, PP = project preparatory, REG = regional, TA = technical assistance, TCR = technical assistance completion report.

\* Attached to a loan project.

**Table 4**  
**JSF TA Completion Reports Circulated in 2009**

	<b>TA No.</b>	<b>TA Title</b>	<b>TA Type</b>	<b>ADB Approval</b>	<b>JSF Grant (\$'000)</b>	<b>Completion</b>	<b>TCR Overall Rating</b>	<b>Closing</b>	<b>Undisbursed Amount (\$'000)</b>
8	4832-PHI	Enhancing the Autonomy, Accountability, and Efficiency of the Judiciary, and Improving the Administration of Justice	AD	30-Aug-06	800.0	May-09	Successful	Sep-09	17.5
9	4557-PHI	Institutional Strengthening of Energy Regulatory Commission and Privatization of National Power Corporation	AD	28-Dec-04	1,200.0	Jan-09	Successful	Apr-09	183.4
10	4267-TAJ	Strengthening Planning and Policy Dialogue for Education Reform*	AD	17-Dec-03	300.0	Jul-08	Successful	Jan-09	113.2
11	7044-THA	Bangkok Mass Rapid Transit Integrated Ticketing	PP	17-Dec-07	700.0	Mar-09	Successful	May-09	14.1
12	4565-UZB	Financial Sector Infrastructure Development	AD	10-Feb-05	400.0	Dec-07	Successful	Apr-08	76.9
13	6228-REG	Facilitating Cross-Border Trade and Investment in the Greater Mekong Subregion	REG	23-Dec-04	600.0	Dec-08	Successful	Jul-09	69.1
14	6146-REG	Strengthening Regional Networking for Small- and Medium-Sized Enterprises in Brunei-Indonesia-Malaysia-Philippines East Association of Southeast Asian Nations Growth Area	REG	05-Dec-03	700.0	Sep-07	Successful	Feb-08	0.0
15	6144-REG	Better Air Quality Management in Asia	REG	28-Nov-03	300.0	Nov-08	Successful	Dec-08	7.1
16	5966-REG	Capacity Building for Financial Regulation and Supervision	REG	19-Dec-00	800.0	Oct-05	Successful	May-06	118.0

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\* Attached to a loan project.

**Table 4**  
**JSF TA Completion Reports Circulated in 2009**

	TA No.	TA Title	TA Type	ADB Approval	JSF Grant (\$'000)	Completion	TCR Overall Rating	Closing	Undisbursed Amount (\$'000)
17	5851-REG	Rural Financial Systems Workshop in Central Asia	REG	30-Jul-99	360.0	Dec-00	Successful	Apr-03	13.9
	<b>Subtotal</b>	<b>Successful</b>	<b>17 Project(s)</b>		<b>11,610.0</b>				
<b>Partly Successful</b>									
1	3085-COO	Urban Infrastructure	PP	06-Oct-98	750.0	Sep-04	Partly Successful	Sep-04	34.7
2	4572-FIJ	Strengthening Commercial Agriculture Development*	AD	28-Mar-05	600.0	Jan-07	Partly Successful	Dec-07	438.8
3	3265-KAZ	Railway Restructuring Sector Development Program	PP	28-Sep-99	850.0	Jun-02	Partly Successful	Apr-03	53.0
4	3968-LAO	Northern Airports Improvement	PP	04-Nov-02	500.0	Dec-07	Partly Successful	Feb-08	102.5
5	4606-PAK	North-West Frontier Province Devolved Social Services Program	PP	01-Jul-05	450.0	Jan-07	Partly Successful	Aug-07	214.8
6	2928-PAK	Quetta Water Supply and Environmental Improvement	PP	05-Dec-97	900.0	Apr-00	Partly Successful	Mar-01	42.1
7	3814-PHI	Microfinance for Rural Development	PP	19-Dec-01	560.0	Mar-04	Partly Successful	Jun-04	104.2
8	2794-PHI	Mindanao Basic Education Development	PP	19-May-97	370.0	Dec-02	Partly Successful	Jan-04	76.1
9	4712-SAM	Promoting Economic Use of Customary Land	AD	05-Dec-05	300.0	Feb-09	Partly Successful	Jul-09	6.0
10	4926-TAJ	Transport Sector Master Plan	AD	04-Apr-07	600.0	Jul-08	Partly Successful	Dec-08	28.9

ADB = Asian Development Bank, AD = advisory, GOJ = Government of Japan, PP = project preparatory, REG = regional, TA = technical assistance, TCR = technical assistance completion report.

\* Attached to a loan project.

**Table 4**  
**JSF TA Completion Reports Circulated in 2009**

TA No.	TA Title	TA Type	ADB Approval	JSF Grant (\$'000)	Completion	TCR Overall Rating	Closing	Undisbursed Amount (\$'000)
11	4899-TON Rationalization of Public Enterprises, Phase III	AD	18-Dec-06	400.0	Dec-08	Partly Successful	May-09	21.7
12	4729-UZB Effective Management of Investments and Reforms in Basic Education	AD	12-Dec-05	400.0	Sep-08	Partly Successful	Jan-09	220.8
13	4731-VIE Support to Implement the Anti-Money Laundering Decree	AD	13-Dec-05	500.0	Apr-08	Partly Successful	Sep-08	11.5
14	6268-REG Implementation of Pacific Education Strategy: Skills Development	REG	04-Nov-05	975.0	Mar-09	Partly Successful	Aug-09	302.8
15	6207-REG Pacific Regional Social Protection Systems Assessment	REG	14-Dec-04	500.0	Sep-07	Partly Successful	Sep-08	141.4
16	6198-REG Capacity Building for Promoting Sustainable Development in the Greater Mekong Subregion	REG	12-Nov-04	500.0	Dec-07	Partly Successful	May-08	47.2
<b>Subtotal Partly Successful</b>		<b>16 Project(s)</b>		<b>9,155.0</b>				
<b>Unsuccessful</b>								
1	3569-PRC Jiangsu Highway Build-Operate-Transfer	AD	12-Dec-00	4.8	Mar-03	Unsuccessful	May-04	
<b>Subtotal Unsuccessful</b>		<b>1 Project(s)</b>		<b>4.8</b>				
		<b>Total</b>	<b>36 Projects</b>	<b>21,558.8</b>				<b>3,013.5</b>

ADB = Asian Development Bank, AD = advisory, GOJ = Government of Japan, PP = project preparatory, REG = regional, TA = technical assistance, TCR = technical assistance completion report.

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