

Module I

Social Assessment and Risks Analysis in Development Investment Projects

- Opening Lecture -

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Social design of development projects

1. Social design is intrinsic and implicit in each development investment project, in all sectors
2. The need to structure and professionally codify the work for producing the project's social design of projects
3. Social assessment as tool for preparing projects' social design
4. Social Risk Analysis as part of social assessment
5. Development Induced Displacement and Resettlement (DIDR): Anomaly? Pathology? Or regular and frequent process in development and change?
6. DIDR requires detailed project social design and risk analysis: Involuntary Population Resettlement is more complex than dam-engineering.

How to think about Social Assessment?

CONCISE MODEL FOR SOCIAL ASSESSMENT (SA) WORK

1. **Who** is doing SA?

-The role of the Social Analyst.

2. **What** is to be done in SA?

-SA has different tasks in each key stage of project cycle (see graphs Questions to/Answer from SA)

3. **When** is SA to be done?

-Continuous, but main stages are:

-Social Preparation of project and study of project area populations

-Social design for project pre-appraisal and appraisal

-Social strategy for implementation

4. **Which** are the products of SA

-Results/Products are different in each stage (see graphs)

Synoptic View 2

SA for Investment Projects: Role of Social Specialist



SA: Social Preparation/analysis for Project

TASKS OF SOCIAL ANALYST

- Field Assessment and Consultation with Stakeholders
- Description
- Explanation
- Predict trends

1
Social Analysis
Social Organization

PRODUCTS OF SOCIAL ANALYST

- Identify All Social Actors
- Describe/Explain
- Assess Needs/Constraints

SA: The Social Design of Projects

TASKS OF SOCIAL ANALYST

- Define Social Goals
- Plan Social Action
- Analyze Risks
- Outline Outcomes

2
Social Design
of Projects

PRODUCTS OF SOCIAL ANALYST

Specific Project

Provisions

Social

equity Provisions

gender Specific

create/Strengthen

Local Organization

mitigate Social Risks

SA: Social strategy for implementing projects

TASKS OF SOCIAL ANALYST

- Information/
Two Ways
- Communication
- Participation
- Organization
- Incentives
- Institution
Building



3

Social Strategy for
Implementation

PRODUCTS OF SOCIAL ANALYST

- Specific Project
Mechanism
- Foster Collective
Action
- NGOs' Functions
in Project
- Social Monitoring/
Evaluation



Why the “SOCIAL” is CENTRAL in development project?

TODAY, ensuring good social impacts ARE THE KEY question in :

- Development policies concerning all projects
- Economic growth through development financing
- Poverty reduction as overarching goal in all development activities
- Sustainable development after projects' completion

Social Benefits = THE GOAL. Dams, Mines, Hospitals = are only Means.

Overall, social impacts are a POLITICAL issue, not just a “technical” problem

Example: the Hydropower Sector: Main classes of Adverse Social Impacts

1. Forced displacements (with impoverishment risks)
2. Boomtowns (negative social impacts, crime, HIV, etc.)
3. Downstream adverse changes in agro-production systems
4. Loss of submerged cultural heritage assets
5. Question to the class: Can you name other adverse impacts of hydropower projects?

-Need to distinguish between typical (main classes) of risks/adverse impacts and location-specific impacts/risk which may range on a broad spectrum

Risks versus Impacts: Both are Integral Parts to Social Assessment

- Retrospective and prospective analyses: Learn from the past to prepare for recurrent risks in the future
- The need for anticipatory (prospective) estimate of risks and likely adverse impacts is the first step of risk analysis
- What are the data sources in each?
- Incorporate the assessments of social impacts, and risk analyses, early on into the “social design” of projects.
- Early risk-analysis helps moving risk discovery upstream in project preparation

Quick Overview of the Content of the Following Training Modules

The Critical Path for Social Risk Analysis and Management

Identify, Communicate, and Act!



Consult and Monitor

(To be explored in detail in the following sessions)