

# Semi-Annual Social Safeguard Monitoring Report

Project Number: 40648-033

Period: July 2014 - December 2014

# IND: Infrastructure Development Investment Program for Tourism – Tranche 2

Submitted by

Department of Tourism, Government of Uttarakhand

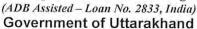
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Asian Development Bank



# **Program Management Unit**

# Infrastructure Development Investment Program for Tourism



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Uttarakhand Simply Heaven!

Ref:2197/2-10-ADB IDIPT/223/2014-15

Date: 12.03.2015

To

Country Director, Indian Resident Mission (INRM), Plot no -4, San Martin Marg, Chanakyapuri, New Delhi 110021, PB No-53311 (HPO)

Sub: Loan No. 2833 IND- IDIPT, Submission of Semiannual Safeguard Monitoring Report (Environment and Social), for July – December, 2014

Respected Madam,

Please find enclosed the **Semiannual Safeguard Monitoring Report** (Environment and Social), for duration July – December, 2014 for your kind perusal and approval.

Encl.:- As above

Yours Sincerely

(R.K. Joshi)

Additional Program Director

IND: Infrastructure Development Investment Program for Tourism, Uttarakhand
Asian Development Bank Loan No. 2833 IND
Semi- Annual Social Safeguard Monitoring Report (July - December 2014)
Prepared by Department of Tourism, Government of Uttarakhand for Asian Development Bank  March 2015
This social safeguard monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

# **ABBREVIATIONS**

ADB	_	Asian Development Bank
AP	_	Affected Person
BPL	_	Below Poverty Line
СВО	_	Community Based Organization
CDS	_	Community Development Specialist
CLC	_	City Level Committees
DSC	_	Design and Supervision Consultants
DPs	_	Displaced Persons
DPR	_	Detailed Project Report
EMP	_	Environment Management Plan
GRC	_	Grievance Redress Committee
GRM	_	Grievance Redress Mechanism
IDIPT	_	Infrastructure Development Investment Programme for Tourism
IEC	_	Information Education and Communication
MTS	_	Marketing and Training Specialist
PIU	_	Program Implementation Unit
PMC	_	Program Management Consultants
PMU	_	Project Management Unit
LAA	_	Land Acquisition Act
MFF	_	Multi-tranche financing facility
NGO	_	Nongovernmental organization
NRRP	_	National Resettlement and Rehabilitation Policy
OMC	_	Operations and Maintenance Contractors
PAH	_	Project affected household
SDS	_	Social Development Specialist
SSE	_	Safeguard Specialist Environment
TRH	_	Tourist Rest House
RP	_	Resettlement Plan
GMVN	_	Garhwal Mandal Vikas Nigam
KMVN	_	Kumaun Mandal Vikas Nigam
Gol	_	Government of India
GoUK	_	Government of Uttarakhand
SO		Safeguard Officer
SMR	_	Social Monitoring Report
UTDB	_	Uttarakhand Tourism Development Board
VC		Valuation Committee

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# 1. Project Fact Sheet:

Loan no.	2833 IND
Project Name	Infrastructure Development Investment Programme for Tourism, Uttarakhand
Executing Agency	Tourism Development Department Government of Uttarakhand is the Executing Agency (EA) for IDIPT. The EA has established the Program Management Units (PMU) to execute, manage and monitor the implementation of the Investment Program, and to provide overall policy directions. PMU has the mandate to closely supervise and monitor every component under the Investment Program.
Implementing Agency	03 PIUs have been set up at Dehradun, Bhimtal and Kotdwar for implementation of the subprojects and program activities.
Monitoring Period Covered	July 2014 to December 2014

# 2. Program Background & Description:

The Infrastructure Development Investment Program for Tourism (IDIPT) targets enhanced economic growth and provision of livelihood opportunities for local communities through tourism infrastructure development with a focus on preservation and development of natural and cultural heritage and incidental services. The Program supports the state of Uttarakhand to develop the tourism sector as a key driver for economic growth.

# A- Objectives

The program objectives are:

- To enhance economic growth of the region.
- To provide livelihood opportunities for local communities through tourism infrastructure development, with a focus on preservation and development of natural and cultural heritage and incidental services.

#### **B- Impact and Outcome**

The expected impact of the Program is increased volume of domestic and international tourists to destinations within the state of Uttarakhand. The expected outcome of the Program is enhanced tourism environment in the state of Uttarakhand.

#### **C- Outputs**

The outputs of the Program are:

1. Improved basic urban infrastructure and services at tourist destinations and attractions.

- 2. Improved connectivity
- 3. Enhanced quality of natural and cultural attractions
- 4. Greater participation by local communities in tourism-related economic activities
- 5. Strengthened capacity of sector agencies and local communities for planning, development, management, and marketing of tourist destinations and attractions

This consolidated report outlines monitoring implementation of social safeguard activities between July 2014 and December 2014 in accordance with the ADB Safeguard Policies.

Table 1: Progress of Subprojects/packages under Tranche-2 with Scope of Land Acquisition and Resettlement:

S. No.	Sub-Project Name	Award of contract	Cumulativ e Progress (%)	Scope of Land Acquisition
1	Development of Tourism infrastructure facilities at Assan Barrage Dehradun - Lot 1 UK/IDIPT/DDN/01	Contract Awarded to M/S Rajendra Kumar Tripathi, Pratapgarh @ INR 2.61 Crore	35 %	Category C of ADB (SPS), 2009. No impact
		Contract Signing Date:- 10.2.14		
2	Development of Tourism infrastructure facilities at Assan Barrage Dehradun-Lot 2	Dropped		
	UK/IDIPT/DDN/02			
3	Development of Yamuna Circuit (Tiger Falls & Lakhamandal Temple)- Lot 1 UK/IDIPT/DDN/03	Contract Awarded to M/S Aradhya Engineers and Construction & Pvt. Ltd, Rishikesh @ INR 1.73 Crore	25 %	Lakhamandal: Category C of ADB (SPS), 2009. No impact.
		Contract Signing Date:- 9.6.14		The IR impact was envisaged in Tiger Falls and considered as category "B". Resettlement Plan approved by ADB on 01/5/14.
4	Development of Yamuna Circuit (Deoban)- Lot 2 UK/IDIPT/DDN/04	Under Forced Account MoU has been signed with Forest Department on 18th		DDR/RP will be prepared once

	September 2014 @ INR 0.26 crore		the scope is finalized
Development of Yamuna Circuit (Hanol Mahasu)- Lot 3 UK/IDIPT/DDN/05	Contract Awarded to M/S Degmo Riba Itanagar @ INR 8.75 Crore Contract Signing Date:- 15.5.14	5 %	Category C of ADB (SPS), 2009. No impact
Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-1)			Category C of ADB (SPS), 2009. No impact
Development of Adventure Tourist Centre in Uttakhand( Lot-1)			Category C of ADB (SPS), 2009. No impact
Development of North Entry in Corbett Sub- project	Contract Awarded to M/S Degmo Riba Itanagar @ INR 11.01 Crore	< 1%	Category C of ADB (SPS), 2009. No impact
UK/IDIPT/KOT/01	Contract Signing Date:- 09.7.2014		'
Signage Sub-project in Uttarakhand UK/IDIPT/KOT/02	Contract Awarded to M/S Renobyer SA Madrid Spain In JV with M/s Prabhatam Advertising Pvt. Ltd. @ INR 47.08 Crore		Category C of ADB (SPS), 2009. No impact
	Contract Signing Date:- 30.12.2014		
Adventure Sports Centre at Tehri - Lot-1 UK/IDIPT/KOT/03 Lot-1			Category C of ADB (SPS), 2009. No impact
Adventure Sports Centre at Tehri under PIU Kotdwar - Lot 2 UK/IDIPT/KOT/03 Lot-2	Contract Awarded to M/S Sunil Garg & Company in JV with Jai Durge Trading & Construction Company @ INR 11.98 Crore		Category C of ADB (SPS), 2009. No impact
	Contract Signing Date: 30.12.2014		
Haridwar Ganga Canal	Kept in abeyance		
Beautification of Jagjit-pur /Silt ejector canal at Haridwar			
	Circuit (Hanol Mahasu)- Lot 3 UK/IDIPT/DDN/05  Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-1)  Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-2)  Development of North Entry in Corbett Sub- project  UK/IDIPT/KOT/01  Signage Sub-project in Uttarakhand  UK/IDIPT/KOT/02  Adventure Sports Centre at Tehri - Lot-1  UK/IDIPT/KOT/03 Lot-1  Adventure Sports Centre at Tehri under PIU Kotdwar - Lot 2  UK/IDIPT/KOT/03 Lot-2  Haridwar Ganga Canal  UK/IDIPT/KOT/04  Beautification of Jagjit-pur /Silt ejector canal at	Development of Yamuna Circuit (Hanol Mahasu)- Lot 3 UK/IDIPT/DDN/05  Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-1)  Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-2)  Development of Adventure Tourist Centre in Uttakhand( Lot-1)  UK/IDIPT/DDN/06 (Lot-2)  Development of North Entry in Corbett Sub- project  UK/IDIPT/KOT/01  Signage Sub-project in Uttarakhand  UK/IDIPT/KOT/02  Signage Sub-project in Uttarakhand  UK/IDIPT/KOT/02  Adventure Sports Centre at Tehri - Lot-1  UK/IDIPT/KOT/03 Lot-1  Adventure Sports Centre at Tehri under PIU Kotdwar - Lot 2  UK/IDIPT/KOT/03 Lot-2  UK/IDIPT/KOT/03 Lot-2  Haridwar Ganga Canal  UK/IDIPT/KOT/04  Beautification of Jagjit-pur /Silt ejector canal at  Contract Awarded to M/S Renobyer SA Madrid Spain In JV with M/s Prabhatam Advertising Pvt. Ltd. @ INR 47.08 Crore  Contract Signing Date: 30.12.2014  Kept in abeyance  Kept in abeyance	Development of Yamuna Circuit (Hanol Mahasu)- Lot 3 UK/IDIPT/DDN/05  Development of Adventure Tourist Centre in Uttakhand( Lot-1) UK/IDIPT/DDN/06 (Lot-0) Development of Adventure Tourist Centre in Uttakhand( Lot-1) UK/IDIPT/DDN/06 (Lot-1) Development of North Entry in Corbett Sub- project UK/IDIPT/KOT/01  Signage Sub-project in Uttarakhand UK/IDIPT/KOT/02  Adventure Sports Centre at Tehri - Lot-1 UK/IDIPT/KOT/03 Lot-1  Adventure Sports Centre at Tehri under PIU Kotdwar - Lot 2 UK/IDIPT/KOT/03 Lot-2  UK/IDIPT/KOT/03 Lot-2  Adventure Sports Centre at Tehri under PIU Kotdwar - Lot 2 UK/IDIPT/KOT/03 Lot-2  UK/IDIPT/KOT/03 Lot-2  Haridwar Ganga Canal UK/IDIPT/KOT/04  Beautification of Jagjit-pur /Silt ejector canal at  Contract Awarded to M/S Renobyer SA Madrid Spain In JV with M/s Prabhatam Advertising Pvt. Ltd. @ INR 47.08 Crore  Contract Signing Date: 30.12.2014  Kept in abeyance

	UK/IDIPT/KOT/05			
14	Upgradation of Tourist Facilities at TRH Parichay UK/IDIPT/BHT/01	Contract Awarded to M/S Degmo Riba Itanagar @ INR 4.39 Crore  Contract Signing Date:- 15.5.2014	2 %	Category C of ADB (SPS), 2009. No impact
15	Amelioration of Lake Naukuchiatal UK/IDIPT/BHT/02	Contract Awarded to M/S Global Aqua Treatment Technology Pvt. Ltd, Rudrapur @ INR 12.70 Crore  Contract Signing Date: 26.6.2014	22.5 %	Category C of ADB (SPS), 2009. No impact
16	Trek Trail from Pithoragarh to Chandak UK/IDIPT/BHT/03 Lot-1	Contract Awarded to M/S Soar Valley Construction & JS Matiyani, Pithoragarh @ INR 0.89 Crore Contract Signing Date: 3.6.2014	15 %	Category C of ADB (SPS), 2009. No impact
17	View Point at Mostamanu Temple UK/IDIPT/BHT/03 Lot-2	Contract Awarded to M/S Soar Valley Construction & JS Matiyani, Pithoragarh @ INR 0.81 Crore Contract Signing Date: 3.6.2014	7.25 %	Category C of ADB (SPS), 2009. No impact
18	Conservation of Pithoragarh Fort UK/IDIPT/BHT/03 Lot-3	Contract Awarded to M/S Degmo Riba Itanagar @ INR 4.55 Crore  Contract Signing Date:- 25.9.2014	Work is to start	Category C of ADB (SPS), 2009. No impact
19	Development of Adventure Center Baur Jalasaya ( Lot-1) UK/IDIPT/BHT/04 Lot-1			Category C of ADB (SPS), 2009. No impact
20	Development of Adventure Center Baur Jalasaya ( Lot-2) UK/IDIPT/BHT/04 Lot-2			Category C of ADB (SPS), 2009. No impact

#### 3. Institutional Arrangements:

Tourism Development Department Government of Uttarakhand is the Executing Agency (EA) for IDIPT. The EA has established the Program Management Units (PMU) to execute, manage and monitor the implementation of the Investment Program, and to provide overall policy directions. PMU has the mandate to closely supervise and monitor every component under the Investment Program. The PMU is assisted by the Project Management Consultant (PMC) who manages the Program and assures technical quality of the design and construction.

03 PIUs have been set up at Dehradun, Bhimtal and Kotdwar for implementation of the subprojects and program activities. The PIU is headed by an Executive Engineer (EE) and the social safeguards issues are under the purview of Community Development Officer (CDO) posted at each PIU. The PIU Head will report to PMU. The CDO at PIU will report to PIU Head and seek guidance with regard to resettlement plan implementation from Community Development Specialist (CDS) at PMU and will be main link between the RP implementation agency, APs/DPs and PMU. The Design and Supervision Consultants (DSC), who are designing the Infrastructure, managing the tendering of Contractors and supervising construction, support the PIUs. The Safeguard Specialists of the DSC together with the Community/Social Development Officer of PIUs provide guidance for the implementation of Resettlement Plans.

Table 2: Institutional Roles and Responsibilities:

Activities	Responsible Agency
Sub-project Initiation Stage	
Finalization of sites/alignments for sub project	PIU/DSC
Disclosure of proposed land acquisition and sub-project details by issuing Public Notice Meetings at community/ household level with APs/DPs of land/property Formation of Valuation Committees	PIU/DSC
RP/DDR Preparation Stage	
Conducting Census of all APs/DPs	PIU/DSC
Conducting FGDs/ meetings / workshops during SIA surveys	PIU/DSC
Computation of Replacement Values of land/properties proposed for acquisition and for associated assets	PIU/DSC
Categorization of APs/DPs for finalizing entitlements	PIU/DSC
Formulating compensation and rehabilitation measures Conducting discussions/ meetings/ workshops with all APs/DPs and other stakeholders.	PIU/DSC
Fixing compensation for land/property with titleholders	PIU/DSC
Finalizing entitlements and rehabilitation packages	PIU/DSC
Disclosure of final entitlements and rehabilitation packages	PIU/DSC
Approval of RP/DDR	PMU/ADB

RP Implementation Stage	
Implementation of proposed rehabilitation measures	PIU/DSC
Consultations with APs/DPs during rehabilitation activities	PIU/DSC
Grievance Redressal Committee (Both at State level and City level)	PMU/PIU/DSC
Internal Monitoring	PMU
External Monitoring	External

**Table 3: Institutional Status Readiness Checklist:** 

No	Item	Status (Ready/Not ready/NA)	Follow up required (Y/N)	Completion Deadline
1	Mobilization of civil works contractor	Ready	Υ	
2	Mobilization of Project Supervision	Ready	Υ	
3	EA's Safeguards Unit with designated staff	Ready	Υ	
4	Recruitment of External Monitoring Agency	NA	N	

# 4. Status of IR & IP Impact:

In Tranche 2 of IDIPT Uttarakhand there is no case of land acquisition in any subproject. In 1 subproject package the minor impact was anticipated in terms of temporary loss of livelihood of 03 APs/DPs and permanent loss of a Govt. structure resulted permanent loss of livelihood of 01 DP, in the package no. (UKIDIPT/DDN/03) Development of Yamuna Circuit (Tiger falls). The overall IR category of IDIPT Uttarakhand is "B". The exact impact on APs/DPs was analyzed in IIIrd & IVth quarter of 2014 during execution and found avoidable with minor change in the drawing on request of Village Panchayat in the open meeting of 03 Villages held on 9th September 2014. (Annexure- V)

There is no indigenous people living in the subproject area having attachment to distinct habitation or territories within the subproject areas resulted IP category "C".

Till date 12 Due Diligence Reports (DDRs) and 01 RP were prepared for the subprojects, following SPS 2009. These DDRs & RP have been approved by ADB as per the **Annexure - I**.

**Table 4: Project Social Safeguards Impact Categorization:** 

Project Data					
Name of Project	Loan No-2833-IND: Infrastructure Development Investment Program for Tourism, Uttarakhand				
Project Disbursement Status	1.43 Million \$ (July – Dec. 2014)				
Type of contract	□ Regular □ Design-Build □ Combined				
Safeguards Categorization	A B C				
IR		В			
IP			С		

**Table 5: Safeguard Requirements:** 

No	Item	Status (Ready/Not ready/NA)	Follow up required (Y/N)	Completion Deadline
1	Safeguards monitoring included in the project progress report	Ready	Υ	
2	Final/ updated RP/IPP1 prepared and submitted	NA		
3	Project's Grievance Redress Mechanism established	Ready	Υ	
4	Recruitment of third independent party for negotiated land acquisition	NA		
5	Project's ESMS (for FI) established	NA		

**Table 6: Design and Engineering Status** 

Item	Status (Y/N)	Follow up required (Y/N)	Type of Required Action (Please tick appropriate box)
Final detailed engineering design of the sub project completed	Υ	N	<ul><li>□ Updated draft IR/IP</li><li>□ New RP/IPP preparation</li><li>⋈ No action</li></ul>
Changes in project design/ scope (occurred or envisaged)	N	N	<ul> <li>□ Project re-categorization (IR/IP)</li> <li>□ IR/IP Impact assessment</li> <li>□ New RP/IPP preparation</li> <li>☑ No action</li> </ul>

Table 7: Project IR Impact (Anticipated Impact as per RP: Tiger Falls)

Type of Impact	Number of APs/DPs
1.Permanent Loss	
Title Holder/Land Owner	01 (It was anticipated to demolish one shop constructed on Government land, resulted impact on 01 DP as per RP)

<sup>&</sup>lt;sup>1</sup> Detail checklist for RP/IPP provided in DDR reports.

Total Loss of land (sq.mts)	13.38 (anticipated)
Loss of trees	NIL
Total land Holding in that Particular Plot (sq.mts)	NIL
Occupation	Business: Tea Snack
Vulnerability	NA
Annual Income (INR)	1.20 Lacs.
2.Temporary Loss	
A)Temporary Loss/ Disruption of Business(Nos.)	03 (anticipated)
B)Temporary Loss/ Disruption of Business Employees of the business enterprises(Nos)	Nil
3. Encroacher in ROW	
A) Encroacher in ROW (Nos.)	03 (anticipated)
Affected CPRs	NIL

# 5. Implementation of Resettlement Activities:

# Table 8: RP/ IPP Monitoring Checklist:

Item No.	Safeguards Related Requirements		Completion Deadline	Progress to Date/ Remarks
1.0	MANAGEMENT			
Institut	ional and Financing Arrangements			
1.1	Financing and budget : (i) safeguard office and staff; (ii) RP/IPP cost	Ready		
1.2	Establish Safeguard Unit: (i) resettlement offices (ii) resettlement staff as in RP (iii) safeguards' database (iv) facilities (v) safeguards training	Ready		
Updati Detaile	ing of DDR/RP/IPP based on ed Design	NA		

Item No.	Safeguards Related Requirements	(Ready/Not	Completion Deadline	Progress to Date/ Remarks
		ready/ NA)		
1.3	Update DDR/RP/IPP: (i) revise based on DMS (ii) revise implementation schedule (iii) revise budget			All subproject packages are Category C for IR and IPP only 01 Tiger falls is IR Category B
1.4	Compensation rates: (i) approved by Government; (ii) Adequate as per RP/RF; (iii) APs/DPs informed.		Impact avoided	Tiger Falls Category B
Disclo	sure and Grievance Redress Arrang	ements		
1.5	Disclose final/updated RP/IPP: (i) to the APs/DPs in local language; (ii) in ADB website; (iii) in EA's website		Completed for Current Tranche	All subprojects Category C for IR and IPP only 01 Tiger falls is IR Category B
1.6	Disclose project activities to affected communities: (i) put up a project sign boards; (ii) distribution of project leaflets and GRM to affected communities	Ready	Leaflets distributed, Notice Issued to APs/DPs but due to disagreement of Village Panchayat the design modified and impact avoided	
1.7	Grievance redress committees: (i) clear terms of reference; (ii) appointment decree; (iii) publicly disclosed among DPs.	Ready	Shall be complied with	
1.8	Grievance redress records: (i) list and numbers of grievances received; (ii) numbers of resolved issues; (iii) numbers of cases under GRC review; (iv) outstanding cases (filed to court)	Ready	Shall be complied with	All subprojects Category C for IR and IPP Only 01 Tiger falls is IR Category B
Monito	oring and Reporting Arrangements	1	1	

Safeguards Related Requirements		Completion	Progress to Date/ Remarks
	ready/ NA)	Deadline	
Set up internal monitoring system: (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment; (iii) reporting mechanism	Ready		
Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports.	NA*		All subprojects Category C for IR and IPP Only 01 Tiger falls is IR Category B
Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.	NA		All subprojects Category C for IR and IPP Only 01 Tiger falls is IR Category B
RP/IPP IMPLEMENTATION			
Itation			
Consultation: (i) responsibility; (ii)stakeholders Identified; (iii) consultation and process; (iv) documentation	Ready	done at local level, PM level and finally	Category C for IR and IPP Only
ng and Assets Inventory			
AP/DP Identity cards & affected assets records: (i) issuance and distribution of AP/DP ID cards, (ii) detail measurements survey of affected assets and documentation	Ready	Not Needed	Category C for IR and IPP only 01 Tiger falls is IR Category B
Land acquisition activities: (i) maps of affected and relocation sites; (ii) acquisition & relocation schedule; (iii) coordination with the asset's acquiring, transfer and registration bodies	NA		Category C for IR and IPP
Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources	NA		Category C for IR and IPP
	NA		
	Set up internal monitoring system: (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment; (iii) reporting mechanism  Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports.  Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.  RP/IPP IMPLEMENTATION  Itation  Consultation: (i) responsibility; (ii)stakeholders Identified; (iii) consultation and process; (iv) documentation  mg and Assets Inventory  AP/DP Identity cards & affected assets records: (i) issuance and distribution of AP/DP ID cards, (ii) detail measurements survey of affected assets and documentation  Land acquisition activities: (i) maps of affected and relocation sites; (ii) acquisition & relocation schedule; (iii) coordination with the asset's acquiring, transfer and registration bodies  Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources	Set up internal monitoring system: (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment; (iii) reporting mechanism  Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports.  Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.  RP/IPP IMPLEMENTATION  Itation  Consultation: (i) responsibility; (ii) stakeholders Identified; (iii) consultation and process; (iv) documentation  Mg and Assets Inventory  AP/DP Identity cards & affected assets records: (i) issuance and distribution of AP/DP ID cards, (ii) detail measurements survey of affected assets and documentation  Land acquisition activities: (i) maps of affected and relocation sites; (ii) acquisition & relocation schedule; (iii) coordination with the asset's acquiring, transfer and registration bodies  Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources  Passation, Relocation and NA	Set up internal monitoring system: (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment; (iii) reporting mechanism  Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports.  Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.  RP/IPP IMPLEMENTATION  Itation  Consultation: (i) responsibility; (ii) stakeholders Identified; (iii) consultation and process; (iv) documentation  RP/DP Identity cards & affected assets records: (i) issuance and distribution of AP/DP ID cards, (ii) detail measurements survey of affected assets and documentation  Land acquisition activities: (i) maps of affected assets and documentation  Land acquisition with the asset's acquiring, transfer and registration bodies  Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources and sensation, Relocation and not passet in and schedule; (iii) funding sources and sensation, Relocation and land passet in and schedule; (iii) funding sources and sensation, Relocation and land passet in and schedule; (iii) funding sources and and sensation, Relocation and passet in and schedule; (iii) funding sources and and and schedule; (iii)

Item No.	Safeguards Related Requirements	Status (Ready/Not ready/ NA)	Completion Deadline	Progress to Date/ Remarks
2.5	Payment of compensation and entitlements (as stated in the RP): (i) schedule of payment; (ii) records/receipts of payment	Ready	Valuation Committee constituted and assessed the compensation package but later the impact was avoided	01 Tiger falls is
2.6	Relocation of process ( relocation site readiness): (i) housing & associated facilities established; (ii) transfer of new land/plot/ house ownerships completed	NA	Not needed	Category C for IR and IPP
2.7	Provision of (a) income restoration, (b) additional assistance for vulnerable APs/DPs, (c) training, etc. (as stated in the RP): (i) per type of activities; (ii) schedule of implementation	Ready	Not needed	Category C for IR and IPP only 01 Tiger falls is IR Category B
Monito	oring and Reporting			
2.8	Submission of the reports: (i) semi- annual monitoring reports; (ii) external monitoring and evaluation reports (as required); (iii) completion report	Ready	Ist Report submitted IInd is being submitted	Category C for IR and IPP
2.9	Transfer of acquired assets to the acquiring agency	NA		

<sup>\*</sup> External Monitoring not required, Internal Monitoring is sufficient for the project.

1- Key Findings of Monitoring of Asan Barrage Site: The resettlement monitoring was done at the Asan Barrage site and found no IR impact. All the works are being undertaken in the existing campus of GMVN. As per the commitment of GMVN, most of the casual staffs, who was deployed at the Asan site for providing catering and other works has been shifted to other units of GMVN and no livelihood loss of causal workers has been noticed so far. Details are in the below table.

Table- 9 Details of GMVN workers at Asan site during construction

S.No	Name of Staffs	Designation	Previous Location	New location
1	Shri. Ajaypal Kandari	Manager	Asan Site	Asan Site
2	Shri.Pramod Kumar	Receptionist	Asan Site	Asan Site
3	Shri Vikram Singh	Cook	Asan Site	Dak Pathar
4	Shri.Omprakash	Waiter	Asan Site	Asan Site
5	Shri.Hukam Singh	Mali	Asan Site	Asan Site
6	Smt.Geeta Devi	Masalchi	Asan Site	Dak Pathar
7	Shri.Mukesh Kumar	Sweeper	Asan Site	Dak Pathar
8	Shri.Surendra Singh	Room Boy	Asan Site	Dak Pathar
9	Shri.Mahendra Singh	Room Boy	Asan Site	Dak Pathar
10	Shri.Arvind Methane	Guide	Asan Site	Dak Pathar

<u>Gender Issues</u>: Women laborer has been engaged in the civil works. The provision of safe drinking water & separate toilet has been witnessed at the site. There is no case of child laborer found at the construction site. Rest shelters/camps are available for the workers especially for women and finally the contractor is providing equal wages and benefits to both men and women workers for equal value or type of work.

<u>Grievance Redress mechanism:</u> No Grievances received so far. Contractor is maintaining the Grievance register at the site.

<u>Public Consultation at site:</u> Several meetings and consultations were organized with the Laborers, Contractor and the GMVN employees. The consultations were also done with the tourists. It was informed by the Contractor that the women loborer who are engaged in the civil works are being provided rest shelters for fooding & lodging purposes. The registers and job cards are maintained properly. The safety equipment's like-glove, gum boot, helmet, jacket etc. has been provided to the laborer. The instructions have been given by the PIU to take all Health, Hygiene, Safety and Security measures for working labourer and his family, GMVN employees and any tourist who passes through the site during construction activities. As per the feedback given by tourists, who are waiting for subproject completion, the GMVN incharge is hopeful of increase in the tourist flow as a result increase in the revenue.





Work of Administration and kitchen block is under progress

Work of Administration and kitchen block is under progress

PIU& DSC office at Asan site

Temporary canteen provision for tourist at

2- Key Findings of Monitoring of Development of Yamuna Circuit (Tiger falls) site: The work started in June, 14 and as per the initial design, 4 Displaced Persons (DPs) were identified. It was anticipated that 1 would experience permanent loss of livelihood and 3 may temporarily lose their livelihood. The former may lose his commercial structure permanently during construction of Parking, while the other 3 commercial structures can be set back from the ROW to the adjacent land privately owned by these 3 DPs, where they can eventually continue their businesses.

site

Table 10: Details of DPs identified (as per design and DPR)

S.No	Locati on	Name of Owner	Age	Type of structur e	Type of construct ion	Social Catego ry	Vulnerabi lity	Income per month	Nature of Impact
1	Near Presen t Parking site	Khajan Singh s/o Chanda n Singh	25	Tea+Sn ack	Pucca	GEN	NA	10000.0	Loss of Structure and Permanent impact on livelihood

2.	On the way of Tiger Fall	Bagi Ram s/o Tikam singh	47	Tea+Sn ack	Kutcha	GEN	NA	6000.00	Temporary impact on livelihood
3.	Near Tiger Falls	Maya Ram s/o Kashi Ram	60	Tea+Sn ack	Kutcha	OBC	NA	6000.00	Temporary impact on livelihood
4.	Near Tiger Falls	Baldev Singh Rawat s/o Sardar Singh	26	Tea+Sn ack	Kutcha	GEN	NA	6000.00	Temporary impact on livelihood

As per the procedure a "Valuation Committee" (VC) has been constituted in the Chairmanship of Program Director, IDIPT vide Office Order no. 1594/2-10-ADB/IDIPT/PMU/75/2013-14, Dated: 4th September 2014 (Annexure – III). The 1st meeting of the VC was conducted on 22nd Sept.2014, where DSC and PIU have presented the case of 01 DP and 03 APs/DPs before the VC after onsite validation. (Annexure – VIII-A). Based on which the VC have determined the actual value of the structure and exact amount of compensation to DP/APs. The RP disclosed on ADB and EA website. A leaflet was designed with highlights of RP provisions in the local language and distributed among the local public including DP and APs of the Tiger Falls.

The notice was served to the DP by PIU (Annexure-VI) two months before the execution of work at that particular stretch, where it was proposed to dismantle the structure. The matter was discussed with DP in details, in reflection to that the DP has submitted a request to PD to avoid dismantling of the structure as it is the only source of his income and he may not get the newly constructed kiosk again. At the same time he requested Gram Panchayat to advocate the matter with program officials and the other village community members, who have earlier given their written consent to program officials at the design stage. On his request the consultant team organized a meeting with Gram panchayat and other villagers on 9th sept, 2014 (Annexure –V). In the meeting villagers informed that the financial condition of his family is not good. Therefore if the dismantling can be avoided by change in the design they will provide another land for the same work. They also assured that in any case if the structure to be demolished the villagers will allot one kiosk for the DP.

The 02 meetings of Valuation Committee were organized on 22nd Sept,14 (Annexure-VIII-A) & 30th Sept.2014 (Annexure-VIII-B) where it was decided that unanimously one kiosk (provision under this project near Parking) will be allotted to Mr.Khajan Singh in case his structure will be demolished during construction. In continuation to the direction given by VC, a meeting with village panchayat was organized on 13th Oct, 2014, where it was decided by the village panchayat members in the presence of program officials and consultants that the structure of Mr. Khajan Singh would not be demolished and parking and other works will be done within the available land nearby this structure (Annexure - IX). As per the recommendation of village panchayat, PMU has decided that all the works of Tiger Fall project will be carried out in such a way that no IR impact will be occurred in this project (Annexure - X).

As decided the design has been changed. The current scope of work for construction of parking and other works will be done without disturbing the structure of Mr. Khajan Singh. The boundary wall has also been made to avoid any impact on his shop due to program activities.

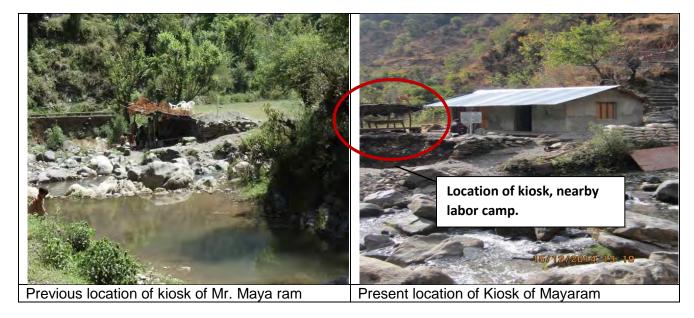


The consultation was done with Mr.Khajan Singh on 13th Dec.2014, where he communicated his gratitude to the program authority, who has taken the merciful decision in his favour keeping his financial conditions in mind. He welcomed the program activities in the area and offered his services by providing fooding and tea to the project laborer at some subsidized prices. He said that his income is little enhanced with the increase in program construction activities due to regular visits of officials/consultants, contractor and the labourer. **Annexure -XI** 

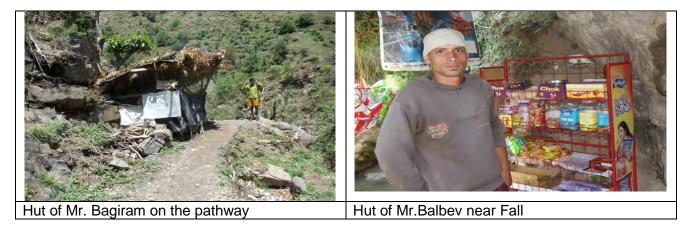


The consultation was also held with Mr. Maya Ram s/o Kashi Ram, at site. He informed that he has already shifted his temporary kiosk in his own land so that proposed bridge works can be done without any disturbances. Excavation work has already started for bridge works near fall. On the quires of social team regarding shifting of his kiosk, Mr. Mayaram informed that he has shifted his kiosk with their own choice, so that project work can be done smoothly. He also informed that presently he is providing tea and snacks to the project staff as a result his income has increased to some extent. He is hopeful for future enhancement of his income with the increase in number of tourists due to the assets creation under the subproject package.





Other 02 DPs Mr.Bagiram, whose kiosk was adjoining the pathway of Tiger Fall and kiosk of Mr. Balbev Singh (Near Fall) was also considered as a displaced persons (DPs) under the Resettlement Plan and budget provisions were made in the RP for possibilities of temporary livelihood losses for both these persons. These two persons usually run their shops in peak season (May to Oct) and remaining time they engaged in agriculture works.



The facts were verified in the month of Dec.2014 by Social Safeguard Specialist DSC Dehradun, who reported that Mr. Bagiram & Mr. Baldev Singh were not found at the site and they will start their activities from May 2015 onwards, till then if the civil works will be completed, which is scheduled in the month of Jan-Feb.2015, there will be no livelihood loss. If works delayed and they will start their activities they will be provided compensation as decided by VC.



Hut of Mr.Bagiram on the pathway, which is presently vacant and work has completed in this stretch.



Hut of Mr. Balbev Singh near fall, which is presently vacant and work is proposed in the month of Jan/Feb 2015



Excavation work for parking



Railing works near Entrance of Tiger Fall



Pathway works has been completed



Bridge work near fall is under progress



# 3- Key Findings of Monitoring of Development of Yamuna Circuit (Hanol Mahasu):

As per Detailed Project Report and final design, no involuntary resettlement was involved in the subproject. A brief due-diligence report was prepared for the documentary requirement for the ADB. The civil works is under progress and monitoring is being done by PMU/PMC/PIU/DSC team and noticed no IR impact in the reporting period. If reported in future the necessary measures will be taken according to SPS 2009. The work is executing on vacant Govt. and Temple trust land for which NOC has already been obtained from Temple trust and village panchayat. However, the consultation and public meetings are the regular activities to inform the local community about the project and its benifits. There is no land acquisition and IR impact envisaged so far and no grievances have been reported during the reporting period.



Site Photographs

# 4- Key Findings of Monitoring of Development of North Entry in Corbett Sub-project:

The physical progress was almost nil during the period. There is no land acquisition and IR impact envisaged so far and no grievances have been reported during the reporting period.

# 5- Key Findings of Monitoring of TRH Parichay:

As on Dec, 2014 the physical work progress was 2 %. A total of 50 labourers were engaged of which 20 were skilled and 30 were unskilled labour including 12 women. 50% of the labour was from the project area. The Project thus generated direct employment to the locals. The Contract was awarded in the month of May, 2014 but the contractor mobilized the labour in the month of July 2014. The contractor has provided basic safety equipment like boots, jacket and helmet. Contractor has been instructed to maintain First Aid box at the site.

The resettlement monitoring review has been done at the sub-project site and found no IR impact at the site. All the works are undertaking in the existing campus of KMVN. As per the commitment of KMVN, most of the casual & regular staffs, who were deployed in the TRH Parichay site for providing catering and other works have been shifted to other units of KMVN and no livelihood loss of causal & regular workers have been interrupted so far. Details are shown in the below table.

Table- 11 Details of KMVN workers at TRH Parichay site during construction (Annexure-XIII)

S.No	Name of Staffs	Designation	Previous Location	New location
1	Mr. H.D Bhatt (Regular)	Manager	TRH Parichay	Parwat Canteen Dhikala, Ramnagar
2	Mr. Bhola Arya	Receptionist	TRH Parichay	TRH Parichay
3	Mr. Shankar Lal (Regular)	Cook	TRH Parichay	Tourist Rest House, Tallital, Nainital
4	Mr. Pitamber Dumka	Waiter	TRH Parichay	Tourist Rest House, Naukuchiatal
5	Mr. Ranjeet Singh Karki	Cook	TRH Parichay	Tourist Rest House, Mukteshwar
6	Mr. Brijesh Kumar	Room cleaner	TRH Parichay	TRH Parichay
7	Mr. Sundar Lal	Helper(Cook)	TRH Parichay	TRH Parichay
8	Mr. Mohan Chandra	Gardener	TRH Parichay	TRH Parichay

<u>Gender Issues</u>: Women laborer has been engaged in the civil works. The provision of safe drinking water & separate toilet has been witnessed at the site. There is no case of child laborer found at the construction site. Rest shelters/camps are available for the workers especially for women and finally the contractor is providing equal wages and benefits to both men and women workers for equal value or type of work.

<u>Grievance Redress mechanism:</u> No Grievances received so far. Contractor is maintaining the Grievance register at the site.

<u>Environment Awareness Program at Naukuchiatal:</u> The program was attended by 170 local community members. The Lectures were delivered on various environment related issues by environment specialists and the program consultants. The unethical human practices and its adverse impact on our environment was discussed in detail. The specialists have also given tips for environment protection, do's and don'ts in our daily life while dealing with natural resources, the possible solutions P a g e 22 | 69

of the major problems were also explained to participants. The impact on Naukuchiatal Lake was explained to the participants. A debate competition was organized for the students of the government college. The female participants emphasized to ban usage of polythene in the region. It was also raised that the systematic planning for the solid waste management is the most required step to be taken from the government side.

In addition a number of stakeholder consultations were organized at Naukuchiatal and Parichay site and in the nearby villages.





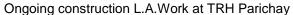
Ongoing Construction works at TRH, Parichay, Main building





A View of labour hutment at TRH Parichay

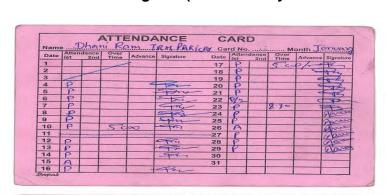






A View of cube testing at TRH Parichay

# Photograph/Copy of Job Cards & Attendance Register (TRH Parichay & Lake Naukuchiatal)



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# 6- Key Findings of Monitoring at Naukuchiatal LakeSite:

The Contract was awarded in the month of May, 2014 but the contractor mobilized the labour in the month of July 2014. As on Dec, 2014 the physical work progress was 22.5 %. A total of 16 labours were engaged of which 5 were skilled and 11 were unskilled labour with no women labour. Most of the labour was from the project area. The Project thus generated direct employment to the locals. Although the contractor has provided basic safety equipment like boots, jacket and helmet. Contractor has been instructed to maintain First Aid box at the site.

**Gender Issues:** No women labor has been engaged for project. No child labor is involved in the construction works. Contractor has been instructed for providing equal wages and benefits to men and women for work of equal value or type.

**Grievance Redress Mechanism:** No Grievance has been received so far. Contractor is maintaining the Grievance register in the site.

**Public Consultation on site:** Several meetings and consultation were held with Labors, Contractor at project site. Attendance Register is maintained regularly. Safety equipment like Shoes, helmet, jacket etc has been provided for the labourers and contractor is regularly instructed by DSC and PIU team for maintaining labourer safety during construction.



A view of compressor house roof shuttering



A view of cube testing compressor house column



A view of ongoing construction work of Compressor house at Naukuchiatal







A view of Supervision of works by PIU and DSC team at Naukuchiatal Lake

# 7- Key Findings of Monitoring of Trek Trail Pithoragarh Site:

The Contract was awarded in the month of May, 2014 but the contractor mobilized the labour in the month of July 2014. As on Dec, 2014 the physical work progress was 15 %. A total of 20 labours were engaged of which 5 were skilled and 15 were unskilled labour with no women labour. Due to minor civil works all the labours were engaged from surrounding villages. The Project thus generated direct employment to the locals. The contractor has provided basic safety equipment like boots, jacket and helmet. Contractor has been instructed to maintain First Aid box at the site.

The work is executing on vacant Municipal land for which NOCs were obtained and reported in DDR. However, the consultations and the public meetings are the regular activities to inform the local community about the project and its benefits. There is no land acquisition and IR impact envisaged so far and no grievances have been reported during the reporting period.



Shelter at nature trail



labour using safety helmet & Gloves



Steps providing in nature trail



ongoing works of nature trail



labour using dustbin at Project site



ongoing works of Pilgrim trail

# 8- Key Findings of Monitoring of Mostmanu Temple site:

The Contract was awarded in the month of May, 2014 but the contractor mobilized the labour in the month of July 2014. As on Dec, 2014 the physical work progress was 7.25 %. A total of 15 labours were engaged of which 3 were skilled and 12 were unskilled labour with no women labour. Due to minor civil works all the labours were engaged from surrounding villages. The Project thus generated direct employment to the locals. Although the contractor has provided basic safety equipment like boots, jacket and helmet. Contractor has been instructed to maintain First Aid box at the site.

The work is executing on vacant Temple Trust land for which NOCs were obtained and reported in DDR. However, the consultations and the public meetings are the regular activities to inform the local community about the project and its benefits. There is no land acquisition and IR impact envisaged so far and no grievances have been reported during the reporting period.



labour using dustbin at Project site



labour using safety helmet



compound wall construction



compound wall construction



shelter at Mostamanu temple



relocated room brick work

# 6. Policy and Legal Framework:

The policy framework and entitlements for the program as well as for this subproject are now to be based on national laws: The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act 2013<sup>2</sup>, state laws and regulations: ADB's Safeguard Policy Statement, 2009 (SPS); and the agreed Resettlement Framework (RF). Based on these, the core involuntary resettlement principles applicable are: (i) land acquisition, and other involuntary resettlement impacts will be avoided or minimized exploring all viable alternative subproject designs: (ii) where unavoidable, time-bound Resettlement Plans will be prepared and APs will be assisted in improving or at least regaining their pre-program standard of living; (iii) consultation with APs on compensation, disclosure of resettlement information to APs, and participation of affected persons in planning and implementing subprojects will be ensured; (iv) vulnerable groups will be provided special assistance; (v) payment of compensation to APs including non-titled persons (e.g., informal dwellers/squatters, and encroachers) for acquired assets at replacement rates; (vi) payment of compensation and resettlement assistance prior to the contractor taking physical acquisition of the land and prior to the commencement of any construction activities; (vii) provision of income restoration and rehabilitation; and (viii) establishment of appropriate grievance redress mechanisms. A detailed policy framework including the comparison of new national law and policies with ADB' SPS is given in Annexure-II.

#### 7. Grievance Redress Mechanism has been established in this Program:

GRC has been established. A grievance of the public and, particularly, the DPs is first brought to the notice to the implementing NGO and Safeguard Expert of DSC, which will be forwarded to the PIU. Grievances not redressed by the PIU will be referred to the PMU, who will, based on review of the grievances, address them in consultation with the Community/Social Development Specialist of the PMU. If the grievance remain unresolved, the Project Director, will refer the issue to the State level empowered committee, which will act as Grievance Redress Committee (GRC) for the Facility. The GRC will resolve the issue within one month from the date of registration of any case in the GRC.

#### 8. Public Consultations:

The activities undertaken during the reporting period have been presented along with the Photographs as documentary evidence of public consultations/Disclosure Meetings/trainings etc. in **Annexure-XII**.

Information will be disseminated to APs/DPs through various means. The finalized DDRs/RP were disclosed at ADB's website and will be disclosed at UTDB web-site. The DDRs/RP are being translated in local language (Hindi) in a leaflet form and PIUs are distributing them among the local community, tourists, line departments, contractors, labour etc. at each subproject site. The information dissemination and consultation will be continued throughout the program implementation.

The Community/Social Development Officer of PIUs and Safeguard Specialist of DSCs are in process of conducting consultations and disseminating information to all APs/DPs. The public disclosure meetings, orientation of labour on safety measures etc. have been organised at Assan Barrage site, Hanol, Lakhamandal, North Entry Corbett and Tehri the details are given in **Annexure-XII.** The RPs/DDRs in the local language have also made available at offices of the: (i) Urban Local Bodies (ULBs)/ Village Panchayats/Temple Committee (ii) relevant local government line agencies (GMVN & KMVN, Forest, Irrigation etc.); and (iii) PMU and PIUs. DDRs/RP will also be kept in the public libraries, accessible to citizens as a means to disclose the document and at the same time creating wider public awareness.

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<sup>&</sup>lt;sup>2</sup> The policy framework and entitlements for the program as well as for this subproject will now based on new national law(applicability is from January 2014) namely;: The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act 2013 and state laws and regulations.; ADB's Safeguard Policy Statement, 2009 (SPS); and the agreed Resettlement Framework (RF).

The PMU and PIUs will provide information on IR policies and features of the DDRs/RP in the disclosure meetings. Basic information such as sub-project location, impact estimates, entitlements, and implementation schedule will be presented in the form of a brochure that will be circulated among DPs/APs. Posters containing basic DDRs/RP information will also be posted in different localities to increase awareness if the impact is major.

#### 9. Lessons Learnt and Good Practices:

- 1. All the basic information of Displaced Persons, like location, composition of structure, gender of household head, housing type, occupation and employment pattern, income source & level etc. were covered to know more about the socio-economic status of the DPs.
- 2. All the DDRs and RPs are prepared after extensive consultation with stakeholders and DPs under the program have been disclosed on the Program and ADB website. The same have also been disclosed to the stakeholders, local community and DPs by translating the salient features into the local language and distributed the information with the help of leaflets, handbills by hand and by displaying on boards of the local administration, the temple committees and GMVN/KMVN offices. With the help of these IEC the stakeholders have been informed with the level of impacts, entitlement to the Displaced Persons, the eligibility criteria, the principles and procedures of compensation. A notice has also been served to the DPs prior to the commencement of works.
- 3. Informative signages about the project, its components and their corresponding cost have been placed at the project site for maintaining the transparency.
- 4. All the measures are being taken to minimize the IR impacts at all sites by the design team. Although no private land acquisition and resettlement impact envisaged in any of the subproject, where the civil works are in progress. The required measures are being taken as per the RP/DDRs for the temporary disruption of the livelihood of the GMVN/KMVN staff working at the site and the DPs whose livelihood is getting affected due to the civil works. The monitoring of all the site are being done as per the ADB safeguard policy statement 2009, on regular basis by the PIUs and DSCs as mentioned above for all the packages, where implementation is under progress.
- 5. When a request received from Mr.Khajan Singh (whose structure was proposed to be dismantled during development of parking near entrance gate of Tiger Fall) was carefully attended by the program authority and took necessary decision in favour of DP to avoid IR impact from the project, which is a commendable example of the lst principle of SPS 2009.
- 6. Valuation Committee (VC) has been constituted and is being performed properly at PMU for taking major decision regarding the compensation packages of the DPs by assessing the actual situation in the field after listening the version of DPs and local community. The IR impact has avoided completely by following the 1st principle of SPS 2009. The follow up of the committee is so strong that the matter has been resolved by organizing 02 continuous meetings on 22nd and 30th Sept, 2014 for Tiger Fall subproject.
- 7. Series of discussions and consultations were held with the DPs as well as other stakeholders for smooth implementation of the projects. Efforts were made to incorporate their suggestion and views in design .and implementation of the projects. For example (Several meetings were held with Villagers and Village Panchayat to avoid dismantling of one structure of Khajan singh made on government land near proposed parking spot (Tiger Falls) by considering his request

- and suggestion of Villagers and Panchayat by change in the design ate the time of implementation.
- 8. The works are being done with minimum inconvenience to the local community and the tourists. The program team has explained the importance of short term inconveniences and long term benefits of the program to the public and people in general cooperate as they understand that the tourist inflow will be increased as outcome of the subprojects. The visitors will spend more time to see the natural sites and to know importance of cultural and heritage sites, use the facilities and pay for them, which will help in improving economy of the region. It will also be a good income source for Uttaranchal Tourism.
- 9. Most of the causal workers of GMVN/KMVN at Asan and TRH Parichay sites have been shifted to the other units of GMVN/KMVN prior to dismantle of existing structures. The livelihood of none of the worker has been impacted due to execution of the subproject packages.
- 10. No grievances has been reported by the local people till date at any of the site. The registers have been maintained by the contractors on the sites to register grievances.
- 11. It has been noticed during site visits that approximately 15% female workers are engaged in construction activities covering all 08 sites during the reporting period.
- 12. Few local loborer have also been engaged in the construction activities and all the workers have a job card and the six days working provision as per the GCC 63.
- 13. Local labours are given priority for the construction activities thus creating livelihood opportunities for the local villagers in the project area
- 14. Consultation, informal discussion, awareness generation and information dissemination is the regular practice being adopted by all PIUs and the DSCs.
- 15. SLEC meetings are being organized on regular basis to review the progress of the works, and its timely completion etc.
- 16. All the Institutional Arrangements have been well established for compliance of ADB safeguard policy.
- 17. Safeguard Specialist has been appointed in PMU/PIUs/PMC and DSCs for review and implementation of safeguard procedures.

# Annexure I: Status of Resettlement Plan/Due Diligence Reports approved by ADB

S.No.	RP/DDR	Status
1.	Asan Barage Lot-1	Approved by ADB on 22 <sup>nd</sup> April 2014
2.	Yamuna Circuit Lot-1 Tiger Falls (RP)	Approved by ADB on 1st May 2014
3.	Yamuna Circuit Lot-1 Lakhamandal	Approved by ADB on 27 <sup>th</sup> May 2014
4.	Yamuna Circuit Lot -3 Hanol	Approved by ADB on 27 <sup>th</sup> May 2014
5.	Yamuna Circuit Lot-2 Deovan	To be submitted
6.	Development of Adventure Sports Centre,	Approved by ADB on 9th Dec. 2014
	Uttarakhand	
7.	North Entry Corbett	Approved by ADB on 6 <sup>th</sup> June 2014
8.	Signage Sub-Project Uttarakhand	Not Needed
9.	Adventure Sports Centre at Tehri Lot- 1 &	Approved by ADB on 12 <sup>th</sup> June 2014
	2	
10.	TRH Parichay	Approved by ADB on 4 <sup>th</sup> June 2014
11.	Amelioration of Lake Naukuchiatal	Approved by ADB on 4 <sup>th</sup> June 2014
12.	Trek Trail from Pithoragarh to Chandak	Approved by ADB on 5 <sup>th</sup> June 2014
13.	View Point at Mostamanu Temple	Approved by ADB on 13 <sup>th</sup> June 2014
14.	Conservation of Pithoragarh Fort	Approved by ADB on 20th June 2014
15.	Development of Adventure Centers under	Approved by ADB on 9th Dec. 2014
	PIU Bhimtal Lot-3	

# **Annexure II: Compliance to National Laws and Policies:**

# **Policy and Legal Framework**

The policy framework and entitlements for the Program are based on national laws: **The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013** and ADB'S Safeguard Policy Statement, 2009 (SPS). The salient features of Government and ADB polices are summarized below.

# I. Government Policy

The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013(The Central Government has notified January 01, 2014 as the effective date of the act,)

The act provides for transparent process and fair compensation to land owners and those affected by land acquisition, for land acquired for public purpose. In determining market price, (Sec 26 read with 1st Schedule) the land owner in urban area will get twice, due to the 100% solatium and in rural area, the multiplying factor is 1 to 2 depending on distance from nearest urban center, to be notified by appropriate government, and then 100% solatium.

Traditionally R&R has been in the form of a one-time compensation, which was decided using the last registered sale or a similar sale in the vicinity of the land as a base. After the proposed project is set up, the land prices rise owing to the benefits of the development. This creates considerable dissatisfaction amongst the displaced people. To prevent such issues, the entire R&R package stipulates the provision of employment by the project owner in cases where jobs are generated by the project and basic infrastructure including drinking water, individual electric connections, health centre etc for the resettled. Over and above this, delivery of the compensation and the R&R are proposed to be preconditions to the transfer of the land title.

The procedure for acquisition and R&R will include a Social Impact Assessment (SIA) which will cover the investigation of public purpose, minimum extent of land required thereof, estimation of displacement and social impact on affected families apart from the overall cost versus benefit analysis for the proposed project.

The act restricts the use of emergency clause to defense, national security and natural calamities. Since there is no land acquisition in "legal terms" in this subproject, therefore this is not applicable.

They are as follows:

- Transportation cost for displaced families Each affected family which is displaced shall get a
  one-time financial assistance of Rs. 50000/- as transportation cost for shifting of the family,
  building materials, belongings and cattle. In this case, commercial structure of one of the DPs
  will be demolished which will not result in displacement but will involve transportation of building
  materials salvaged.
- Each affected family which is displaced from the land acquired shall be given a monthly subsistence allowance equivalent to Rs. 3000/- per month for a period of one year from the date of award. This is applicable to those families whose livelihood is primarily dependent on land acquired. In this sub-project, the livelihood of DPs is not primarily dependent on land to be cleared. As such, assistance for temporary livelihood disruption is provided for six (6) months @ Rs. 3000/- per month.
- Each affected family having cattle or having a petty shop shall get one-time financial assistance
  of such amount as the appropriate Government may, by notification, specify subject to a
  minimum of Rs. 25000/- for construction of cattle shed or petty shop as the case may be. In this

sub-project, 3 petty shops will be impacted. A minimum of Rs. 25000/- each has been provided for these petty shops.

# II. ADB'S Safeguard Policy Statement, 2009 (SPS)

- a. three important elements of ADB's involuntary resettlement policy are (i) compensation to replace lost assets, livelihood, and income; (ii) assistance for relocation, including provision of relocation sites with appropriate facilities and services: and (iii) assistance for rehabilitation to achieve at least the same level of well-being with the project as without it.
- b. For any ADB operation requiring involuntary resettlement, resettlement planning is an integral part of project design, to be dealt with from the earliest stages of the project cycle, taking into account the following basic principles:
  - (i) Involuntary resettlement will be avoided whenever feasible.
  - (ii) Where population displacement is unavoidable, it should be minimized.
  - (iii) All lost assets acquired or affected will be compensated. Compensation is based on the principle of replacement cost.
  - (iv) Each involuntary resettlement is conceived and executed as part of a development project or program. Affected persons need to be provided with sufficient resources to reestablish their livelihoods and homes with time-bound action in co-ordination with civil works.
  - (v) Affected persons are to be fully informed and closely consulted.
  - (vi) Affected persons are to be assisted to integrate economically and socially into host communities so that adverse impacts on the host communities are minimized and social harmony is promoted.
  - (vii) The absence of a formal title to land is not a bar to ADB policy entitlements.
  - (viii) Affected persons are to be identified and recorded as early as possible to establish their eligibility, through a census which serves as a cut-off date, and prevents subsequent influx of encroachers.
  - (ix) Particular attention will be paid to vulnerable groups including those without legal title to land or other assets; households headed by women; the elderly or disabled; and indigenous groups. Assistance must be provided to help them improve their socioeconomic status.
  - (x) The full resettlement costs will be included in the presentation of project costs and benefits.

#### **Comparison of Government and ADB Policies**

A policy comparison between LA Act, New LA Act 2013 and ADB's Safeguards Policy Statement, 2009 is presented in the following table along with measures to bridge the gap.

# Comparison between ADB's Requirements and the Requirements of the Government of India (RFCTLARRA) and Gap Filling Measures

#	ADB Safeguard Requirements (SPS, 2009)	Provisions in the RFCTLARRA, 2013	Measures to bridge the Gaps		
	Objectives				
1	Avoid involuntary resettlement wherever feasible	Same	-		
2	If unavoidable, minimize involuntary resettlement by exploring project and design alternatives	Same	-		
3	To enhance, or at least restore, the livelihoods of all displaced persons in real terms relative to pre-project levels	The SPS requirements will be followed.			
4	To improve the standards of living of the displaced poor and other vulnerable groups.				
	Policy Principles				
identify past, present, and future involuntary resettlement impacts and risks.  any requirement for screening of the project at an early stage for resettlement impacts and risks, it requires carrying out social impact  identify past, present, and future any requirement for screening of the project at an early stage for resettlement impacts and risks, it requires carrying out social impact		Screening of all sub-projects in line with the IR checklist of ADB, towards enabling identification of the potential resettlement impacts and associated risks.			
6	Determine the scope of resettlement planning through a survey and/or census of displaced persons, including a gender analysis, specifically related to resettlement impacts and risks	Carry out census of affected people and their assets to be affected, livelihood loss and common property to be affected; R&R scheme including time line for implementation. (Section: 16. (1) and (2)).	The SPS requirements will be followed.		
7	Carryout consultations with displaced persons, host communities and concerned NGOs. Inform all displaced persons of their entitlements and resettlement options	Municipality, to carry out SIA.  (Section: 4. (1))  I displaced entitlements and  Municipality, to carry out SIA.  (Section: 4. (1))  Public hearing for Social Impact Assessment. Section: 5.			
8	Establish a project level grievance redress mechanism	<ul> <li>Establishment of Land Acquisition, Rehabilitation and Resettlement Authority for disposal of disputes relating to land acquisition, compensation, rehabilitation and resettlement. Section: 51. (1). and Section: 64.</li> <li>The Requiring Body or any person aggrieved by the Award passed by an Authority under section 69 may file an appeal to the High Court within sixty days from the date of award. Section: 74. (1). and (2).</li> </ul>	A project level GRM is included in the RP.		

#	ADB Safeguard Requirements (SPS, 2009)	Provisions in the RFCTLARRA, 2013	Measures to bridge the Gaps
9	Where involuntary resettlement impacts and risks are highly complex and sensitive, compensation and resettlement decisions should be preceded by a social preparation phase.	Social Impact Assessment is must before taking final decision on acquisition of land followed by preparation of R&R Scheme	Social Impact Assessment, awareness campaigns, and social preparation phase will be done for any projects with significant impacts.
10	Improve or restore the livelihoods of all displaced persons through (i) land-based resettlement strategies (ii) prompt replacement of assets with access to assets of equal or higher value, (iii) prompt compensation at full replacement cost for assets that cannot be restored, and (iv) additional revenues and services through benefit sharing schemes where possible.	<ul> <li>Land for land in case of irrigation projects to the land owners losing agricultural land. Land for land in every project to land owners belong to SC and ST community up to 2.5 acres of land. Section: 31 and The Second Schedule</li> <li>Provision of housing units in case of displacement. Offer for developed land. Section: 31 and The Second Schedule</li> <li>Recognizes 3 methods and whichever is higher will be considered which will be multiplied by a factor given in The First Schedule. Compensation given earlier will not be considered; If rates not available floor price can be set; Steps to be taken to update the market value. (Section 26 and The First Schedule)Provision for employment, fishing rights, annuity policy etc. (Section: 31 and The Second Schedule)</li> </ul>	The SPS requirements will be followed.  Structures to be compensated at replacement cost without depreciation
11	If there is relocation, secured tenure to relocation land, better housing at resettlement sites with comparable access to employment and production opportunities, integration of resettled persons economically and socially into their host communities, and extension of project benefits to host communities; provide transitional support and development assistance, such as land development, credit facilities, training, or employment opportunities; and (iii) civic infrastructure and community services, as required.	<ul> <li>A family as a unit will receive R&amp;R grant over and above the compensation and those who are not entitled to compensation. Section: 31</li> <li>Homeless entitled to constructed house, land for land in irrigation projects in lieu of compensation, in case of acquisition for urbanization 20% of developed land reserved for owners at a prices equal to compensation' jobs or a one-time payment or annuity for 20 years' subsistence grant, transportation, land and house registered on joint name husband and wife, etc. Second Schedule</li> <li>Provision for infrastructural amenities in resettlement areas. Section: 32 and Third Schedule</li> </ul>	Alternative resettlement site is proposed in the framework.

#	ADB Safeguard Requirements (SPS, 2009)	Provisions in the RFCTLARRA, 2013	Measures to bridge the Gaps
12	Improve the standards of living of the displaced poor and other vulnerable groups, including women, to at least national minimum standards	<ul> <li>Landless people are considered and eligible for R&amp;R grants. Section: 16. (2).</li> <li>Widows, divorcees, abandoned women will be considered as separate family and entitled to R&amp;R provisions Section: 3. (m)</li> <li>Homeless entitled to constructed house and landless entitled to land in irrigation project. Second Schedule</li> <li>Special provision for Scheduled Caste/Scheduled Tribe; Section: 41.</li> <li>Additional provisions for SC&amp;ST for land for land in irrigation projects, additional sum over and above the subsistence grant. Second Schedule</li> </ul>	The entitlement matrix includes the requirements of both the SPS and the RFCTLARRA.
13	If land acquisition is through negotiated settlement, ensure that those who enter into negotiated settlements will maintain the same or better income and livelihood status	R&R entitlements apply in case of land acquired/purchased for PPP projects and for Private Companies. Section: 2. (2), and 46.	Not envisaged.
14	Ensure that displaced persons without titles to land or any recognizable legal rights to land are eligible for resettlement assistance and compensation for loss of non-land assets.	<ul> <li>The Act recognises: Section: 3. (c)</li> <li>a family which does not own any land but belong to the family of an agricultural labourer, tenant, share-croppers, or artisans or working in affected area for three years prior to the acquisition of the land</li> <li>the Scheduled Tribes and other traditional forest dweller who have lost any of their forest rights</li> <li>family whose primary source of livelihood for three years prior to the acquisition of the land is dependent on forests or water bodies and includes gatherers of forest produce, hunters, fisher folk and boatmen</li> <li>a family residing or earning livelihoods on any land in the urban areas for preceding three years or more prior to the acquisition of the land</li> </ul>	RF mandates that in the case of land acquisition, the date of publication of preliminary notification for acquisition under Section 11(1) of the RFCTLARRA will be treated as the cut-off date for title holders, and for non-titleholders, such as, squatters, the start date of the project census survey.
15	Prepare a resettlement plan elaborating on displaced persons' entitlements, the income and livelihood restoration strategy, institutional arrangements, monitoring and reporting framework, budget, and timebound implementation schedule.	<ul> <li>Preparation of Rehabilitation and Resettlement Scheme including time line for implementation. Section: 16. (1) and (2).</li> <li>Separate development plans to be prepared. Section 41</li> </ul>	-

#	ADB Safeguard Requirements (SPS, 2009)	Provisions in the RFCTLARRA, 2013	Measures to bridge the Gaps
16	Disclose a draft resettlement plan, including documentation of the consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to displaced persons and other stakeholders. Disclose the final resettlement plan and its updates to displaced persons and other stakeholders	<ul> <li>The draft Rehabilitation and Resettlement Scheme prepared shall be made known locally by wide publicity in the affected area and discussed in the concerned Gram Sabhas or Municipalities and in website. Section: 16. (4)</li> <li>The approved Rehabilitation and Resettlement Scheme to be made available in the local language to the Panchayat, Municipality or Municipal Corporation and in website. Section: 18.</li> </ul>	In addition to the publishing of the approved resettlement plans, the RF includes provision for disclosure of the various documents pertaining to RP implementation, as well as disclosure to the affected persons through public meetings and workshops.
10	Include the full costs of measures proposed in the resettlement plan as part of project's costs and benefits. For a project with significant involuntary resettlement impacts and / or indigenous peoples plan, consider implementing the involuntary resettlement component of the project as a stand-alone operation.	The requiring body shall bear the cost of acquisition covering compensation and R&R cost. Section: 19. (2) and Section 95. (1)	The SPS requirements will be followed
17	Pay compensation and provide other resettlement entitlements before physical or economic displacement. Implement the resettlement plan under close supervision throughout project implementation.	<ul> <li>The Collector shall take possession of land after ensuring that full payment of compensation as well as rehabilitation and resettlement entitlements are paid within three months for the compensation and a period of six months for the monetary part of rehabilitation and resettlement entitlements. Section: 38. (1)</li> <li>The Collector shall be responsible for ensuring that the rehabilitation and resettlement process is completed in all its aspects before displacing the affected families. Section: 38. (2)</li> </ul>	The SPS requirements will be followed.
18	Monitor and assess resettlement outcomes, their impacts on the standards of living of displaced persons, and whether the objectives of the resettlement plan have been achieved by taking into account the baseline conditions and the results of resettlement monitoring. Disclose monitoring reports.	The Rehabilitation and Resettlement Committee, to monitor and review the progress of implementation of the Rehabilitation and Resettlement scheme and to carry out post-implementation social audits in consultation with the Cram Sabha in rural areas and municipality in urban areas. Section: 45. (1)     Set up National and State level Monitoring Committee to review and monitor progress. Section 48-50	The SPS requirements will be followed.

#### Annexure III: Constitution of Valuation Committee, IDIPT Uttarakhand



#### **Program Management Unit**

Infrastructure Development Investment Program for Tourism (ADB Assisted - Loan No. 2833, India) Government of Uttarakhand PanditDeendyalUpadhayaParyatanBhawan, Near ONGC Helipad

Garhi Catt, Dehradun -248003 Tel: 91-135-2559987, Fax: 91-135-2559988 E-mail: utdb.pmu@gmail.com

Ref: 15942-10-ADB/IDIPT/PMU/75/2012-2013

Date: 04-09-2014

#### Office Order

The ADB safeguard Policy statement 2009 specify that the affected person (APs) should be compensated properly as per the applicable market rate of the property (in case of land acquisition/structure loss) and temporary livelihood loss due to construction activities. The value of the loss will be determined by the "Valuation committee" (VC), which need to be constituted as recommended in the Resettlement Framework (RF) of IDIPT.

The Valuation Committee of IDIPT Uttarakhand has been constituted including the following members to decide the compensation for Affected Persons/ Displace persons (APs/DPs).

1. The Program Director IDIPT

Chairman

2. Finance Director UTDB

Member

3. Additional Program Director PMU IDIPT

Member Secretary

4. Community Development Specialist PMU

Member

Member

5. Project Manager PIU Dehradun/Bhimtal/Kotdwara

Member

6. Community Development Officer PIU Dehradun/Bhimtal/Kotdwara

The VC is authorized to take any decision on compensation of APs/DPs in the context of all Tranche-II & III sub-projects.

Program Director

Copy to: the following for information & necessary action-

- 1. The Finance Director UTDB/IDIPT
- 2. The Addl. Program Director, IDIPT
- 3. Community Development Specialist, PMU
- 4. Project Manager PIU Dehradun/Bhimtal/Kotdwara
- 5. Community Development Officer PIU Dehradun/Bhimtal/Kotdwara

6. TL, DSC Ddn BH

#### **Program Management Unit**



Infrastructure Development Investment Program for Tourism
(ADB Assisted - Loan No. 2833, India)
Government of Uttarakhand

PanditDeendyalUpadhayaParyatanBhawan, Near ONGC Helipad Garhi Catt, Dehradun -248003

Garhi Catt, Dehradun -248003
Tel: 91-135-2559987, Fax: 91-135-2559988 E-mail: utdb.pmu@gmail.com

Uttarakhand Simply Henvenl

To,

6 2-10-ADB/IDIPT/PMU/75/2014-2015

Date: 4-09-2014

The Project Manager, PIU, Dehradun (Member VC)

The Community Development Officer, PIU Dehradun (Member VC)

The Team Leader, DSC, Dehradun

Sub: 1st Meeting of "Valuation Committee" to decide the compensation package for APs/DPs of Yamuna Circuit Lot-1 Tiger Falls Subproject Package, Dehardun

This has reference to the "Valuation Committee" of IDIPT Uttarakhand constituted vide office order no. 1594/2-10-ADB/IDIPT/PMU/75/2014-15 Dated: 4-9-14. The 1<sup>st</sup> Meeting of the committee is scheduled for 22<sup>nd</sup> September 2014 at 11<sup>th</sup> am at PD's Chamber (Chairman of VC) with an agenda "to decide the Compensation Package for the Affected Persons/Displaced Persons (APs/DPs) under Tiger Falls Subproject Package Dehradun."

You are therefore directed to verify the current status of the site w.r.t. ADB approved Resettlement Plan (RP), assess the no. of APs/DPs currently available at the site and their compensation packages as per the actual impact (Temporary or Permanent, Structure/Livelihood Losses) due to construction activities, prepare the chart and present the case before VC for their approval, so that the cheques could be issued to the APs/DPs before commencement of construction activities at the particular location.

Encl: sample report (ADB assisted UUSDIP)

Sincerely,

(R.K.Joshi)

Addl. Program Director

(Member Secretary, VC)

CC: to the Program Director, IDIPT/Chairman VC, for kind information.

The Finance Director, IDIPT for information to attend the same.

The Community Development Specialist, PMU for information and necessary action.

(Addl. Program Director/

Member Secretary, VC)

#### Annexure-IV: PIU's Direction to DSC for assessing current status of DP/APs at Tiger Falls



#### **Program Implementation Unit**

Infrastructure Development Investment Program for Tourism (ADB Assisted – Loan No. 2833, India) Government of Uttarakhand

Pandit Deendyal Upadhaya Paryatan Bhawan, Near ONGC Helipad

Garhi Catt, Dehradun -248003 Tel: 91-135-2559987, Fax: 91-135-2559988 E-mail: utdb.pmu@gmail.com

7.9/PIU/ DEH/ADB/(IDIPT)/21/2014-15

Date 4 /09/14

To,

The Team Leader DSC

STC Dehradun.

Sub: Regarding Actual Assessment of Compensation for APs/DPs.

Ref: 1594/2-10-ADB/IDIPT/PMU/75/2012-13, Office Order, Dated 04 Sept 2014

As per the above mentioned Office Order, the "Valuation Committee" under the IDIPT Uttarakhand has been constituted by PMU to process the compensation to APs/DPs before commencement of the civil works. The value of the losses will be determined by the "Valuation committee" (VC), so that it could be distributed among the affected persons of Tiger falls.

You are therefore directed to submit the actual numbers of APs/DPs at the site along with the actual amount of compensation of each APs/DPs after the detailed assessment of the site.

Encl. As above

P.M. PIU Dehradun

Additional Program Director, IDIPT for kind information.

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पत्र प्राप्ति संख्या.

Annexure V: Minutes of meeting held on 9th Sept, 2014 at Tiger Falls

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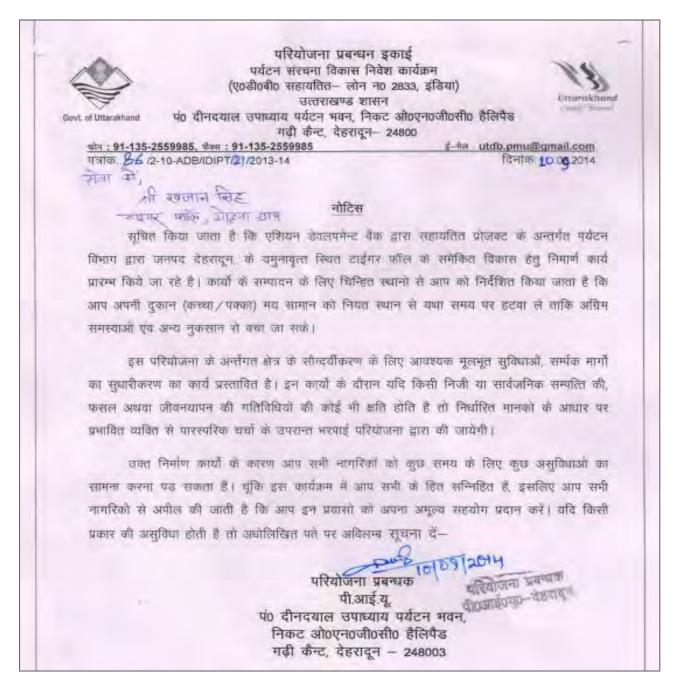


#### Transcript:

Meeting was held with Gram panchayat and villagers on dated 9th sept, 2014, where the proposed works of Tiger Falls were discussed with the local community. Consultants informed that operation and maintenance of the project assets will be done by the villagers, for which village committee formation is required. The discussion was also done on dismantling of one structure near proposed spot. The villagers said the financial condition of the person is not good, if the demolition can be avoided by change in the design, if not the village panchayat will allot one of the new kiosks to the DP.

9-6 KIE -41 ET -p+4011213 don 601/20 GRATAIE Short-रानां करि 218-4 4.18 200 KIE 31415 खरियास EKSIG महाबीर सिंह HEIST RE केशर शिह मोहाम जयपालामिह 8186642716 Dugh. BUT RES - ETEIN (HSR.C. 31d & KYE 344 21,2) + 010) Sital TIGHT PIE उन्माय त्रामीय ची होत् Drech STEPPER 3149 208- 3456540242 2473 4418 Toley 1218 9410757041 21 5312 What Synundry Royat 7500286654 William Kondhar Tonia my - mind गाम प्रधान नदाड पा १२५६ १६८ ५३।१ Futto chackers mohund 9410927/99 Kiranja!

#### Annexure - VI Notice issued to the DPs (Tiger Fall) prior to the works



Transcript – A notice was served to DP, requesting to shift the goods and furniture to the safe place one month prior to civil works to allow process of demolition of the shop. The DP was informed that he will be provided adequate compensation as per the consultation held during the planning stage. Since this subproject will enhance revenue of the Village Panchayat in the near future, for which community has already given prior permission on all components, therefore DP along with other community members are requested to facilitate the construction activities by providing their support.

### Annexure - VII DP's request to avoid dismantling of structure



**Program Implementation Unit** 

Infrastructure Development Investment Program for Tourism (ADB Assisted - Loan No. 2833, India) Government of Uttarakhand

Government οτ υπατακπαπα Pandit Deendyal Upadhaya Paryatan Bhawan, Near ONGC Helipad Garhi Catt, Dehradun -248003 Tel: 91-135-2559987, Fax: 91-135-2559988 E-mail: utdb.pmu@gmail.com

Uttarakhand

Ref-88 /PIU/ADB/IDIPT/9 /2014-15

Date: |0/09/14

To,

The Additional Program Director Infrastructure Development Investment Program for Tourism (IDIPT) Dehradun

Sub: Regarding the RP matter of Tiger Falls.

Sir,

This is to inform you that today (10.09.2014) a meeting/discussion was held on RP procedure of Tiger falls with the affected person Mr. Khajan Singh and his father Mr. Chandan Singh at PIU, UTDB Dehradun office in presence of Project Manager (Mr.L.S.Rawat), CDO (Ms.Himani Shah) and DSC support Architect (Mr. Yogendra Singh Rawat).

Khajan Singh is the only one DP (non titleholder) has a commercial structure permanent in nature which will be partially affecting the pergola in proposed parking place at Tiger Fall.

In the meeting the PIU and DSC explain each and every aspect of the proposed activity of the project in detail and how his structure will affect the pergola activity and give satisfactory reply of his quarries related to compensation and livelihood. After the discussion Mr. Khajan Singh submitted a request letter which is enclosed herewith for your information and further action please.

Enclo. As stated above.

(L.S.Rawat)

P.M. PIU Dehradun

Copy to:

1. The Team Leader DSC, Dehradun.

(L.S.Rawat)

P.M. PIU Dehradun

पन प्राप्ति संख्या.

In-iten

सेवा भे प्रोशम निवेशम इ-पारास्टकाचा है वे ल पमे र इनवेस्ट मे-ट प्रोशम पार इतिजम ( U.T. D.P.)

विषय हाईमा (जाल चल्याता के विकास में आ रही भूषि व

10/9/2014 212

न्याची क्षणाविष्ट रवाजान भिष्ट ५९ - जन्म किहे ज्ञाम ज्ञाहना पोठ सुंखड़े रा - ज्ञास पेटराह्न आण दिनांक 5-10-12006 की ग्राम प्रचापत सुंखों की खुंबी कें कें का आहुत की गई जिसकी अह्यकार ग्राम प्रधान फुन्दनसिंह ने कि बेंद्रक में ग्राम सभा के सभी विन्युओ पर ज्वा की और जन्दन सिंह पुप्त भी र्विदीण ग्राम मोहना ने पीठ आएठ डीठ रीड के किठ उ के ग्राम सभा की धार पर पुष्तान के लिस जाम सभा की धार पर पुष्तान के लिस मोग्रा सभा की धार पर पुष्तान के लिस ग्राम सभा ने रीपागार हेतु प्रवान के लिस

गाम पचापत की अमीन र-विसहमति से

प्रांति दे की जाती है रिक 5-10-2006

भ्यान किला के किला के किला के किला दे हैं। इन

Transcript: The DP requested Program Director to allow him to stay in the same shop and try to avoid dismantling of structure. The land was allotted to him with the permission of Village Panchayat on 5th October 2006 (second Hindi page is the decision of Village Panchayat), since then he is running his micro enterprise from the shop. He belongs to Schedule Tribe family, whose financial condition is not good, so this shop contributes the major part of his family's income. Though Village Panchayat is ready to provide him the new kiosk but situation can be changed anytime with the majority decision of the villagers. Therefore it is requested to avoid the situation.

Program Implementation Unit
Infrastructure Development Investment Program for Tourism
(ADB Assisted – Loan No. 2833, India)

Government of Uttarakhand Pandit Deendyal Upadhaya Paryatan Bhawan, Near ONGC Helipad Garhi Catt, Dehradun -248003 Tel: 91-135-2559987, Fax: 91-135-2559988 E-mail: utdb.pmu@gmail.com Uttarakhand Simply Heavenl

O. PIU/ DEH/ADB/(IDIPT)/21/2013-14

Date 20 /09/14

To.

The Team Leader DSC STC, Dehradun.

Sub: Regarding the letter of Mr. Khajan Singh (DP Tiger Fall). Ref-88/PIU/ADB/IDIPT/ 9 /2014-15, Dated: 10/09/14

Dear Sir,

As per the above cited reference letter Mr. Khajan Singh has requested that his shop located in tiger fall area ( Khasra no 1818 near parking ) may not be shifted as this is the only source of his livelihood. As there is a meeting of valuation committee on 22<sup>nd</sup> September 2014 so please tell in details if this happens how it will affect the scope of parking and pergola.

(L.S.Rawat) P.M. PIU Dehradun

Copy to:

Addl. Program Director IDIPT, Dehradun.

एकी केन्द्र वेहर्सेट्स एड शान्ति संख्या ..... 5 (t.S.Rawat) P.M. PIU Dehradun

Page 49 | 69

## Annexure VIII-A: Minutes of 1st VC meeting held on dated 22nd Sept,14 at PMU Office

## MINUTES OF THE MEETING

Valuation Committee (VC) for R & R compensation to Non-Title holders

DPs & APs of Yamuna Circuit Lot-1 Tiger Falls Subproject Package No. UKIDIPT/DDN/03, Dehardun

DATE: 22<sup>nd</sup> September 2014

PLACE: UTDB Conference Hall, Dehradun

Chaired by: Mr. Shailesh Bagauli, IAS Program Director IDIPT – Chairperson of VC

Attended by: all Committee Members (List Attached) and Invitee members from DSC Dehradun

S.N o.	Agenda Point	Discussion	Decision	Further Action/ Responsibility
1	Approval on proposed compensati on amount to be given to the non-title holders, the Affected Persons (APs) for loss of livelihood due to construction activities.	<ul> <li>The provision of RP for loss of livelihood to non-title holders and shifting of their temporary structures (in case the structures are coming in the design components) along with the compensation provisions were explained by the CDS, PMU.</li> <li>DSC and PIU have explained the current situation based on verification that there are only 02 APs: Shri. Maya Rama S/O Shri. Kashi Ram and Shri. Bagi Ram S/O Shri. Tikam Singh, running their business activities. However Shri. Baldev Singh Rawat S/O Shri. Sardar Singh was not found at the site. It was explained that the structure of Shri. Maya Ram was required to be shifted but he has already built permanent structure near to the old one, from where he himself is planning to start his</li> </ul>	Issue ID cards to non-title holders prior to start of civil	PIU DDN. with assistance of DSC  PMU to issue cheques in favor of APs once PIU submits the microplan and issue the ID cards.

		business activities, therefore not entitled for any shifting allowance as per the RP. Both the APs are entitled for livelihood loss only.		
2	Decision regarding demolishing a shop of a Displaced Person (DP), who is not a legal title holder, but a permanent structure built on govt. land.	<ul> <li>The case was explained by PIU and DSC about 01 DP Shri. Khajan Singh S/O Shri. Chandan Singh, whose shop is coming at parking site on way to pergola and it was anticipated to be demolished at design stage. After exploring all possible ways it has to be demolished for overall development of the area without comprising the length of pergola.</li> <li>Considering all situations and the written request received from the DP not to demolish the structure or allot the new kiosk to him. The Chairman VC asked if the proposed Kiosk could be given to the DP to continue his</li> </ul>	Need to discuss the issue of demolition with UTDB also. If the structure has to be demolished, a written MoU will be signed with DP, that adequate compensation will be paid for demolished structure and the livelihood loss from the project.  The design team to explore the possibilities and propose 02 Kiosks at parking site, out of which 01 would be allotted to the DP to continue his livelihood and pay revenue to 0 & M agency, with no right on	present the revised
		livelihood by sharing revenue with the O&M agency. He could be given adequate compensation for his structure and livelihood loss. On which APD, IDIPT informed that all assets will later be transferred to a committee consisting members of 03 Village Panchayats sharing the complete development area under Tiger Falls package for operation and maintenance and Kiosk will one of the major revenue source for the	the land and structure by signing a formal MoU with O&M agency on behalf of UTDB.  The assets should be handedover to Muhana Village Panchayat to avoid future conflicts, instead of constituting new O&M committee of 03 Village Panchayats, because the land is	

committee to meet O&M cost.	allotted to UTDB is coming under Muhana. Panchayats are constitutional body and have all rights to maintain govt. created structures for generating their revenue.	
	A MoU to be drafted for the O&M of the assets.	Putup in file to PD
	A meeting to be called with Village Panchayat Muhana and the DP on 30 <sup>th</sup> Sept. 2014.	PIU to issue letters
<ul> <li>One more issue raised by DSC &amp; PIU regarding the doubt about the land patch of Khasra no. 1833, which has been handedover to UTDB for the works, but the land is not suitable for the proposed construction as per the</li> </ul>	block should be there at site.	DSC and PIU
DSC.	The confirmation regarding the Khasra No. 1833 with earmark on the land to be collected from Patwari in writing.	PM, PIU

Approved by
Program Director – IDIPT

# Infrastructure Development Investment Program for Tourism (ADB Assisted – Loan No. 2833, India) Ist Meeting of Valuation Committee, IDIPT Uttarakhand

Date: 22.09.14

Time: 10:30 am

Venue: PD's Chamber, UTDB Dehradun

Agenda: To determine the compensation package for non-title holders: DPs & APs of Yamuna Circuit

Lot-1 Tiger Falls Subproject Package No. UKIDIPT/DDN/03, Dehardun

S.No.	Name	gnation Signature	
1.	Mr. Shailesh Bagauli, IAS	The Program Director, IDIPT (Chairman VC)	B
2.	Mr. Shailendra Shankar	The Finance Director, IDIPT  (Member)	
3.	Mr. R.K.Joshi	The Addl. Program Director, IDIPT (Member Secretary)	J/ve
4.	Mrs. Aparna Pandey	The Community Development Specialist, PMU (Member)	Mous
5.	Mr. L.S. Rawat	The Project Manager, PIU Dehradun (Member)	- Augustina
6.	Mrs. Himani Shah	The Community Development Officer, PIU Ddn. (Member)	FISTOR
7.	Invitee Member	Team Leader, DSC Dehradun	Creon
8.	Invitee Member	Support Architecto, DSC, Dehradun	Sing
9.	Invitee Member		

# टाईगर फॉल के समेकित विकास हेतु प्रस्तावित निमार्ण कार्य से सम्बंधित बैठक की कार्यवाही का कार्यवृत्त:-

दिनांक : 30 सितम्बर 2014 रथान : पर्यटन भवन सभा कक्ष

अध्यक्षता : अपर पर्यटन सचिव श्री शैलंश बगौली

#### प्रतिमगी :

- 1 नायब तहसीलदार चकराता।
- 2. ब्रोजीय पटवारी मोहना।
- 3. ग्राम समा सदस्य गोहना।
- 4 ग्राम प्रधान मोहना।
- क्षेत्रीय पर्यटन अधिकारी देहरादृन।
- अभियन्ता पेयजल निमार्ण निगम चकराता।
- सामुदायिक विकास विशेषज्ञ आईoठीoआईoपीoटीo सदस्य वेल्यूएशन कमेटी
- वन संदक्षण विशेषडा, आईंग्डी०आईंग्पी०टी०, नोंडल आफिसर यमुनावृत्त ।
- परियोजना प्रबन्धक पीठआई०यु०, वंडसाद्न, सदस्य वेल्युएशन कमेटी।
- 10. सामुदायिक विकास अधिकारी पीठआई०यू०, देहरादून, सदस्य वेल्यूएशन कमेटी
- 11. टीम लीखर डीठएस०सीठ देहरादून।
- 12. सहायक आर्किटेक्ट खीवएसवसीव देहरादून।
- 13. सामुदायिक एवं कौशल विकास प्रशिक्षक डी०एस७सी० वेहरान्द्रन ।
- 14. इंजीनीयर डी०एस०सी० देहरादून।

#### वपस्थिति:-संलग्न।

एशियन डेबलपमेन्ट बैंक द्वारा घहायतित परियोजना के अन्तर्गत पर्यटम विभाग द्वारा जनपद देहरादून, के प्रमुनावृत्त स्थित टाईगर फील के समेकित विकास इतु निगार्थ कार्य प्रारम्भ किये जा रहे है। इस परियोजना के अन्तर्गत क्षेत्र के सीन्ययीजरण के लिए आवश्यक मृतमूरा सुविधाओं एवं सम्पंत मार्ग का सुवारीकरण के कार्य प्रस्तावित है। इसी सन्दर्भ में दिमांक 30 सितम्बर 2014 की "श्री रीलेश बगौली (आई0एए एसए), अपर सचिव पर्यटम जी अध्यक्षता में तहसीलदार धकराता , बांत्रीय पर्यटम अधिकारी देहरादून क्षेत्र पटवारी टाईगर फील, पेयजल निमार्ग निगम, वाम प्रधान एवं द्वाम समा सदस्य मीहना के साथ एक बैठक की गयी।

बैठक का उद्देश्य निमाणं कार्यों के सम्पादन के लिए धिनिस्त स्थानों निमाणं कार्यों के बाव की देख-रेख एवं सवालन व आजीविका प्रभावितों के सम्बन्ध में वर्षों किया जाना था।

बैदक की शुरुदात आपसी परिचय व परियोजना के तहत किये जा रहे निमाण कार्यों के संक्ष्पित परिचय से क्ष्मी।

परियोजना कार्यों के प्रस्तुतीकरण के प्रकात टाईगर फॉल परियोजनान्तर्गत सर्वसङ्मति से निम्न निर्णय लिये गये -

 पयजल निमार्ण निगम के द्वारा पर्यटन विभाग के सहयोग से कॉल के निकट एक मदन य शीन्त्रलय बनाया गया था जिस निगम द्वारा पर्यटन का इस्तानारिंग करने में सड़मित दी गयी। निगम के कार्यों के सन्दर्भ में अपर सचिव महोदय ने कार्यवाही हेतु पर्यटन विमान को निर्देशित किया कि चुकि निर्माण कार्य पूर्ण नहीं किये गय व अभी तक हस्तान्तरित भी नहीं किये गये छवाकि पैसा पूरा खर्य हो बुका है तथा कार्य प्राइवेट जमीन में बनाने की वजह से भी परेशानी आ रही है इसलिए पेय जल निगम से किये गये खर्च की recovery की जाये: UTDB को इस आवाद सुचित किया जाये।

(कार्यवाही : पर्यटन विभाग)

यश्योजना इंतु वेकिंग कम के लिये प्रश्तावित भूमि खसरा शख्या 1833 के उपयुक्त न होने के कारण प्रस्तावित बैजिंग कम का निगम द्वारा निर्मित भवन में सुधारीकरण कर उपयोग में लिए जाने व शीधालय को तक्त स्थल से अन्यत्र विस्लापित किये जाने का निर्मय किया गया।

(कार्यवाही : बीठएस०सीठ देहरादून)

उ. सामीणां द्वारा शाम समा की सुमें का इस्तान्तरित किये जाने के सम्बन्ध में प्रश्न किया गया कि इस्तान्तरण बिला ग्राम सभा की सहमति से कैसे हां गया। इस पर अपर समिव महोदय ने बताया यह मूमि पूर्व से ही सरकारी थीं जिस सरकार द्वारा पर्यटन विमाग को इस्तान्तरित किया गया है। साथ डी बताया कि पर्यटन विमाग द्वारा संस्थाना विकास निर्वेश कार्यक्रम के द्वारा किये जा रहे निमाण कार्यों ने पश्चात ग्राम समा इसके देख रेख व संधातन के कार्य कर आय अर्जन कर सकती है। इस पर यमस्थित साम प्रतिनिधियों ने इस विषय पर ग्राम समा की खुली बेटक किये जाने का प्रस्ताव रखा।

जब्त प्रस्ताव के लिए जपस्थित ग्राम प्रतिनिधियों ने स्वयं की जिम्मेखारी में ग्राम मोहना, सावरा, मिखाल व कथार की संयक्त बैटक पिमाज 13 अक्टबर 2014 का किये जाने का निर्णय लिया।

#### (कार्यवाही : पीठएन०यूठ, पीठआई०यूठ देहरादून)

4 प्राचिंग स्थल पर प्रस्तावित एक कियास्क के स्थान पर दो कियास्क बनाने का निर्णय लेते हुए प्राचिंग क्षत्र में निर्मित श्री खजान सिंह की युकान के व्यस्तिकरण के प्रश्यात निर्मित किये जा रहे कियास्क में से एक कियास्क खजान सिंह को प्राथमिकता क आधार पर दिये जाने हेंतु प्राम सभा की सहमति के लिए ग्राम प्रतिनिधियों ने प्रस्तावित आगामी ग्राम बैठक में निर्णय लिये जाने पर सहमति व्यक्त की।

#### (कार्यवाही : पीठआई०यू०,ढी०एस०सी० देहरादून)

5. यान प्रतिनिधियो द्वारा पार्किंग स्थल को प्रस्तावित स्थल के अतिरिक्त फॉल के निकटस्थ स्थल पर बनाये जाने के लिए सुझाव दिया। जिस पर स्थान के निरीक्षण व पार्किंग की उपलब्धता की जानकारी के लिए प्रस्तावित आगामी बैठक में धर्चा किये जाने का निर्णय लिया गया।

#### (कार्यवाही: पी०एम०यू०,पी०आई०यू०,सी०एस०सी० देहरादून)

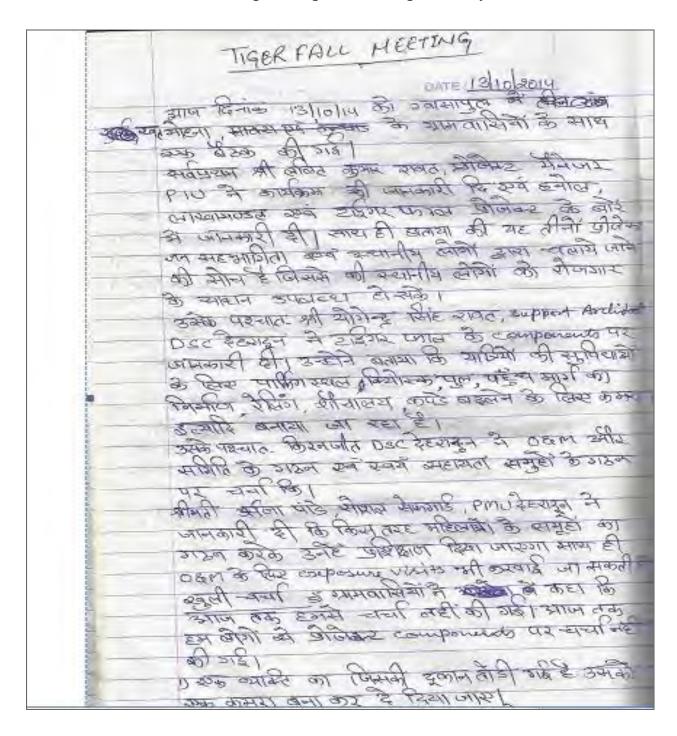
अन्त में अध्यक्ष महोदय हारा उपरांकतानुसार लिये गये निर्णयों का सम्बन्धितों से अनुपालन सुनिश्चित किये जान की अपेक्षा के साथ बैठक का समापन किया गया।

> (बाराके) जोशी) अपर कार्यक्रम निदेशक सदस्य सचिव वेल्यूऐशन कमेटी

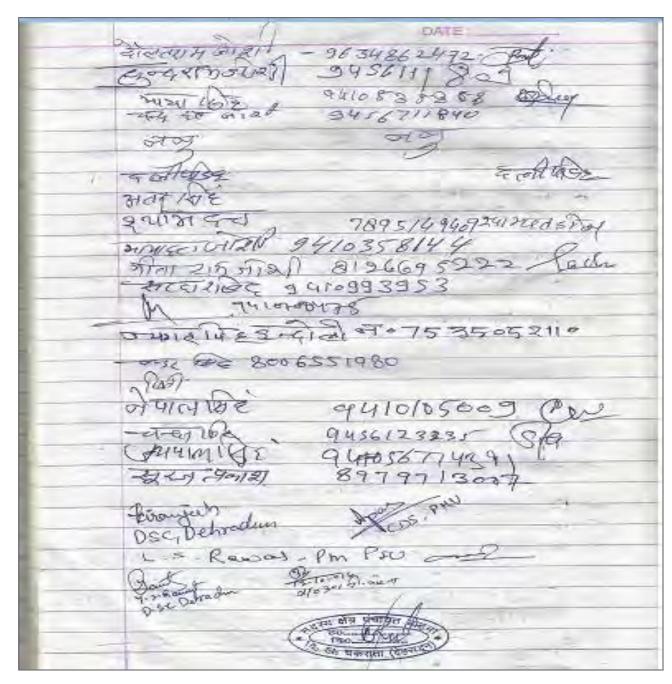
#### Transcript:

A meeting of Valuation Committee held on dated 30th Sept,14, where it was decided unanimously that one kiosk (provision under this project, near Parking) will be provided to the Mr.Khajan Singh in case his structure will be demolished during construction.

Annexure IX: Minutes the Meeting with Tiger Falls Village Panchayat on 13th Oct.2014



पिलाम गायमा गड़िय के कि अभी जागिक कमाविकी करक गामवासिया के तम किया और नेपाल सिंह रेगार क प्रियोमक केने के लिए और वह समिति का जो न्त्री तथ साथ होगी वह साधाना केगा। इसीचे जहाँ शरगर जान है वहा क्रियेरक क्यां भीयात्म बनाए जांकों के विक जभीत उपलब्ध करवा देशे ह चरना पहेंछे हमा और वहीं राजा क करेंगे विषयं तम करके वतायेंगे। returned to have क्र व्यूनी, जकराता कर्न कालकी तीनों तहबीं में विदेशी पर्याश्क का आना मना है यदि उसीके विक राक पस्ताव भेजा जारा विस्तों विदेशी पराटक आजा क नीचे की पार्मिक स्थात के ब्रिक नर्जा करेंके अम्मवीस भारित करेंगे जिसपर कार्य किया जा व्यक्त न्याम्याच्य जीवाईल नं. क्षि हम्याश्रर 1 21214101/12 9410101515 वमाण्डेह मन्द्राह 3 +1-5 (3) RIGHT 188 -1907 7579423878 @ विवाकसिंह राम्य अपा० 22 3960 mm = 2-415 (3) प्रीरम *सिं*ह (S) STOPH ME 7573155514 Poline (B) -4 HI 418 7573155 95811 M. @ 21/4921/2 9450151919 2/80x 3550110 29456128043 90-4121 के क्षिट अपा० 55 76 75 के इ-शासिट - 945 675 2005 मार्ग 500000ha Rawet 7500286650



#### **Transcript:**

Meeting was held with Villagers and Pnachayat committee members on dated 13th Oct, 14 regarding the ongoing works at Tiger Falls. The roles and responsibilities of village committee for operation and maintenance of project assets was discussed in detail. The discussion was held on the involuntary resettlement impact on 01 DP and 03 APs, the issue of land availability for Toilets & Kiosks near Tiger Falls was discussed in the meeting. It was commonly decided in the meeting that structure of Mr. Khajan Singh would not be dismantle and parking and other works will be developed within the available land nearby this structure.

#### Annexure X: VC's decision to avoid IR impact



#### Program Management Unit

Infrastructure Development Investment Program for Tourism (ADB Assisted - Loan No. 2833,India)
Government of Uttarakhand
Pandit Deundyal Upadhaya Paryatan Bhawan, Near CNGC Helipad



Garhi Catt, Dehredun -248903 Tel: 91:435-255987 Fax: 91:435-255988 E-mail: widthpmu@gmail.com

Ref

7/5 /2-10-ADB (IDIPT)/240/2014-2015

Date: §-10-2014

Tu,

The Chairman VC IDIPT, Uttaralihand

Sub: Resettlement Impact of Tigerfolls Subproject Implementation is completely availed on DP/APs.

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Please refer to the list VC meeting indican 22<sup>rd</sup> September 2014 under your Chairmanship, where you have directed to take all possible measures to avoid demolition of shop of Mr. Khajan Singh (DP). If the situation cannot be avoided, ellot a newly constructed block to him along with allequate compensation of the siructure. In this connection, you have directed to invite a meeting with Village Panchayat Mohana on 30° Sept. 2014 to illistuas the issue of Mr. Khajan Singh along with Q&M of created assets.

The meeting was conducted on 30<sup>th</sup> Sept 2014 with key representatives of Village Panchayat Mohana to the presence of VC Chairman & Members and officials of concerned departments. The Village Panchayat rhairman and members requested to discuss the issue of Mr. Khajan Singh in open meeting of Village Panchayat, which they have proposed on 13<sup>th</sup> Oct 2014.

The Village Panchayar meeting was successfully organized on 13" Oct. and sitended by program officials and consultants, in the meeting the Panchayat his not agreed for dismanning of shop. A joint visit was made by VC members and the DSC team, where it was agreed to avoid the shop by reducing length of pergolar parking site. The Village Panchayat also resolved the issue of temporary structures of Mr. Maya Ram, who will shift his shop to his permanent structure before construction. Therefore no invulnaced loss will occur. The temporary structure of Mr. Bagi Ram will also not be touched as per the new alignment and his livelihood will not be affected at all.

With the above steps and efforts made by Valuation Committee of IDIPT, the temporary and permanent impacts of displacement and livelihood loss have completely avoided. The same is humbly submitted for your kind information.

Schmitted by

(Addi. Program Director) Member Secretary, VC Der behall of all VC members

The Director Finance, IDIPT

Member

Community Development Specialist, PMU

Member

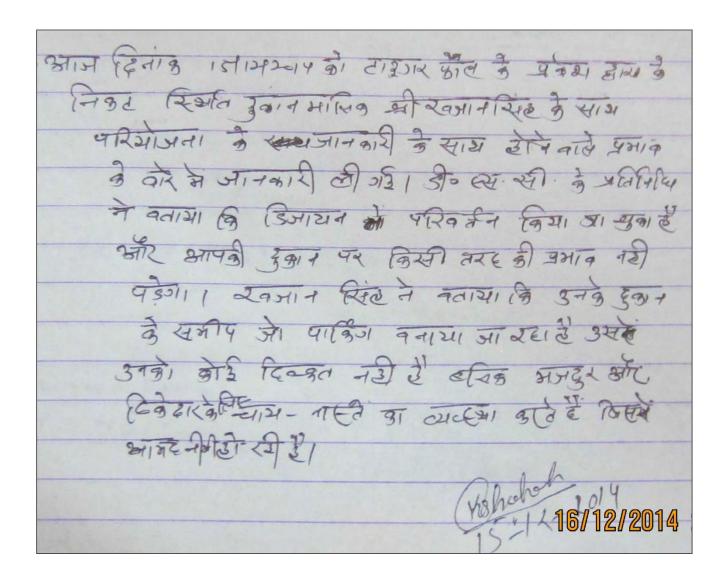
The Project Manager, Plu Dehradun

Membri

The Community Development Officer, PtU Dehrailun

Member

#### Annexure XI: DP expressed his gratitude to Program Authority



**Transcript:** He has communicated his gratitude to the program authority, who has taken the merciful decision in his favour keeping his financial conditions in mind. He welcomed the program activities in the area and offered his services by providing good quality food and tea to the contractor, program staff & the laborer. He said that his income is little enhanced with the increase in program construction activities due to regular visits of officials/consultants, contractor and the labourer.

#### Annexure – XII: Details of Public Consultations/Disclosure Meetings/Trainings

1- Minutes of meeting with villages held on 9th Sept, 2014

**Date**: 9.09.2014

Location: ITI premises at Gwasa Pul

**Participants:** Total number of 44 villagers of Mohana, Sawara and Kandhad, Block Development Committee member Pradhan's, ward members etc. participated in the meeting. Technical and Social team of DSC and PIU attended to brief the project requirements.

#### Objectives of the meeting:

The objective of the meetings cum consultation was to make village community aware about the project components and the impacts due to the execution of subproject components. The program officials discussed about the training requirements of the community so that the work plan should be finalized for training and capacity building activities in the program. The purpose is to enhance the capacities and skills of the community for providing further support to ensure their livelihood. The issue of two-way information flow was also raised by the active members from the community so that the work could be completed in a participatory manner. The community was informed about the thought of the project authority regarding handing over of the assets to Village Panchayat for operation and maintenance of the project assets in order to enhance the revenue of the village panchayat.

DSC team explained the need of the sub project, scope of work and project components in detail i.e parking space with 1 kiosk, welcome arch at the beginning of the approach pathway, rebuilding of 1.5kms long pathway, installation of signage, construction of changing rooms and toilets, installation of litter bins, development of pause points, seating benches, construction of bridge, view point etc. The villagers of Mohana, Sawara and Kandhad villages were requested to provide their support during execution of civil works, which had already started from the previous month. The major issues discussed in the meeting were as follows:

- ➤ Health, Hygiene, Safety measures. They were requested to be alert while passing through the construction site.
- Give proper accompany to their children if they are crossing the site or playing near by the construction activities. Give proper do's and don'ts provided by PIU and DSC.
- Always read information/ warning board displayed near the site.
- Monitor the construction work/activities if have any doubt, give information to the competent authority as per the leaflet provided to them
- In case of any grievance inform Project Implementation Unit, Dehradun at the given address.
- > The steps of operation and maintenance of the assets by the village committee were explained in the meeting.
- Progress of ongoing works were discussed and feedback were invited from them.

It was clearly explained that the purpose of the subproject is not only to provide infrastructure and renovation of the existing infrastructure but also is to enhance the capacities of the local community, provide good livelihood options with proper guidance on marketing and promotion on their products.

The need and importance of the Local level committee in Operation and Maintenance was also explained to them. It was informed that IDIPT is working in convergence model for the development of the area. Our focus is to provide integrated sustainable Tourism development with preservation and conservation of Natural and Cultural Heritage. The area has potential of increased tourist's inflow and

it will increase day by day with better accessibility and promotion. With increased tourist inflow, villagers will have opportunities to provide basic visitor/ tourist services as parking, eateries, changing room & toilets as well as there will be increased demand for local products, artifacts etc. which will result in enhanced livelihood opportunities for the locals.

<u>Outcome of the meeting</u>: The villagers, PRI members and other stakeholders welcomed the concept of the development of the Tiger Fall. The villagers have given their consent to constitute a committee for the Operation and Maintenance of the Tiger Fall assets. They got agreed to pass a decision in the Village Panchayat Meeting to register the committee. The same will be conveyed to the Tourism Dept. after due discussion with the concerned SDM. The discussion was also done on dismantling of one structure near proposed spot. The villagers said the financial condition of the person is not good, if the demolition can be avoided by change in the design, if not the village panchayat will allot one of the new kiosks to the DP.

Photographs of the meeting held on 9th Sept, 2014











#### 2- Minutes of meeting held on 13th Oct, 2014

Date: 13th October 2014

Location: ITI premises at Gwasa Pul

**Participants:** 100 villagers of Mohana Khat (cluster consists of 7 villages), Block Development Committee member, Pradhan's, ward members etc. participated in the meeting. Community Development Officer PMU, Project Manager, PIU, Dehradun, Technical and Social Team of DSC.

#### Objectives of the meeting:

The purpose of the meetings was to discuss the O&M model of the subproject package and the IR impact on 01 DP.

Ist of all Project Manager welcomed the participants/villagers and provided proper introduction about the Yamuna Circuit IDIPT Sub-projects. He also briefed Lakhamandal, Hanol and Deovan projects and how this circuit have a scope of increased tourists at these sites. He briefed the components of Tiger Fall Subproject to the community. He highlighted the problem of the area is "Migration" of the youth, which is the major challenge/ problem now a days in hilly region and program is trying to provide good livelihood sources in the interior region of the state so that the migration can be slow down to some extent. IDIPT is working with the same spirit and wants to increase interest of the local community especially youth by involving them in the development of the site. Therefore the program authority has decided to handover the assets after creation to the Village Committee for operated and maintained purposes and can enhance the livelihood opportunities for the locals.

Community Development Officer, PMU clarify the concept and importance of Self Help Group in the rural area. She highlighted that program has provision of providing training and capacity building support to the local community. The proposal can be submitted by the village community and program official will arrange such training and exposure visit accordingly. She suggested if a group of people from the proposed O & M committee wants to learn the community based O&M model, the study visit will be arranged from the program side. She also discussed about the grievance redressal procedure under the project. If any grievances come during the construction period on quality issues, material issues or contractor's behavious or could be any, will likely to be addressed properly as per the established GRM system in the program at various levels.

<u>Conclusion of the meeting:</u> The Village community members got agreed for constituting a committee for the Operation and Maintenance of the Tiger Fall. They will convey the updates through a letter to Tourism Dept. It was commonly decided in the meeting that structure of Mr. Khajan Singh would not be dismantle and parking and other works will be developed within the available land nearby this structure.

Photographs of meeting held on 13th Oct, 2014

#### 3- Public Disclosure Meetings at Hanol site:

A public disclosure meeting was organized to make people aware about the Sub-project components and about the importance of participation of the community during the construction phase. Interaction with the stakeholders is being done on regular basis to develop the sense of ownership amongst the community towards the assets, which are being created under the subproject. It was also informed that the project will be handed over to the Temple Committee, who will maintained these assets and run the activities in such a way that adequate operation cost should come from tourist for future sustainability.

A meeting was organized for the contractor to give the following instructions:

- 1- Increase workforce in front of the temple to complete the work in the stipulated time.
- 2- Take all safety measures and follow rules to take up health and hygienic issues on priority.
- 3- Provide clean water and the separate toilets for men and women labourer to maintain sanitation at site.
- 4- Provide personal protective equipment to the labourer,
- 5- Worker's insurance
- 6- Medical facilities at site
- 7- Regular consultation with the local community and the temple committee members
- 8- Avoid any disturbances to crops during movement of project machinery
- 9- In case unavoidable, the consent of farmer should be obtained prior to the disruption and proper cost for the losses will be paid to the farmer.
- 10- Maintain grievances register





#### 4- Environment Awareness Program at Naukuchiatal:

The program was attended by 170 local community members. The Lectures were delivered on various environment related issues by environment specialists and the program consultants. The unethical human practices and its adverse impact on our environment was discussed in detail. The specialists have also given tips for environment protection, do's and don'ts in our daily life while dealing with natural resources, the possible solutions of the major problems were also explained to participants. The impact on Naukuchiatal Lake was explained to the participants. A

debate competition was organized for the students of the government college. The female participants emphasized to ban usage of polythene in the region. It was also raised that the systematic planning for the solid waste management is the most required step to be taken from the government side.

In addition a number of stakeholder consultations were organized at Naukuchiatal and Parichay site and in the nearby villages. The RP/DDR disclosure at local administration office.

















# 5- <u>Disclosure Meeting at Pithoragarh:</u>





# 6- Summary of Consultations by PIU/DSC Bhimtal:

S. No.	Activity / Event	Date	Total Particip ants	Male	Fem ale	Discussion/ Suggestions	Voices of women
1	Public Consultation Meeting at Almora	11-08-14	14	14	-	The proposed work under Almora Fort sub project appraised by PIU. The NOC will be provided by the Dist. Administration.	-
2	Public Consultation Meeting at Nainital	16-08-14	50	48	2	The proposed work under Nainital sub project appraised by PIU. Meeting chaired by Hon. Commissioner. He directed to select the project work by keeping in mind all the environment issues. He added to develop Car Parking at different places in Nainital.	Development of basic amenities/ separate toilets for women& drinking water facility at all the tourist spots.
3	Environment Awareness	28-08-14	170	110	60	Lecture delivered by environment specialist on	Use of polythene
						the problems and solution of	should be

	Total		428	331	97		
8	Participation in BDC meeting at Bhimtal Block Office.	26-12-14	110	88	22	Awareness about the IDIPT/ sub projects status in Nainital	Capacity building & Income Generation measures for women.
7	Meeting with community organization at Bhikiasen (Almora).	30-11-14	32	24	8	Awareness & discussion on village tourism/ community resorts and Promotion of Cultural Heritage in the region.	Women participants discussed on role and participation of women in Community Based Tourism.
6	Meeting with ASI & local community at Jageshwar.	28-11-14	14	12	2	Awareness on village tourism & progress of Jageshwar sub project.	They were interested to know about capacity building measures under the project.
5	Meeting with DSC, Contractors &laborers at TRH Parichay.	17-10-14	21	19	2	Awareness of participants regarding implementation according to ADB norms, quality control, progress of works etc.	-
4	Stakeholders Meeting at Nainital	27-09-14	17	16	1	related issues. A debate competition organized for the students.  Power Point Presentation made by the expert on proposed work under Conservation of cultural heritage and urban place making in Nainital. Commissioner directed to carry out the geological survey on lake premises before developing the deck walk. He added to include the Himalayan Museum of DSB Campus under heritage conservation. He assured that all the NOCS will be provided by the administration.	banned in the region. Proper planning for the solid waste management.
	Program at					different environment	banned in the

#### Annexure – XIII: Details of KMVN workers at TRH Parichay site during construction



# कुमाऊँ मण्डल विकास निगम लिमिटेड

(उत्तराखण्ड सरकार का प्रतिष्ठान) ओक पार्क हाउस, नैनीताल — 263001

पत्र संख्या ५८६३ /६-परिचय दिनॉकः ६ 2 ,2015

To,

The Community Development Officer Infrastrcture Dev. Investment Program for tourism, Project Implementation Unit Vikas Bhawan, Bhimtal (Nainital)

महोदय,

कृपया दूरभाष पर आपसे हुई वार्ता का सन्दर्भ लेने का कष्ट करें । इस कम में एशियन डेवलपमेन्ट परियोजना के अन्तर्गत निर्माण प्रारम्भ होने के उपरान्त पर्यटक आवास गृह, परिचय, नौकुचियाताल, नैनीताल में पूर्व में कार्यरत कार्मिकों को निम्नानुसार स्थानान्तरित कर दिया गया है —

क.सं.	कर्मचारी का नाम	स्थानान्तरित स्थान
1	श्री हरिदत्त भट्ट, प्रबन्धक (नियमित)	पर्वत कैन्टीन, ढिकाला, रामनगर
	श्री शंकर लाल, कुक, (नियमित)	पर्यटक आवास गृह, तल्लीताल, नैनीताल
	श्री पीताम्बर दुम्का, वेटर (संविदा)	पर्यटक आवास गृह, नौकुचियाताल
	श्री रंजीत कार्की, रूम अटेन्डेन्ट (संविदा)	पर्यटक आवास गृह, मुक्तेश्वर

वर्तमान में पर्यटक आवास गृह, परिचय में कोई निगम कार्मिक तैनात नहीं है ।

भवदीय,

(डी०के शर्मा) क्षेत्रीय प्रबन्धक (पर्यटन)

संम्पर्क :- कार्यालय : 231437, 231985, 236043

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