

# Resettlement Planning Document

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Resettlement Framework  
Project Number: 38923  
October 2004

## IND: Proposed Equity Investment Baring India Private Equity Fund II Limited

Prepared by Baring India Private Equity Fund.

The resettlement framework is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

## RESETTLEMENT FRAMEWORK

1. Prior to the first investment, the Investment Adviser shall submit to ADB for approval a detailed Resettlement Framework to include (i) loan or investment description, with the likely scope, extent, and magnitude of the resettlement effects; (ii) screening procedures for pipeline investments or components; (iii) resettlement policy principles and eligibility criteria that are consistent with the policy and cover all investments, subprojects, and components under the loan; (iv) resettlement entitlements; (v) resettlement design criteria; and (vi) administrative, resourcing, and financing arrangements for preparation, approval, implementation, monitoring, and evaluation of full or short resettlement plans.
2. The Resettlement Framework shall also set out provisions for strengthening the capacity of the Investment Adviser if required to address resettlement issues. Upon approval, the Investment Adviser shall ensure that the Resettlement Framework is not materially amended, modified or rescinded without prior consultation with ADB.
3. Thereafter, the Investment Adviser shall:
  - (i) as part of the due diligence process, prior to investing in a portfolio company, determine whether the proposed Investment will involve involuntary resettlement;
  - (ii) in the event that involuntary resettlement appears likely, prior to investing in an portfolio company, prepare and submit to ADB for approval a resettlement plan prepared in accordance with Applicable Policies; and,
  - (iii) upon plan approval, monitor the timely and effective implementation of the resettlement plan including preparation of monitoring and evaluation reports.