

Resettlement and Social Monitoring Report

#2 Quarterly Progress Report on Safeguards Implementation
April – June 2014

Viet Nam: Development of the Northern Chu and Southern Ma Rivers Irrigation System Project

Prepared by the Ministry of Agriculture and Rural Development for the Socialist Republic of Viet Nam and the Asian Development Bank.

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**THE SOCIALIST REPUBLIC OF VIETNAM
MINISTRY OF AGRICULTURE AND RURAL DEVELOPMENT (MARD)
CENTRAL PROJECT OFFICE
ADB Loan No 2828-VIE**

**DEVELOPMENT OF NORTHERN CHU AND SOUTHERN MA RIVERS
IRRIGATION SYSTEM PROJECT**

**PROGRESS REPORT
of
SAFEGUARDS IMPLEMENTATION**

2ndst Quarter 2014

**Korea Engineering Consultants Corp. (KECC)
In association with:
Viet Development Consultants JSC (VIDECO)**

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INTRODUCTION

Project Description

The overall Programme aims to provide farmers with reliable irrigation services by upgrading and developing the irrigation and drainage facilities over about 31,100 ha within the Northern Chu and Southern Ma River irrigation command area of Thanh Hoa Province. This will increase agricultural productivity and the incomes of the rural poor.

The Programme expects to contribute to safeguarding the effects of climate change that has the potential to impact on production rates and food commodity prices in the future. The Programme also seeks to develop the use and benefits of capital investment already made on the Cua Dat multi-purpose reservoir. Programme objectives addressed during design concentrate on a number of national thematic and local practical issues, including:

- food security for a growing population through increasing crop productivity and diversification, alleviating rural poverty;
- optimization of the benefits from the Multi-purpose Cua Dat Reservoir including repulsion of saline intrusion whilst minimizing pollution from fertilizers and pesticides;
- efficient use of water in the face of competing demands;
- achieving sustainable financing for O&M and rehabilitation of irrigation facilities;

The Programme comprises the following main components:

PHASE 1:

- Construction of new Main Canal (16.5km) and control structures The base cost of Phase 1 investment is estimated at VND 1,070 billion (\$51.7 million equivalent). This phase is currently under construction, being financed from Government sources.

PHASE 2:

- Construction of the new North and South Main Canals, measuring 34.3 km and 23.5 km, respectively, and development of lower order canals and on-farm facilities. This is being co-financed by ADB through a loan agreement signed between ADB and The State Bank of Vietnam on 9 March 2012 and was declared effective on 10 July 2012. The Phase 2 investment is estimated at VND 3,017 billion or \$143.1 million equivalent, including \$110 million from the ADB and \$ 33.1 million from counterpart funds (\$28.1 million from the central government and \$5.0 million from the local government).

PHASE 3:

- Construction of the extension of the North and South Main Canals (from km 34.3 to km 47.3 of the North Main Canal, and km 23.5 to km 46.5 of the South Main Canal), and rehabilitation of the South Ma pumped irrigation scheme command area. The base cost of Phase 3 investment is estimated

at VND 1,207 billion (\$58.3 million equivalent). This phase is expected to start on 2014.

The planned outputs of the Phase 2 project (the Project) are: (i) Output 1: improved water resources management, delivery of irrigation services and irrigation system operation and maintenance (O&M); (ii) Output 2: improved irrigation infrastructure; (iii) Output 3: improved access to and use of rural support services, agricultural inputs and information; and (iv) Output 4: effective project management.

The Ministry of Agriculture and Rural Development (MARD) is the executing agency (EA) and has delegated the responsibility for project implementation to a Central Project Management Unit (CPMU) within its Central Project Office (CPO). CPO has entered into a contract with KECC in association with VIDEKO (the Consultant) for Project Management consulting services on 5 August 2013; the contract expires on 30 June 2017.

This Report

This is the 3-monthly progress report on safeguards implementation, prepared Project Management Consultants (PMC) on the basis of reports of RP-PMU of Thanh Hoa and Consultants own observations and conclusions. The report has 3 sections, covering the progress in implementing the 3 main aspects of safeguarding: (1) Resettlement, (2) Social Support for severely affected households and Ethnic minorities, and (3) Environmental safeguarding. This is followed by (4) a section with planned activities for the next quarter. Further details are given in Attachments.

1 RESETTLEMENT

1.1 MAIN CANAL

The total length of the Main Canal is 16.6 km. The construction of the main canal is divided into two sections: (i) The first section (the first 5 km) have been constructed and (ii) the last section (the last 11.6 km) of the main canal to be constructed this year.

To be consistent with the construction schedule of the main channel, the implementation of resettlement is divided into two sections: section 1 (first 5 km of main canal) and section 2 (the last 11.5 km of the main canal).

Section 1: This section is passing Luong Son commune (Thuong Xuan) and Phung Giao commune (Ngoc Lac district). Implementation of compensation for the affected people of the first 5 km main canal has been completed (August 2011) before the RP of the main canal was approved by ADB. Resettlement of section 1 was implemented in accordance with the Government's policy.

According to requirements of ADB a Corrective Action Plan (CAP) has been prepared in order to bring the compensation and resettlement in the section under Phase 1 to the same level as it was agreed for the canal system under phase 2 financing. This CAP has been approved by the Government. The CAP will be implemented and monitored.

Section 2: This section of 11.6 km is passing 4 communes: Phung giao, Nguyet An, Phuc Thinh and Kien Tho (Ngoc Lac district). The compensation and support for

affected households of this section shall be implemented in accordance with the RP of the main canal, yet to be updated and prepared for section 2 to the same standards as the canals under phase 2 financing.

1.1.1 CAP Implementation progress

Community Consultations with affected people of the main canal have been carried out from 25 to 28/3/2014 and were implemented by the resettlement specialists of the PMC Team and the staffs of the RP-PMU of Thanh Hoa DARD and DRC of Ngoc Lac District and People's Committee of Ngoc Lac district and the affected households.

Assessment:

With the effective support of the staffs of the RP-PMU, staffs of DRC Thuong Xuan and Ngoc Lac district and commune People's Committee, the organization of consultations and information dissemination for the project affected households of the main canal have taken place as planned and results were achieved.

It was found that most of the affected families understand the project and resettlement policy for the project, because they have been invited to attend some public consultations before. Households affected by the implementation of the first 5 km of the Main Canal were very excited, because although the construction had been completed and they had received compensation payment under the Government's policy (2,5 years before), now they will be receiving additional compensation and assistances in accordance with the resettlement policy of the Phase 2 project.

Plans for further CAP implementation

Although a lot of progress has been made in the previous months, at present the implementation of the CAP is still unfinished. During working with the staffs of Thanh Hoa RP - PMU and staffs of DRC of Ngoc Lac and Thuong Xuan district, PMC specialists had discussed with local officials related to the progress of implementation of the CAP. The following is a summary of CAP implementation schedule:

1. Status of CAP implementation: Currently, RP - PMU and RDC Thuong Xuan and Ngoc Lac district are taking steps to implement the corrective action plan (CAP), as follows:
 - DRC of Thuong Xuan and Ngoc Lac district had established a list of affected households should be additional compensated and supported.
 - DRC Thuong Xuan district is considering, check the accuracy of the data prior to making additional compensation plan.
 - Additional compensation plans of the first 5 km of the main canal is being considered, evaluation. awaiting approval
2. Proposed Plan of CAP:
 - Proposed time of payment: from 15-20 August 2014
 - Compensation payment will be completed by 25 August, 2014
3. Budget for the CAP: budget for implementation of CAP has been allocated from funds of government bonds in 2014.
4. Monitoring of the CAP: 25 - 31 August 2014.

Overview of current status:

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By 30 June 2014 the status of CAP implementation (for the first 5 km of the Main Canal) is as follows:

<i>Issues</i>	<i>Corrective Actions to comply with the approved Resettlement Plan</i>	<i>Responsible Agencies</i>	<i>status as of 30 June, 2014</i>	<i>Proposed schedule</i>
1. Consultation and Participation	<ul style="list-style-type: none"> - Place the approved resettlement plan for MainCanal in the local levels. - Deliver of booklet and relevant national and provincial legal documents to the APs - Consult with the APs on the entitlement matrix, grievance redress, implementation schedule and others. 	Thanh Hoa DARD and District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done	
2. Compensations	- Conduct the land and assets valuation to determine the replacement cost for residential land at time of preparing the detailed compensation, assistance and resettlement plan.	- District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac District.	Done Evaluation of land price have been done by Thanh Hoa PPC In August 2010 (the RP of the main canal approved by ADB)	
	- Make compensation for the residential land by replacement costs.	- District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done The unit cost for compensation based on results of replacement cost survey in August 2010	
	- Make compensation for immature trees by market rates or by the values of trees. Since the APs accepted compensation by the provincially regulated price-frame of ThanhHoaProvince, the regulated rates can be applied.	District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done Compensation for immature trees- have been done in the compensation plan. There are no complaint from AHs	
3. Allowances	- Provided allowance for training for job change (1.5 times of affected land value) for the households who have agricultural land affected.	Thanh Hoa DARD and District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done (Decision No. 1798/QĐ-UBND dated August 20, 2012 of Thuong Xuan District	
	- Delivery of different trainings and income restoration assistances for the severely affected households	District committees for compensation, assistance and resettlement of Thuong Xuan and	Not yet	will be done in parallel with the income restoration program of

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<i>Issues</i>	<i>Corrective Actions to comply with the approved Resettlement Plan</i>	<i>Responsible Agencies</i>	<i>status as of 30 June, 2014</i>	<i>Proposed schedule</i>
		Ngoc Lac Districts.		the RP1
	- Made assistance for the households who lost from 10 to 30% of total land holding.		Additional compensation plans are being done by DRCs of Ngoc Lac and Thuong Xuan	End of August, 2014
	- Deliver of moving allowance for the relocation households as specified in the entitlement matrix.	District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done (3 million VND/household)	
	- Deliver of life stabilization allowances for the relocation households as specified in the entitlement matrix	- District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Not yet Additional compensation plans are being made by DRCs of Ngoc Lac and Thuong Xuan	End of August, 2014
	- Made bonus amount for the households who handed over the affected residential land timely as specified in the entitlement matrix	District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Not yet Additional compensation plans are being made by DRCs of Ngoc Lac and Thuong Xuan	End of August, 2014
	- Provide assistance for the owners of residential structures that must rebuilt as specified in the entitlement matrix	District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Not yet	End of August, 2014
	- Deliver of allowance for the vulnerable affected households as specified in the entitlement matrix.	District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Not yet Additional compensation plans are being done by DRCs of Ngoc Lac and Thuong Xuan	End of August, 2014
4. Livelihood Rehabilitation	- Deliver of allowance for training for job change for the households who have agricultural land affected.	- District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac District.	Done (Decision No. 1798/QĐ-UBND dated August 20, 2012 of Thuong Xuan District	
	- Consult with AP to prepare suitable income restoration program	- District committees for compensation, assistance and resettlement of	Done	

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<i>Issues</i>	<i>Corrective Actions to comply with the approved Resettlement Plan</i>	<i>Responsible Agencies</i>	<i>status as of 30 June, 2014</i>	<i>Proposed schedule</i>
		Thuong Xuan and Ngoc Lac Districts.		
5. Grievance Redress	- Respond to the complaints of APs timely as specified in the Decree 197/2004 and Decree 69/2009.	- Thanh Hoa DARD and District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts.	Done	
	- Discuss and provided document on grievance redress mechanism to the APs.	- Thanh Hoa PPC, Thuong Xuan and Ngoc Lac Districts, District committees for compensation, assistance and resettlement of Thuong Xuan and Ngoc Lac Districts	Done	
	- Resolve the pending complaining cases based on the steps specified in the RP		Done	

Remark 1: During May and June 2014, after our last updated report, progress has been very slow.

1.1.2 RP for Main Canal km 5 – km 16.6

Working with the staffs of DRCs of Ngoc Lac, the PMC specialist was informed of the following:

1. The DMS of the remaining section (11,6km) has been carried out by DRC of Ngoc Lac district.
2. The DMS of Phung Giao commune and Nguyet An have been completed and compensation plan of Phung Giao has been approved by Ngoc Lac District.
3. Due to the adjustment of technical design, so far, the DMS for remaining communes of Phuc Thinh and Kien Tho is unfinished.
4. Socio-economic survey is unfinished (4 communes).

Schedule for resettlement activities of main canal

Resettlement activities	Completion status	Timeframe
Public information dissemination and consultation with APs	done	
Detailed Measurement Survey (DMS)	-DMS completed for 2 Communes: Nguyet An and Phung Giao.; -DMS for 2 Communes:Phuc Thinh, Kien Tho is going on	- Done (see remark 2)
Preparation of Compensation Plan and consultation with APs	-Compensation plans for Phung Giao commune has been approved; -for remaining 3 communes: Nguyet An, Phuc Thinh and	- Done (see remark 2)

Resettlement activities	Completion status	Timeframe
	Kien Tho preparation of the plans is going on	
Consultation with APs on draft compensation plan	-Consultation with APs for Phung Giao commune has been done; - for remaining 3 communes : on going	- Done (see remark 2)
Completion and submission of Compensation Plan for approval	-Completion and submission of Compensation Plan -for Phung Giao commune -for remaining 3 communes: on going	- Done (see remark 2)
Consultation with APs on approved compensation plan	-Consultation with APs on approved compensation plan for Phung Giao commune has been done; -for remaining 3 communes: on going	- Done - (see remark 2)
Update of RP and submit to ADB	Collecting data and data processing: on going	From September - October 2014

Remark 2: During May and June 2014, after our last updated report, progress has been slow. The DRC could not keep the planning given in the previous Quarterly Safeguards Report. The DMS was not yet finished by the end of the June 2014; all work related to DMS is still being implemented, but progress is slow. This is related to the adjustment of technical design and the new Land Law for which ThanhHoa Province has not yet issued guidance for implementation.

1.2 NORTH AND SOUTH MAIN CANAL BRANCHES

At the end of the Main Canal (km 16.6) the canal divided over 2 main branches: The North Main Canal and the South Main canal. The first 34.5 km of the North canal and the first 23.5 km of the South canal are to be implemented under the Project. Two Resettlement Plans for these canals have been planned as follows:

- RP1** for the upstream sections, km 0 to km 10 of the North Canal plus km 0 to km 10 of the South Canal
- REMDP2** for the downstream sections, km 10 to km 34.5 of the North Canal and km 10 to km 23.5 of the South Canal

1.2.1 RP1

Compensation

The compensation payment started in December 2013 and was substantially completed in January 2014. Affected households who received the compensation in 7 communes of RP1 total 1660; accounting for 99.76% of the total of 1664 affected households.

Statistics of households receiving compensation

No	District/ Commune	Total AH in RP1	Total updated AH	Number of households received compensation	Number of households not received compensation
A	Ngoc Lac	840	820	820	0
1	Kien Tho	482	476	476	0
2	Lam Son	165	165	165	0
3	Minh Tien	129	131	131	0
4	Ngoc Trung	64	48	48	0
B	Tho Xuan	814	844	840	4
5	Xuan Chau	50	57	57	0
6	Xuan Thien	488	498	497	1
7	Tho Minh	276	289	286	3
Total		1654	1664	1660	4

Relocation and resettlement

Relocation of affected household was planned to be implemented in 2014 after the completion of compensation payment. The relocation procedures for displaced households were prepared well. Most displaced households opted for the self-relocation in their remaining land (13 relocation AHs) or in other land plots in their village, commune (14 relocation AHs), and only 10 relocation AHs opted for moving to the area that is planned for resettlement in the commune. These relocation AHs received the compensation in cash, agreed to hand-over land for the project and had a plan for relocation. Some of the affected households started to build new houses or get ready to rent houses or live with their relatives to hand over the land for construction.

Income restoration

By the time of monitoring, the amount of income restoration expenses in cash was 39,651,976,876 VND, accounting for 95% of total estimated amount of 41,658,976,876 VND that was paid to severely affected households and vulnerable AHs.

The DRCs are establishing income restoration groups to implement the income restoration program for severely affected households and vulnerable AHs. This program includes training in cultivation, husbandry and forestry. District Income Restoration Implementation Teams (DIRIT) have been established in Tho Xuan district and Ngoc Lac district.

Site clearance and handing over

The site clearance schedule was informed to AHs in the resettlement plan and during the compensation payment. AHs were ready to hand over land to the project.

1.2.2 REMDP2

Project Information Dissemination and Consultation for Affected Households

Information was disclosed to the households affected by the Project and they participated in a number of consultation meetings held by DRCs and those consultation meetings organised by Resettlement Unit under DARD and resettlement plan updating specialists. Main project information contents, scope of project land acquisition, applicable policies, recommendations and proposals related to relocation, resettlement and income restoration were disseminated to AHs in consultation meetings. Project related information was also posted in public at CPC's office and village offices. The project information brochures were also delivered to AHs.

DMS

The Detailed Measurement Survey has been completed.

Preparing compensation plans and consultations for AHs

After the DMS was completed and replacement cost was approved, DRCs applied prices and announced detailed cost estimates for compensation. After 20 days of disclosure and resolution to related proposals, the compensation committee synthesized, added and revised cost estimates and submitted compensation plans of affected communes under REMDP2 for appraisal and approval. REMDP2 is being finalized by two Independent Consultants. The final draft was submitted to ADB for comments on 26 June 2014.

Updating the RP and ADB's approval

Final Draft submitted to ADB for comments on 26 June 2014.

Consultation about draft compensation plan with AHs

Has been done

Completing and submitting compensation plans

Has been done

Appraisal and approval of compensation plans by DPC or PPC

Not yet done

Consultation about approved compensation plans with AHs

Not yet done

Compensation and allowance payment

Not yet done

Resettlement and Land Clearance

Not yet done

1.3 Distributory Canal System

The Project was approved by the Government and by ADB on the basis of draft design for the Distributory or lower order canals, which take off from the North and South Main Canals. The detailed designs for these distribution systems became available in March 2014. For both the north and the south main canals they appeared to differ greatly from the draft designs which had been made on outdated information, and the cost estimates have become much larger. At the moment of this report the Detailed Engineering Designs (DED) of the South Main Canal system has been approved; approval of the DED for the NMC systems was still pending at the end of the reporting period. Preparatory activities for the necessary land acquisition, starting from the DMS, have started for the SMC Distributory systems..

Overview of current status of implementation of social program of REMDP3 and REMDP4

Activities	EMDP3, REMDP4		Status as of 30 June 2014 with quantification	Proposed for next quarter
	plan	actual		

Activities	EMDP3, REMDP4		Status as of 30 June 2014 with quantification	Proposed for next quarter
	plan	actual		
1. DED approval	- SMC: 10 May 2014 - NMC: 30 May 2014	- SMC: 30 May 2014	- SMC: Has been done - NMC: Has not been done	
2. Transfer the branch canals stacked locations	15 June 2014	- SMC: 15 June 2014 - NMC: Has not been done	- SMC: Has been done - NMC: Has not been done	- would be done in quarter III
3. DMS	- SMC: Completion of DMS by 10 July; - NMC: Completion of DMS 30 August		Not yet started	would be done in quarter III
4. Submitted REMDP3 and REMDP to ADB	- SMC: 30 September - NMC: 15 October 2014		Not yet started	would be done in quarter IV
5. Preparation of compensation plan and consultations	- 30 August for REMDP4 - 30 September for REMDP3		Not yet started	would be done in quarter III
6. Delivery of compensation commencement	- SMC: 15 October - NMC: 15 November		Not yet started	would be done in quarter IV

2 SOCIAL SUPPORT

The AHs in RP1 already received compensation for their affected assets and compensation payment for loss of income. In addition to the compensation for AHs in general, the ethnic affected people are entitled to certain supports such as training, livelihood recovery, according to EMDP1. The budget for these activities is already allocated.

However, the progress of these activities has been poor during the reporting period. The following overview is based on the program of RP1, EMDP1 and GAP. IN addition to this internal progress report, the External Monitoring Agency (EMA) will do its independent reporting.

Overview of current status of implementation of social program of RP1, EMDP1 and GAP

Activities	Responsibility	RP1, EMDP1, GAP		Status as of 30 June 2014 with quantification	Proposed for next quarter
		plan	actual		
1. Carry out trainings on Social safeguard of the ADB, including Involuntary resettlement and EMDP)/ capacity building program	RP- PMU and PPMU; and consultants.	10/2013	11/2013	Done in provincial and district levels	training in communal and village levels
		03/2014			
2. Implement compensation activities for affected assets and prepare implementation of IRP	DRCs	10/2013	12/2013	Has been done	
		03/2014	3/2014		
3. Carry out livelihood strengthening activities, support VND 2 mil. for each EM AHs	CPCs with support of CWUs and DRCs staff	01/2014	12/2013	Has been done	
		06/2014	3/2014		
4. Carry out a campaign on prevention of HIV/AIDS and trafficking of women, environmental protection, reproductive health-care for communities including EM communities	DRCs, WUs and YUs with support of social consultant	01/2014		Not yet started. August 2014, the Social and Gender specialists of PMC will support DRCs	training in provincial and district levels. Quarter III/2014
		12/2014			
5. Carry out a campaign on prevention of HIV/AIDS and meetings on ethnic culture for construction Workers	Managers of construction companies with support of WUs and YUs and social consultant	01/2014		Not yet started It will be supported by Social and Gender specialists of PMC in August 2014	training in provincial and district levels Quarter III/2014.
		06/2014			
6. Make a need assessment of ethnic people on non-farm occupation training, then prepare and undertake training courses for them	DRCs, PPMU and CPCs with support of social consultant	01/2014		Not yet started It will be supported by Social and Gender specialists of PMC in August 2014	training in provincial and district levels Quarter III/2014.
		12/2015			
7. Prepare training in livestock farming and prevention skills. -Transfer technique in planting Gac fruit tree, organize study visits to pilot models within commune	DWUs with support of CPCs and experts of extension Center	01/2014		Not yet started It will be supported by Social and Gender specialists of PMC in August 2014	training in provincial and district levels. Done in quarter IV
		12/2014			
8. Implement purchase of amplifiers, speakers, TV and equipments for cultural houses.	PPMU with support of CPCs and chiefs of the EM villages	04/2014		Not yet started It will be supported by Social and Gender specialists of PMC in August 2014	would be done in quarter IV
		09/2014			

Activities	Responsibility	RP1, EMDP1, GAP		Status as of 30 June 2014 with quantification	Proposed for next quarter
		plan	actual		
9. Upgrading village roads and constructing some community houses	PPMU and CPCs and APs	10/2014		Not yet started	would be done in quarter IV
		03/2016			
10. Complaints and Grievances Redress	PPC, DPC, DRCs, PPMU and CPCs	10/2013		No activities	
		12/2016			

2.1. ETHNIC MINORITY DEVELOPMENT PLAN

PPMU is currently preparing the local institutions for EMDP implementation. At a fieldtrip to Thanh Hoa in the April 16-17 2014, the EMDP specialist of PMC has given a suggestion for solution of the institutional arrangement to solve that issue. DARD Deputy Director [Mr. Trung] agreed with that suggestion.

During the ADB Loan Review mission of 21-25 April 2014 it was decided that for all Resettlement Plans and Ethnic Minority Development Plans after RP1 and EMDP1 both documents will be combined. Hence, reporting from now on will concern REMDP2, REMDP3 and REMDP4

On 26 June a training on the on the implementation of EMDP and GAP was given for Cam Thuy staff at district level and commune level, supported by the PMC Resettlement and Social and Gender specialists.

Actual implementation of EMDP1 has lacked in the reporting period. For reporting in progress on Internal Social Safeguards the following table will be used, derived from EMDP1

Ethnic Minority Development Monitoring

Indicator of EMDP	Observations as of 30 June 2014
1. Whether affected villages have started implementing supplementary ethnic minority measures;	Indicator was defined
2. The situation of food security in the targeted villages, with a record of food prices on local markets and a record of any household at risk	Indicator was defined
3. Provision of information in a form appropriate to the languages and the social and cultural context of EM residents and to their literacy levels;	Indicator was defined
4. Timely and adequate provision of training and support agreed upon in the REMDP.	Indicator was defined
5. The rate of minority women/men of affected HHs represented in the training, separated by gender.	Not yet done
6. The number of trainings related to ethnic and cultural sensitivity issues	Not yet done

given for construction workers.	
7. Complaints and grievance and their redress, regarding (i) interaction with workers' camps ; (ii) the cultural aspects ; (iii) other matters;	Not yet done

2.2. GENDER ACTION PLAN

The updated Gender Action Plan was approved in May 2014.

Other Activities

Consultants continued the improvement of Manual for implementation of the Gender Action Plan (GAP), as well as the Manual for Ethnic Minority Development Plan (EMDP). These have been used during training sessions for newly appointed staff of RU-PMU in Districts and Province Thanh Hoa from 16-18 April 2014, as well as during the 26 June training of the Cam Thuy staff at district level, and commune.

3 ENVIRONMENT MANAGEMENT

During his first mobilization, the Environmental Specialist of the PMC team prepared a Manual for the implementation of the Environmental Management Plan (EMP). Shortly, this manual is called the EMM (Environmental Management Manual). This drafting work was completed in the period November 2013 – January 2014. The draft final copy of the report, with incorporation of the comments from CPO, in English and Vietnamese, was submitted on 28 February 2014. An Executive Summary of the EMM was made and was given as attachment to the 1st 2014 Quarterly Safeguards progress report.

A contract for Independent Environmental Monitoring Consultant (IEMC) was signed by CPO with Vietnam Environment Sustainable Development Institute (VESDI) on 4 November 2013. The Inception report (Vietnamese and English version) of the IEMC was reviewed by CPO with assistance of the PMC Environmental specialist in March 2014.

During the reporting period the works in part of the North Main Canal have started.

On 16-18 April 2014 training sessions were held for project staff in Thanh Hoa province for Environmental safeguarding. The Environment Specialist of the PMC team has worked with the IEMC consultants for preparation of these trainings and during implementation of the Training Workshop.

On 21-25 July 2014, the IEMC have implemented the first monitoring mission. The IEMC also trained the contractors and the relevant stakeholder (PMU, construction supervision contractor, community...) of the packages B.2.1 (lot 1 and lot 2) and B.2.3 (lot 1) the implementation of environmental management plan.

A contract for the consulting service D.3 "Environmental management plan update and implementation support" was signed on 30th May 2014. The D.3 consultant has implemented their first field trip in June and has prepared the Inception report. The results of saline monitoring and environmental quality monitoring have been done. The EMP updated will be prepared after the detailed design of the branches canals approved.

Attachment A

Internal Resettlement Monitoring Report

FORM 1: UPDATED RESETTLEMENT ACTIVITIES BY 30 JUNE 2014

No.	Package		Dissemination of information and consultation		DMS		Preparation of compensation plan		Approval of compensation plan		Compensation payment		Resettlement and land acquisition		Contract Award	
			Starting date	Completion date	Starting date	Completion date	Starting date	Completion date	Submission date	Approval date	Starting date	Completion date	Starting date	Completion date		
1	RP1	Actual	8/2010	12/2013	8/2012	12/2012	01/2013	12/2013	12/2013	12/2013	5/1/2014	25/01/2014	25/01/2014			
		Plan														
2	REMDP2	Actual	8/2013	12/2013	2013	5/2014	2013	5/2014								
		Plan								6/2014	7/2014	8/2014	9/2014	9/2014		
3	REMDP3	Actual														
		Plan		30/9/2014	5/2014	8/2014	30/9/2014									
4	REMDP4	Actual														
		Plan		30/8/2014	5/2014	7/2014	30/8/2014									

FORM 2: AFFECTED HOUSEHOLDS (pursuant to RP)

Package	Slightly affected households	Severely affected households		Affected households doing business	Vulnerable affected households					Total number of AHs
		Lose ≥ 10% of agricultural land	Relocated households		Poor	Ethnic group	Woman-led	Disable-led	Alone senior-led	
RP1	912	752	37	8	232	481	73	82		1664
REMDP2	1211	1306	47	2	137	103	121	216 *		2400
REMDP3										
REMDP4										
Total										

Source: (RP1): RP-PMU; (REMDP2): Mrs Quynh; (*): Figure includes disable headed household, social welfare households, elderly headed households

FORM 3: AREA OF ACQUISITION LAND (pursuant to RP)

Package	Permanent acquisition land (ha)					Total area
	Agricultural land	Residential land	Aquatic land	Forestry land	Others	
RP1	89	10.24	0.95	3.46	17.62	121.3
REMDP2	149.41	5.78	2.14	31.85	3.68	192.86
REMDP3						
REMDP4						
Total						

FORM 4: AFFECTED HOUSES AND ASSETS (pursuant to RP)

Package	Affected houses and structures (m ²)	Affected shops / stores (households)	Number Affected Household (households)	Affected crop (m ²)	Affected trees (nr)	Affected graves (nr)	Affected wells (nr)
RP1	11.560	8	124	1.095.372	36.129	244	122
REMDP2	8.143	2	363	956.800	278.071	90	24
REMDP3							
REMDP4							
Total							

FORM 5: STATUS OF COMPENSATION AND ALLOWANCE PAYMENT BY 30 JUNE 2014

Package	Compensation (VND)		Total payment (VND)		Status of compensation payment	
	Approved	Actual	Paid	Will pay	No. of AHs were paid	No. of AHs have not been paid
RP1	161.716.000.000		161.292.070.544	423.929.456	1660	4
REMDP2						
REMDP3						
REMDP4						
Total						

FORM 6: STATUS OF RESETTLEMENT ACTIVITIES BY 30 JUNE 2014

RP1	Ngoc Lac district	Tho Xuan district
Resettlement activities	<u>Completion status</u>	<u>Completion status</u>
Dissemination of information and consultation to affected households	100%	100%
DMS	100%	100%
Preparation of compensation plan and consultation to affected households	100%	100%
Updated RP and submission to ADB	100%	100%
Consultation to affected households on draft compensation plan	100%	100%
Completion and submission for approval of compensation plan	100%	100%
Evaluation and approval of compensation plan from PPC or DPC	100%	100%
Consultation to affected households on approved compensation plan	100%	100%
Payment of compensation and allowances	99,76%	100%
Independent resettlement monitoring and reporting		
Resettlement progress for each package		

REMDP2	Ngoc Lac district	Tho Xuan district	Yen Dinh district
Resettlement activities	<u>Completion status</u>	<u>Completion status</u>	<u>Completion status</u>
Dissemination of information and consultation to affected households	100%	100%	100%
DMS	100%	100%	100%
Preparation of compensation plan and consultation to affected households	100%	100%	100%
Updated RP and submission to ADB	100%	100%	100%
Consultation to affected households on draft compensation plan	100%	100%	100%
Completion and submission for approval of compensation plan	100%	100%	100%
Evaluation and approval of compensation plan from PPC or DPC	0%	0%	0%
Consultation to affected households on approved compensation plan	0%	0%	0%
Payment of compensation and allowances	0%	0%	0%
Resettlement and land acquisition	0%	0%	0%
Independent resettlement monitoring and reporting	0%	0%	0%
Resettlement progress for each package			

REMDP3	Ngoc Lac district	Tho Xuan district
Resettlement activities	<u>Completion status</u>	<u>Completion status</u>
Dissemination of information and consultation to affected households	0%	0%
DMS	0%	0%
Preparation of compensation plan and consultation to affected households	0%	0%
Updated RP and submission to ADB	0%	0%
Consultation to affected households on draft compensation plan	0%	0%
Completion and submission for approval of compensation plan	0%	0%
Evaluation and approval of compensation plan from PPC or DPC	0%	0%
Consultation to affected households on approved compensation plan	0%	0%
Payment of compensation and allowances	0%	0%
Resettlement and land acquisition	0%	0%
Independent resettlement monitoring and reporting	0%	0%
Resettlement progress for each package		

REMDP4	Ngoc Lac district	Yen Dinh district	Tho Xuan district	Cam Thuy district
Resettlement activities	<u>Completion status</u>	<u>Completion status</u>	<u>Completion status</u>	<u>Completion status</u>
Dissemination of information and consultation to affected households	0%	0%	0%	0%
DMS	0%	0%	0%	0%
Preparation of compensation plan and consultation to affected households	0%	0%	0%	0%
Updated RP and submission to ADB	0%	0%	0%	0%
Consultation to affected households on draft compensation plan	0%	0%	0%	0%
Completion and submission for approval of compensation plan	0%	0%	0%	0%
Evaluation and approval of compensation plan from PPC or DPC	0%	0%	0%	0%
Consultation to affected households on approved compensation plan	0%	0%	0%	0%
Payment of compensation and allowances	0%	0%	0%	0%
Resettlement and land acquisition	0%	0%	0%	0%
Independent resettlement monitoring and reporting	0%	0%	0%	0%

Resettlement progress for each package				
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