

Format and Scope of a Resettlement Framework

The Resettlement Framework sets out the resettlement policy, together with screening and planning procedures that will apply to subprojects, components or investments that are prepared and approved during loan implementation to ensure that they conform to ADB's IR Policy. The RF contains the arrangements for preparing full or short RPs during implementation of the loan's subprojects depending on the severity of the involuntary resettlement impacts. The RF includes

- (i) loan or investment description, including the likely scope, extent and magnitude of the resettlement effects;
- (ii) screening procedures for pipeline investments or components;
- (iii) resettlement policy principles and eligibility criteria that are consistent with the Policy and cover all investments, subprojects, and components under the loan;
- (iv) resettlement entitlements;
- (v) resettlement design criteria; and
- (vi) administrative, resourcing, and financing arrangements for preparation, approval, implementation, and monitoring and evaluation of full or short RPs.

It also sets out provisions for strengthening the capacity of the relevant EA, project sponsor, or financial intermediary if required to address resettlement issues.

Topic	Contents
Objectives, policy framework, and entitlements*	<ul style="list-style-type: none"> •Overall likely scope of land acquisition and resettlement •Eligibility criteria for defining various categories of displaced persons •A review of the borrower's policy and legal framework for resettlement with any gaps in this framework as compared to ADB policy •Measures proposed to bridge the gap between ADB's and borrower's policies
Socioeconomic information*	<ul style="list-style-type: none"> •Methods to be employed for preparing a population record, conducting socio-economic survey, collection of gender disaggregated socio-economic data, asset inventory and valuation and land assessment
Gender Impact and Mitigative Measures	<ul style="list-style-type: none"> •Measures to ensure that socio-economic condition, needs, and priorities of women are identified •Measures to ensure that process of land acquisition and resettlement does not disadvantage women and that land/house titles should be in the name of both spouses
Information Dissemination, Consultation, Participatory Approaches and Disclosure Requirements	<p>Measures proposed to ensure the</p> <ul style="list-style-type: none"> •Identification of project stakeholders. •Disclosure of information about the project •Consultations for determining principles are conducted •Mechanisms for stakeholder participation in planning, management, monitoring, and evaluation •Disclosure of RP to people affected •Involvement of local institutions or organizations to support people affected such as non-government organizations (NGOs), women's groups and community-based organizations (CBOs).
Grievance Redress Mechanisms	<ul style="list-style-type: none"> •Mechanisms for resolution of conflicts and appeals procedures

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Compensation, relocation, and income restoration	<ul style="list-style-type: none"> •Methods proposed for valuing affected assets, and any necessary measures required to ensure replacement costs for asset and income compensation; •Measures proposed for income restoration and relocation, as required
Resettlement budget and financing	<ul style="list-style-type: none"> •Measures for proposed planning and budgeting for resettlement •Arrangements for approval for resettlement cost estimates •Flow of funds to reach people affected •Contingency arrangements
Implementation schedule	<ul style="list-style-type: none"> •Timeframe and key steps to prepare implementation schedule for sub-projects
Monitoring and evaluation	<ul style="list-style-type: none"> • Steps to establish internal and independent monitoring and evaluation
Implementation Arrangements	<ul style="list-style-type: none"> •Assessment of the institutional capacity, resource capability and procedures of each public or private sector sponsor, financial intermediary to conduct resettlement related work. •Description of relative responsibilities of the public, private and financial intermediary for projects involving private sector intermediaries. •Provision of sufficient resources for resettlement screening, RP preparation and implementation, during the course of the loan implementation.
Formal Agreement by the EA	
Covenant in RRP and Loan Agreements	
Disclosure of full RP on ADB Website upon project approval	

* The Resettlement Framework will, wherever feasible, identify the likely overall effects and costs of land acquisition and resettlement effects, but it does not need to include a detailed population record, land and asset data specific to a particular affected group.