

APEC Financial Regulators Training Initiative Seminar on Operational Risk

Presented by the Office of the Superintendent of Financial Institutions, Canada

12-16 April 2010
Bali, Indonesia

Hour	Monday	Tuesday	Wednesday	Thursday	Friday
9:00 to 10:30	Participant Registrations (8.30 – 9:00) Welcome and Opening Remarks (9:00 – 9:30) Official Photo 9.30 – 9.45 Participant Introductions 9.45 – 10.00	Assessing Qualitative Aspects of AMA including Home-Host Supervisory Co- Operation	Operational Risk Supervision in Banks: Post-Basel II Technology & operational risks IT platforms in management of credit risk Risk identification, measurement & monitoring (leveraging on Basel tools)	Risks Underlying Information Security	Presentation by Bank Indonesia
	Break	Break	Break	Break	Break
11:00 to 12:30	Introduction and Workshop Overview Goals & mission of IAG Objectives of the Workshop Tour de Table Basel II Background Brief overview of components How it fits with risk-based supervision	Overview of Technology Risks What are technology ('IT') risks? What contributes to IT risks? Why are they growing? Supervisory signals to look for?	Disaster Recovery & Business Continuity Planning Outsourcing & Third-Party Service provider Dependency	Risks Underlying Data Management	Presentation by Bank Indonesia (11.00-12.00) Closing Remarks & Certificate Presentation
	LUNCH	LUNCH	LUNCH	LUNCH	LUNCH
1:45 to 3:15	Implementing Basel Operational Risk Approaches OSFI assessment framework including guidance Assessing BIA & TSA OSFI experience	Overview of Technology Risks (cont'd) IT Governance & Management	Outsourcing & Third-Party Service provider Dependency (cont'd)	Internal Audit – Risk Management Control Function	FREE TIME
	Break	Break	Break	Break	Break
3:30 to 5:00	Implementing Basel Operational Risk Approaches (cont'd) OSFI assessment framework including guidance Assessing BIA & TSA OSFI experience	Case Study Insurance Co & Failure of IT Governance	Case Study Processing disruption at MegaBank	Case Study Grand Bank – The case of the Missing Hard Disk Working Groups	FREE TIME