

# Social Monitoring Report

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Quarterly Report No. 7  
August 2015

## VIE: Central Mekong Delta Region Connectivity Project

Prepared by Joint Venture: CDM Smith, Inc., WSP Finland Limited & Yooshin Engineering Corporation for the Ministry of Transport, Cuu Long Corporation for Investment, Development and Project Management of Infrastructure and the Asian Development Bank.

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**MINISTRY OF TRANSPORT**

**Cuu Long Corporation for  
Investment, Development and  
Project Management of Infrastructure  
(Cuulong CIPM)**

# **Central Mekong Delta Region Connectivity Project (CMDCP)**

**Detailed Design, Procurement and Implementation Support Services**

**TA 7822-VIE**

**Contract No.: 720A/CIPM-HDKT**

## **DRAFT RESETTLEMENT & SOCIAL QUARTERLY REPORT NO.7**

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**CDM  
Smith**



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**August 2015**



**LIST OF ABBREVIATIONS**

ADB	-	Asian Development Bank
AP	-	Affected Person
CIPM	-	Corporation for Investment, Development and Project Management of Infrastructure
CLFD	-	Center for Land Fund Development (Can Tho City)
CHLFD	-	Center for Housing & Land Fund Development (Dong Thap Province)
CMDCP	-	Central Mekong Delta Connectivity Project
CY	-	Construction Yard
DDIS	-	Detailed Design and Implementation Support
DMS	-	Detailed Measurement Survey
DNA	-	Detailed Needs Assessment
DOLISA	-	Department of Labor, Invalids and Social Assistance
FU	-	Farmers' Union
GOV	-	Government of Vietnam
HH	-	Households
IRP	-	Income Restoration Program
LUF	-	Land Use Fee
MOT	-	Ministry of Transport
MOU	-	Memorandum of Understanding
PAC	-	Provincial AIDS Center
ROW	-	Right-of-way
RP	-	Resettlement Plan
SAP	-	Social Action Plan
SES	-	Socio-economic survey
USD	-	United States Dollar
VND	-	Vietnamese dong
WU	-	Women's Union

**CURRENCY EQUIVALENTS**

For this report, the rate of **\$1 USD: VND 21,515** has been used.

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## 1. INTRODUCTION

1. The Central Mekong Delta Connectivity Project (CMDCP) will improve connectivity in the Mekong Delta Region and provide efficient access from Ho Chi Minh City to the Southern Coastal Region through construction of two cable stayed bridges across the Mekong River and associated roads: Component 1: Cao Lanh Bridge (2.4 km) and approach roads (5.4 km); Component 2: Interconnecting Road (15.7 km); and Component 3: Vam Cong Bridge (2.97 km) and approach roads (4.08 km).

2. Resettlement Plans (RP) have been approved, for Dong Thap Province & Can Tho, City by ADB on 5 April 2013. A RP Addendum for a new access road in Tinh Thoi commune (Dong Thap Province) was approved on 24 November 2014. ADB also approved detailed IRP for Dong Thap Province and Can Tho City on 17 March 2015.

3. A Social Action Plan (SAP) has also been prepared and approved by ADB on 7 May 2013 and MoT on 4 July 2013. Finally the HIV/AIDS and Human Trafficking Prevention Program has been approved by ADB in June 2013 and by MOT on 26<sup>th</sup> August 2013.

3. CIPM is the representative of the executive agency (MOT) and is responsible for internal monitoring. As per agreed RPs, CIPM has to submit quarterly monitoring report to ADB and MOT. This report constitutes the resettlement & social monitoring report No.7 (QR#7).

## 2. OBJECTIVES OF INTERNAL MONITORING REPORT (IMR)

4. The objectives of the monitoring program are (i) to ensure that the standard of living of AHs are restored or improved; (ii) to monitor whether the overall project and resettlement objectives are being met; (iii) to assess if rehabilitation measures and compensation are sufficient; (iv) to identify problems and risks; and (v) to identify measures to mitigate problems.

5. The range of activities and issues that need to be recorded and verified, include:

- Compensation, allowance payments and delivery of assistance measures to AHs;
- Re-establishment of AH settlements and business enterprises;
- Reaction of AHs, in particular, to resettlement and compensation packages; and
- Re-establishment of income levels and living of AHHs

6. QR #7 covers the period from mid-April to end of July 2015. During this period, an ADB/DFAT review mission took place from 18 to 27 May. The External Monitor also conducted a mission from 19 to 28 July 2015.

## 3. STATUS OF LAND ACQUISITION AND RESETTLEMENT ACTIVITIES

### 3.1 Status of Resettlement Activities

7. A total of 1,773 HH (1,515<sup>1,2</sup> HH in Dong Thap Province and 258 in Can Tho City) are affected by the project. Compensation is completed at 99 % for the whole project (99 % in Dong Thap and 98.8%

<sup>1</sup> This number doesn't include the 10 HH affected by both a local project and the CMDCP; their status is not officially confirmed by Dong Thap PPC. It also doesn't include the number of HH affected through the 110 kV transmission line as the list of AH is not completed;

<sup>2</sup> In May 2015 CHFLD indicated that 711 HH were affected under components 2; due to 22 additional graves found during construction; CHFLD had to prepare 22 additional compensation plans; however these graves belong to already affected HH. Therefore the number of AHs didn't change.

in Can Tho).

8. Handover of land is completed at 99% for the whole project (99 % in Dong Thap and 100% in Can Tho).

9. 18 HH are not yet compensated due mainly to pending grievances (15 in Dong Thap and 3 in Can Tho). 19 HH (1%) didn't yet handover their land (all in Dong Thap). Among the 19 HH, 2 HH committed to handover in August. Other cases are related to grievances or dispute on land. Tables 1 and 2 present the status of compensation and handover of land in Dong Thap Province and Can Tho City.

10. We should note that the 10 HH in An Binh commune (component 1) affected by both a local project and the CMDCP, who didn't receive compensation and didn't handover land, are not included in these tables; their status (to be considered as AH under the CMDCP) has not been yet officially confirmed by Dong Thap PPC.

11. Regarding the 10 HH who will be affected by the relocation of some towers of the 110 kV line they are also not included in these tables as negotiation is ongoing. The land for the towers foundation is located outside the RoW and doesn't need to be handed over to the contractor. They will be however considered as AH under the CMDCP.

**Table 1: Dong Thap Province: Progress of Payment and Handover of Land to Contractors**

Components	Total No. of AHs	AHs Who Received Payment		AHs Who Have Not Received Payment <sup>3</sup>	
		Handed Over the Land	Have not Handed Over the Land Yet	Handed Over the Land	Have not Handed Over the Land Yet
Component 1	689	674	4	0	15
Component 2	619	618	0	0	0
Component 3	207	207	0	0	0
<b>Total</b>	<b>1515</b>	<b>1500</b>		<b>15</b>	
<i>Percentage</i>	<i>100 %</i>	<i>99%</i>		<i>1%</i>	

**Table 2: Can Tho City: Progress of Payment and Handover of Land to Contractors**

Components	Total No. of AHs	AHs Who Received Payment		AHs Who Have Not Received Payment	
		Handed Over the Land	Have not Handed Over the Land Yet	Handed Over the Land	Have not Handed Over the Land Yet
Component 3A	110	110	0	0	0
Component 3B	148	145	0	3	0
	<b>258</b>	<b>255</b>		<b>3</b>	
<i>Percentage</i>	<i>100 %</i>	<i>99 %</i>		<i>1 %</i>	

<sup>3</sup> This number doesn't include the 10 HH affected by both a local project and CMDCP in An Binh commune (CW1A)

### 3.2 Relocated Households

12. A total of 565 HH will need to relocate as their house is totally affected and their remaining residential land is not viable. 357 HH (306 in Dong Thap and 51 in Can Tho) opted for self-relocation and 205 (161 in Dong Thap and 44 in Can Tho) to relocate in a serviced resettlement site. 3 HH didn't yet decide (cases under grievances).

**Table 3: Households who Need to be Relocated**

Package	Commune/ward	Affected HH	Household who Need to Relocate			
			HH opted for Self-Relocation	HH opted to relocate in RS	Not yet decided	Total
Component 1		689	116	114	3	233
CW1A	An Binh	93	7	35	0	42
	Ward 3	47	0	16	3	19
CW1B	Tịnh Thoi	370	93	33	0	126
	Tan My 1	179	16	30	0	46
CW1C						
Component 2		619	131	43	0	174
CW2A	Tan My 2	28	5	0	0	5
	My An Hung B	175	6	26	0	32
CW2B	Binh Thanh Trung	142	23	6	0	29
	Binh Thanh	173	72	1	0	73
CW2C	Dinh An C2	101	25	10	0	35
Component 3		207	59	4	0	63
CW3B	Dinh An C3	207	59	4	0	63
CW3A						
Total Dong Thap		1515	306	161	3	470
CW3A	Thoi Thuan	190	35	32	0	67
CW3B						
Vinh Trinh		68	16	12	0	28
Total Can Tho City		258	51	44	0	95
TOTAL PROJECT		1773	357	205	3	565

Source: CHLFD/CLFD July 2015

13. At the time of the preparation of the report, only 26 HH relocated in a RS and built a house among a total of 195 HH who received a plot of land in a RS (see Table 4). 170 HH didn't relocate yet even if they received compensation and handed over their land. Reasons for not building a house on the plot allocated are the following: i) some HH kept the plots as an investment and are planning to sell the plot; ii) some AH want to keep the plot for their children; iii) some HH find plots in resettlement site too small for their family. Most of these HH already built a new house (see section 4.4 on Ward 6 RS).

14. Among the 161 HH who opted for a services RS in Dong Thap Province, only 150 were allocated a plot of land; 11 HH in An Binh commune have not yet been allocated a plot. They want to move to a new RS (General Hospital RS). Process to handover of plot is ongoing; PPC already approved allocation of plots for 6 HH already and will receive plots soon; for the remaining 7, CDHLF is submitting plot allocation plan to the Appraisal Committee.

**Table 4: Households who Received a Plot in a RS**

Relocation Site	Number of HH who received a plot in a RS	Number of plots	Number of HH who built a house
<b>Dong Thap Province</b>	<b>150</b>	<b>158</b>	<b>21</b>
My Tho	36	37	8
Ward 3	16	22	4
Ward 6	21	21	0
Tan My	63	64	2
Dinh An	14	14	6
<b>Can Tho City</b>	<b>45</b>	<b>55</b>	<b>5</b>
Long Thanh 2	22	29	5
Thoi Thuan	23	26	0
<b>Total</b>	<b>195</b>	<b>213</b>	<b>26</b>

### 3.3 Status of Payment of Compensation

15. Compensation is almost completed for all components. The total compensation costs for Dong Thap province is VND 956.2 billion (44.47 M USD). Compensation was made under the Government and Province budget (part of component 1 and component 3) and under ADB OCR loan (part of component 1 and component 2). Up to now disbursement reached 917.4 billion VND (42.7 M USD).

16. In Can Tho City, compensation was done under the Government and City budget. The total resettlement compensation cost is VND 131billion (6.11 M USD). Up to now the Government has funded VND 130.89billion (6.09 M USD).

**Table 5: Summary of Compensation Payments**

Component	Total Nb of HH	HH who received compensation	Total compensation	Total disbursed		Remaining budget	
			Billion VND	Billion VND	%	Billion VND	%
Component 1	689	674	377,2	360,7	96%	16,5	4%
Component 2	619	619	424,8	403,2	95%	21,6	5%
Component 3	207	207	154,2	153,5	100%	0,7	0%
<b>Total Dong Thap</b>	<b>1515</b>	<b>1500</b>	<b>956,2</b>	<b>917,4</b>	<b>96%</b>	<b>38,8</b>	<b>4%</b>
Component 3	258	255	131	130,9	100%	0,1	0,1%
<b>Total Can Tho</b>	<b>258</b>	<b>255</b>	<b>131</b>	<b>130,9</b>	<b>99,9%</b>	<b>0,1</b>	<b>0,1%</b>
<b>TOTAL PROJECT</b>	<b>1773</b>	<b>1755</b>	<b>1087,2</b>	<b>1048,3</b>	<b>96%</b>	<b>38,9</b>	<b>4%</b>

Source: CHLFD/CLFD July 2015



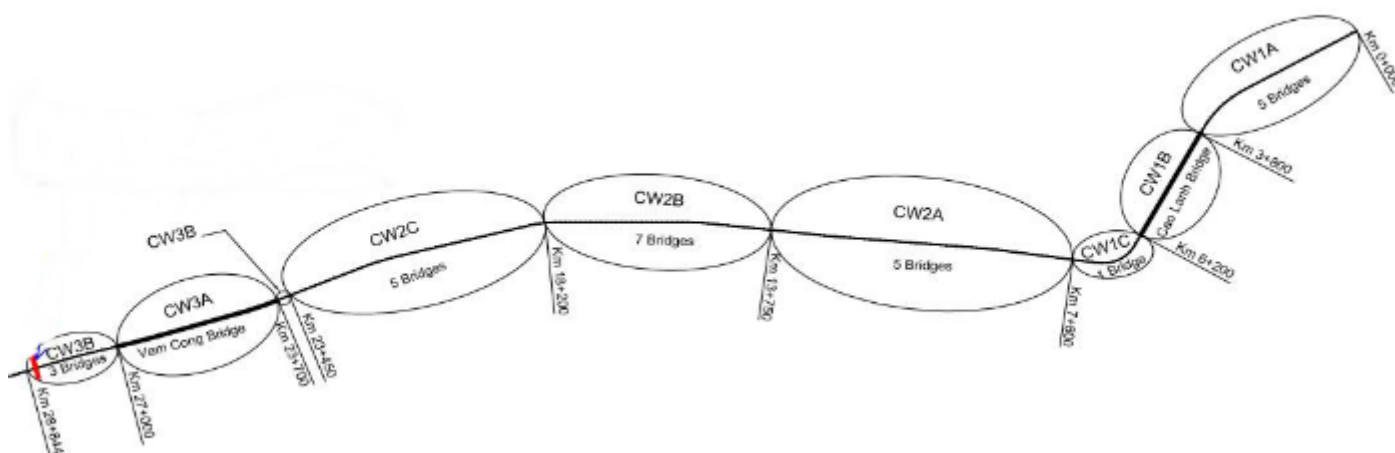
### 3.4 Status of Land Hand Over

17. Among the 8 packages, all have been awarded and received Notice to Proceed (NTP) and are under construction.

**Table 6: Construction Status for each Package**

Package	Award and signing	NTP
CW1A	29-Aug-14	29-Oct-14
CW1B	15-Oct-13	25-Mar-14
CW1C	5-Nov-14	29 Dec 2014
CW2A	15-Dec-14	31 Dec 2014
CW2B	15-Dec-14	31 Dec 2014
CW2C	15-Dec-14	29 Dec 2014
CW3A	15 Sep-13	26-Nov-2013
CW3B	19 Mar-15	30-June-2015

**Figure 1: Division of the Project into Packages**



#### 3.4.1 Package CW1A

18. The situation in package CW1A improved significantly during the last months with the handover of the [REDACTED] petrol station and solving grievances of HH complaining of low compensation rates for residential land. However this package still presents the more serious cases of grievances and delay in compensation (15 of the 18 HH who didn't receive compensation and 14 of the 19 HH who didn't handover land are located in this package). This situation hampers the civil works.

19. There are 6 Households (1,395 m<sup>2</sup>) in An Binh commune, 6 HH (3,943 m<sup>2</sup>) in Ward 3 and 2 HH (6,563 m<sup>2</sup>) in Tinh Thoi commune, who didn't handed over their land to contractor.

20. In addition, 10 HHs affected under a local project (bypass NH 30) but also within the RoW of the Project have not been compensated and didn't hand over land as their status has not yet been confirmed. Dong Thap PPC proposed to use ADB fund for these HH and to consider them as AHs under the CMDCP. ADB in MOU dated 26 May 2015 confirmed it has no objection to use loan funds for these households. On 22/06/2015, CDHLF submitted the compensation plan to the Appraisal Council and it

will be responded by end of August 2015. These 10 HH are not included in Table 7.

21. An Binh commune: (i) 1 HH ( ) is waiting for resolving for his request (acquire the remaining land); (ii) 1 HH ( ) will hand-over in August 2015 (waiting his father's death anniversary); (iii) 4 HHs are under land dispute ( ), they refused compensation;

22. Ward 3: (i) 1 HH ( ) is disputing land with her mother; (ii) 1 HH ( ) requested to get additional plot in RS; (iii) 4 HHs ( ) refused compensation due to grievances for a WB project;

23. Tinh Thoi: (i) 1 HH ( ) requested to get one more plot in RS; (ii) 1 HH ( ) complained resolution result of Departments of Civil Judgment Execution of Cao Lanh City. The case of Mr. Hu has been reviewed by the Supreme People's Procuracy of Vietnam who provided recommendations. Based on these recommendations, Dong Thap PPC propose to found funds to solve this case;

24. Relocation of several infrastructures (electric lines, and telecommunication cables) is blocked due to land dispute along NH30.

**Table 7: Situation of Land Handed over for Package CW1A**

Commune	Total HHs	Total affected area (m2)	Area handed over	Area not yet handed over (m <sup>2</sup> )	% of land handed over	Nb HH who didn't handover land
An Binh	93	44 462	43 068	1 395	97%	6
Ward 3	47	38 998	35 056	3 943	90%	6
Tinh Thoi	271	173 771	167 208	6 563	96%	2
<b>Total</b>	<b>411</b>	<b>257 232</b>	<b>245 332</b>	<b>11 901</b>	<b>95%</b>	<b>14</b>

### 3.4.2 Package CW1B

25. For the Cao Lanh Bridge (package CW1B), all land has been now handed over to the contractor for both the northern and southern sections (Tinh Thoi commune).

**Table 8: Situation of Land Handover for Package CW1B**

Commune	Total HHs	Total affected area (m2)	Area handed over	Area not yet handed over (m <sup>2</sup> )	% of land handed over	Nb HH who didn't handover land
Tinh Thoi	99	55 615	55 615	0	100%	0
Tan My	66	48 135	48 135	0	100%	0
<b>Total</b>	<b>165</b>	<b>103 750</b>	<b>103 750</b>	<b>0</b>	<b>100%</b>	<b>0</b>

### 3.4.3 Package CW1C

26. Construction started in January 2015 on this section. 6 AHs still didn't handover their land due grievances (at the interchange with PR 849). 5 of them want the project to acquire all their land. Change in the design is considered as it is small land acquisition for 5 of the HH. There was no progress since

the last QR for this package.

**Table 9: Situation of Land Handover for Package CW1C**

Commune	Total HHs	Total affected area (m <sup>2</sup> )	Area handed over	Area not yet handed over (m <sup>2</sup> )	% of land handed over	Nb HH who didn't handover land
Tan My	113	125,814.1	125,263.7	550.4	99.6%	6

### 3.4.4 Packages CW2A and CW2B

27. Construction started in January 2015 for these two packages. Handover is completed at 100% for the two packages (see Table 9).

**Table 10: Situation of Land Handover for Packages CW2A and CW2B**

Commune	Total HHs	Total affected area (m <sup>2</sup> )	Area handed over	Area not yet handed over (m <sup>2</sup> )	% of land handed over	HH who didn't handover land
<b>Package CW2A</b>						
Tân Mỹ	28	45378.3	45378.3	0	100.0%	0
Mỹ An Hưng B	164	288738.3	288738.3	0	100.0%	0
<b>Total</b>	<b>192</b>	<b>334116.6</b>	<b>334116.6</b>	<b>0</b>	<b>100.0%</b>	<b>0</b>
<b>Package CW2B</b>						
Mỹ An Hưng B	11	21105.4	21105.4	0	100.0%	0
Bình Thạnh Trung	128	257645.2	257645.2	0	100.0%	0
<b>Total</b>	<b>139</b>	<b>278750.6</b>	<b>278750.6</b>	<b>0</b>	<b>100.0%</b>	<b>0</b>

### 3.4.5 Package CW2C

28. Handover is completed at 100 HH; the last HH, [REDACTED] Tue has just handed-over his land in early August.

29. Relocation of several infrastructures (water supply, electric lines, and telecommunication cables) is under completion

**Table 11: Situation of Land Handover for Package CW2C**

Commune	Total HHs	Total affected area (m <sup>2</sup> )	Area handed over	Area not yet handed over (m <sup>2</sup> )	% of land handed over	HH who didn't handover land
Bình Thạnh Trung	14	23528.1	23528.1	0	100.0%	0
Bình Thành	173	216,791.3	216,791.3	0	100.0%	0
Định An	101	117678.4	117678.4	0	100.0%	0
<b>CW 2C</b>	<b>288</b>	<b>357918.5</b>	<b>357918.5</b>	<b>0</b>	<b>100.0%</b>	<b>0</b>

### 3.4.6 Package CW3A

30. In section of package 3A in Dong Thap province, all 207 HH have handed over their land. For section of package 3A in Dong Thap Can Tho City 100 % of land (including Gentraco affected area) has been handed over to the contractor and civil works are ongoing). 110/110 AHs received compensation; among the 110 AHs, 57 own graves only.

### **3.4.7 Package CW3B:**

31. 145/148 HH have been compensated but 100% HH handed over their land.

### **3.5 Obstacles on the Right-of-Way**

32. Several infrastructures (electric lines, telecommunication lines, water supply pipes etc.) are not yet relocated for all components. Annex 2 presents the obstacles on the Right-of-Way (ROW). These obstacles hampered the progress of civil works in most of the packages.

## **4. OUTSTANDING RESETTLEMENT ISSUES**

33. This section presents the outstanding issues regarding resettlement.

### **4.1 Land Assets in the 4 Interchanges**

34. It was agreed during the April 2015 ADB/DFAT review mission that CIPM, together with DDIS, will survey and update the cost estimates, including feasible alternatives options and measures of not acquiring all the land inside the four interchanges but still ensuring safety for road users and communities living adjacent to the road.

35. Survey on HH located in the loops of the interchanges is completed. The report was submitted to CIPM in early August. It includes findings, recommendations as to which land have to be acquired based on feasible options, entitlements as per agreed RP, and cost estimates.

### **4.2 Thoi Thuan RS**

36. Thoi Thuan RS is now completed. Installation of drainage and sewage system and electric system was completed in June. HH can build house in the RS. 26 plots were allocated to 23 AHs in July. However no HH built a house up to end of July.

### **4.3 Access Road for Ward 6 RS**

37. The main access road to Ward 6 RS is still under construction; however, a house belonging to the army has to be moved. According to CHLFD, no fund is available in 2015 to remove the house. Construction is now stopped for the main access road.

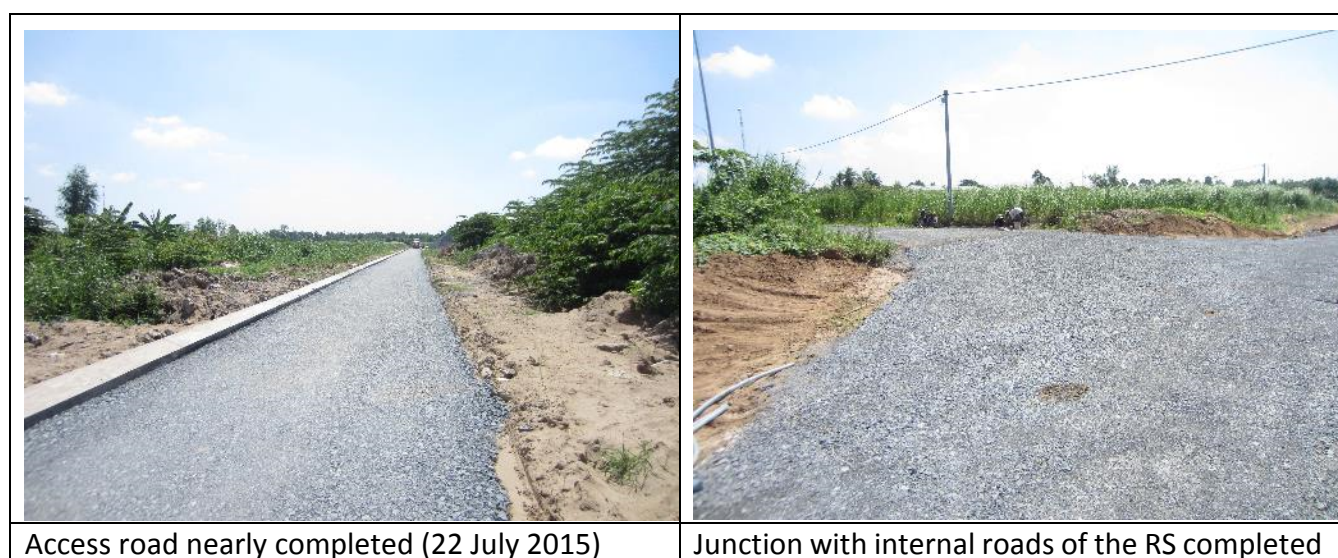
38. The road to reach the RS, from this access road, is under construction and will be completed in August 2015 (see Figure 2). Once completed, the 21 HH, who were allocated a plot in the RS, could start to build house.

39. Among the 21 HH it was found that: (i) 13 HH built new house; (ii) 5 HH are living with their parents; (iii) 1 HH had house before; (iv) 1 HH is only affected residential land, their house is not affected; (v) 1 HH is renting house at ward 4 in order to be convenient for his work. He is state officer and does not intend to build house in this RS. Following discussion with EM, it was agreed that these HH don't need and extension of eth rental allowance.

40. As discussed with external monitor, extension of the rental allowance will be given only to the house who will request a building permit. DDs will make a survey to get more information on the 21 HH (when they built a house, process to build a house in RS etc.)

**Table 12: Status of HH who Were Allocated a Plot in Ward 6 RS**

No	HH Name	Status
1		Built new house (far from the construction yard 2 km)
2		Living with his mother's house (far from the construction yard 0.5 km)
3		Built new house near the construction yard (on the remaining land)
4		Built new house at ward 4
5		Built new house at Tan Tich hamlet (far from the construction yard 1 km)
6		Van's child, he is living with his mother
7		Built new house on the remaining land (near the construction yard)
8		Built new house on the remaining land (near the construction yard)
9		Rent house at ward 4 in order to be convenient for his work. He is state officer. He does not intend to build house on the RS
10		Living with his father's house
11		Living with her father's house (Võ Văn Kẽ)
12		Already had house at 73 Hùng Vương, Ward 2, Cao Lanh City
13		Built new house on the way to PC of Tinh Thoi commune
14		Living with his father's house (Tinh Chau hamlet)
15		Built new house on the way to PC of Tinh Thoi commune
16		Building new house on the way to PC of Tinh Thoi commune
17		Built new house in the remaining land
18		Built new house in the remaining land
19		Built new house in the remaining land
20		This HH has not handed-over, already built new house on the remaining land
21		Affected residential land, her house is not affected

**Figure 2: Status of Construction of Access Road to Ward 6 Resettlement Site**

#### **4.4 HH Affected by Local Project**

41. An addendum to resettlement plan was prepared for 10 HH who were affected by both a local project (bypass of Cao Lanh City) and the CMDCP in An Binh commune. These 10 HH will be considered as AHs under the CMDCP. The draft addendum was submitted to ADB on 1st July. ADB sent its comments on 10 July 2015; a revised RP Addendum was submitted to ADB on 24 July

42. Compensation plans for these 10 HH have been submitted in June to PPC for approval. Compensation plans are still under review. Approval is expected by the end of August.

43. A due diligence survey for the 12 HH who were affected by a local project (bypass of Cao Lanh City) but who were compensated and handed over land in 2010 was also prepared and was submitted to ADB together with the addendum to the resettlement plan.

#### **4.5 Complaints from Households who are no Longer Affected Due to the Reduction of the Construction Yards**

44. During the November 2014 ADB/DFAT review mission, CHLFD requested the Mission to allow use of loan funds for providing assistance to the 47 HH who affected under the initial project design. It was answered that the Mission has no objection to use loan funds. However ADB/DFAT requested a review of the methodology and verification used for the assistance to be provided to the households.

45. At the request of CIPM a draft report on this issue was prepared by DDIS and submitted to CIPM on 18 December 2014. However a new assessment will be conducted by a team of experts will be establish to review the proposed assistance and determine the support for loss of income.

46. CHLFD set-up an assessment team with representatives of CHFLD, department of agriculture and mass organizations (WU, FU); the team checked the claims of farmers; the team met the communes in June-July to confirm claims and propose allowances; report under preparation to be submitted to CHLFD by the end of July.

47. DDIS will review methodology and report to CIPM/ADB/DFAT by once the report will be available.

#### **4.6 Relocation of Electric Lines**

##### **4.6.1 110 kV Electric Line**

48. The issues related to the 110 kV electric line will be reflected in the next Quarterly Report.

##### **4.6.2 220 kV Electric Line in An Binh Commune**

49. Two towers of a 220 kV line are located just outside the RoW. However the safety area around, the towers, encroach on the RoW. The contractor will change his construction methods and equipment to avoid the safety area. No relocation or temporary interruption of power will be necessary.



## 5. GRIEVANCE PROCESS

50. Dong Thap Province established a task force to manage the Project grievance redress process. 19 grievances remain in the Province. More than 100 grievances were solved during the last 3 months.

51. Table 14 presents a summary of the status and reasons of grievances. The main reasons for grievances are the rates for land, mainly residential land, (8 cases), compensation for land outside the RoW (7 cases), and land dispute (6 cases). Annex 1 presents the detailed of each grievance.

52. On 28 July 2015, a meeting was held in Dong Thap PPC with representatives of districts/city and wards, CHLFD, CIPM and DDIS. The purpose of the meeting was to review outstanding issues in resettlement. Several decisions were taken during this meeting as presented in Annex 2.

53. Two new grievances were submitted since the last QR: Cases of Mrs. Nguyen Thi Phi and Tran Thi Diem in packages CW1C and CW2C. Details on these grievance is presented in Annex 1. These 2 cases are under review by CHLFD.

**Table 13: Summary of Grievances**

No	Commune/ Ward	Type of grievances											Total HH with Grievances
		Increase Land rate	house/structure Increase rates for	Allocation of reset. plot	Support for small business	Compens. land out of ROW	Increase comp. for grave	Increase rate for trees	Compens. irrig. ditch/road	Compens. based on LURC	Land dispute	Arrange more plots in RS	
I	An Binh	0	0	0	1	1	0	0	0	0	4	0	5
II	Ward 3	2	0	1	0	0	1	1	0	0	1	1	6
III	Tinh Thoi	0	0	0	0	0	0	0	1	0	1	1	2
IV	Tan My	5	0	0	0	6	0	0	1	0	0	0	6
B	COMPONENT 2												
I	Tan My	0	0	0	0	0	0	0	0	0	0	0	0
II	My An Hung B	0	0	0	0	0	0	0	0	0	0	0	0
III	Binh Thanh Trung	0	0	0	0	0	0	0	0	0	0	0	0
IV	Binh Thanh	1	1	0	0	0	0	0	0	1	0	0	1
V	Dinh An	0	0	0	0	0	0	0	0	0	0	0	0
C	COMPONENT 3												
I	Dinh An	0	0	0	0	0	0	0	0	0	0	0	0
II	Thoi Thuan (CT)	0	0	0	0	0	0	0	0	0	0	0	0
	TOTAL	8	1	1	1	7	1	1	2	1	6	2	20 <sup>4</sup>

<sup>4</sup> HH may have several grievances



## 6. INCOME RESTORATION PROGRAM

### 6.1 Status of IRP Activities

54. IRP is under implementation in both Dong Thap Province and Can Tho City and several activities have already been conducted. The activities completed and planned for both Can Tho and Dong Thap Province are presented in Annex 4 and 5. Photos of IRP activities are presented in Annex 6.

55. A summary of the activities completed and planned are presented in the table below:

**Table 14: Summary of Activities Completed and Planned**

	<b>Dong Thap Province</b>	<b>Can Tho City</b>
Activities completed	<ul style="list-style-type: none"> <li>- Final list and choice by participants completed following final disclosure at Commune office and radio-broadcast of commune</li> <li>- Office for IRP implementation set up and under operation since 1st June 2015 in Cao Lanh City;</li> <li>- Meeting with farmers in Định An and visit cow suppliers with representatives of AHs in Tra Vinh province;</li> <li>- Meeting with farmers in Bình Thành and visit cow suppliers with representatives of AHs in An Giang province;</li> <li>- Training for small business conducted by WU on 8-10 July in Binh Thanh on 15-17 July in Binh Thanh Trung and on 22-24 in Tinh Thoi commune;</li> <li>- Contract signed with Vocational Training Center; 18/43 persons started vocational training in July;</li> </ul>	<ul style="list-style-type: none"> <li>- Final list and choice by participants completed following final disclosure at Commune office and radio-broadcast of commune;</li> <li>- Office for IRP implementation set up and under operation since end of May 2015 in Thoi Thuan ward;</li> <li>- SC organized a meeting to assign tasks to working group on 8 June 2015</li> <li>- WG on Agriculture conducted survey on price of cow on 20 June 2015;</li> <li>- SC signed contract with Agriculture Extension Center of Vinh Thanh district in July to conduct training on agriculture;</li> <li>- Training for small business conducted by WU in 3 communes completed on 22 July;</li> <li>- Vocational training started on 3 July 2015;</li> </ul>
Activities planned	<ul style="list-style-type: none"> <li>- Meeting with farmers in remaining 6 communes and visit cow suppliers to be conducted in August;</li> <li>- Training for agriculture activities to start in August;</li> <li>- Use of remaining amount for agriculture to be conducted during training</li> </ul>	<ul style="list-style-type: none"> <li>- Training for agriculture activities to start on 29 July 2015;</li> <li>- Training for small business conducted by WU in other 5 communes to be conducted in August 2015;</li> <li>- During training, the use of remaining amount will be decided;</li> <li>- Groups of interests will also be formed during training;</li> <li>- Inputs for agriculture to be delivered after training;</li> </ul>

## **6.2 Issues Raised**

56. During meetings with SC and WG on 23 July in Can Tho and on 30 July in Can Tho several issues were raised as presented in the section below.

### **6.2.1 Reporting**

57. Monthly reports have been prepared in July 2015. However DDIS indicated to SC that reports should include in addition to the status of activities, timesheets for staff who worked on the IRP. Status of disbursement that occurred should also be included in the monthly reports. Both SC agreed to include timesheets and disbursement in their next reports. Information on disbursement have been obtained and are presented in Annex 5. Up to now, Dong Thap disbursed 4.7% of the amount received in May and Can Tho 18.5%.

### **6.2.2 Change of activities**

58. In April in Can Tho and in May-June in Dong Thap, HH were asked to reconfirm their choice. However some HH still ask to change of activities. If some flexibility is possible, changes cannot occurred all the time. It was agreed that if there is no change in budget, HH can change of activity. In some cases, if the activity chosen may not meet the objective to restore income (i.e. price of animals went down suddenly), than change of activity is necessary to meet the IRP goal.

### **6.2.3 Bidding and VAT**

59. According to Vietnamese regulations, bidding s necessary for government contracts. Therefore bidding for 3 suppliers will be necessary for example to buy cow. Invoices of famers also need to include VAT. It is also necessary for liquidation issues. CHFLD of Dong Thap province issued guidelines for staff and WG on how to deal with bidding and VAT.

### **6.2.4 Building Group of Interests**

60. According to the IRP, groups of interest, for agriculture and small business, have to be established at hamlet level. Can Tho SC raised the issue that in some hamlets it may difficult to establish such groups due to the few number of participants and their different location. It was agreed that groups of interest will be established when there is a sufficient number of HH in the same area (i.e. around 5). This issue will be discussed during training where all the participants are present.

### **6.2.5 IRP Progress**

61. There is significant progress in the implementation of IRP activities especially regarding training but delivering of inputs has not yet started. For agriculture activities it is due to the need for survey among suppliers and the bidding process. For small business delivering of equipment should take place quickly.

62. Once price of inputs known (following surveys) than the use of the remaining amount could take place.

## 7. SOCIAL ACTION PLAN

### 7.1 Status of Implementation of the Social Action Plan

63. A status of implementation of the Social Action Plan has been prepared and is presented in Annex 7.

### 7.2 Capacity Building on Gender

64. As part of the SAP, the second round of capacity building for gender mainstreaming was conducted in Dong Thap province and Can Tho City in May 2015.

65. The objectives of the training was to ensure capacity building in gender equity and facilitate women's participation and empowerment for the CMDCP. A total of 139 persons (51 men and 88 women (65%)) participated to the 4 workshops. The table below indicates the dates, location and participants of the workshops.

**Table 15: Location, data and participants to Workshops on Capacity Building for Gender**

Date	Location	Participants
27 & 28 May 2015	Cao Lanh City (Dong Thap Province)	<ul style="list-style-type: none"> <li>- Dong Thap PPC(1 pers); 1 m</li> <li>- Districts PC (3 Persons); 2 f + 1 m</li> <li>- DOLISA (3 persons); 1 m + 2f</li> <li>- DARD (4 persons ); 2f + 2m</li> <li>- Farmer's Union (4 persons); 2 f + 2 m</li> <li>- Agriculture Extension Center (4 persons); 3 m + 1 f</li> <li>- CHFLD (1 person) 1m</li> <li>- Women's Union (province , districts/city ) (4 persons); 4 f</li> <li>- Youth Union ( Province. districts) (4 persons ); 2 f + 2m</li> <li>- <b>Total : 28 persons (13 men, 15 women);</b></li> </ul>
29 & 30 May 2015	Cao Lanh City (Dong Thap Province)	<ul style="list-style-type: none"> <li>- Women's Union (8 communes = 8 persons -3f + 5M) and 1 chairwoman of WU for project hamlets (6 hamlets per commune = 48 persons ) 32f + 16 M</li> <li>- <b>Total = 56 persons (21 men, 35 women);</b></li> </ul>
20 & 21 May 2015	Can Tho City	<ul style="list-style-type: none"> <li>- Can Tho city PC (1 Person); 1 M</li> <li>- Districts (2 Persons ) 2f</li> <li>- DOLISA (3 Persons ); 1Fe +2M</li> <li>- DARD (2 persons ); 2 m</li> <li>- Farmer's Union (3 persons); 2 m + 1f</li> <li>- Agriculture Extension Center (3 persons); 2f + 1m</li> <li>- CFLD (1 person): 1f</li> <li>- Women's Union (city, districts, commune/ward) (3 persons); 3 F</li> <li>- Youth Union (city. Districts, commune/ward) (3 persons ) 2 f+1 M</li> <li>- <b>Total : 21 persons (8 men, 13 women);</b></li> </ul>
22 & 23 May 2015	Thoi Thuan Ward (Can Tho City)	<ul style="list-style-type: none"> <li>- Commune/ward PC (2 persons) 1f + 1m</li> <li>- Women's Union (Thoi Thuan/Vinh Trinh 2 persons 2f ); WU in hamlet (9 hamlets in each commune/ward =18 persons ) Total = 20 persons 6m + 14f</li> </ul>

		- An Giang city (representatives of Mỹ Thạnh ward belong Vam Cong Ferry 10 persons); 2m + 8f - <b>Total for Cần Thơ city and An Giang province = 34 persons (9 men, 25 women);</b>
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66. Two different workshops were organized. The first with leaders of key departments of Dong Thap province and Can Tho City involved in different components of the Project (SAP, IRP). The objective of this workshop, Gender and Participation in Project, regarding Project Management. The training was given by Mrs. Truong Nguyen Bao, M.A, and Mrs. Vu Thi Kim Huong M.A, and Mrs. Ngoc Van Lan gender and social development specialist for DDIS.

67. Content of the workshop was:

- Time management
- Human Resource Management
- Financial management
- Skills solve some problems encountered in small business;
- The gender analysis tools;

68. The second workshop, Training Program on Community Development, focused on women's union representatives at commune/ward and hamlet level. The training was given by Mrs. Truong Nguyen Bao, M.A, and Mrs. Vu Thi Kim Huong M.A, and Mrs. Ngoc Van Lan gender and social development specialist for DDIS. Women's Union are strongly involved in the Project (IRP, HIV/AIDS, SAP). The objectives of this workshops was to assess their knowledge of social and technical issues relating to the project's gender strategy and skills for community development.

69. The following skills were developed:

- Listening Skills
- Collaboration skills
- Skills to take decisions and
- Communication skills

70. Participants were highly satisfied with the content of the workshops. Annex 8 presents photos taken during these workshops.

### 7.3 Employment of Female Workers by Contractor

71. In QR5 it was highlighted that few women are employed by contractors (for contracts CW1A, CW1B and CW1C 66 women are employed for a total of 1408 workers equivalent to 5 %),.

72. Follow-up conducted in May 2015 showed that contractors employ women for services (cooking, cleaning office etc.) and very few workers on construction site are employed. Contractors gave gender stereotype reasons such as women do not like hard work and working outside under hot weather.

73. The gender specialist will be mobilized until the end of September 2015 and it is suggested to include representatives of contractors in the next capacity building round.

## 8. HIV/AIDS AND HUMAN TRAFFICKING PREVENTION PROGRAM

74. The following activities were conducted during the last 3 months.

### 75. DDIS

- Prepare and order for medical package and communication materials for the second year plan.
- Follow up the HAPP/HTPP activities in Can Tho and Dong Thap: Supervise and support data collection for HAPP/HTPP activities.
- Request for payment from Oct. 2014 to May, 2015 and transfer the next four months budget from February, 2015 to Sept., 2015 for activities carried out by PAC Dong Thap and Can Tho;
- Request for payment for outreach educators and peer educators training courses of the first year;
- Make a second year plan for HAPP/HTPP

### 76. Provincial Aids Center (PAC) in Dong Thap and Can Tho

- The following activities have been conducted:
  - The outreach educators (OE) and the peer educators (PE) have worked with target groups including: 10.710 males, 10.675 females and 2303 females sex workers (FSW)/entertainment establishment workers (EEW);
  - The OE and PE also met entertainment establishment 966 times;
  - They have delivered 15.399 condoms and 16. 827 leaflets
  - IEC campaigns have been organized 2 times per commune/ward in Dong Thap and one time per commune/ward in Can Tho; Total people outreached: 1358 (559 female participants) Around three hours for each campaign (one session). key messages conveyed during the campaign:
    - Do not stigma discrimination with people leaving with HIV;
    - You always use condoms with HIV uninfected;
    - We went from despair to hope;
    - We can get HIV if we do not know anything about HIV;
    - Come to medical facility for HIV testing;
    - Take care of people leaving with HIV
    - Do not share needles when injecting
  - HIV/STIs examine and testing has been conducted in Dong Thap. They have examined and testing 2142 persons, the test results were 132 persons STIs positive and 65 persons HIV positive.
  - In the next four months plan, one IEC campaign for each commune, two OE/PE group meetings for each district and five days communication for each OE/PE per month will be conducted;

### 77. Workplace HAPP

- Approved a subcontract and activity plan of phase 1 for HAPP between CW1B package and service provider (EPC)

- Support for EPC to implement HAPP activities for CW1B package as follow:
  - Date: from May 11 to May 15, 2015
  - Number of contractor/subcontractors attended in the HAPP activities: 2 contractors (CRBC and Vinaconex) and 4 subcontractors (Tuan Loc, Song Da Thang Long, Cau 12 and Company # 624)
  - Activities:
    - + HIV and STI Education Sessions: conducted for 9 classes (180 participants: 172 males, 8 females)
    - + No of condoms distributed: 5328 pieces
    - + Number of types of IEC available: 2 types (notebook and leaflet). Total Number of IEC distributed: 498 notebook and leaflet
- Follow up the HAPP activities of CW3A packages:
  - Meeting of Volunteer Team: 3 times
  - Distribution: 6480 condoms and 450 leaflets
  - Monitor and supervisor for Volunteer team at construction site.
- Support CIPM and MOT to complete the TOR and Cost estimate for HAPP at work place for 5 road packages CW1A, CW1C, CW2AB and CW2C
- Issued the letter and reminder letters to Contractor of 5 road packages CW1A, CW1C, CW2AB and CW2C for signing sub-contract with service provider and make phase one activities plan on HAPP at work place based on the MOT decision.

**78. Activities plan until the end of 2015:**

- Implement the HIV/STIs examine and testing at Community (August to December 2015)
- Conduct second year training for OE and PE in Dong Thap and Can Tho (August and December, 2015)
- Distribute condom and IEC material for second year (August to December, 2015);
- Supervise the HAPP/HTPP activities carried out by PAC: i) group meeting, IEC and Behaviour Change Communication (BCC) campaigns; and ii) mobile service for HIV/STIs screening, testing and consultancy (August to Dec., 2015)
- Organize midterm review and meeting (October & November, 2015)
- Support for Contractors and EPC to implement HAPP at workplace (August to Dec., 2015): conduct training, distribute condom and leaflet, and organize the mobile HIV/STIs examine and testing.

## 9. MONITORING INDICATORS

79. This section includes the progress against monitoring indicators as agreed with the M&E advisor. Monitoring indicators were supposed to be updated after one year. However, the M&E specialist under DDIS resigned and was not yet replaced. The indicators will be revised once the new M&E specialist will be on board. Some adjustments have however been made for the income restoration program (see Table 17). Other adjustments will be done once the M&E specialist will be on board.

### 9.1 Land Acquisition and Resettlement

80. Regarding indicators for land acquisition and resettlement, Table 16 summarizes the first results. However, some data are not yet available now.

**Table 16: Indicators for Land Acquisition and Resettlement**

Indicator	Units	Resettlement Plan	Implementation	Remarks
– Land acquisition	HH	- Dong Thap: 1,336 - Can Tho: 219 - Total: 1,555	- Dong Thap: 1,515 - Can Tho: 258 - Total: 1,773	- Changes are due to : i) adjustment of number of HHs in Construction Yard; ii) some HH with several families were first considered as one AH and were then separated in 2 or more AHs; and iii) a number of HH, affected by loss of grave only were first not included among the AHs (Can Tho city); (iv) new access road in Tinh Thoi commune;
	Ha	- Dong Thap: 199.7 - Can Tho: 54.8 - Total: 254.5	- Dong Thap: 194 - Can Tho: 28.5 - Total: 222	- Changes are due to : i) reduced area for construction yards; and ii) toll plaza removed;
– Disbursement of compensation & allowances	Billion VND Total	- Dong Thap: 44.5 M USD - Can Tho: 6.09 M USD - Total: 50.57 M USD	- Dong Thap: 42.7 M USD - Can Tho: 6.088 - Total: 48.76 M USD	- - Compensation in component 2 was delayed 6 months
– Disbursement of compensation & allowances within agreed time limit	% Total		- Dong Thap: 99% - Can Tho: 99% - Total: 99%	
– Compensation plans agreed jointly by	% eligible cases		- Husband: 99 - Spouse: 31 - Both: 0	- Based on EM Report, none of the compensation plans (CPs) of AHs in Can Tho and Dong Thap had



Indicator	Units	Resettlement Plan	Implementation	Remarks
husband and spouse		N/A		been signed jointly by husband and wife; either the husband or the wife signed the CPs, depending under whom the land use rights certificate (LURC) of the affected properties was named.
– Provision of consultation counselling	Activity completion	-	- Dong Thap: 100% - Can Tho: 100%	- Activity completed by Women's Union;
– Households self-relocated	Total HH	- Dong Thap: 23 - Can Tho: N/A	- Dong Thap: 304 - Can Tho: 51	- More HH opted for self-relocation during implementation; possible reasons: i) cash grant allowance for self-relocation; ii) distance of RS from affected area;
– Households relocated to service resettlement site	Total HH	- Dong Thap: 415 - Can Tho: N/A	- Dong Thap: 21/163 - Can Tho: 5/45	- 3 HH not yet decided type of relocation due to ongoing grievances; - Most of the relocated HH who received a plot in RS didn't yet relocate (only 26 AH built house in RS)
– LURC / asset titles issued jointly in husband and spouse names	% Total eligible % eligible Vul HH	-	- Husband: 60 - Spouse: 28 - Both: 4 -	- The amended LURCs still bore the name of the persons under whom the LURCs were named prior to land acquisition.
– Resettlement completed within agreed time limit	% Total % Vul. HH	-	-	- Major delay for resettlement & compensation for component 2 due to delay in loan effectiveness;
– Beneficiaries satisfied with compensation counselling		-	- 100% satisfied	- Activities completed
– Outstanding cases of grievance with compensation rates for land		-	- Dong Thap: 8 - Can Tho City: 0	-
– Beneficiary satisfaction with resettlement site		-	- Dong Thap: 100% - Can Tho City: 100%	- 21 HH relocated up to now in RS in Dong Thap and 5 in Can Tho; - Up to now all satisfied based on interviews;
– Outstanding cases of grievance with resettlement process			- Dong Thap: 12 - Can Tho City: 0	- This indicator doesn't cover grievance for compensation but for other aspects (relocation issues, adjustment of affected land etc.)

## 9.2 Income Restoration Plan

81. Implementation of IRP just started and few data was available at the time of the preparation of this report. The following table will be completely filled when data will be available.

**Table 17: Indicators for Income Restoration Plan**

Indicator	Units	Income Restoration Plan		Implementation
		Dong Thap	Can Tho	
Training <ul style="list-style-type: none"> <li>• Agriculture</li> <li>• Vocational Training</li> <li>• Small business</li> </ul>	nb of HH trained	- Agr. 0/824 - VT : 0/58 - SB 118/273 - 74 M 44 F	- Agr. 0/52 - VT: 48/48 - SB 37/37 (100%) - 14 M 23 F	- Agriculture training will start in August; - Vocational training started; - Training for small business completed in CT;
Input delivered <ul style="list-style-type: none"> <li>• Agriculture</li> <li>• Vocational Training</li> <li>• Small business</li> </ul>	HH who received inputs	- Agr. 0/824 - VT : 0/58 - SB 10/273	- Agr. 0/52 - VT - - SB: 0/37	- Delivering of input will start in August;
Overall expenditure of IRP against plan <ul style="list-style-type: none"> <li>• Agriculture</li> <li>• Training</li> <li>• Small business</li> </ul>	VND Total	- 338 750 000 VND disbursed / 7 193 674 230 VND already transferred by CIPM (4.7%)	- 407 619 724 VND disbursed / 2 207 122 261 VND already transferred by CIPM (18.5%)	

## 9.3 HIV/AIDS and Human Trafficking Prevention program

82. Implementation of HIV/AIDS and Human Trafficking Program started for packages CW1B and CW3A only. Data for other packages are not available.

**Table 18: Indicators for HIV/AIDS and Human Trafficking Program**

Indicator	Units
<b>Packages CW1B &amp; CW3A only. Other packages CW1A, CW1C, CW2A, CW2B, CW2C, CW3B not yet started</b>	
- Implementation of awareness raising activities against plan <ul style="list-style-type: none"> <li>• HIV/AIDS for CW3A</li> <li>• HAPP and HTPP for community</li> </ul>	<ul style="list-style-type: none"> <li>• 100% completion for CW3A (training, group meeting),</li> <li>• 100 % completion (training, IEC material, condom and Peers Educator's kit distribution)</li> </ul>
- Participation in activities against plan	

<ul style="list-style-type: none"> <li>• HIV/AIDS for all packages CWs</li> <li>• HAPP and HTPP for community               <ul style="list-style-type: none"> <li>- Capacity strengthening of institutional stakeholders</li> <li>- Advocacy</li> <li>- IEC and BCC</li> <li>- Medical package</li> <li>- Monitoring and evaluation</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• 100% completion for CW3A (HIV/STIs examine and testing), the other packaged CWs not yet started</li> <li>• 100% completion</li> <li>• 100% completion</li> <li>• 100% completion</li> <li>• 100% completion</li> </ul>
<ul style="list-style-type: none"> <li>- Overall expenditure against plan</li> <li>• HIV/AIDS for CW3A</li> <li>• HAPP and HTPP for community (DDIS)</li> </ul>	<ul style="list-style-type: none"> <li>• Not available</li> <li>• 287.288.957 VND, planned 287.288.957 VND</li> </ul>
<ul style="list-style-type: none"> <li>- Learning and satisfaction with HAPP training activities</li> <li>• Implementing partners at district and commune levels</li> <li>• Construction workers</li> <li>• Female Sex Workers</li> </ul>	<p>Completed first year training workshops in Dong Thap and Can Tho</p> <ul style="list-style-type: none"> <li>• 100 % demonstrating adequate learning, 100 % satisfaction</li> <li>• 100% demonstrating adequate learning, 100% satisfaction</li> <li>• 100% demonstrating adequate learning, 100% satisfaction</li> </ul>
<ul style="list-style-type: none"> <li>- Learning and satisfaction with HTPP training activities</li> <li>• Implementing partners at district and commune levels</li> <li>• Female Sex Workers</li> </ul>	<ul style="list-style-type: none"> <li>• Completed first year training workshops in Dong Thap and Can Tho</li> <li>• 100 % demonstrating adequate learning, 100 % satisfaction</li> <li>• 100 % demonstrating adequate learning, 100 % satisfaction</li> </ul>

## Annex 1 : List of Pending Grievances

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
1/									
██████████	CW1A			X		X	July 2013	<b>CASE: GRIEVANCE</b>  a) 3 Households (██████████) claimed that the compensation payment for the affected land that went to Mr. ██████████ is not correct as some portion of the land belongs to them. The 3 AHs received compensation payment for the trees but Mr. ██████████ received payment for the land. Therefore, they are requesting payment for the land. And b) They requested that compensation rate applied for their affected land on Location 1 should also be applied for their affected land in Location 2.  <b>Actions Taken:</b> Cao Lanh District PC issued the response to the grievances on 8 December 2014. The HH disagreed with the response of the DPC and lodged grievance to Dong Thap PPC on 18 December 2014. Dong Thap PPC assigned the DONRE to investigate the issues.	<b>Affecting Construction Works: YES</b> <b>Length: 12 meters</b>  a) DONRE met with the HH on 12 May 2015 and collected documents from all AHs to determine the rightful owner of land in question. b) Also on 12 May 2015, DONRE, re-measured the land to review the land categorization. c) On 22 May 2015, CLFHD wrote to DONRE to speed-up the review and send its findings and recommendations to PPC. d) DONRE still didn't submit review to PPC. Meeting with PPC, CIPM, DDIS local authorities held on 28 July to address outstanding resettlement issues. During the meeting PPC assigned Cao Lanh District to review its decision prepared in December for early August.
██████████				X		X			
██████████				X		X			
██████████				X		X			
2/									
10 HHs affected by local-funded Cao	CW1A			X		X	2012*	<b>*CASE: Grievance was filed for the local-funded project in 2012 due to low compensation rate.</b>	<b>Affecting Construction Works: Yes</b> <b>Length: 50 meters</b> DMS and Compensation Plan completed in 2011. Supplemental compensation
				X		X			
				X		X			
				X		X			

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
Lanh Bypass (and now CMDCP Project)				X		X		The HHs were affected by a local project (NH 30 bypass) in 2011 but have been paid by the Government due to lack of Government funds.  Since the 10 HHs are also affected by the Project ROW, they will be considered as AHs under the Project and will be entitled as per agreed RP.	plan has been submitted to PPC to meet the Project's RP policy and requirements. Approval expected by early August; RP Addendum submitted to ADB for review and concurrence on 1 <sup>st</sup> July; On 28 July PPC, it was agreed that DONRE will submit to PPC its review by early August;
				X		X			
				X		X			
				X		X			
				X		X			
3/									
Ha	CW1A			X		X	2014	<b>CASE: INTERNAL LAND DISPUTE</b>  Household ( ) has land dispute with his mother ( ). Cao Lanh City Court issued a decision on 9 March 2015 that the disputed land belongs to ( ) ( ) disagreed with the decision and elevated his case to Provincial Court in April 2015.	<b>Affecting Construction Works: Yes Length: 15 meters</b>  On 28 July PPC instructed Cao Lanh city PC to meet the provincial and city courts and relevant agencies to find a final solution and have a decision on early August
Tu				X		X			
4/									
T	CW1A			X		X	11/2013*	CASE: GRIEVANCE  ( ) is the AH registered but he passed away. ( ) heirs are his 3 children.  They are affected by another WB-funded Project and the rates applied were lower than the CMDCP Project.  For the WB Project, they filed a grievance to CLFHD asking them that the WB Project should apply the rates (land, house, trees, graves) applied for the CMDCP Project.	<b>Affecting Construction Works: Yes Length: 15 meters</b>  For the WB-funded project: CLFHD requested the appraisers from both WB-funded and CMDCP projects to review and propose recommendations to address the case. CMDCP Project. Cao Lanh City plans to have another round of discussion with the HHs on 20 May 2015. CLFHD will carry out another round of meeting with AH by 30 May 2015 to explain why the AHs are not entitled to a plot at the RS.
				X		X			
				X		X			
				X		X			

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
								For the CMDCP Project, they sent a complaint to Cao Lanh City in Feb 2014 claiming that they are entitled to get a plot of land at the relocation site. In March 2014, Cao Lanh City PC responded to the households in that they are not entitled to a plot of land at the relocation site because remaining land is still viable.  HHs still disagreed with the decision	On 28 July PPC instructed Cao Lanh city PC to review grievances and propose solution for early August;
5/ [REDACTED]	CW1A			X		X	December 2013	CASE: REQUEST [REDACTED] requested for a plot at the relocation site because it is her understanding that any household whose residential land is affected are entitled to a plot of land at the relocation site. Her remaining residential land is still viable. In December 2013, she sent the request Cao Lanh City PC. In February 2014, Cao Lanh City responded denying her request since she is not eligible to get a plot of land at the RS. In May 2015, she sent a letter to Dong Thap PPC to re-consider her request	<b>Affecting Construction Works: Not Yet Length: 15 meters</b> CLFHD will carry out another round of meeting with Ms Mai by 30 May 2015 to inform her that she is not eligible and they cannot grant her request.  On 28 July PPC agreed to deliver plot and instructed Cao Lanh city PC to issue decision and deliver plot to HH in RS in early August;
6/ [REDACTED]	CW1A			X		X	2 Jan 2013	CASE : GRIEVANCE [REDACTED] pawned his land, a portion of which is affected by CMDCP Project.  In January 2014, the Provincial Court issued a decision to auction his entire land. He elevated his case up to the Supreme People's Procuracy of Viet Nam (SPPV)	<b>Affecting Construction Works: Yes Length: 50 meters</b>  CLFHD was instructed by PPC to prepare the compensation payment due to Mr. Hu and submit to PPC on 29 May 2015;

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
								In May 2015, SPPV issued a decision that the Provincial's court decision is wrong and that the household is entitled to compensation for the land that was auctioned.	On 28 July PPC, based on the letter # 1669/VKSTC-V10 issued on 11 May 2015 from Supreme People's Procuracy of Viet Nam (SPPV), will organize meeting with Cao Lanh City, Provincial court, CHLFD to review the letter and take decision in early August;
7/ [REDACTED]	CW1A			X		X	2013	CASE : REQUEST  Household already received a plot of land. However, he requested for another plot at the relocation site given that they are a big household.	<b>Affecting Construction Works: Not Yet Length: 30 meters</b> CLFHD agreed to provide another plot of land to the HH and submitted its recommendation to DONRE on 15 May 2015. On 28 July PPC agreed to deliver plots and instructed Cao Lanh city PC to issue decision in early August and to deliver plot;
8/									
Phuoc	CW2B	X			x		March 2015	<b>CASE: REQUEST</b> The two HH living on the same land requested to give them more time to hand over their land until the construction of their houses is completed. The HH committed to handover the land on 20 May 2015	<b>Affecting Construction Works: Yes Length: 4 meters</b>  HH handed over land. Case closed
Tai		X			x				
9/ Nguyen Thi Phi (new case)	CW1C	x				x	July 2015	<b>CASE : GRIEVANCE</b> HH located requested compensation for 915 m <sup>2</sup> of garden land located between the PR 849 and a canal. The land is included in the safety corridor of PR 849 and is considered as public land by Lap Vo district; Lap Vo district informed HH but HH disagreed;	<b>Affecting Construction Works: Yes Length: pier of interchange with PR 849</b> HH maintained his grievance; but local authorities rejected; On 28 July PPC supported Cao Lanh district decision and supported construction of pier;



Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
								Lap Vo district instructed DONRE to review and verify the case;	
10/ [REDACTED]	CW2C	X			X		4/2014	CASE : GRIEVANCE Disagreed with 3 issues: a) compensation rates for land b) Compensation rates for house. c) Land area applied for affected land (actual land area specified in the LURC is bigger (94 m2) than land area specific in the DMS & paid to HH (84 m2.) On 23 April 2015, the HH lodged a complaint to Lap Vo District PC On 20 May 2015, Lap Vo District issued a decision that the claim of HH for items (a) and (b) were rejected while item (c) was accepted. CLFHD was instructed to explain the decision to the HH	Affecting Construction Works: Yes Length: 4 meters  [REDACTED] received compensation on 27 July 2015 and handed over his land on 8 August. He already built a new house. The case is closed
11/ Tran Thi Diem (new case)	CW2C	X			X		July 2015	CASE : GRIEVANCE Location Kenh Rang bridge; HH request additional compensation for agriculture for land due to mistake in area of land acquisition; Local authorities agreed with the grievances	Lap Vo District (DONRE) reviewed the case and submitted review to PPC ; On 28 July PPC agreed for additional compensation and assigned CHLFD to calculate compensation;
12/ [REDACTED]	CW2C	X						CASE: REQUEST	All HH handed over their land; cases closed
[REDACTED]		X						The households requested to give them more time to hand over their land until the construction of their houses are completed. The households committed to handover the land by 31 May 2015.	
[REDACTED]		X							
[REDACTED]		X							
[REDACTED]		X			X				
[REDACTED]		X			X				
[REDACTED]		X			X				

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
■■■■■		X			X				
■■■■■		X			X				
13/ ■■■■■	CW2C	X			X			CASE: REQUEST The HH requested to give him more time to hand over land until the construction of his house is completed. The HH committed to handover land by 30 June 2015	HH handed over land; case closed
14/ ■■■■■	CW2C	X			X			CASE: REQUEST The HH requested to give him more time to hand over land until the construction of his house is completed. The HH committed to handover land by 30 June 2015	HH not handed over his land; case closed
15/ ■■■■■	CW3B			X	X			CASE: REQUEST ■■■■■ is the AH and received a plot of land at the RS. However, his son who is also living on the land requested that he be considered as a separate household and also be given a plot of land at the relocation site CLFHD submitted its proposal to PPC to give a plot of land to ■■■■■.	HH received plot and handed over land; case closed
16/ ■■■■■	CW3B			X	X			CASE: INTERNAL LAND DISPUTE ■■■■■ (AH) passed-away. Family dispute case is now with the district court in January 2015.	Court issued decision in July and members of HH have to receive compensation. Decision was executed. HH handed over land. Case closed
17/ Five HH who have not yet handed	CW3B	X			X			CASE: REQUEST	All HH built new houses and handed over land; cases closed
		X			X				
		X			X				
		X			X				

Names	Contract	Compensation Paid			Handover of Land		Date of first grievance filed/ request made	Nature of Grievance/Request	Status
		Full	Parts	Not yet	Handed over	Not yet			
over the land		X			X			The households requested to give them more time to hand over their land until the construction of their houses are completed	
18/									
■■■■■	CW3B	X			X			<p>NATURE: REQUEST</p> <p>HH purchased a plot within the village but could not build a house yet since their application for change of land use from perennial tree land to residential land was rejected on 7 February 2015.</p> <p>HH is requesting for reconsideration to review his request.</p>	<p><b>Affecting Construction Works: Not yet Length: 4 meters</b></p> <p>His house was demolished in June. HH received a plot of land in Thoi Thuan II RS. He is now renting house since June. He still prefer to build house in the plot he purchased near his former land; Local authorities didn't follow-up with request as HH stopped process for change of land use purpose;</p>
19/									
■■■■■	CW3B	X			X			<p>NATURE: REQUEST</p> <p>HH purchased a plot within the village but could not build a house yet since their application for change of land use from perennial tree land to residential land was rejected on 10 April 2015.</p> <p>HH is requesting for reconsideration to review his request.</p>	<p><b>Affecting Construction Works: Not yet Length: 4 meters</b></p> <p>His house was demolished in June. HH received a plot of land in Thoi Thuan II RS. He is now renting house since June. He still prefer to build house in the plot he purchased near his former land; Local authorities didn't follow-up with request as HH stopped process for change of land use purpose;</p>

**Annex 2: Obstacles on the ROW**

No	Commune	Type of infrastructure	Location	Owner of infrastructure	Status of relocation
1	An Binh commune	– Medium voltage poles	– 0km+300 - 0km+400: Đình Chung bridge	– Electric unit of Cao Lãnh district	- To be completed by the end of July, 2015
		– Fiber optic cable system along HW 30	– 0km +300 - 0km +340: NH 30	– Telecommunication agency of Dong Thap province - Telecommunication agency of Cao lanh district - VTN2	Two sections: - Dinh Chung bridge: to be completed by end of July 2015; - Ramp access of NH30 interchange: obstructed by HHs who have not handed-over land (land dispute)
		– Water supply pipe system in RoW	– 0km +300 - 0km +340: HW 30	– Company of water drain and supply and urban environment of Dong Thap province	Two sections: - Dinh Chung bridge: to be completed by end of July 2015; - Ramp access of NH30 interchange: obstructed by HHs who have not handed-over land (land dispute)
2	Ward 3	– 110 kV electric line	– 1Km+000 - 1km +120: Linh Sơn bridge 2 towers to remove	– High Voltage branch of Dong Thap province	- Two towers: the contractor built one tower foundation.
		– Fiber optic cable system in ROW belong ward 3	– Section 2 : 0 km+440 - 0km+520: Đình Chung bridge 1km +080 - 1km+320: Linh Sơn bridge	– Telecommunication agency of Dong Thap province - Telecommunication agency of Cao Lanh city	- It has not been completed because of obstruction of 4 HHs in Ward 3
3	Tịnh Thới commune	– 110 kV electric line	– 1km+120 - 1km+320 Linh Sơn bridge all having 2 locations of pole	– High Voltage branch of Dong Thap province	- Two towers: the contractor constructed one tower foundation.

No	Commune	Type of infrastructure	Location	Owner of infrastructure	Status of relocation
4	Binh Thành commune	– Medium voltage poles	– section 3 : 18km+800 - 18km+900: HW 80 19 km +700 - 19km +800: Lấp Vò ditch 20 km+100 - 20km +300: Phước Long pagoda		- It will be completed by 30 July, 2015
		– Electric system of 110 kV	– 18km +900 - 19km+000 pass over Lấp Vò bridge and 2 interchanges (total : 6 poles )	– High Voltage branch of Dong Thap province	- Six towers. The contractor built 1 tower foundation.
		– Telecommunication cables in Binh Tanh commune	– 18km+800 - 18km+900: HW80	– Viễn thông Đồng Tháp - Viễn thông Lấp Vò - VTN2	- It will be completed by end of July;
		– Water supply pipes	– 18km+800 - 18km+900: HW 80	– Company of water drain and supply and urban environment of Dong Thap province	- It will be completed by end of July;
5	Định An commune	– Medium voltage poles	– section 3 : 22km+000 - 22km+100: Ông Hành Ditch 22km+800 - 22km+900: Am ditch 23km +200 - 23km+300: Xếp Cụt ditch		- It will be completed by end of July;

### Annex 3: Status of Implementation of the IRP in Can Tho City

#### 1. Agriculture Component

No.	Task	Task conducted in June & July 2015	Time	Activities Planned	Time	Institutions in-charge
1	2	3	4	5	6	7
1	Breeding cows (34 AHs).	<ul style="list-style-type: none"> <li>- Carried out surveys on cow prices in An Giang and Ben Tre provinces.</li> <li>- Found trainers and prepared contracts for training.</li> <li>- Planning for training.</li> </ul>	June  July  July	<ul style="list-style-type: none"> <li>- Contact to the AHs for training registration</li> <li>- Sign contracts with trainers.</li> <li>- Public announces about the trainings and the attendants' rights.</li> <li>- Conduct trainings.</li> <li>- Supervision and logistics during trainings.</li> <li>- Communication with attendants to balance between their package budget and the expected cow.</li> <li>- Purchase and Deliver cows.</li> <li>- Support skills and follow-up.</li> <li>- Spend meals and transport cost to attendants who attend trainings.</li> </ul>	August & September 2015	Agricultural Extension Center of Can Tho City
2	Training for gardening, guavas, chicken, pigs (18 AHs).	<ul style="list-style-type: none"> <li>- Found trainers and prepared contracts for training.</li> <li>- Planning for training.</li> </ul>	July  July	<ul style="list-style-type: none"> <li>- Contact to the AHs for training registry.</li> <li>- Sign contracts with trainers.</li> <li>- Public announces about the trainings and the attendants' rights.</li> <li>- Conduct trainings.</li> <li>- Supervision and logistics during trainings.</li> <li>- Communication with attendants to balance between their package budget and the expected purchasing.</li> <li>- Purchase and Deliver cows.</li> <li>- Support skills and follow-up.</li> <li>- Spend meals and transport cost to attendants who attend trainings.</li> </ul>	August & September 2015	Agricultural Extension Center of Can Tho City

## 2. Vocational Training Component

No.	Task	Task done in June and July 2015	Time	Plans.	Time	Institutions in-charge	Notes
1	2	3	4	5	6	7	8
1	Sewing (04 AHs).	<ul style="list-style-type: none"> <li>- Contact trainees for registration.</li> <li>- Sign contracts with vocational school.</li> <li>- Public announcement about the trainings and the attendants' rights.</li> <li>- Start training courses on 10/6/2015.</li> <li>- Supervision and logistics during trainings.</li> <li>- Communication with attendants to balance between their package budget and the fees</li> </ul>	June June June June June July	- Supervision and logistics support.	- Expected closing day on 10/9/2015 (03 months training).	- - Vinh Thanh District Vocational Center.	<ul style="list-style-type: none"> <li>- AH [REDACTED] has moved to another place so will not attend IRP.</li> <li>- AH [REDACTED] require to change into the small business activity with tools and materials for baker sale, because they have to attend to the HH business every and have no time to follow the courses.</li> </ul>
2	Construction workers (04 AHs)	<ul style="list-style-type: none"> <li>- Contact to the attendants for registry.</li> <li>- Sign contracts with vocational school.</li> <li>- Public announces about the trainings and the attendants' rights.</li> <li>- Start training courses on 10/7/2015.</li> <li>- Supervision and logistics during trainings.</li> <li>- Communication with attendants to balance between their package budget and the fees</li> </ul>	July July July July July July	- Supervision and logistics support	- Expected closing day on 10/10/2015 (03 months training).	- Vinh Thanh District Vocational Cnter.	
3	Hairdressing, make-up and manucure (09 AHs).	<ul style="list-style-type: none"> <li>- Contact to the attendants for registry.</li> <li>- Sign contracts with vocational school.</li> <li>- Public announces about the trainings and the attendants' rights.</li> <li>-</li> </ul>	July July July	- Start-up the trainings	- Training will last 03 month	- Vinh Thanh District Vocational School.	<ul style="list-style-type: none"> <li>- Due to slow implementation, only 4 AHs attended this activity.</li> <li>- [REDACTED] required change to be supported with tools and equipment to open hairdresser shop.</li> <li>- Other 4 AHs required to change to small business activity (retail shop).</li> </ul>
4	Driving Licence B2 C (27 AH).	<ul style="list-style-type: none"> <li>- Contact to the attendants for registry.</li> <li>- Sign contracts with vocational school.</li> <li>- Public announces about the trainings and the attendants' rights.</li> <li>- Start training courses on 3/7/2015.</li> <li>- Supervision and logistics during</li> </ul>	July July July	- Supervision and logistics support.	- Expected closing day on 3/10/2015 for B2 licence, and	Can Tho City Driving School	<ul style="list-style-type: none"> <li>- AHs [REDACTED] required to change to small business activity (retail shop).</li> <li>- AHs [REDACTED]</li> </ul>

		trainings. - Communication with attendants to balance between their package budget and the fees -	July July July		- 18/11/2015 for C licence.		[REDACTED] required to attend one more activity (breeding cow). - AHs [REDACTED] required to attend one more activity (driver B2). - AH [REDACTED] required to change to the Pig raising. - AH [REDACTED] required to change to the small business activity with tools for pet's medicines because he has not time to attend courses. - AH [REDACTED] moved to live away. - [REDACTED] require to change from driver B2 into driver C.
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### 3. Small Business Component

No.	Task	Task done in June and July 2015	Time	Plans.	Time	Institutions in-charge	Expectation	Suggestion
1	2	3	4	5	6	7	8	9
1	Small business	- Contact to the attendants for registry. - Sign contracts with vocational school. - Public announces about the trainings and the attendants' rights. - Start training courses on 16/7/2015 on the contents of "enterpriser skills" - Supervision and logistics during trainings. - Communication with attendants to balance between their package budget and the fees - Training courses completed on 21/7/2015 with certificate giving ceremony	July July July July July July	- Survey the demands of goods/tools/equipments of HHs - Purchase goods/tools/equipments and deliver. - Supervision and logistics support.	- July	Can Tho City Women's Union.	100%	- AH [REDACTED] did not attend the trainings.



### Annex 4: Status of Implementation of the IRP in Dong Thap Province

#### 1. Agriculture Component

No.	Activity	Task done in July	Time	Task planned in August	Time	Institutions	Expectation	Suggestion
1	COW: Contact/Invite AHs; go visit the suppliers	<ul style="list-style-type: none"> <li>- WG &amp; HH from Định An and Bình Thành visited in Tra Vinh province</li> <li>- WG &amp; HH from Bình Thạnh Trung visited An Giang</li> </ul>	12/07/2015  31/07/2015			IRP officers  IRP officers	72% (31AHs among 45AHs)  ĐA 23AHs BT 22AHs 100% 26AHs	The rest AHs changed their activities
2	PIG: Contact/Invite AHs; go around the suppliers			- Communes Định An, Bình Thành, and Bình Thạnh Trung went around in An Giang	01/08/2015	IRP officers		
3	COW: Contact/Invite AHs; go around the suppliers			<ul style="list-style-type: none"> <li>- Communes Mỹ An Hưng B, Tân Mỹ, and Tịnh Thới for COW</li> <li>- Communes Mỹ An Hưng B, Tân Mỹ, Tịnh Thới went around for PIG</li> </ul>	08/2015  08/2015	IRP officers  IRP officers		

#### 2. Vocational Training Component

No.	Activity	Task done in July	Time	Task in August	Time	Institutions	Expectation	Suggestion
1	Driving	<ul style="list-style-type: none"> <li>- Driving licence B2.</li> <li>- Driver C</li> <li>- Upgrade from driver C to driver D</li> </ul>	- Sign contract with trainers in July	- Start training course and planed	- August to October 2015	- MOT vocational school in Dong Thap	<ul style="list-style-type: none"> <li>- 41%</li> <li>- (18AHs among 43AHs)</li> </ul>	- The rest of AHs changed their activities.

### 3. Small Business Component

No.	Activity	Task done in July	Time	Task in August	Time	Institutions	Expectation	Note
01	02	03	04	05	06	07	08	09
1	Training in small business	<ul style="list-style-type: none"> <li>- Training Bình Thành</li> <li>- Training Bình Thạnh Trung</li> <li>- Training in Tịnh Thới.</li> </ul>	<ul style="list-style-type: none"> <li>- 08-10 July</li> <li>- 15-17 July</li> <li>- 22-24 July</li> <li>- 29-31 July</li> </ul>	-	-	<ul style="list-style-type: none"> <li>- Dong Thap WU and CLHFD</li> <li>-</li> </ul>	- 100%	-
2	Training in small business	-	-	<ul style="list-style-type: none"> <li>- Training in An Bình.</li> <li>- Training in ward 3</li> </ul>	- 05/08-07/08	<ul style="list-style-type: none"> <li>- Dong Thap WU and CLHFD</li> <li>-</li> </ul>	- 100%	-

### Annex 5: Status of IRP Disbursement in Dong Thap Province and Can Tho City

#### 1. STATUS OF DISBURSEMENT FOR IRP – DONG THAP PROVINCE

No	Contents	Disbursement	Notice
01	02	03	04
<b>I</b>	<b>Agricultural activities</b>	<b>17.230.000đ</b>	
1.	Organize to visit model of breeding cow	17.230.000đ	
<b>II.</b>	<b>Vocational training</b>	<b>86.857.000đ</b>	
1.	Train Driving license	86.857.000đ	
<b>IV.</b>	<b>Small business</b>	<b>111.995.000đ</b>	
1.	Training	75.000.000đ	
2.	Support to HHs	36.995.000đ	
<b>V.</b>	<b>Purchase equipment for IRP office</b>	<b>122.670.000đ</b>	
1.	Pannel	1.920.000đ	
2.	Purchase for tables and chairs	44.550.000đ	
3.	Equipment for Office	9.200.000đ	
4.	Computers	67.000.000đ	
	<b>Total ( I + II + IV + V)</b>	<b>338.752.000đ</b>	

CIPM have disbursed: 7,193,674,230 đ . CoHFFD have disbursed: 338,752,000 đ  
 Just reached : 4.7%

**2. STATUS OF DISBURSEMENT FOR IRP – CAN THO CITY**

No	Contents	Disbursement	Notice
01	2	3	04
<b>I</b>	<b>Agricultural activities</b>	<b>34,070,000</b>	
1.	Organize to survey of cow cost	11,920,000	
2	Cost for training agricultural activities	22,150,000	
<b>II.</b>	<b>Vocational training</b>	<b>73,808,000</b>	
1.	Cost for Training vocation	<a href="#">73,808.000<sup>5</sup></a>	
<b>IV.</b>	<b>Small business</b>	<b>30,124,000</b>	
1.	Cost for training skill capacity increase in business	30,124,000 <sup>6</sup>	
<b>V.</b>	<b>Purchase equipment for IRP office</b>	<b>58,051,862</b>	
1.	Purchase equipment for office	40,391,600	
2.	Cost for renting office and other cost	17,660,262	
<b>VI</b>	<b>Cost for amid and Allowance for local staffs</b>	<b>211,565,862</b>	
1	Wage and expense for agricultural group	32,089,662	
2	Wage and Expense for Vocation group	17,381,016	
3	Wage and expense for WU	6,955,870	
4	Wage and expense for Co LFD	149,239,314	

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<sup>5</sup> Having 3 HHs with support level of 20 M đ. HH have requested added training for small business training for other member in family

<sup>6</sup> Cost for 34 HHs / 37 HHs in small business training

### Annex 6: IRP Activities



IRP office opened in Can Tho (Thoi Thuan ward) on 18 May 2015



IRP office opened in Cao Lanh City (Dong Thap) on 1<sup>st</sup> June 2015





Visit to cow suppliers in An Giang Province



Training on small business by Women's Union in Thoi Thuan ward on 21 July 2015

### Annex 7: Status of Implementation of the Social Action Plan

Issues	Actions Required in FS & Timing	Actions Taken During Detailed Design	Status of implementation as of 29 July 2015
<b>i. Land Acquisition and Resettlement</b>	<ul style="list-style-type: none"> <li>- Resettlement Plans prepared for Components 1 to 3 and agreed on by ADB and the Government of Vietnam</li> <li>- (From 2011 -</li> </ul>	<ul style="list-style-type: none"> <li>- Based on draft Resettlement Plans prepared by TA consultants during FS, finalized draft Resettlement Plans based on detailed design and in accordance with ADB Safeguard Policy Statement.</li> <li>- As part of the RPs, income restoration programs (IRPs) were prepared and funded under DDIS Contract.</li> </ul>	<ul style="list-style-type: none"> <li>- CIPM submitted two Final RPs prepared for Dong Thap Province and Can Tho City in February and March 2013. The Two Final RPs have been agreed between ADB and Government in April 2013; Documents posted on the ADB website <a href="http://www.adb.org/projects/40255-043/documents">http://www.adb.org/projects/40255-043/documents</a></li> <li>- Cost of compensation is 1065.78 billion VND (equivalent to 50 M USD)</li> <li>- Compensation is completed at 98.9% and handover of land is at 98.7%;</li> <li>- Two detailed IRPs for Dong Thap Province and Can Tho City have been agreed between ADB and Dong Thap province and Can Tho City in March 2015. Costs: 23.4 billion (1.1 M USD) for Dong Thap and 3.1 billion (150,000 USD) for Can Tho.</li> <li>- Contract for IRP implementation between CIPM &amp; Center for Land Fund &amp; Development in Dong Thap and Can Tho signed in April 2015; Funds disbursed in May 2015;</li> <li>- Implementation is ongoing); implementation under a Steering Committee and working groups in both Can Tho and Dong Thap;</li> <li>- Progress is reported in the CIPM/DDIS quarterly reports (6 quarterly reports on social and resettlement submitted to ADB/DFAT up to now) and in the monthly and quarterly report submitted by DDIS for the whole project activities;</li> <li>- Disbursement as per 15 July 2015 (see details in Annex 5):               <ul style="list-style-type: none"> <li>- Dong Thap: 338 750 000 VND disbursed / 7 193 674 230 VND already transferred by CIPM (4.7%)</li> <li>- Can Tho: 407 619 724 VND disbursed / 2 207 122 261 VND already transferred by CIPM (18.5%)</li> </ul> </li> </ul>
<b>ii. Livelihoods Associated with Ferry Traffic</b>	<ul style="list-style-type: none"> <li>- Income restoration strategy for affected persons and businesses.</li> <li>- (2014-</li> </ul>	<ul style="list-style-type: none"> <li>- Ferry service will be maintained for Cao Lanh by the DOT of Dong Thap Province.</li> </ul>	<ul style="list-style-type: none"> <li>- An assessment report has been prepared during detailed design (see SAP Annex 1).</li> </ul>

Issues	Actions Required in FS & Timing	Actions Taken During Detailed Design	Status of implementation as of 29 July 2015
<b>and Changed Traffic Flow</b>		<ul style="list-style-type: none"> <li>- At PCC meeting #3 (August 2012) it was agreed that the future of ferry services at Vam Cong bridge would be subject to the results of a demand study.</li> <li>- DDIS carried out surveys and interviews between April 2012 to September 2012.</li> </ul>	<ul style="list-style-type: none"> <li>- Income restoration programs to be carried out requires further planning at least one year before the bridge becomes operational (planned to start in October 2016);</li> <li>- Information campaign program is conducted every year by DDIS and WU to keep the communities informed about project activities; (leaflets disclosed in February 2014 and April 2015 to all shopkeepers and vendors);</li> <li>- The TA amount allocated for this component has been retained (\$300,000).</li> <li>- For now, it includes an information campaign program (13,938 USD) to be implemented from 2013-2017</li> </ul>
<b>iii. Access and Mobility</b>	<ul style="list-style-type: none"> <li>- Improvement of local road networks in the project area and inclusion of crossings (bridges/underpasses) during the DD phase of the project. The design of local road systems and crossings to be based on detailed needs assessment of local communities.</li> <li>- (2011 –2012)</li> </ul>	<ul style="list-style-type: none"> <li>- A survey on the needs assessment of local communities related to the design of local road systems and crossings was prepared in December 2010.</li> <li>- Reviewed technical drawings.</li> </ul>	<ul style="list-style-type: none"> <li>- Report part of 2011 FS</li> <li>- Technical drawings ensure inclusion of existing access through bridges/underpasses.</li> <li>- Follow-up by DDIS of construction of crossings/underpass;</li> </ul>
<b>iv. Affordability</b>	<ul style="list-style-type: none"> <li>- Toll fees not to be higher than ferry user fees</li> <li>- (2016 - )</li> </ul>	<ul style="list-style-type: none"> <li>- The Government has issued a circular (MOF Circular No.197/2012/TT-BTC dated 15/11/2012 on Collection of Road Maintenance and Fee abolishing tolls on national highways</li> </ul>	<ul style="list-style-type: none"> <li>- No further action is required given the abolishment of tolls on national highways;</li> </ul>
<b>v. Road Safety</b>	<ul style="list-style-type: none"> <li>- Road safety audit to be undertaken during detailed</li> </ul>	<ul style="list-style-type: none"> <li>- DDIS undertook road safety audit in October</li> </ul>	<ul style="list-style-type: none"> <li>- Road safety report prepared (2013);</li> </ul>



Issues	Actions Required in FS & Timing	Actions Taken During Detailed Design	Status of implementation as of 29 July 2015
	design. - -	2012. - Detailed design has incorporated the following measures: (i) the right-of-way (ROW) will be fenced for safety reasons and to secure the corridor only after the second phase (6 lanes); and (ii) all crossings will be done under bridges or through underpasses in the embankment - Since no horizontal crossing will be allowed, community road safety awareness is no longer necessary	- Pre-Opening Road Safety Audit will be conducted 6 months before traffic is allowed on the road.  - Not included in DDIS supervision phase contract. A contract variation needs to be prepared for the Pre-Opening Road Safety Audit
<b>vi. Risk of HIV/AIDS &amp; Human Trafficking</b>	- A HIV/AIDS and Human Trafficking Awareness and Prevention Program will be prepared and implemented.	- Undertook detailed planning in consultation with various stakeholders such as Provincial AIDS centers, Women' Union from April to September 2012	- HIV/AIDS and Human Trafficking HIV/AIDS and Human Trafficking Prevention Program has been approved by ADB in June 2013 and by MOT on 26th August 2013 - Implementation and monitoring of this activity carried out by the DDIS consultant started in October 2013 for a period of 3 years - Progress is reported in the CIPM/DDIS quarterly reports (6 quarterly reports on social and resettlement submitted to ADB/DFAT up to now) and in the monthly and quarterly report submitted by DDIS for the whole project activities; - As per February 2015, the number of workers in 3 package was CW1B: 250 (224 M; 26 F); CW3A: 712 (683 M; 29 F)
	- HIV/AIDS awareness and prevention measures to be included in the contractors' contracts.	-	- HIV/AIDS and Human Trafficking awareness and prevention measures included in the contractors' contracts (, item Section 1.1 Social Provisions in Contract Documents);

Issues	Actions Required in FS & Timing	Actions Taken During Detailed Design	Status of implementation as of 29 July 2015
			-
<b>vii. Women</b>	<ul style="list-style-type: none"> <li>- Gender concerns are to be main-streamed in all project components, as part of the RPs, HIV/AIDS and Human Trafficking Awareness and Prevention Program, Income Restoration Strategy, Road Safety, Labor issues, &amp; Communication Plan.</li> <li>- (2011 – 2016)</li> </ul>	<ul style="list-style-type: none"> <li>- Considered partnership with Women's Union (WU). Undertook extensive consultations with Women's union at all levels to assess WU's capacity, commitment, and available resources from 2013 to 2017.</li> </ul>	<ul style="list-style-type: none"> <li>- CIPM, through DDIS consultant entered a partnership with Women's Union for the following activities: (i) resettlement plan including income restoration program; and (ii) HAPP and HTPP.</li> <li>- A gender strategy has been prepared for the activities stated above included in the SAP;</li> <li>- Estimated cost: 68,363 USD (excluding IRP and HAPP &amp; HTPP).</li> <li>- <b>For resettlement activities:</b> WU follow-up implementation and assist women and vulnerable groups; WU conducted focus groups discussions with women and vulnerable groups; WU were present during consultation and encouraged women to participate; WU also follow-up relocation of HH;</li> <li>- <b>For Income Restoration Program:</b> women participated to the preparation of the detailed IRP assisted women and vulnerable HH to choose an income restoration activity; during implementation, WU is in charge of the small business component of the IRP;</li> <li>- <b>For HAPP and HTPP</b>, WU are also involved in the implementation;</li> <li>- <b>Capacity building:</b> First and Second round of capacity building for gender mainstreaming conducted in Dong Thap province and Can Tho City respectively in September-October 2011 and in May 2015;</li> <li>- Progress is reported in the CIPM/DDIS quarterly reports (6 quarterly reports on social and resettlement submitted to ADB/DFAT up to now) and in the monthly and quarterly report submitted by DDIS for the whole project activities;</li> </ul>
<b>viii. Labour</b>	<ul style="list-style-type: none"> <li>- Contractors' contracts to include conditions to ensure occupational health and safety; do not differentiate payment between women and men, and those who belong to local ethnic Khmer groups, for work of equal value; prevent</li> </ul>	<ul style="list-style-type: none"> <li>- Contractor's contract have been reviewed to ensure that clauses related to OH&amp;S and gender equity issued are included</li> <li>-</li> </ul>	<ul style="list-style-type: none"> <li>- Clauses related to: i) Occupational Health &amp; Safety; ii) promotion of gender equity and prevention of gender-based discrimination; and iii) prevention of use of child labour have been included in contractors' contracts (in Annex 3: Report on Other Social issues, item Section 1.1 Social Provisions in Contract Documents).</li> <li>- Survey on Employment Opportunities for the Local People and for women conducted among contractors in November 2014 (see Quarterly report 5);</li> <li>- Monitoring of this activity carried out by the DDIS consultant; Contractors</li> </ul>

Issues	Actions Required in FS & Timing	Actions Taken During Detailed Design	Status of implementation as of 29 July 2015
	use of child labor; and comply with the government's labor laws and related international treaty obligations		and sub-contractors are hiring local people (12%) but few woman are hired. Women are hired mainly for services (cooking, cleaning) and very few women workers are hired due mainly to gender stereotype (women don't like hard work outside) CIPM/DDIS will work with contractors/sub-contractors to facilitate employment of local people and in particular women. C
<b>ix. Indigenous Peoples</b>	- No action required; already included under labour issue	- DDIS carried out its own assessment. Ethnic minorities have not been identified as an issue in the proposed project. No ethnic Khmer will be affected. - Specific to labour issues, reviewed the contractor's contract.	- Clauses related to prevention of personal characteristics based on discrimination have been included in contract documents;
<b>x. Poor Communication/ Information Dissemination</b>	- A communication plan will be prepared. - (2011- 2016)	-	- Communication strategies are included in the following documents: (i) Resettlement Plans; (ii) HAPP and HTPP; (iii) Ferry operations; and (iv) Gender Strategy report. - No Gender Strategy Report was prepared; CIPM/DFAT prepare quarterly reports on social and resettlement which include gender issued;
<b>xi. Consistent application of safeguards</b>	- Adoption of common ADB safeguard policies and requirements for all project components - (2011- 2016)	- DDIS worked with Local authorities in charge of resettlement implementation	- The Final Resettlement Plans prepared cover KEXIM section. The Resettlement Plans were prepared in accordance with ADB Safeguard Policy Statement.

## Annex 8: Photos of Workshops on Capacity Building on Gender Mainstreaming (May 2015)



Workshop in can Tho City with key department leaders



Workshop in Thoi Thuan ward with representatives of ward/commune and hamlets







Workshop in Dong Thap Province with provincial and district staff



Workshop in Dong Thap Province with representatives of communes and wards

### Annex 9: Planning of Resettlement and Social Activities from August to October 2015

	AUGUST	SEPTEMBER	OCTOBER
<b>RESETTLEMENT</b>			
-Preparation/Review documents	- Finalization of report on the review of assistance for HH affected by the reduction of the construction yards at Tan My and Tinh Thoi commune; -	- Finalization of addendum to the RP of Dong Thap on HH affected by both local project and CMDCP;	- Finalize report on land inside the four interchanges;
-Supervision activities	- Continuous; Resettlement specialists on site;	- Continuous; Resettlement specialists on site	- Continuous; Resettlement specialists on site
<b>SOCIAL ACTION PLAN</b>		-	-
-Employment of local people during construction	-	- Assist contractors for contract 1C and 2A, 2 B 2C to hire local people; - Assess employment of women	-
-Capacity building	-	- Third round of capacity building in gender mainstreaming	-
<b>INCOME RESTORATION PROGRAM</b>			
-IRP implementation	- Assist SC & WG to start IRP implementation in Can Tho City (Thoi Thuan ward and Vinh Trinh commune) - Assist SC & WG to start IRP implementation in Dong Thap Province for Stage 1 (Dinh An and Tinh Thoi communes)		- Follow-up implementation of IRP;
<b>- QUARTERLY REPORT</b>		-	-
	-	-	- Preparation of the 8th Quarterly Report