



Regional: Strengthening ADB's Safeguard Policy Provisions and Procedures

Project Name	Strengthening ADB's Safeguard Policy Provisions and Procedures																		
Project Number	54203-001																		
Country / Economy	Regional																		
Project Status	Active																		
Project Type / Modality of Assistance	Technical Assistance																		
Source of Funding / Amount	<table border="1"><tr><td colspan="2">TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures</td></tr><tr><td>Technical Assistance Special Fund</td><td>US\$ 1.00 million</td></tr><tr><td>Technical Assistance Special Fund</td><td>US\$ 1.00 million</td></tr><tr><td colspan="2">TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures</td></tr><tr><td>Technical Assistance Special Fund</td><td>US\$ 500,000.00</td></tr><tr><td colspan="2">TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures</td></tr><tr><td>Technical Assistance Special Fund</td><td>US\$ 400,000.00</td></tr><tr><td colspan="2">TA: Strengthening ADB's Safeguard Policy Provisions and Procedures</td></tr><tr><td>Technical Assistance Special Fund</td><td>US\$ 1.50 million</td></tr></table>	TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures		Technical Assistance Special Fund	US\$ 1.00 million	Technical Assistance Special Fund	US\$ 1.00 million	TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures		Technical Assistance Special Fund	US\$ 500,000.00	TA 6577-REG: Strengthening ADB's Safeguard Policy Provisions and Procedures		Technical Assistance Special Fund	US\$ 400,000.00	TA: Strengthening ADB's Safeguard Policy Provisions and Procedures		Technical Assistance Special Fund	US\$ 1.50 million
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Operational Priorities	OP2: Accelerating progress in gender equality OP3: Tackling climate change, building climate and disaster resilience, and enhancing environmental sustainability OP6: Strengthening governance and institutional capacity																		
Sector / Subsector	Multisector / ADB's corporate management, policy and strategy development																		
Gender	Some gender elements																		
Description	The knowledge and support technical assistance (TA) will strengthen the Safeguard Policy Statement (SPS) policy provisions and procedures to enhance its effectiveness and relevance to the changing needs of the Asian Development Bank's (ADB) borrowers and clients, and develop the capacity of developing member countries (DMCs) to uphold safeguards in development projects. It will take into consideration the recommendations of the Independent Evaluation Department's (IED) Corporate Evaluation on the effectiveness of the 2009 SPS in achieving ADB's safeguard objectives. The TA is aligned with the first operational priority of Strategy 2030 on addressing remaining poverty and inequality; and the sixth operational priority on strengthening governance and institutional capacity in relation to upholding safeguards. The TA concept was endorsed during the corporate priority framework meeting in November 2019 and is included in the 2020 management approved results-based work plan of the Sustainable Development and Climate Change Department (SDCC).																		
Project Rationale and Linkage to Country/Regional Strategy	The ADB Board approved the SPS in July 2009 and it came into effect in January 2010. The SPS consolidated three previous policies on environment, involuntary resettlement, and indigenous peoples. The SPS (para 82) mandated a policy review by IED five years after becoming effective to assess its effectiveness in achieving ADB's objectives. The IED 2019 corporate evaluation was preceded by an operational review of the SPS in 2014 and 2016. Over the past 10 years, ADB has made significant efforts to improve the capacity of DMCs and its clients to manage social and environment risks. At the same time, the country context, development needs, systems, and capacities of DMCs and clients have evolved considerably. ADB has rolled out new lending instruments (i.e. policy-based loan, results-based loan, and other new lending modalities) which require different approaches in assessing and managing safeguards risks. Between 2010-2018, the size of ADB's portfolio doubled, with increase private sector financing; and non-infrastructure sector projects (health, education, technical vocational education and training), where the capacities of the executing and implementing agencies are weak. The shift from predominately standalone investment projects to a more diverse portfolio including multitranche financing facility, policy-based loans, and results-based lending, wherein interlinkages between social and environment risks are complex, and processing times are shorter, create challenges for current safeguard processes and resources. The SPS did not anticipate the current increases in private sector financing, greater diversity in the use of financial intermediaries, general corporate finance loans, private equity investments and other innovative financing modalities. Furthermore, for the Strategy 2030 period, there is a need to consider the suitability of the existing safeguard policy framework to fragile and conflict affected situations (FCAS) and small island developing states (SIDS). Thus, there is a need to examine whether the current SPS provisions remain relevant and robust enough to ensure SPS policy objectives are fully implemented.																		
Impact	Implementation of environment and social safeguards in ADB projects improved																		
Project Outcome																			
Description of Outcome	Governance framework in DMCs to uphold environment and social safeguards in ADB projects improved																		
Progress Toward Outcome																			
Implementation Progress																			
Description of Project Outputs	ADB's safeguard policies strengthened Safeguards policy procedures streamlined and requirements improved Stakeholder engagement plan implemented Preparatory measures for roll-out of the revised safeguard policy implemented																		
Status of Implementation Progress (Outputs, Activities, and Issues)																			
Geographical Location	Regional																		
Summary of Environmental and Social Aspects																			

Environmental Aspects
Involuntary Resettlement
Indigenous Peoples
Stakeholder Communication, Participation, and Consultation
During Project Design
During Project Implementation

Business Opportunities	
Consulting Services	TBD
Procurement	TBD

Responsible ADB Officer	Abbas, Zehra
Responsible ADB Department	Sustainable Development and Climate Change Department
Responsible ADB Division	SDSS
Executing Agencies	Asian Development Bank

Timetable	
Concept Clearance	14 Jul 2020
Fact Finding	01 Sep 2020 to 15 Sep 2020
MRM	-
Approval	15 Oct 2020
Last Review Mission	-
Last PDS Update	15 Oct 2020

TA 6577-REG

Milestones					
Approval	Signing Date	Effectivity Date	Closing		
			Original	Revised	Actual
15 Oct 2020	-	15 Oct 2020	31 Dec 2022	31 Oct 2023	-

Financing Plan/TA Utilization						Cumulative Disbursements		
ADB	Cofinancing	Counterpart				Total	Date	Amount
		Gov	Beneficiaries	Project Sponsor	Others			
2,900,000.00	0.00	0.00	0.00	0.00	0.00	2,900,000.00	26 May 2023	2,215,042.82

Project Page	https://www.adb.org/projects/54203-001/main
Request for Information	http://www.adb.org/forms/request-information-form?subject=54203-001
Date Generated	09 June 2023

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