

Senior Executive Certificate Course for Strategic Management of Anti-Corruption Program (SHORT COURSE)

Day / Time	Session / Topic	Desired Learning Outcomes	Methodology	Resource Person / Facilitator	Reference Materials and Training Materials Needed
Day 1					
8:30 – 10:00	Course preliminaries <ul style="list-style-type: none"> o Registration o Welcome Remarks o Course Briefing o Leveling of Expectations o Introduction of participants o Group photo session 	<ul style="list-style-type: none"> o Understanding the course as well as the requirements for successful completion o Course delivery is responsive to the learning profiles and needs of the participants 	<ul style="list-style-type: none"> o Briefing o Technology of Participation (use of metacards to express course expectations) o Use of drawings for self-introduction 	MACA trainer	<ul style="list-style-type: none"> o Course brief and schedule o Metacards, writing pens, masking tapes o A4-sized paper and crayons
10:00 – 10:30	Tea Break				
10:30 -12:00	Theories, concepts, elements of an effective anti-corruption strategy	<ul style="list-style-type: none"> o Overview of corruption o Enumerate the requisite elements of an effective anti-corruption strategy o Map out the factors that affect corruption o Describe and explain the meaning of corruption perception indices, public opinion surveys, useful statistical records, and performance indicators 	<ul style="list-style-type: none"> o Lecture-discussion o Use selected examples of anti-corruption strategy o PESTLE Analysis (political, economical, socio, technological, legal, and ecological) 	Mr. Tony Kwok Prof. Abdul Rahman Embong / Dr. Eiji Oyamada	<ul style="list-style-type: none"> o Samples of anti-corruption strategy o PESTLE template o Sum Manit, "Designing a comprehensive national anti-corruption strategy in Cambodia, " <i>Taking Action Against Corruption in Asia and the Pacific</i>. Manila: ADB-OECD, 2002 o Abdul Rahman Embong, "Public opinion surveys and anti-corruption reform in Malaysia, " <i>Anti-Corruption Initiative for Asia and the Pacific</i>. Manila: ADB-OECD, 2006 o David Zussman, "How public opinion surveys can assist in the preparation of anti-corruption reform, " <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006 o Cobus de Swardt, "Transparency International's public opinion surveys,

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					"Knowledge Commitment Action against Corruption in Asia and the Pacific. Manila: ADB-OCED, 2006
12:00 – 1:30	Noon break				
1:30 – 3:00	Legal framework: UNCAC and ADB-OECD Action Plan for Fighting Corruption in the Asia-Pacific Region	<ul style="list-style-type: none"> o Describe and explain the rationale, objectives, and essential provisions of UNCAC o Relate UNCAC provisions to local scenarios as well as identify gaps in compliance o Describe the three pillars of the ADB-OECD action plan o Discuss and compare self assessment reports of 27 members 	<ul style="list-style-type: none"> o Lecture-discussion 	UNODC expert / Mr. Saifudin from Office of the Attorney General ADB/ Mr. Raza Ahmad	<ul style="list-style-type: none"> o UNCAC document o UNCAC Technical Guide o UNCAC Legal Guide o Handouts on the assessment reports
3:00 – 3:30	Tea Break				
3:30 – 5:00	Corruption prevention concepts, methods, and tools	<ul style="list-style-type: none"> o Describe corruption prevention concepts, methods, and tools 	<ul style="list-style-type: none"> o Lecture-discussion 	Dr. Joel Mangahas / Sutinah	<ul style="list-style-type: none"> o
Day 2					
8:30 – 10:00	Case study on public works	<ul style="list-style-type: none"> o Describe and explain the key features of the reform initiatives o Identify corruption and fraud opportunities and measures to minimize them o Enumerate and explain lessons learnt (as well as those that are relevant to the participants) 	<ul style="list-style-type: none"> o Lecture-discussion o Case study 		<ul style="list-style-type: none"> o
10:00 – 10:30	Tea Break				
10:30 -12:00	Corruption prevention for responsive and equitable public service delivery	<ul style="list-style-type: none"> o Discuss how service delivery and systems improvement can reduce 	<ul style="list-style-type: none"> o Panel discussion¹ (road transport, immigration, and 		<ul style="list-style-type: none"> o Brief papers of panel discussants o Wang Gang, "The Minxin

¹ A moderator will be assigned to ask four to five specific and focused questions to the panel members. Panel members will answer each question succinctly and direct to the point. The participants will also be enjoined to ask questions and even answer the questions raised. The panel discussion will not follow the conventional approach of allowing each panel member to speak continuously for 10-20 minutes and hold the plenary thereafter.

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		<ul style="list-style-type: none"> o opportunities for corruption o Describe how to promote efficiency, effectiveness, transparency and accountability o Discuss use of performance indicators, performance pledges (or client charter), and IT 	national registration)		<ul style="list-style-type: none"> o website: Connecting government and the citizens in the People's Republic of China," <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006
12:00 – 1:30	Noon break				
1:30 – 3:00	Case study on public procurement	<ul style="list-style-type: none"> o Describe and explain the key features of the reform initiatives o Identify corruption and fraud opportunities and measures to minimize them o Enumerate and explain lessons learnt (as well as those that are relevant to the participants) 	<ul style="list-style-type: none"> o Lecture-discussion o Case study o Video presentation (check with Tony) 		<ul style="list-style-type: none"> o Emilia Boncodin, "Making Reforms Possible in the Public Procurement System: Lessons Learned and Pitfalls to Avoid", ADB: RETA 6222 - Leadership and Enhancement Advancement Program, 2005 o ADB-OECD Procurement Report – available on website o Best practice guidelines – available on HK ICAC and ICAC-NSW websites
3:00 – 3:30	Tea Break				
3:30 – 5:00	Effective staff management in the public sector (recruitment, discipline, postings)	<ul style="list-style-type: none"> o Discuss best practices in human resource management in relation to corruption prevention and control 	<ul style="list-style-type: none"> o Lecture-discussion o Case study 		<ul style="list-style-type: none"> o
Day 3					
8:30 – 10:00	Promoting corporate governance and business ethics in the private sector	<ul style="list-style-type: none"> o Discuss what is corporate governance and what is business ethics; and their importance in curbing corruption o Discuss concept and methodology for promoting corporate governance 	<ul style="list-style-type: none"> o Case studies on good and bad examples 	<ul style="list-style-type: none"> o Securities Commission o University professors 	<ul style="list-style-type: none"> o
10:00 – 10:30	Tea Break				
10:30 -12:00	Corruption risk assessment and	<ul style="list-style-type: none"> o Describe and explain the 	<ul style="list-style-type: none"> o Lecture-exercise 	<ul style="list-style-type: none"> o Dr. Joel 	

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	management	tools to conduct risk corruption vulnerability		Mangahas	
12:00 – 1:30	Noon break				
1:30 – 3:00	Code of ethics and ethics management (including conflict of interest)	<ul style="list-style-type: none"> ○ Discuss the elements of an effective code of ethics and the rationale behind avoiding conflict of interests: gift policy, entertainment ○ Discuss how to formulate and implement 	<ul style="list-style-type: none"> ○ Lecture-discussion ○ Case study ○ Video presentation 	<ul style="list-style-type: none"> ○ Tony Kwok 	<ul style="list-style-type: none"> ○ Tony to provide videos and cases ○ Pairote Pathranarakul, "Conflict of interest: An ethical issue in public and private management, <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006 ○ Thomas Chan, "Managing conflict of interest in the public sector: The approach of Hong Kong, China, <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006 ○ Cheng Wenhao, "Monitoring and preventing conflict of interest among public servants in the People's Republic China," <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006
3:00 – 3:30	Tea Break				
3:30 – 5:00	Community education	<ul style="list-style-type: none"> ○ Discuss how to raise public awareness, seek public support, and promote a clean society ○ Describe the methods (including face-to-face contact, exhibitions, organized forums, etc.) ○ Describe how to tap media for campaigns, investigative journalism 	<ul style="list-style-type: none"> ○ Lecture-discussion ○ Case study ○ Video footages of TV advertisements 	<ul style="list-style-type: none"> ○ ACA / Tony Kwok 	<ul style="list-style-type: none"> ○ MACA to identify relevant references and materials ○ Tony to provide video footages

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Day 4					
8:30 – 10:00	Role of civil society organizations	<ul style="list-style-type: none"> ○ Discuss and explain the role of civil society in fighting corruption ○ Discuss and explain specific examples and leading practices as well as outcomes 	<ul style="list-style-type: none"> ○ Panel discussion 	<ul style="list-style-type: none"> ○ Two NGO representatives ○ One representative of Ministry ○ One from ACA 	<ul style="list-style-type: none"> ○ Joel to send MACA on Philippine examples ○ MACA to finalize
10:00 – 10:30	Tea Break				
10:30 -12:00	Importance of enforcement and the need for effective enforcement policy	<ul style="list-style-type: none"> ○ Discuss the essential elements and features of an effective enforcement policy ○ Discuss issues, problems, and solutions ○ Discuss cross-country experiences 	<ul style="list-style-type: none"> ○ Lecture-discussion 	<ul style="list-style-type: none"> ○ ACA / Tony Kwok 	ADB-OECD. <i>Anti-Corruption Policies in Asia and the Pacific: Progress in Legal and Institutional Reform in 25 countries</i> , “Manila: ADB, 2005
12:00 – 1:30	Noon break				
1:30 – 3:00	Basic investigation techniques	<ul style="list-style-type: none"> ○ Describe and explain the investigation process ○ Identify sources of information ○ Discuss requisite interviewing skills ○ Provide an overview of investigation methods 	<ul style="list-style-type: none"> ○ Lecture-discussion 	<ul style="list-style-type: none"> ○ ACA / Tony Kwok 	<ul style="list-style-type: none"> ○ Tony to provide materials
3:00 – 3:30	Tea Break				
3:30 – 5:00	Effective public report system and complaints handling mechanisms	<ul style="list-style-type: none"> ○ Discuss checklist in setting up an effective public report system ○ Discuss how complaints should be handled ○ Discuss the resource requirements ○ Provide examples 	<ul style="list-style-type: none"> ○ Panel discussion (two-person panel) 	<ul style="list-style-type: none"> ○ Abu Kassim ○ Tony Kwok 	<ul style="list-style-type: none"> ○ Abu Kassim Bin Mohamad, “Effective Anti-Corruption Enforcement and Complaint-Handling Mechanisms, “ <i>Curbing Corruption in Tsunami Relief Operations</i>. Manila: ADB-OECD, 2005
Day 5					

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8:30 – 10:00	Money laundering and asset tracing	<ul style="list-style-type: none"> ○ Discuss legal framework (international and national) ○ Discuss examples of anti-money laundering regimes ○ Discuss investigative techniques 	<ul style="list-style-type: none"> ○ Panel discussion 	<ul style="list-style-type: none"> ○ Tony Kwok ○ Chua Cher Kuat ○ Arun Kendel ○ Malaysia Office of the Attorney General ○ Vic Aquino² 	<ul style="list-style-type: none"> ○ Cai Yilian, “Performing anti-money laundering functions and promoting anti-corruption work in the People’s Republic of China, “ <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006 ○ Joel V. Mangahas, “Process Map of the Anti-Money Laundering Regime in the Philippines, “ ADB TA 4601 PHI, May 2006 ○ Tony Kwok to provide additional reference materials ○ MACA to identify and provide additional reference materials
10:00 – 10:30	Tea Break				
10:30 – 12:00	International cooperation for combating corruption (mutual legal assistance, extradition, etc.)	<ul style="list-style-type: none"> ○ Describe in greater detail the UNCAC chapter on international cooperation, common mutual legal assistance, and extradition ○ Discuss issues, problems, and solutions 	<ul style="list-style-type: none"> ○ Panel discussion 	<ul style="list-style-type: none"> ○ Police attaché ○ Tony Kwok ○ Chua Cher Kuat ○ Malaysian Office of the Attorney General 	<ul style="list-style-type: none"> ○ Amien Sinaryadi, “Donor support for anti-corruption efforts, “ <i>Knowledge Commitment Action Against Corruption in Asia and the Pacific</i>. Manila: ADB-OECD, 2006 ○ Jean-Bernard Schmid, “Switzerland’s experience with transnational judicial cooperation, “<i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i>. Manila: ADB-OCED, 2006 ○ Bernard Bertosa, “Bribery and international mutual legal assistance: A hypothetical case for

² Executive Director, Anti-Money Laundering Council, Philippines

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					training, <i>Knowledge Commitment Action against Corruption in Asia and the Pacific</i> . Manila: ADB-OCED, 2006
12:00 – 1:30	Noon break				
1:30 – 3:00	Building partnerships (government-business-civil society)	<ul style="list-style-type: none"> ○ Discuss and explain why the fight against corruption is a collective and shared responsibility ○ Discuss the role of ACA in building partnerships and networks against corruption ○ Provide examples 	<ul style="list-style-type: none"> ○ Panel discussion (chaired by ACA) 	<ul style="list-style-type: none"> ○ Stakeholder groups ○ ACA 	<ul style="list-style-type: none"> ○ MACA to identify panel discussants and reference materials
3:00 – 3:30	Tea Break				
3:30 – 5:00	Institutional reforms and managing change	<ul style="list-style-type: none"> ○ Identify the impediments to reforms and how to overcome them ○ Discuss how the action plan can be effectively implemented 	<ul style="list-style-type: none"> ○ Lecture-discussion ○ Workshop 	<ul style="list-style-type: none"> ○ Dr. Tam, INTAN 	<ul style="list-style-type: none"> ○ Rene Banez, "Transforming the Bureau of Internal Revenue in the Philippines, "ADB: RETA 6222 - Leadership and Enhancement Advancement Program, 2005