

## A. Basic Data

Project Name: Loan 2775-UZB: Housing for Integrated Rural Development Investment Program Tranche 2 (Project No. 44318-025)	
Country: Republic of Uzbekistan	Executing Agency: Ministry of Economy
Loan Amount: \$200 million	Loan (Grant) Number: Loan 3039-UZB
Date of First Procurement Plan: 9 October 2013	Date of this Procurement Plan: 9 October 2013

## B. Procurement Plan

1. **Program procurement thresholds.** Except as ADB may otherwise agree, the following thresholds shall apply to procurement of goods and works:

**Table 14: Program Thresholds for Procurement of Works and Goods**

Procurement Method	Threshold
International Competitive Bidding (ICB) for Works	\$2 million or more
National Competitive Bidding (NCB) for Works	Less than \$2 million
National Competitive Bidding for Goods	Less than \$500,000
Shopping for Works	Below \$100,000
Shopping for Goods	Below \$100,000

Source: Asian Development Bank staff.

2. **ADB prior or post review.** Except as ADB may otherwise agree, the following prior or post review requirements apply to procurement and consultant recruitment methods used. Post review requirements for procurement of NCB works will be subject to the findings and recommendations of the ADB procurement review for effective implementation scheduled for November 2013. Following the procurement review, HIRD procurement guidelines, HIRD standard bidding documents, and HIRD tendering and bid evaluation processes will be amended to incorporate the review's findings and recommendations.<sup>1</sup>

**Table 15: Program Review of Procurement of Works and Goods**

Procurement Method	Prior or Post Review
International Competitive Bidding (ICB) for Works	Prior
National Competitive Bidding (NCB) for Works	Post
National Competitive Bidding for Goods	Prior
Shopping for Works	Prior
Shopping for Goods	Prior
<b>Recruitment of Consulting Firms</b>	Prior
<b>Recruitment of Individual Consultants</b>	Prior

Source: Asian Development Bank staff.

3. **Procurement and contract document formats.** HIRD standard bidding documents and contract formats, HIRD bid evaluation report templates, and other HIRD procurement documents cleared by ADB for Tranche 1 will be utilized for all Tranche 2 procurement financed

<sup>1</sup> ADB prior review of HIRD procurement guidelines, HIRD standard bidding documents, and HIRD tendering and bid evaluation processes was undertaken prior to their adoption for HIRD 2012.

by ADB. Subsequent modifications to standardized formats will require ADB review and clearance prior to their adoption.

4. Tables 16 and 17 contains indicative lists of smaller-value goods, works, and consulting services contracts. For Table 17, procurement activity is either ongoing or expected to commence within the next 16 months.

**Table 16: Tranche 1 List of Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 under the Program**

<b>General Description</b>	<b>Indicative Contract Value (aggregate)</b>	<b>Indicative Number of Contracts</b>	<b>Procurement/ Recruitment Method</b>
Civil works (house construction)	\$199,600,000	850	NCB
Program Implementation Unit staff (including national consultants, office support staff and office expenses)	24,600	8	ICS
Office equipment and office supplies	15,400	4	Shopping
Various consulting services	360,000	2	ICS
<b>Total</b>			

ICS = individual consultant selection, NCB = national competitive bidding.  
Source: Asian Development Bank staff.

**Table 17: Tranche 2 List of Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 under the Program**

<b>General Description</b>	<b>Indicative Contract Value (aggregate)</b>	<b>Indicative Number of Contracts</b>	<b>Procurement / Recruitment Method</b>
Civil works (house construction)	\$1,500,000,000	1,996	NCB
Program Implementation Unit staff (including interns and support staff)	\$396,000	31	ICS
Office equipment and office supplies	\$158,000	3	Shopping
Various consulting services	\$495,000	13	ICS/CQS
<b>Total</b>			

ICS = individual consultant selection, NCB = national competitive bidding.  
Note: Various consulting services includes \$10,000 for the PIU audit to be selected through CQS.  
Source: Asian Development Bank staff.

- Indicative lists of civil works to be procured under Tranche 2 are in Tables 20-22.

a. Procurement Plan for Tranche 2

**Table 20: List of Proposed Goods, Works, and Services under Tranche 2  
Non-Credit Component**

Consultant	Estimated Cost (\$)	Estimated Number of Contracts	Recruitment Method	Planned Advertisement Date	Duration in Person-Months
<b>1. Consultants Serving as PIU Staff</b>					
<b>a. National<sup>a</sup></b>					
Program Implementation Unit Manager	36,000	1	ICS	Sep 2013	24
Accountant/Financial Management Specialist	24,000	1	ICS	Sep 2013	24
M&E Specialist: PIC	24,000	1	ICS	Sep 2013	24
M&E Specialist: Beneficiaries & GAP	24,000	1	ICS	Sep 2013	24
M&E Specialist: Procurement & Construction QA	24,000	1	ICS	Sep 2013	24
M&E Specialist: Safeguards, Tranches 2 and 3	24,000	1	ICS	Sep 2013	24
Interpreter/Translator	24,000	1	ICS	Sep 2013	24
IT Specialist	24,000	1	ICS	Sep 2013	24
Procurement Specialist	24,000	1	ICS	Sep 2013	24
<b>b. PIU Interns<sup>a</sup></b>	144,000	20	ICS	Sep 2013	105
<b>c. PIU Office Support Staff /Operation</b>	24,000	2	ICS	Sep 2013	48
<b>2. Consulting Requirements</b>					
<b>International</b>					
Monitoring and Evaluation Specialist	75,000	1	ICS	Nov 2013	3
Financial Sector Specialist	75,000	1	ICS	Nov 2013	3
E-Procurement Specialist	50,000	1	ICS	Mar 2014	2
IT Specialist	50,000	1	ICS	Mar 2014	2
TBD	100,000	1	ICS	TBD	4
<b>National</b>					
Statistics Specialist	9,000	1	ICS	Nov 2013	6
Financial Sector Specialist	9,000	1	ICS	Nov 2013	6
Safeguards Specialist	27,000	1	ICS	Nov 2013	18
PIC Specialist	9,000	1	ICS	Nov 2013	6
Gender Specialist	9,000	1	ICS	Nov 2013	6
IT Specialist	36,000	1	ICS	Nov 2013	24
TBD	36,000	1	ICS	TBD	24
<b>3. Technical and Financial Performance Audit</b>	350,000	1	CQS <sup>b</sup>	Sep 2013	
<b>4. PIU Audit</b>	10,000	1	LCS	Mar 2014	
<b>5. PIU Operations: Office Equipment and Office Supplies</b>	158,000	3	Shopping	Sep 2013	
<b>6. Contingency</b>	41,000				
<b>Totals</b>	<b>\$1,440,000</b>	<b>48</b>			<b>473</b>

CQS = consultants' qualifications selection, ICS = individual consultant selection, GAP = gender action plan, IT = information technology, M&E = monitoring and evaluation, PIC = public information campaign, PIU = program implementation unit, QA = quality assurance, TBD = to be determined.

<sup>a</sup> inclusive of social charges as applicable

<sup>b</sup> A qualified firm will be selected by CQS under Tranche 1 to create the baseline for the technical and financial performance audit by conducting a technical and financial performance review. Under Tranche 2, a qualified firm will be selected by CQS to undertake the technical and financial performance audits of HIRD 2012 and HIRD 2013.

Source: Asian Development Bank staff.

**Table 21: List of Indicative Contract Packages Under Tranche 2—2013**

<b>Province</b>	<b>Indicative Value (aggregate)</b>	<b>Indicative Number of Contracts</b>	<b>Procurement Method</b>	<b>Planned Advertisement Date</b>
Andijan	\$66.2 million	86	NCB	Jan 2013
Bukhara	\$48.3 million	75	NCB	Jan 2013
Ferghana	\$59.5 million	70	NCB	Jan 2013
Jizzakh	\$38.7 million	55	NCB	Jan 2013
Karakalpakstan	\$36.3 million	58	NCB	Jan 2013
Kashkadarya	\$78.1 million	115	NCB	Jan 2013
Khorezm	\$51.7 million	78	NCB	Jan 2013
Navoi	\$4.70 million	59	NCB	Jan 2013
Namangan	\$48.8 million	69	NCB	Jan 2013
Samarkand	\$74.1 million	103	NCB	Jan 2013
Sukhandarya	\$57.5 million	84	NCB	Jan 2013
Sirdarya	\$30.6 million	43	NCB	Jan 2013
Tashkent	\$69.5 million	95	NCB	Jan 2013
	<b>\$700.0 million</b>	<b>998</b>		

NCB = national competitive bidding.

Sources: Government of Uzbekistan and Asian Development Bank staff estimates.

**Table 22: List of Indicative Contract Packages Under Tranche 2—2014**

<b>Province</b>	<b>Indicative Value (aggregate)</b>	<b>Indicative Number of Contracts</b>	<b>Procurement Method</b>	<b>Planned Advertisement Date</b>
Andijan	\$75.7 million	86	NCB	Jan 2014
Bukhara	\$55.2 million	75	NCB	Jan 2014
Ferghana	\$68.0 million	70	NCB	Jan 2014
Jizzakh	\$44.2 million	55	NCB	Jan 2014
Karakalpakstan	\$41.5 million	58	NCB	Jan 2014
Kashkadarya	\$89.3 million	115	NCB	Jan 2014
Khorezm	\$59.1 million	78	NCB	Jan 2014
Navoi	\$46.5 million	59	NCB	Jan 2014
Namangan	\$55.8 million	69	NCB	Jan 2014
Samarkand	\$84.7 million	103	NCB	Jan 2014
Sukhandarya	\$65.7 million	84	NCB	Jan 2014
Sirdarya	\$34.9 million	43	NCB	Jan 2014
Tashkent	\$79.4 million	95	NCB	Jan 2014
<b>Total</b>	<b>\$800.0 million</b>	<b>998</b>		

NCB = national competitive bidding.

Sources: Government of Uzbekistan and Asian Development Bank staff estimates.