

# PROCUREMENT PLAN

## Basic Data

<b>Project Name:</b> Subregional Transport Enhancement Project	
<b>Project Number:</b> 44143-013	<b>Approval Number:</b> 2685/0225
<b>Country:</b> Nepal	<b>Executing Agency:</b> Ministry of Physical Infrastructure and Transport
<b>Project Financing Amount:</b> Loan: US\$ 24,500,000; Grant: US\$ 24,500,000 <b>ADB Financing:</b> US\$ 49,000,000 <b>Non-ADB Financing:</b> US\$ 26,900,000	<b>Implementing Agency:</b> Department of Roads, Bishal Nagar
<b>Date of First Procurement Plan:</b> 27 October 2010	<b>Date of this Procurement Plan:</b> 11 April 2014, Rev. 1

## A. Methods, Thresholds, Review and 18-Month Procurement Plan

### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 500,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 499,999	Prior review for the bidding documents (for first package) and bid evaluation report (for all)
Shopping for Goods	Up to US\$ 99,999	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 2,999,999	Prior review for the bidding documents (for first package) and bid evaluation report (for all)
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	
Single Source Selection for Consulting Firm	
Individual Consultants Selection for Individual Consultant	

### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package	General Description	Estimated	Recruitment	Review (Prior/Post)	Advertisement	Type of	Comments
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Number		Value	Method	Post)	Date (quarter/year)	Proposal	
tbd02	External Monitoring of Project Implementation	100,000.00	ICS	PRIOR	Q3 / 2014	BTP	Assignment: National  Expertise: Social Expert
tbd03	ASYCUDA License and Installation Services	1,000,000.00	SSS	PRIOR	Q3 / 2014	FTP	Assignment: International  Comments: UNCTAD Contract

#### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table groups smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
STEP/ICB/WB-01	Equipment: Axel weigh bridges	500,000.00	1	ICB	PRIOR	1S1E	Q2 / 2014	Prequalification of Bidders: N  Domestic Preference Applicable: Y  Bidding Document: Goods
tbd01	Equipment: Trade Facilitation	700,000.00	2	NCB	PRIOR	1S1E	Q3 / 2014	Prequalification of Bidders: N  Bidding Document: Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

#### B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
STEP/ICB/BC-01	Improvement of roads: Belbari-Chauraha	4,840,000.00	3,090,581.00	ICB	Q1 / 2011	15-AUG-11	Sichuan-Danfe-Sun alua Khimti JV
STEP/ICB/BC-02	Improvement of roads: Belbari-Chauraha	4,500,000.00	2,773,043.00	ICB	Q1 / 2011	15-NOV-11	CTCE-Kalika-Raman JV
STEP/NCB/BBY-01	Improvement of roads: Bhairahawa By-pass	1,240,000.00	747,065.00	NCB	Q1 / 2011	15-NOV-11	Sharma & Company (Pvt.) Ltd.
STEP/NCB/CK-01	Improvement of roads: Chainpur-Khandbari	2,000,000.00	1,374,904.43	NCB	Q1 / 2011	15-NOV-11	Swachchhanda-Surya JV
STEP/NCB/CK-02	Improvement of roads: Chainpur-Khandbari	2,300,000.00	1,476,602.83	NCB	Q1 / 2011	15-NOV-11	M.G. Contractors-Ashish JV
STEP/NCB/HO-01	Improvement of roads: Harkapur-Okhaldhunga	1,700,000.00	977,611.00	NCB	Q1 / 2011	15-NOV-11	Kalika-Raman JV
STEP/NCB/HO-02	Improvement of roads: Harkapur-Okhaldhunga	1,930,000.00	1,096,440.66	NCB	Q1 / 2011	15-NOV-11	Tundi Construction P. Ltd.
STEP/NCB/HO-03	Improvement of roads: Harkapur-Okhaldhunga	1,750,000.00	1,024,971.00	NCB	Q1 / 2011	15-NOV-11	Tamang Construction P. Ltd.
STEP/NCB/HO-04	Improvement of roads: Harkapur-Okhaldhunga	1,480,000.00	955,041.00	NCB	Q1 / 2011	15-NOV-11	Tundi-Nagarjun JV
STEP/NCB/OS-01	Improvement of roads: Okhaldhunga-Salleri	2,170,000.00	1,312,340.05	NCB	Q1 / 2011	15-NOV-11	Lama Construction-Sharma JV
STEP/NCB/	Improvement of	2,320,000.00	1,392,112.00	NCB	Q1 / 2011	15-NOV-11	Lama

OS-02	roads: Okhaldhunga-Sa lleri						Construction-Shar ma JV
STEP/NCB/ OS-03	Improvement of roads: Okhaldhunga-Sa lleri	2,200,000.00	1,301,462.00	NCB	Q1 / 2011	15-NOV-11	Lama Construction-Shar ma JV
STEP/NCB/ OS-04	Improvement of roads: Okhaldhunga-Sa lleri	2,300,000.00	1,295,714.00	NCB	Q1 / 2011	15-NOV-11	Lama Construction-Shar ma JV
STEP/NCB/ OS-05	Improvement of Roads: Okhaldhunga-Sa lleri	2,300,000.00	1,331,918.00	NCB	Q1 / 2011	15-NOV-11	Lama Construction-Shar ma JV
STEP/ICB/ NK-01	Improvement of roads: Nepalgunj-Kohal pur	7,660,000.00	4,829,389.00	ICB	Q3 / 2012	05-MAR-13	AMR India Limited-Pappu Construction
STEP/ICB/ NK-02	Improvement of roads: Nepalgunj-Kohal pur	7,230,000.00	4,885,690.00	ICB	Q3 / 2012	05-MAR-13	Kalika Construction

Consulting Services							
Package Number	General Description	Estimated Value	Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
STEP/Supervision	Consulting Services for Supervision of STEP	4,700,000.00	3,056,613.00	QCBS	Q3 / 2010	26-AUG-11	M/S Intercontinental Consultants and Technocrats Pvt. Ltd. India in association with Full Bright

#### D. National Competitive Bidding

National competitive bidding (NCB) shall be in accordance with that described as "by inviting bids and a national level" as set forth in "The Public Procurement Act, 2007" (PPA) and "The Public Procurement Regulations, 2007" (PPR) and subject to the following:

1. The first NCB document for both goods and works procurement shall be reviewed and approved by ADB prior to issue. These will be used for all NCB procurement under the project. The document shall include qualification and evaluation criteria and ADB's right to audit and inspect in accordance with para 1.14 of ADB's Procurement Guidelines. No other criteria other than that described in the bidding document may be used to determine the lowest evaluated responsive bidder and no form of domestic preference may be employed. A positive assessment of a bidder's qualifications to perform a contract will be a pre-requisite to an award.
2. All qualified ADB member bidders and ADB member produced goods, services and works shall be eligible. Registration and licensing shall be permitted only as a condition of contract award and not participation in bidding. No award may be withdrawn for failure to obtain tax registration; license or fulfill any similar requirement without ADB's prior concurrence. No bidder will be held ineligible based on provision 63 of the PPA without ADB prior concurrence.

3. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper or freely accessible, nationally-known website allowing a minimum of twenty-eight (28) days for the preparation and submission of bids. Invitations for bids for contracts estimated at \$500,000 or more for goods and \$1,000,000 or more for civil works shall be advertised on ADB's website. No restriction will be placed on the sale of bidding documents.
4. The approved Standing List of a Procuring Entity prepared in accordance with the law shall only be accepted to supplement an advertised open pre-qualification exercise for the specific contract provided that those on the standing list meet the qualification criteria.
5. Bids shall be opened at a single location immediately after the deadline for submission. Multiple locations for submission and opening are not acceptable.
6. Government-owned enterprises in Nepal shall be eligible to participate only if they meet the conditions of para 1.8 (c) of the Procurement Guidelines.
7. Extension of bid validity of more than 4 weeks beyond the original validity shall not be allowed without the prior concurrence of ADB.
8. Cancellation of bidding and re-bidding shall not be carried out without the prior concurrence of ADB.
9. Percentage variations from rates fixed by a district rate fixation committee shall not be used for the purpose of evaluating bids.

In the event of a conflict between these provisions and the law, these provisions shall prevail.