



India: Uttarakhand State-Road Investment Program - Tranche 3

Project Name	Uttarakhand State-Road Investment Program - Tranche 3				
Project Number	38255-043				
Country	India				
Project Status	Active				
Project Type / Modality of Assistance	Loan				
Source of Funding / Amount	<table border="1"> <tr> <td colspan="2">Loan 3040-IND: Uttarakhand State-Road Investment Program - Tranche 3</td> </tr> <tr> <td>Ordinary capital resources</td> <td>US\$ 150.00 million</td> </tr> </table>	Loan 3040-IND: Uttarakhand State-Road Investment Program - Tranche 3		Ordinary capital resources	US\$ 150.00 million
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Ordinary capital resources	US\$ 150.00 million				
Strategic Agendas	Inclusive economic growth				
Drivers of Change	Governance and capacity development				
Sector / Subsector	Transport - Road transport (non-urban)				
Gender Equity and Mainstreaming	No gender elements				
Description	<p>This project comprises an infrastructure improvement component and an institutional development component, and will improve a sub-network of roads totaling about 1,000 km taken from the prioritized and updated road list of Road Development Plan prepared by the state government of Uttarakhand. This project will also develop the capacity of Public Works Department of Uttarakhand (PWD). The impact of the project will be increased efficiency of transport services and sustainability of road network in good condition in Uttarakhand. The outcome will be improved mobility and accessibility in the project areas. As the outputs of the project, it is expected that (i) selected priority roads are resurfaced, upgraded or rehabilitated, (ii) PWD staff skills and qualifications are improved, and (iii) objective data driven procedures and tools are used for road planning and programming uses.</p>				
Project Rationale and Linkage to Country/Regional Strategy	<p>India and State of Uttarakhand envision poverty reduction and an increase in personal incomes by improving personal mobility and accessibility through increased investment in road infrastructure. Uttarakhand has prepared a road development plan (RDP) to guide its investment in roads. Investments under the RDP include 7 sequential projects to be implemented between 2007 and 2017. The estimated cost of implementing the RDP is \$1.2 billion. A Framework Financing Agreement (FFA) was signed between Asian Development Bank (ADB) and India on 14 November 2006 with regard to Uttarakhand State Road Investment Program (Investment Program). Subsequently on 18 December 2006, the Board approved the provision of loans under a multitranche financing facility (MFF) in an aggregate amount up to \$550 million to India for the Investment Program to finance part of the RDP. The Investment Program will have a positive long-term impact on the state's economy and living standards of the rural population. The principal outcomes of the physical components under the Investment Program will be increased efficiency of inter- and intrastate transport services, and lower road maintenance costs. The non-physical components of the Investment Program would lead to: (i) increased satisfaction among road users; (ii) more opportunities for private sector participation; (iii) more synergy benefits for the parallel road development programs; and (iv) improved governance. Together, these investments would increase mobility and accessibility to educational and health services, employment opportunities, and markets for the community and enterprises in rural and urban areas of the state.</p>				
Impact	Improved efficiency and sustainability of road transport in Uttarakhand				

Project Outcome

Description of Outcome	Improved efficiency of road transport in the project areas
Progress Toward Outcome	
Implementation Progress	

Description of Project Outputs	<ol style="list-style-type: none"> 1. Selected priority roads are resurfaced, upgraded or rehabilitated 2. PWD staff skills and qualifications are improved 3. Road planning and programming uses objective data driven procedures and tools 4. Project management
Status of Implementation Progress (Outputs, Activities, and Issues)	<p>The civil works for improvement and strengthening of roads with a total length of about 1,000 km are being carried out. The civil works are likely to be completed by 31 Dec 2017.</p> <p>Several training programs have been conducted under Uttarakhand State-Road Investment Program (USRIP) Project 1 and 2, to improve PWD staff skills and qualifications. These training programs will be continued under USRIP Project 3.</p>
Geographical Location	

Safeguard Categories

Environment	B
Involuntary Resettlement	B
Indigenous Peoples	C

Summary of Environmental and Social Aspects

Environmental Aspects	<p>The environmental due diligence report of the previous projects have been reviewed and concluded that compliance with environmental safeguard requirements were satisfactory. None of the roads under the project is located nearby or passes through environmentally sensitive areas such as national parks, sanctuaries, or other ecologically significant areas. The environmental screening found that all works for improvement of these roads would fall under category B in accordance with the ADB's Safeguard Policy Statement (2009). The initial environmental examination (IEE) report prepared for the project is attached in Appendix 8 to the PFR and disclosed at the ADB website.</p>
Involuntary Resettlement	<p>The project is categorized as B for involuntary resettlement impacts. Five subprojects under the project will have resettlement impacts. A detailed census has been conducted along these subprojects and RPs have been prepared and approved by ADB. According to the census, 127 persons will be affected by the 5 subprojects. Most of the impact will be on structures belonging to non-titleholders. The impact on the structures will be partial affecting the boundary walls and parts of structures. A small strip of land of around 0.605 ha will have an impact under one subproject.</p>
Indigenous Peoples	<p>The project is categorized as C for indigenous peoples as there is no impact on indigenous people. An indigenous peoples planning framework was prepared to address any unanticipated impacts on indigenous people during the implementation of the existing subprojects or any additional subprojects. In accordance with the framework, if any significant impacts on indigenous peoples are identified, an indigenous peoples plan will be prepared and submitted to ADB for approval before civil works contracts are awarded.</p>

Stakeholder Communication, Participation, and Consultation

During Project Design	The stakeholders have been consulted during design stage.
During Project Implementation	Consultations as required, will be carried out during project implementation.

Business Opportunities

Consulting Services	Quality- and cost-based selection was adopted to recruit consultants.
Procurement	Works to be financed under the project will be procured using international competitive bidding procedures for 4 larger (above \$10 million) packages, and national competitive bidding procedures for 14 smaller (below \$10 million) packages. Post-qualification method, in accordance with ADB's Procurement Guidelines (2010, as amended from time to time) will be used. Procurement procedures agreed between PWD and ADB are provided in the procurement plan. Any modifications to these will be prior agreed between PWD and ADB, and further set out in the procurement plan.

Responsible Staff

Responsible ADB Officer	Sahu, Prabhasha
Responsible ADB Department	South Asia Department
Responsible ADB Division	India Resident Mission

Timetable

Concept Clearance	-
Fact Finding	-
MRM	-
Approval	09 Oct 2013
Last Review Mission	-
Last PDS Update	16 Mar 2017

Loan 3040-IND

Milestones					
Approval	Signing Date	Effectivity Date	Closing		
			Original	Revised	Actual
09 Oct 2013	24 Dec 2013	28 Jan 2014	31 Dec 2017	-	-

Financing Plan		Loan Utilization			
	Total (Amount in US\$ million)	Date	ADB	Others	Net Percentage
Project Cost	227.55	Cumulative Contract Awards			
ADB	150.00	09 Oct 2013	134.19	0.00	89%
Counterpart	77.55	Cumulative Disbursements			
Cofinancing	0.00	09 Oct 2013	78.29	0.00	52%

Status of Covenants						
Category	Sector	Safeguards	Social	Financial	Economic	Others
Rating	-	-	-	-	-	Satisfactory

Project Page	https://www.adb.org/projects/38255-043/main
Request for Information	http://www.adb.org/forms/request-information-form?subject=38255-043
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