

OFFICE OF ANTICORRUPTION AND INTEGRITY

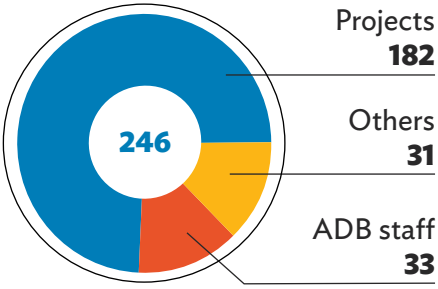
2018 ANNUAL REPORT
HIGHLIGHTS



2018 AT A GLANCE

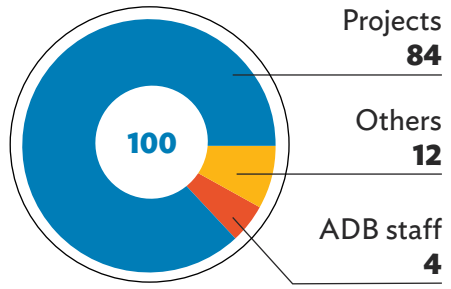
Investigations Division

Complaints Opened



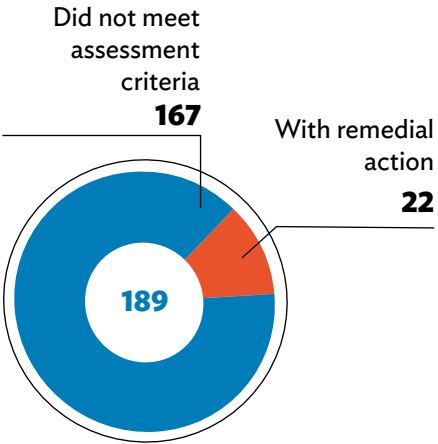
64% of complaints were from external parties

Investigations Opened

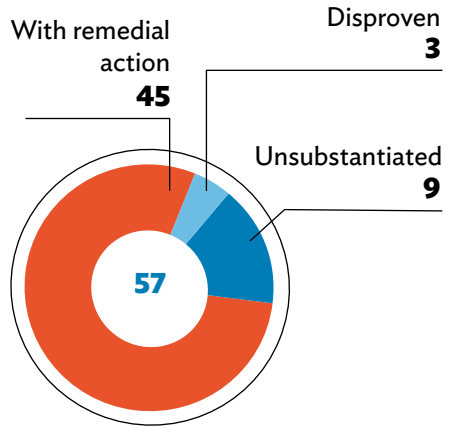


100 complaints met the assessment criteria and were converted into investigations

Complaints Closed



Investigations Closed



Respectful Workplace Unit

Types of Matters

Matters reviewed: **177***
Advisories: **125**
Investigations: **52**

Bullying and harassment **72**
33

Sexual harassment **10**
1

Other misconduct **43**
18

● Advisories ● Investigations

*Includes 55 matters from 2017.

Due Diligence Unit

Entities reviewed for integrity due diligence	871
Preemployment screenings	651

Review and Outreach Unit

Proactive Reviews



3
Transport

Papua New Guinea
Timor-Leste



2
Water

Sri Lanka
Viet Nam



1
Health

Mongolia

Value of projects covered **\$1.7 billion**

Value of contracts reviewed **\$462 million**

Outreach

Learning events	118
Participants	4,598

Addressing remaining poverty and reducing inequalities



Tackling climate change, building climate and disaster resilience, and enhancing environmental sustainability

Accelerating progress in gender equality



**ADB
STRATEGY
2030:
7 PRIORITIES**



Promoting rural development and food security

Fostering regional cooperation and integration



Strengthening governance and institutional capacity



Making cities more livable

The **Office of Anticorruption and Integrity** promotes good governance and builds the capacity of developing member countries.

**STRENGTHENING
INTEGRITY**
IN ADB OPERATIONS

The Investigations Division strengthened its complaint assessment function and increased its reliance on other remedial measures besides debarment to resolve investigations efficiently and effectively.

- Concluded an all-time high of **189** complaints—**37%** more than in 2017.
- Concluded **57** investigations and **45** resulted in **151** remedial actions.
- Submitted three investigation reports of staff misconduct to the Budget, Personnel, and Management Systems Department (BPMSD), which imposed disciplinary actions in two cases.

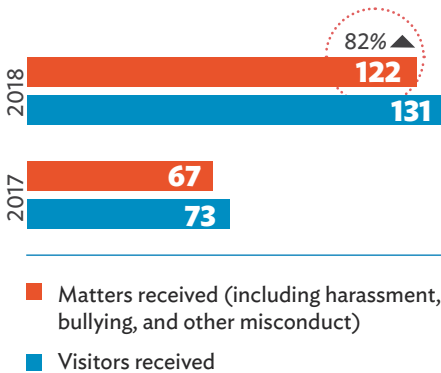
Remedial Actions	Firms	Individuals
Sanctioned	80	25
Sanctions accepted upon completion of investigation	58	5
IOC-imposed	14	19
Temporary suspension	1	0
Conditional non-debarment	7	1
Reprimanded	16	14
Cautioned	13	3
Cross-debarred	272	48

IOC = Integrity Oversight Committee,
OAI = Office of Anticorruption and Integrity.

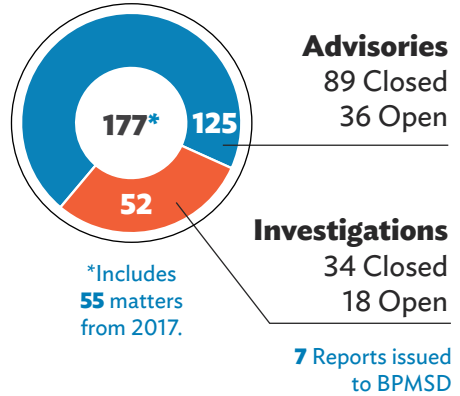
FOSTERING A RESPECTFUL WORKPLACE

The Respectful Workplace Unit (AIOD-RWU) continued to promote respect and raise awareness on harassment in the workplace. It saw an 82% increase in matters opened, a recognition that AIOD-RWU is an avenue for discussing and resolving matters.

Matters and Visitors Received (2017-2018)



AIOD-RWU Matters Reviewed



“[The Respect at Work e-bulletin is] a good reminder on how to deal with challenging colleagues and be able to adapt in a dynamic environment. It struck me that if they drive me crazy, it’s likely that I drive them crazy, too. Time to think of how I can also improve and not just expect them to adjust on my behalf.”

— ADB staff member

PREVENTING AND MANAGING PROJECT INTEGRITY RISKS

The Review and Outreach Unit conducted proactive reviews of projects that identified potential risks of fraud and corruption and proposed mitigating measures.

Proactive Reviews

Item	Number	Value
Reviews conducted	6	\$1.7 billion
Contracts reviewed	53	\$462 million
Withdrawal applications reviewed	185	\$171 million

“We made sure that whatever had been observed from your end served as a compliance list for us, as a checklist for us, so we could make sure that nothing went wrong in this project.”

— **Rahul Goswami**,
Deputy General Manager
(Town Planning),
Jaipur Metro Rail Corporation

MITIGATING RISKS THROUGH INTEGRITY DUE DILIGENCE

The Integrity Due Diligence Unit worked with project teams to ensure that integrity-related risks were disclosed and mitigating actions were implemented.

871 Entities reviewed for integrity due diligence

14 Entities with significant integrity-related risk

651 Preemployment screenings

9 Candidates with integrity concerns

3 Candidates not hired

1 Candidate withdrew

Tax Integrity

OAI and developing member countries (DMCs) work together to increase tax transparency and combat tax evasion.

Member countries covered:

- Maldives
- Marshall Islands
- Federated States of Micronesia
- Papua New Guinea
- Philippines
- Samoa
- Thailand

Anti-Money Laundering and Combating the Financing of Terrorism

OAI and DMCs work together to institute regulatory measures and build the capacity of financial services authorities, banks, and nonbank financial institutions.

Member countries covered:

- Bhutan
- Mongolia
- Papua New Guinea
- Philippines



International Anticorruption Day celebration.
(L-R): Chief Thematic Officer Chiara Bronchi, ADB President Takehiko Nakao, Cofounder and CEO of Teach for the Philippines Clarissa Delgado, Cofounder and Managing Trustee of Legal Initiative for Forest and Environment Ritwick Dutta, and OAI Head John Versantvoort.

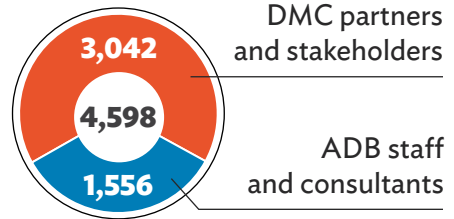
SHARING KNOWLEDGE AND COLLABORATING WITH STAKEHOLDERS

Working with its stakeholders, OAI continued to promote collaboration and exchange knowledge to combat integrity violations.

Learning Events Conducted

Sessions for DMC partners and stakeholders	73
Sessions for ADB staff and consultants	45
Total	118

Learning Events Participants



Over **68%** of sessions for ADB staff and consultants were on respect in the workplace.

Of OAI's outreach beneficiaries, **66%** were DMC representatives and development partners.

Knowledge Products

- [Anticorruption Policy video](#)
- [Asset Inspection Guide](#)
- [Jaipur Metro Rail project review video](#)
- Integrity and Respect at Work e-bulletins and intranet pages

2019 PRIORITIES

- Leverage technology to improve work efficiency.
- Develop country and/or sector integrity risk profiles.
- Share knowledge to enhance ADB and DMC capability.
- Strengthen capacity of DMCs to build their tax integrity framework.
- Contribute to the future direction of the Respectful Workplace Unit.

ABOUT OAI



The Office of Anticorruption and Integrity (OAI) aligns its operations closely with ADB's *Strategy 2030*. OAI leads ADB's integrity and respect at work initiatives through the conduct of investigations, project procurement-related reviews, integrity due diligence, advisory, knowledge sharing, and capacity building with the bank's stakeholders.

Consultants and external retainers complement OAI staff.

“ADB must continue to fight all types of corruption, individually and collectively, as One ADB.”

— **President Takehiko Nakao**
Asian Development Bank

**Read
OAI's 2018
Annual Report**

**Report fraud
and corruption**

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PSN ARM190044-2 pubsmarketing@adb.org