PROJECT DATA SHEET

Project Data Sheets (PDS) contain summary information on the project or program: Because the PDS is a work in progress, some information may not be included in its initial version but will be added as it becomes available. Information about proposed projects is tentative and indicative.

PDS Creation Date	–
PDS Updated as of	12 Sep 2014

Project Name	Uttarakhand State-Road Investment Program - Tranche 3
Country	India
Project/Program Number	38255-043
Status	Approved
Geographical Location	–

In preparing any country program or strategy, financing any project, or by making any designation of, or reference to, a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

Sector	Transport
Subsector	Road transport (non-urban)
Strategic Agendas	Inclusive economic growth (IEG)
Drivers of Change	Gender equity and mainstreaming (GEM) Governance and capacity development (GCD)
Gender Equity and Mainstreaming Categories	Category 4: No gender elements (NGE)

FINANCING

Type/Modality of Assistance	Approval Number	Source of Funding	Approved Amount (US$ thousand)
Loan	3040	Ordinary capital resources	150,000
–	–	Counterpart	77,550
TOTAL			**US$ 227,550**

SAFEGUARD CATEGORIES

For more information about the safeguard categories, please see http://www.adb.org/site/safeguards/safeguard-categories

Environment	B
Involuntary Resettlement	B
Indigenous Peoples	C

SUMMARY OF ENVIRONMENTAL AND SOCIAL ISSUES

Environmental Aspects

The environmental due diligence report of the previous projects have been reviewed and concluded that compliance with environmental safeguard requirements were satisfactory. None of the roads under the project is located nearby or passes through environmentally sensitive areas such as national parks, sanctuaries, or other ecologically significant areas. The environmental screening found that all works for improvement of these roads would fall under category ?B? in accordance with the ADB?s Safeguard Policy Statement (2009). The initial environmental examination (IEE) report prepared for the project is attached in Appendix 8 to the PFR and disclosed at the ADB website.

Involuntary Resettlement

The project is categorized as ?B? for involuntary resettlement impacts. Five subprojects under the project will have resettlement impacts. A detailed census has been conducted along these subprojects and RPs have been prepared and approved by ADB. According to the census, 127 persons will be affected by the 5 subprojects. Most of the impact will be on structures belonging to non-titleholders. The impact on the structures will be partial affecting the boundary walls and parts of structures. A small strip of land of around 0.605 ha will have an impact under one subproject.

Indigenous Peoples

The project is categorized as ?C? for indigenous peoples as there is no impact on indigenous people. An indigenous peoples planning framework was prepared to address any unanticipated impacts on indigenous people during the implementation of the existing subprojects or any additional subprojects. In accordance with the framework, if any significant impacts on indigenous peoples are identified, an indigenous peoples plan will be prepared and submitted to ADB for approval before civil works contracts are awarded.

STAKEHOLDER COMMUNICATION, PARTICIPATION, AND CONSULTATION

During Project Design

The stakeholders have been consulted during design stage.

During Project Implementation

Consultations as required, will be carried out during project implementation.

DESCRIPTION

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PROJECT RATIONALE AND LINKAGE TO COUNTRY/REGIONAL STRATEGY

India and State of Uttarakhand envision poverty reduction and an increase in personal incomes by improving personal mobility and accessibility through increased investment in road infrastructure. Uttarakhand has prepared a road development plan (RDP) to guide its investment in roads. Investments under the RDP include 7 sequential projects to be implemented between 2007 and 2017. The estimated cost of implementing the RDP is $1.2 billion. A Framework Financing Agreement (FFA) was signed between Asian Development Bank (ADB) and India on 14 November 2006 with regard to Uttarakhand State Road Investment Program (Investment Program). Subsequently on 18 December 2006, the Board approved the provision of loans under a multitranche financing facility (MFF) in an aggregate amount up to $550 million to India for the Investment Program to finance part of the RDP. The Investment Program will have a positive long-term impact on the state s economy and living standards of the rural population. The principal outcomes of the physical

components under the Investment Program will be increased efficiency of inter- and intrastate transport services, and lower road maintenance costs. The non-physical components of the Investment Program would lead to: (i) increased satisfaction among road users; (ii) more opportunities for private sector participation; (iii) more synergy benefits for the parallel road development programs; and (iv) improved governance. Together, these investments would increase mobility and accessibility to educational and health services, employment opportunities, and markets for the community and enterprises in rural and urban areas of the state.

DEVELOPMENT IMPACT

Improved efficiency and sustainability of road transport in Uttarakhand

PROJECT OUTCOME

Description of Outcome	Progress Towards Outcome
Improved efficiency of road transport in the project areas	–

OUTPUTS AND IMPLEMENTATION PROGRESS

Description of Project Outputs	Status of Implementation Progress (Outputs, Activities, and Issues)
1. Selected priority roads are resurfaced, upgraded or rehabilitated 2. PWD staff skills and qualifications are improved 3. Road planning and programming uses objective data driven procedures and tools 4. Project management	The civil works for improvement and strengthening of roads with a total length of 1,036 km are to be carried through 18 contract packages. As on 31 August 2014, 16 civil works contracts have been awarded and remaining 2 contracts are likely to be awarded by October 2014 Several training programs have been conducted under Uttarakhand State-Road Investment Program (USRIP) Project 1 and 2, to improve PWD staff skills and qualifications. These training programs will be continued under USRIP Project 3.

BUSINESS OPPORTUNITIES

Date of First Listing	03 Jul 2013
Consulting Services	Quality- and cost-based selection was adopted to recruit consultants.
Procurement	Works to be financed under the project will be procured using international competitive bidding procedures for 4 larger (above $10 million) packages, and national competitive bidding procedures for 14 smaller (below $10 million) packages. Post-qualification method, in accordance with ADB's Procurement Guidelines (2010, as amended from time to time) will be used. Procurement procedures agreed between PWD and ADB are provided in the procurement plan. Any modifications to these will be prior agreed between PWD and ADB, and further set out in the procurement plan.
Procurement and Consulting Notices	

TIMETABLE

Concept Clearance	–
Fact-finding	—
Management Review Meeting	–
Approval	09 Oct 2013
Last Review Mission	–

MILESTONES

Approval No.	Approval	Signing	Effectivity	Closing		
				Original	Revised	Actual
Loan 3040	09 Oct 2013	24 Dec 2013	28 Jan 2014	31 Dec 2017	–	–

STATUS OF COVENANTS

Covenants are categorized under the following categories—audited accounts, safeguards, social, sector, financial, economic, and others. Covenant compliance is rated by category by applying the following criteria: (i) Satisfactory—all covenants in the category are being complied with, with a maximum of one exception allowed, (ii) Partly Satisfactory—a maximum of two covenants in the category are not being complied with, (iii) Unsatisfactory—three or more covenants in the category are not being complied with. As per the 2011 Public Communications Policy, covenant compliance ratings for Project Financial Statements apply only to projects whose invitation for negotiation falls after 2 April 2012.

Approval No.	Category						
	Sector	Social	Financial	Economic	Others	Safe	Project Financial Statements
Loan 3040	–	–	–	–	–	–	–

CONTACTS AND UPDATE DETAILS

Responsible ADB Officer	Prabhasha Sahu (psahu@adb.org)
Responsible ADB Department	South Asia Department
Responsible ADB Division	India Resident Mission
Executing Agencies	Public Works Department of Uttarakhand *

LINKS

Project Website	http://www.adb.org/projects/38255-043/main
List of Project Documents	