



## PROJECT DATA SHEET

Project Data Sheets (PDS) contain summary information on the project or program: Because the PDS is a work in progress, some information may not be included in its initial version but will be added as it becomes available. Information about proposed projects is tentative and indicative.

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**PDS Creation Date** –

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**PDS Updated as of** 16 Oct 2013

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**Project Name** Enhancing Rural Livelihoods and Incomes

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**Country** Myanmar

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**Project/Program Number** 47191-001

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**Status** Proposed

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**Geographical Location** –

In preparing any country program or strategy, financing any project, or by making any designation of, or reference to, a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

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**Sector** Multisector

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**Subsector** Multisector

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**Strategic Agendas** –

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**Drivers of Change** –

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**Gender Equity and Mainstreaming Categories** Effective gender mainstreaming

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### FINANCING

Type/Modality of Assistance	Approval Number	Source of Funding	Approved Amount (US\$ thousand)
Grant	-	-	0
<b>TOTAL</b>			<b>US\$ 0</b>

### SAFEGUARD CATEGORIES

For more information about the safeguard categories, please see <http://www.adb.org/site/safeguards/safeguard-categories>

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**Environment**

B

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**Involuntary Resettlement** B

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**Indigenous Peoples** B

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## **SUMMARY OF ENVIRONMENTAL AND SOCIAL ISSUES**

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### **Environmental Aspects**

Overall, the anticipated negative environmental impacts for the Project are limited, localized and most of such impacts are reversible and can be mitigated. The size and scale of subprojects will be limited by the size of community block grants of up to \$27,000 each and as such are unlikely to generate significant adverse impacts on the environment. Each subproject will go through a screening process to identify potential impacts and mitigation measures will be proposed and implemented.

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### **Involuntary Resettlement**

Adverse impacts are likely to be minimal, as community preference will be guided towards small-scale rural infrastructure subprojects. Despite an open menu approach, an exclusion list will help guide the identification of subprojects to avoid and minimize social economic impacts to participating communities. As subprojects will be known only during grant implementation, a draft resettlement and ethnic groups framework (REGF) has been prepared. Depending on the selected subproject and identified impacts resulting from a social impact assessment, a resettlement plan will be prepared, although it is very likely that no resettlement issues will emerge. The plan will be prerequisite to accessing block grants for small-scale infrastructure construction. Based on the social assessment and consultation, RP for sub-projects will be prepared as needed.

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### **Indigenous Peoples**

Myanmar is one of the most ethnically diverse countries in the region. Officially, there are 135 recognized ethnic minorities. About 69% of the country's inhabitants are Bamar, while the remainder is made up of ethnic minorities, among whom the Shan are the numerically largest group (8.5%), followed by the Karen (6.2%); Rakhine (4.5%), Mon (2.4%), Chin (2.2%), Kachin (1.4%) and Kayah (0.4%). Shan State occupies the largest geographical area. As such, it is expected that ethnic minority communities are present in some areas where the project is to be implemented. Consequently, participatory social assessments will be conducted in all project villages. With the support of community facilitators, informed consultations will be conducted with villagers, including ethnic minorities to ensure broad community support. The principles, processes and procedures for the participatory social assessment, including the participation of indigenous peoples, are further refined in the grant implementation manual. Based on social assessment and consultation, EGP will be prepared for sub-projects as needed

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## **STAKEHOLDER COMMUNICATION, PARTICIPATION, AND CONSULTATION**

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### **During Project Design**

Periodic consultations on the project concept have been conducted with the Ministry of Border Affairs and other relevant government offices, the Embassy of Japan in Myanmar and other development partners and responses have been positive. The Government of Japan through the Japan Embassy in Myanmar and the regional JICA office as well as the Ministry of Border Affairs, Ministry of Agriculture and Irrigation, Ministry of Cooperatives, Ministry of National Planning and Economic Development, Foreign and External Relations Department (FERD) and governments, townships and selected villages of the Ayeryarwady Region, Dry Zone, Kayin State, and Shan State Plateau will be continuously apprised on project developments throughout the Project design and preparation. The community demand driven development approach also ensures participation of the target communities during planning, design, and implementation of the project.

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### **During Project Implementation**

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## **DESCRIPTION**

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The Project will provide block grants with appropriate safeguards to Village Tracts (VTs) to undertake priority small scale rural infrastructure (new or improvements) subprojects based on Village Development Plans (VDPs) prepared on a

participatory basis and consistent with Township Development Plans. The block grants will support an open menu (subject to a negative list) of activities based on community-identified development priorities. Labor for these interventions will be recruited from among the village residents with a primary focus on providing employment to the poorer and more vulnerable households, largely cash for work with some proportion in kind. The focus will be on supporting local development and service delivery needs identified by communities themselves. Technical supervision will ensure that basic technical standards are met during construction. A commitment (by the beneficiaries) to undertake operation and maintenance (O&M) will be made a requirement for approval of the proposed activities within the VDPs. Nongovernment organizations (NGOs) will be recruited to facilitate community interactions, assist local authorities and communities in the preparation of VDPs, and in the design and supervision of these civil works in collaboration with relevant engineers in Township Development Committees (TDCs). Capacity for participatory planning, budgeting, and implementation of subprojects will be developed at the village-tract level.

## PROJECT RATIONALE AND LINKAGE TO COUNTRY/REGIONAL STRATEGY

About 70% of Myanmar's population resides in the rural areas and is dependent on agriculture for its livelihood. Moreover about 36% of the rural population, many of whom are landless laborers, is considered to live below the poverty line. At present, rural incomes are constrained by high transaction costs for agricultural production and marketing (due in large part to the poor condition of access roads, tracks, bridges, and boat landing sites as well as irrigation, drainage and flood control structures), sparse opportunities for alternative employment and limited access to markets and services. Investments in improving rural infrastructure will reduce these constraints. Central to this JFPR Project is the use of labor based appropriate technology (LBAT) which will provide a direct cash infusion into the rural areas, enabling further local income generating activities. Both activities (the reduction of infrastructure constraints and the direct infusion of cash for Project work to village households) will provide an economic stimulus within the project areas and in neighboring areas.

## DEVELOPMENT IMPACT

Improved agricultural productivity and enhanced livelihoods contributing to reduced rural poverty in Ayeyarwady Delta Region, the Central Dry Zone, Kayin State, and the Shan State Plateau

## PROJECT OUTCOME

Description of Outcome	Progress Towards Outcome
Improved essential social and productive rural infrastructure in the project-assisted villages	–

## OUTPUTS AND IMPLEMENTATION PROGRESS

Description of Project Outputs	Status of Implementation Progress (Outputs, Activities, and Issues)
1. Communities mobilized and organized for identifying priority needs 2. Village/village tract level infrastructure constructed/improved 3. Project management services institutionalized	–

## BUSINESS OPPORTUNITIES

Date of First Listing	17 Oct 2013
Consulting Services	A combination of individual consultants, international and local NGOs, as well as

external audit firms/NGOs for technical and financial audits will be recruited in accordance with the ADB Guidelines on the Use of Consultants (2013, as amended from time to time). The Project will require at least 72 staff complement comprising 8 international consultants and 64 domestic consultants during the entire grant implementation period.

**Procurement**

All procurement to be financed by the project will be undertaken in accordance with ADB's Procurement Guidelines (2013, as amended from time to time). Civil works and livelihood packages (Component B, Subproject) will consist of 288 units (estimated value of \$27,000/unit), with multiple lots or contracts to allow small contractors and community groups to participate under community contracting scheme to bid. Procurement of civil works valued between \$100,000 to \$1.0 million per package shall follow National Competitive Bidding (NCB) procedure which ADB and the government will agree with. Goods and civil works costing between \$10,001 to \$99,999 per package shall be procured through Shopping. Goods estimated to cost below \$10,000 may be purchased by the EA/IA directly from the supplier, and in this case, ADB should be satisfied that the price paid is reasonable. Procurement of consulting services, vehicles and equipment will be conducted at the central level, by the GMU within the DRD office in Nay Pyi Taw. A procurement committee has been established and is operational in the DRD office. At the village level, procurement will involve community participation through simplified methods for the small civil works and livelihood packages under the block grants. Procurement sub-committee s will be set up at the village tract level to coordinate the community procurement, shopping and direct contracting for civil works and livelihood interventions, with support from DRD township engineers and NGOs/firms.

**Procurement and Consulting Notices**

<http://www.adb.org/projects/47191-001/business-opportunities>

**TIMETABLE**

<b>Concept Clearance</b>	02 Jul 2013
<b>Fact-finding</b>	12 Jun 2013 to 28 Jun 2013
<b>Management Review Meeting</b>	24 Sep 2013
<b>Approval</b>	–
<b>Last Review Mission</b>	–

**MILESTONES**

Approval No.	Approval	Signing	Effectivity	Closing		
				Original	Revised	Actual
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**STATUS OF COVENANTS**

Covenants are categorized under the following categories—audited accounts, safeguards, social, sector, financial, economic, and others. Covenant compliance is rated by category by applying the following criteria: (i) Satisfactory—all covenants in the category are being complied with, with a maximum of one exception allowed, (ii) Partly Satisfactory—a maximum of two covenants in the category are not being complied with, (iii) Unsatisfactory—three or more covenants in the category are not being complied with. As per the 2011 Public Communications Policy,

covenant compliance ratings for Project Financial Statements apply only to projects whose invitation for negotiation falls after 2 April 2012.

Approval No.	Category						
	Sector	Social	Financial	Economic	Others	Safe	Project Financial Statements
Grant -	-	-	-	-	-	-	-

## CONTACTS AND UPDATE DETAILS

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**Responsible ADB Officer** Pavit Ramachandran (pramachandran@adb.org)

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**Responsible ADB Department** Southeast Asia Department

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**Responsible ADB Division** Environment, Natural Resources & Agriculture Division, SERD

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**Executing Agencies** -

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## LINKS

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**Project Website** <http://www.adb.org/projects/47191-001/main>

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**List of Project Documents** <http://www.adb.org/projects/47191-001/documents>

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