



# India: MFF - National Capital Region Urban Infrastructure Financing Facility (Facility Concept)

Project Name	MFF - National Capital Region Urban Infrastructure Financing Facility (Facility Concept)
Project Number	41598-013
Country / Economy	India
Project Status	Closed
Project Type / Modality of Assistance	Loan
Source of Funding / Amount	<b>MFF Facility Concept 0044-IND: MFF - National Capital Region Urban Infrastructure Financing Facility (Facility Concept)</b> Ordinary capital resources US\$ 150.00 million
Strategic Agendas	Environmentally sustainable growth Inclusive economic growth
Drivers of Change	Gender Equity and Mainstreaming Governance and capacity development
Sector / Subsector	Multisector / Multisector
Gender	Effective gender mainstreaming
Description	
Project Rationale and Linkage to Country/Regional Strategy	The facility is built on strong rationale that includes (i) transforming the NCRPB to more effectively meet the scaled-up mandates in line with the priorities of Regional Plan 2021; (ii) strengthening the ability of subborrowers to design and execute high-quality infrastructure; (iii) enabling private sector participation and PPPs in urban infrastructure and basic service provision; (iv) introducing best practices in infrastructure planning, execution, and operations; and (v) enabling geographic and sector inclusiveness, and directing more investments into public health and environmental urban infrastructure. Beyond the NCR, additional development benefits are expected from NCRPB's successful transformation (building on current strengths of NCRPB's unique regional planning and financing role) and strengthened capacities from the facility for proactive project development, structuring private sector participation (PSP) and PPPs, and project execution. It will emerge as an institutional model for emulation by other urban sector entities. The proposed facility incorporate lessons learnt, and is very much in line with ADB's Strategy 2020 as reflected in the India country partnership strategy for 2009 2012 as well as India's 11th Five Year Plan.
Impact	Improved quality of life and economic well-being of urban residents in NCR and CMAs
<b>Project Outcome</b>	
Description of Outcome	Urban residents use improved growthsupporting, public health, and environmental urban infrastructure services in the intervention cities of NCR and CMAs in accordance with Regional Plan 2021 priorities
Progress Toward Outcome	
<b>Implementation Progress</b>	
Description of Project Outputs	High quality urban public health and environmental infrastructure created High quality economic growth-related regional and urban infrastructure created Strengthened capacity of NCRPB and subborrowers to scale-up urban infrastructure and improve basic urban services
Status of Implementation Progress (Outputs, Activities, and Issues)	
Geographical Location	
<b>Summary of Environmental and Social Aspects</b>	
Environmental Aspects	The Facility's safeguard categories for environment, involuntary resettlement, and indigenous peoples are category FI. Due diligence and review show that there are no anticipated significant adverse environmental impacts. Limited negative impacts during construction can readily be mitigated. There are significant positive environmental and public health benefits from subprojects. A subproject selection criteria ensures that involuntary resettlement impacts will be avoided or minimized hence anticipated impacts are limited. There are no anticipated impacts on indigenous peoples. Environmental impacts during design and construction stages are readily addressed through mitigation measures. An Environmental and Social Management System (ESMS) is in place and an ESMS document has been prepared, which clarifies NCRPB's environmental and social policies; and safeguards screening, categorization, and review procedures. The ESMS document also sets out a plan to strengthen the environment and social organization structure and staffing; and performance monitoring and reporting procedures. The ESMS includes further development of institutional capacity within NCRPB to manage environmental and social issues. Disclosure of subproject safeguards documents are further described in the ESMS.
Involuntary Resettlement	
Indigenous Peoples	
<b>Stakeholder Communication, Participation, and Consultation</b>	
During Project Design	Wide range of consultations were held with the MOUD, NCRPB, the officials and elected representatives of member states of NCR (Delhi, Haryana, Rajasthan, Madhya Pradesh, and UP), private sector representatives, nongovernment organizations, and inhabitants of NCR including slum dwellers. A socio-economic survey was conducted with a sample size of 1500 households (318 households were from slums). Sample households have been drawn from the three towns of Gaziabad, Panipat and Hapur.

During Project Implementation	Borrower and the sub-borrowers will conduct consultations with stakeholders during subproject development and implementation. The objectives of such consultations are to (i) engage stakeholders in priorities and program design (i.e., goals, objectives, activities, etc.), and (ii) provide stakeholders with opportunities to assess the subproject. Subproject consultations on program design and implementation involving stakeholders including government, NGOs, civil society organizations, and affected communities. These consultations occur on a formal and informal basis and may involve (i) pre-design consultations to ensure that the program reflects priorities, needs, experiences, and lessons learned of stakeholders; and (ii) consultations with target groups/beneficiaries as part of program design and implementation.
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#### Business Opportunities

Consulting Services	All consulting services to be financed under the Facility will be procured in accordance with ADB's Guidelines on the Use of Consultants (2010, as amended from time to time). A project management consultant firm will be engaged following ADB's quality- and cost-based selection procedures (326 person-months). In addition, 140 person-months of individual consultants will be hired on an as-needed basis for, among other things, internal audit (explained in more detail in the facility administration manual).
Procurement	All goods and works to be financed under the Facility will be procured in accordance with ADB's Procurement Guidelines (2010, as amended from time to time) that are applicable to this Facility in accordance with established private sector or commercial practices, which are acceptable to ADB. NCRPB will cause each sub-borrower to adopt, to the extent possible, international competitive bidding procedures when the amount of the investment is usually large and economy and efficiency can be gained by following such procedures.

Responsible ADB Officer	Kaneko, Atsushi
Responsible ADB Department	South Asia Department
Responsible ADB Division	Urban Development and Water Division, SARD

#### Timetable

Concept Clearance	07 Jan 2010
Fact Finding	02 Mar 2010 to 18 Mar 2010
MRM	-
Approval	10 Aug 2010
Last Review Mission	-
PDS Creation Date	11 Jan 2008
Last PDS Update	28 Feb 2011

## MFF Facility Concept 0044-IND

Financing Plan		Loan Utilization			
	Total (Amount in US\$ million)	Date	ADB	Others	Net Percentage
Project Cost	200.00	Cumulative Contract Awards			
ADB	150.00	-	0.00	0.00	%
Counterpart	50.00	Cumulative Disbursements			
Cofinancing	0.00	-	0.00	0.00	%

Project Page	<a href="https://www.adb.org/projects/41598-013/main">https://www.adb.org/projects/41598-013/main</a>
Request for Information	<a href="http://www.adb.org/forms/request-information-form?subject=41598-013">http://www.adb.org/forms/request-information-form?subject=41598-013</a>
Date Generated	05 June 2023

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