

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Central Asia Regional Economic Cooperation Corridor 6 (Ayni-Uzbekistan Border Road) Improvement Project	
<b>Project Number:</b> 45432-002	<b>Approval Number:</b> 0301/8259
<b>Country:</b> Tajikistan	<b>Executing Agency:</b> Ministry of Transport
<b>Project Procurement Classification:</b>	<b>Implementing Agency:</b> N/A
<b>Project Procurement Risk:</b>	
<b>Project Financing Amount:</b> US\$ 136,000,000 <b>ADB Financing:</b> US\$ 100,000,000 <b>Cofinancing (ADB Administered):</b> US\$ 14,000,000 <b>Non-ADB Financing:</b> US\$ 22,000,000	<b>Project Closing Date:</b> 30 September 2016
<b>Date of First Procurement Plan:</b> 11 September 2012	<b>Date of this Procurement Plan:</b> 31 March 2016, Version 4

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 500,000 and Above	
International Competitive Bidding for Works	US\$ 1,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 999,999	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Quality- and Cost-Based Selection for Consulting Firm	90 quality:10 cost

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

#### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
tbd 02	Auditing services		CQS	Prior	Q1 / 2016	BTP	Assignment: International

							Comments: The auditor will be engaged every year through block auditing procedure
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#### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

#### B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

#### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

##### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
Package 2	Goods supply for road maintenance equipment and weighbridges	2,500,000.00	2,372,700.00	ICB	Q3 / 2014	01-JUN-15	

RIP-ICB/C W-01/2012	Civil works for Ayni- Panjakent-Uzbe kistan border, about 113 km	84,700,000.00	84,700,003.21	ICB	Q2 / 2012	05-OCT-12	
tbd 07	Civil works for Sarazm Border infrastructure improvement	900,000.00	824,069.35	NCB	Q2 / 2015	16-OCT-15	
tbd 03	Civil works for village feeder roads in Ayni district	700,000.00	974,502.14	NCB	Q1 / 2015	01-JUN-15	
tbd 04	Civil works for village feeder roads in Panjakent district	900,000.00	961,457.82	NCB	Q1 / 2015	01-JUN-15	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
n/a	Consulting Services for Engineering, Construction Supervision and Project Management	5,200,000.00	5,214,700.00	QCBS	Q2 / 2012	17-JAN-13	Quality:Cost equals to 90:10

## **D. National Competitive Bidding**

### **1. General**

The procedures to be followed for national competitive bidding shall be those set forth in Law of the Republic of Tajikistan on Public Procurement of Goods, Works and Services effective on 3 March 2006 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of ADB's Procurement Guidelines.

### **2. Eligibility**

The eligibility of bidders shall be as defined under section I of ADB's Procurement Guidelines published by ADB in April 2010, as amended from time to time; accordingly, no bidder or potential bidder should be declared ineligible to ADB-financed contracts for other reasons than the ones provided by section I of ADB's Guidelines. Bidders must be nationals of member countries of ADB, and offered goods, works and services must be produced in and supplied from member countries of ADB.

### **3. Prequalification**

Normally, post-qualification shall be used unless explicitly provided for in the loan agreement/procurement plan. Irrespective of whether post qualification or prequalification is used, eligible bidders (both national and foreign) shall be allowed to participate.

### **4. Bidding Period**

The minimum bidding period is twenty-eight (28) days prior to the deadline for the submission of bids.

## **5. Bidding Documents**

Procuring entities should use standard bidding documents for the procurement of goods, works and services acceptable to ADB.

## **6. Preferences**

No domestic preference shall be given for domestic bidders and for domestically manufactured goods.

## **7. Advertising**

Invitations to bid shall be advertised in at least one widely circulated national daily newspaper or freely accessible, nationally-known website allowing a minimum of twenty-eight (28) days for the preparation and submission of bids. Bidding of NCB contracts estimated at US\$500,000 equivalent or more for goods and related services or US\$1,000,000 equivalent or more for civil works shall be advertised on ADB's website via the posting of the Procurement Plan.

## **8. Bid Security**

Where required, bid security shall be in the form of a bank guarantee from a reputable bank.

## **9. Bid Opening and Bid Evaluation**

- (i) Bids shall be opened in public.
- (ii) Evaluation of bids shall be made in strict adherence to the criteria declared in the bidding documents and contracts shall be awarded to the lowest evaluated bidder.
- (iii) Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.
- (iv) No bidder shall be rejected on the basis of a comparison with the employer's estimate and budget ceiling without ADB's prior concurrence.
- (v) A contract shall be awarded to the technically responsive bidder that offers the lowest evaluated price and who meets the qualifying requirements set out in the bidding documents.
- (vi) No negotiations shall be permitted.

## **10. Rejection of All Bids and Rebidding**

Bids shall not be rejected and new bids solicited without ADB's prior concurrence.

## **11. Participation by Government-owned enterprises**

Government-owned enterprises in the Republic of Tajikistan shall be eligible to participate as bidders only if they can establish that they are legally and financially autonomous, operate under commercial law and are not a dependent agency of the contracting authority. Furthermore, they will be subject to the same bid and

performance security requirements as other bidders.

## **12. Right to Inspect/Audit**

A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

## **13. Fraud and corruption**

- (i) The Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.
- (ii) ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for, or in executing, an ADB-financed contract.

