

## OUTLINE OF A RESETTLEMENT PLAN

### Executive Summary

An executive summary is a succinct report on the key aspects of the resettlement plan. It should cover the salient points in the resettlement plan

#### I. Project Description

- General description of the project, discussion of project components that result in land acquisition or involuntary resettlement or both, and identification of the project area.
- Describe the alternatives considered to avoid or minimize resettlement. Include a table with quantified data and provide a rationale for the final decision. and why remaining effects are unavoidable
- State the main objectives of the Resettlement Plan (RP).
- For clarity, please also indicate whether the project is based on feasibility study and state the arrangements for updating the RP at after detailed technical/engineering design and detailed measurement survey and submission ADB for review and approval.

#### II. Scope of Land Acquisition and Resettlement

- Discuss the Potential Impacts of the Project. Include maps of the areas or zone of impact of such components or activities
- Describe scope of land acquisition (use maps), and why it is necessary for the main investment project
- Summarize the key effects in terms of assets acquired and affected persons, include a table.
- Provide details of any common property resources
- Describe the consultation process with agencies responsible for land acquisition and resettlement.
- Briefly discuss the legal framework for land acquisition including an overview of the laws, regulations and guidelines that apply to land acquisition and resettlement. Include procedural requirements, timelines.

*Core tables to be prepared for this section:*

- *Summary of Land Acquisition Requirements and Involuntary Resettlement Impacts of all Project Components*
- *Summary of Affected Persons by Category and Severity of Impacts*

*Affected Persons - Total Number of Households and Total Population*

*Type of Impacts - Loss of Land, Structure, Businesses, Crops and Trees, Community Property Resources, and other categories of losses*

*Severity of Impacts – Permanent or Temporary; Full(100%) or Partial(10% and below, 50% and below)] loss of Structures/Land including number of people requiring relocation;*

*Summary of Affected Lands by Tenure Status and Land Use Type (Agricultural, Commercial, Residential, Communal Forest, etc)*

- *Summary of Affected Structures Land Tenure Status and by Structure Type, Materials, Size*

### **III. Socioeconomic Information/Profile**

The socio-economic survey while providing data should be accompanied by a careful analysis/impact assessment disaggregated by gender, vulnerability and other social groups.

- Define, identify and enumerate the people to be affected
- Describe the likely impact of land and asset acquisition on the people affected, taking into account social, cultural and economic parameters; prepare disaggregated tables
- Discuss project impacts on the poor, indigenous/ethnic minorities, and other vulnerable groups.
- Identify gender and resettlement impacts. Identify the socio-economic situation, impacts, needs, and priorities of women. (Reference: Gender and Resettlement Checklist, 2003. Available from [http://www.adb.org/Documents/Manuals/Gender\\_Checklists/Resettlement/default.asp](http://www.adb.org/Documents/Manuals/Gender_Checklists/Resettlement/default.asp))

*Core tables to be prepared for this section:*

- *Socio-economic Profile of the Affected Persons Disaggregated by Gender,*
- *Vulnerability and risk analysis*

### **IV. Information Dissemination, Consultation, Participatory Approaches and Disclosure Requirements**

- Identify project stakeholders, specifically primary stakeholders
- Describe the mechanisms for consultation to be conducted during the different stages of the project cycle.
- Describe the activities undertaken to disseminate information
- Summarize the results of consultations with affected persons (including host communities) and discuss how concerns raised and recommendations made were addressed in the RP.
- Confirm disclosure of draft resettlement plan to affected people and include arrangements to disclose any subsequent plans.

*Core table to be prepared for this section:*

- *Public Consultation and Disclosure Plan*
- *Summary of Concerns Raised and Recommendations made during Consultations Disaggregated by Stakeholder Groups*

### **V. Grievance Redress Mechanisms**

- Mechanisms for resolution of conflicts and appeals procedures
- Description of the grievance redress framework (informal and formal channels) that will be put in place by the project proponent setting out the time frame and mechanisms for resolution of complaints about resettlement.

## **VI. Policy and Legal Framework**

- Describe the national and local laws and policies that apply to the project and prepare a gap analysis indicating how the gaps will be addressed.
- Principles, legal and policy commitments from executing agency for different categories of project impacts. Principles and methodologies used for determining valuation and compensation rates at replacement costs for assets, incomes and livelihoods. Compensation and assistance eligibility criteria and how and when compensation will be paid.
- Describe the land acquisition process and prepare a schedule for meeting key procedural requirements.

*Core tables/flowcharts to be prepared for this section:*

- *Legislative Gap Analysis - Comparison of ADB's Involuntary Resettlement Policy and DMC Legal Frameworks and Proposed Measures to Bridge the Gap*
- *Flowchart of the Land Acquisition Process with outputs and timelines*

## **VII. Entitlements**

- Define entitlement and eligibility of affected persons. Ensure all resettlement assistance, including, transaction costs are included.
- Assistance to vulnerable groups and other special groups should be included

*Core tables to be prepared for this section:*

- *Entitlement matrix*

## **VIII. Relocation of Housing and Settlements**

- Description of options for relocation of housing and other structures, including replacement housing, replacement cash compensation, and/or self-selection. Ensure gender concerns and support to vulnerable groups are identified and integrated when preparing replacement housing programs.
- Description of the alternative relocation sites considered, community consultations conducted and justification for selected sites including details on location, environment assessment of site, and development needs.
- Timetables for site preparation and transfer. Measures to assist with transfer and establishment at new sites.
- Legal arrangements to regularize tenure and transferring titles to resettlers including, provision of joint titles as well as plot allocation to adult children as relevant.
- Transition housing should be avoided
- Measures to assist with transfer and establishment at new sites.
- Ensure location specific considerations to protect livelihood access to public services etc.,
- Plans to provide civic infrastructure
- Integration with host populations

## **IX. Income Restoration and Rehabilitation**

- Identify livelihood risks, prepare disaggregated tables based on a demographic data and livelihood sources
- Description of income restoration programs—include multiple options to restore all types of livelihoods. A few examples include:
  - project benefit-sharing
  - revenue sharing arrangements
  - joint-stock for equity contributions such as land
  - discuss sustainability and safety nets.
- Social safety net through social insurance / project special funds
- Special measures to support vulnerable groups
- Gender considerations
- Training programs should be supported by skills analysis and needs assessment

*Core table to be prepared for this section:*

- *Livelihood restoration plans with itemized budgets and multiple options*
- *List of Training Programs and APs preferences*
- *Employment Opportunities*

## **X. Resettlement Budget and Financing Plan**

- Itemized budget for all resettlement activities, including, budget for resettlement unit, staff training, M&E, and preparation of RPs during loan implementation.
- Describe the flow of funds. The annual resettlement budget should show the budget-scheduled expenditure for key items. .
- Include a justification for all assumptions made in calculating compensation rates and other cost estimates (taking into account both physical and cost contingencies), plus replacement value.
- Include information about the source of funding for the RP budget.

*Core table to be prepared for this section:*

- *Detailed Cost Estimate and Budget for all Resettlement Activities*
- *Flowchart: Flow of Funds showing source of financing and timing, particularly in the context of legal requirements.*

## **XI. Implementation Schedule**

- Include a detailed, time bound, implementation schedule for all key resettlement and rehabilitation activities. (The schedule should be synchronized with the project's schedule of civil works construction).
- Resettlement Supervision Milestones included and updated regularly (See Attachment).

*Core table to be prepared for this section:*

- *Implementation schedule covering all aspects of resettlement activities synchronized with civil works awards construction.*
- *Land Acquisition Process and Timeline—Gantt Chart*

## **XII. Institutional Framework for Resettlement**

- Main tasks and responsibilities of the groups responsible for resettlement preparation, implementation and monitoring should be described, including, skills and number of staff.
- Assessment of the institutional capacity of such agencies. Arrangements to build, including technical assistance, if required. Availability of logistics, finance, staff and other necessary hardware.
- Role of NGOs, if involved, and organizations of affected persons in resettlement planning and management. Involvement of women's groups in resettlement planning, management and operations, job creation and income generation. Arrangements to hire female staff by the resettlement agency to work with and assist women in all aspects of resettlement activities, including planning and implementation of income restoration programs.

*Core table to be prepared for this section:*

- *Matrix of Roles and Responsibilities of Government Agencies and Other Organizations involved in Resettlement Planning and Implementation*

## **XIII. Monitoring and Evaluation**

- **Internal Monitoring and Evaluation:** Arrangements to (i) monitor resettlement implementation, Describe institutional arrangements, logistics, staff, skills, timelines and budget allocated.
- **External Monitoring and Evaluation:** Arrangements to hire an external monitor. Ensure participation of affected people in internal and external monitoring and evaluation. Describe competencies, reporting arrangements and timelines.

## Resettlement Supervision Milestones (As of Date/Month/Year)

No.	Resettlement Tasks	Target	Responsible Agency	Completion Deadline	Status and Additional Deadlines
<b>1.</b>	<b>Disclosure</b>				
1.1	Information booklet	# of copies			
1.2	Resettlement plan distribution to resettlement offices/villages/APs	# of copies			
1.3	RP placed on ADB website				
<b>2.</b>	<b>Detailed Measurement Survey (DMS)</b>				
2.1	Updated RP based on DMS Distribution to resettlement offices/villages/APs	# of copies			
2.2	Updated RP based on DMS				
<b>3.</b>	<b>Detailed Rehabilitation Plans</b>				
3.1	Initial village rehabilitation plans (if applicable)	# of villages			
3.2	Refined village rehabilitation plans (if applicable)	# of villages			
3.3	Farmland adjustment agreements(if applicable)				
3.4	Assistance for Vulnerable Groups	# of APs			
3.5	Technical training plan for AF	# of APs			
<b>4.</b>	<b>Resettlement Plan and Budget</b>	# of APs			
4.1	Complete redline survey (map)				
4.2	Approval of RP & budget				
4.3	Approval of compensation rates				
4.4	Staking survey				
<b>5.</b>	<b>Compensation Agreements</b>				
5.1	Village agreements	# of villages			
5.2	Enterprise agreements	# of businesses			
5.3	Household agreements	# of APs			
<b>6.</b>	<b>Implementation Capacity</b>				
6.1	district resettlement staff	# of staff			
6.2	Designate village representatives	# of staff			
6.3	Training of staff	# of staff			
6.3	Setting up grievance redress committees	# of staff			
<b>7.</b>	<b>Monitoring and Evaluation</b>				
7.1	Baseline survey	Sample			
7.2	Set-up internal supervision	As per RP			
7.3	Contract external monitor	As per RP			
7.4	Internal monitoring reports	Quarterly			
7.5	External monitoring reports	Semi-annual			
7.6	Evaluation reports (tracer surveys)	Annual			
7.7	Resettlement Completion Report				
<b>8.</b>	<b>Documentation of Consultation</b>	As per RP			
<b>9.</b>	<b>Documentation of Grievances</b>	As required			
<b>10.</b>	<b>Flow of Funds / Compensation</b>				
10.1	Executing or Implementing Agency				

No.	Resettlement Tasks	Target	Responsible Agency	Completion Deadline	Status and Additional Deadlines
10.2	Project Management Office – Resettlement Unit or NGO Resettlement Implementer				
10.3	To affected households	# of APs			
<b>11.</b>	<b>Commence Resettlement</b>				
11.1	Land acquisition	# of hectares			
11.2	House removal	# of APs			