

Case Studies

Evidence of violations of social and environment safeguards are welcome for OED's consideration but investigations will not necessarily follow. Correspondences may be coursed through evaluation@adb.org.

• Social Safeguards

India 1405: Power Transmission (Sector) Project (closed) 1647: Rajasthan Urban Infrastructure Development 1839: Western Transport Corridor 1813: Calcutta Environmental Improvement 1870: West Bengal Corridor 2018: Rural Roads Sector I
People's Republic of China 1626: Guizhou-Shuibai Railway (closed) 1691: S. Yunnan Roads 1851: Guangxi Roads I Development 1417: Mianhuatan Hydropower (closed) 1544: Zhejiang-Shanxi Water Supply (closed) 1636: Fuzhou I Wastewater (closed) 1692: Suzhou Creek Rehabilitation (closed) 1835: Yellow River Flood Control
Philippines 1668: Southern Philippines Irrigation Sector 1745: Pasig River Environmental Management and Rehabilitation Sector Development Program (Policy Loan) 1473: Sixth Road 1421/22: Cordillera Highlands Agriculture and Resource Management Project
Viet Nam 1855: Second Red River Basin Sector Project 1888: Provincial Roads Improvement Sector Project

• Environmental Safeguards

India 1944 East West Corridor 1959 Madhya Pradesh State Roads Sector Development Program (Project Loan) 2151 Multi-sector Project for Infrastructure Rehabilitation in Jammu and Kashmir 2152 Power Grid Transmission (Sector) Project
People's Republic of China 1851 Guangxi Roads Development Project 1918 Southern Sichuan Roads Development Project 2032 Gansu Clean Energy Development Project 2094 Guangxi Roads Development II Project 2116 Dali-Lijiang Railway Project 2175 Jilin Water Supply and Sewerage Development Project
Philippines 1769 North Luzon Expressway 1984 Electricity Market and Transmission Development 1843 Mindanao Basic Urban Services Sector 2063 Development of Poor Urban Communities Sector
Viet Nam 1855: Second Red River Basin Sector Project 1888: Provincial Roads Improvement Sector Project

Terms of Reference for the Project Case Studies in India, Philippines, and PRC

Broad terms of reference for the consultants who are conducting the case studies are as follows:

• Social Safeguards

- Review, for the country in question, a selection of related and available documents including but not necessarily limited to the following: (a) project preparatory TA documents and feasibility studies for the selected projects; (b) RRP; (c) RPs and IPDPs; (d) project processing and implementation files on IR and IP (including internal progress reviews, Back To Office Reports of review missions and PCRs); and (e) related studies conducted by ADB, the Government of the concerned DMCs, and other major multilateral and bilateral sources.
- Conduct discussions and meetings in the field with Government officials, affected peoples (APs) and relevant NGOs; and collect the relevant information from the concerned officials.
- Organize rapid assessment exercises / surveys of APs for selected project case studies and if necessary and still feasible carry these out with the help of enumerators.
- Review the institutional problems and the overall policy framework within which the mitigation measures were designed, and evaluate the appropriateness of their design. Assess whether alternative project designs with less impacts on APs were considered. Identify the measures which were ineffective and the reasons for their ineffectiveness. Evaluate the cost-effectiveness of the mitigation measures taking into consideration direct as well as indirect economic and social costs and benefits to the extent possible.
- Determine the extent to which ADB's social safeguards have been observed in the implementation of project RPs and IPDPs and identify good practices including procedures that need to be adopted during the feasibility study and at the design stage of development projects, including the benefits and pay-offs of the involvement and participation of affected indigenous persons at various stages of project preparation, implementation, and evaluation of project impacts.
- Validate results of earlier assessments of ADB resettlement operations as much as is feasible within the time available, using interviews and secondary sources.
- Assess and evaluate the adequacy of the monitoring and evaluation process instituted in the projects under review
- Evaluate and assess the adequacy and effectiveness of the consultative process with APs, including the adequacy and effectiveness of grievance procedures and legal redress available to the affected parties, and dissemination of information about these.
- Evaluate and assess the adequacy of compensation given to the APs and the livelihood opportunities and incomes as well as the changes in quality of life of APs as a result of the projects.
- Identify lessons that can be drawn from ADB's experience with IP, and make suggestions for an improved policy.

● Environmental Safeguards

- Conduct a review of ADB documents for selected ADB projects approved before and after the promulgation of the Environment Policy (November 2002), i.e., Reports and Recommendations of the President (RRPs), Summary of Environmental Impact Assessment (SEIA), the Regional and Sustainable Development Department's (RSDD) review and comments of the SEIAs, Project Completion Reports (PCRs), Project Performance Audit Reports (PPARs), TA Completion Reports (TCRs). Tabulate the results of the document review in a manner to clearly show any differences, or lack thereof, of project preparation, implementation, and results before and after the implementation of the Environment Policy.
- Conduct case studies of selected projects in the field, looking into issues of both implementation and impacts
- Assess (i) front-end preparation of environmental impact assessment, (ii) ADB's assistance to executing agencies in meeting ADB compliance requirements, (iii) cost-effectiveness of the mitigation measures proposed, and (iv) monitoring and evaluation of environmental impact during project implementation and upon commissioning.
- Provide an assessment of the respective country's environmental safeguard systems and local institutional capacities in conducting environmental impact assessment and meeting ADB environmental compliance requirements.
- Prepare a report on the results from the country consultations and the case studies with necessary appendices. The report will address, inter alia, the relevance, efficacy and effectiveness of the Environment Policy for the country using the analytical results from Activity C(i). It will identify key issues and lessons to be learned from the implementation of the Environment Policy in the country so far and feedbacks from stakeholder consultations, as well as make recommendations as to how ADB should improve the Environment Policy to make it more cost effectiveness in protecting the environment and better tailored to the needs of the country.