

Resettlement Monitoring Report

Annual Report
October 2012

Viet Nam: Central Region Small and Medium Towns Development – Ninh Hoa (Khanh Hoa) Subproject

Prepared by the InvestConsult Group for the Provincial People's Committee of Khanh Hoa Province and the Asian Development Bank.

**MINISTRY OF CONSTRUCTION
ADMINISTRATION OF TECHNICAL INFRASTRUCTURE
MANAGEMENT BOARD OF TECHNICAL INFRASTRUCTURE
DEVELOPMENT PROJECTS**

**Central Region Small and Medium Towns Development Project
ADB Loan No 2272-VIE (SF)**

**SECOND INDEPENDENT MONITORING
REPORT**

**CENTRAL REGION SMALL AND MEDIUM TOWNS DEVELOPMENT PROJECT –
KHANH HOA PROVINCE**

NINH HOA SUB-PROJECT IMPLEMENTING UNIT



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ABBREVIATION

ADB	Asian Development Bank
MOC	Ministry of Construction
MABUTIP	Management Board of Technical Infrastructure Development Projects
AH	Affected household
AP	Affected people
HH	Household
GOV	Government of Vietnam
SC	Site Clearance
NGO	Non – governmental organization
PPC	Provincial People’s Committee
PRC	Provincial Resettlement Council
DOC	Department of Construction
DOF	Department of Finance
DONRE	Department of Natural Resources and Environment
DOLISA	Department of Labors, Invalid and Social Affairs
DPC	District’s People’s Committee
DRC	District’s Resettlement Council
CPC	Communal People’s Committee
CRC	City’s Resettlement Council
DMS	Detailed Measurement Survey
IMO	Independent Monitoring Organization
IOL	Inventory of Losses

LAR	Land acquisition and resettlement
LURC	Land use rights' certificate
PRA	Participatory Rural Assessment
PRC	Provincial Resettlement Council
RP	Resettlement Plan
TOR	Terms of Reference
VHLSS	Vietnamese households living standard survey
WSP	Water – supply plan
USD	US Dollar
VND	Vietnam Dong

I. Project introduction

The independent monitoring of resettlement plan (RP) under the Central Region Small and Medium Towns Development Project has been engaged on an intermittent basis for two years for three periodic monitoring sessions and 1 final report. This is the second monitoring report. Consultant updates figures in this report for independent monitoring of RP implementation until the end of September 2012.

1. Project's objectives

The objective of Project is to improve the living condition and health of community and urban environment for the people in project's area, concurrently accelerating the local economic development through:

- (i) The participation of community in improving environmental sanitation;
- (ii) Providing means of urban infrastructure and improved services (drainage, wastewater, solid waste and road);
- (iii) Building and strengthening ability for local authorities and community groups to manage this system.

2. Location and scope of works of packages of Ninh Hoa sub-project implementing unit

Khanh Hoa sub-project is established under Decision No. 2990/QĐ-UBND, dated December 5, 2008 by Khanh Hoa province on the approval of organizational regulations and activities of Central Region Small and Medium Towns Development Project – Khanh Hoa province. Khanh Hoa sub – project is divided into 2 implementing units that are Cam Ranh and Ninh Hoa ones.

Ninh Hoa sub-project implementing unit include 2 packages:

+ *Package KH5/A/10/ICB* – Ninh Hoa water supply system: building a water treatment station and 48km of water supply pipeline, which is developed from the existing pipeline. The development of water supply system requires the acquisition of 4.41 ha of land, in which 3.38 ha are temporarily acquired and 0.76 ha are permanently acquired. Almost of 3.38 ha temporarily acquired land is to use for traffic (nearly 3.00ha) and the remaining are agricultural land, residential land and other lands. Total 381 households are affected by this package, including 12 organizations; however, no households are severely affected (losing more than 10% of owned land or having to relocate).

+ *Package KH6/A/11/FA* – water supply and household connection in Ninh Hoa: this package doesn't have any affected households due to construction.

Table 1: Scope of work of construction packages

Location	Package	Construction	Comment
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Location	Package	Construction	Comment
Ninh Haa	Expansion and development of water supply system	<p>Use of water tanks and pipeline system. Construction of a new raw-water station in Da Ban dam. Installation of 4.4km of new raw-water pipeline.</p> <p>Construction of a new water treatment station and management road.</p> <p>Expansion of existing water – supply network through installation of 48km pipeline and distribution pipe having diameter from D32 to D250, including 27km for Ninh Hoa town and 21km for Ninh Thuy, Ninh Phuoc, Ninh An, Ninh Tho and Ninh Hai.</p>	4.4km of D300 pipeline to lead raw water from pumping station to water treatment station

3. Project progress

Until now the packages have been urgently constructed. The progress of construction is as follows:

Package KH5/A/10/ICB: construction time: 18 months. Construction is started on 1/11/2011 and completed on 1/5/2012. KH6/A/11/FA package: time duration: 05 months. Construction is started on 2/1/2012 and completed on 2/1/2013.

4. Project's compensation policy

4.1. The Vietnamese Legal Framework

In addition to the Constitution of the Socialist Republic of Viet Nam (April 1992), the GOV has enacted a number of laws, decrees and regulations that constitute the legal framework for land acquisition, compensation and resettlement. The principal documents include:

- (i) Land law passed by the National Assembly on November 26, 2003.
- (ii) Decree 181/2004/ND-CP, dated 29 November 2004, on executing Land Law.
- (iii) Decree 197/2004/ND-CP, dated December 03, 2004, on compensation, assistance and resettlement when the State acquires the land. This is arguably the key piece of legislation which replaces Decree 22/CP of 24 April 1998 which previously provided the primary basis for compensation and resettlement activities.

- (iv) Circular 116/2004/TT-BTC, dated December 07, 2004, of the Ministry of Finance providing guidelines on executing Decree 197 of the Government.
- (v) Decree 198/2004/ND-CP of the Government on charging fees on land use.
- (vi) Decree 188/2004/ND-CP, dated November 16, 2004, on methods to identify tariffs and the tariff frames for different types of land. Circular 114/2004/TT-BTC, guiding implementation of Decree 188.
- (vii) Circular 144/2004/TT-BTC, dated November 26, 2004, of the Ministry of Finance providing guidelines to executing Decree 188 of the Government.
- (viii) Decree 17/2001/ND-CP, dated May 04, 2001, relating to regulations on management and utilization of overseas development assistance.
- (ix) Decree 17/2006/ND-CP, dated January 27, 2006, relating to amendment and additions to Decrees 181 and 197 above.
- (x) Decree 84/2007/ND-CP, on May 25, 2007 on supplementary regulations on granting land use certificate, orders and procedures for compensation, assistance and resettlement when the State acquires land and settling complaints on land.
- (xi) Decree 69/2009/ND-CP, dated August 13, 2009, regulating additional planning of land use, land prices, land acquisition, compensation, assistance and resettlement.
- (xii) Decree 79/2003/ND-CP promulgating the regulation on the exercise of democracy in communes, including requirements for consultation with and participation of people in communes

In addition to the above laws and decrees, which create the legal framework that allows provincial and local level to implement up to regulations and decisions of each place and of law; especially regulate the price and compensation level used to calculate the compensation and other supporting payment for AHs, due to land acquisition and resettlement, the decisions of Khanh Hoa province include:

- (i) Decision 101/2009/QD-UBND of Khanh Hoa province dated 21/12/2009 on regulations on land acquisition, compensation and resettlement in Khanh Hoa province when the State recovers land under Decree 69/2009/ND-CP on
- (ii) Decision No. 105/2009/QD-UBND dated 31/12/2009 and 17/2010 dated 20/05/2010 on the regulations on land prices apply to the province in 2010
- (iii) Decision No. 32/2008/QD-UBND of the provincial People's Committee dated 23/06/2008 and No. 10/2010/QD-UBND dated 30/03/2010 on regulations on construction price, construction works in the province in 2010.
- (iv) Decision No. 1520 / QD-UBND dated 01/07/2009 of the provincial People's Committee on subsidies for short-term vocational training for rural labor force, the disabled and welfare recipients.

- (v) Decision 2834/QD-UBND dated 05/11/2009 by Khanh Hoa People's Committee on approval of bidding plans for Central Region Small and Medium Town Development Project in Khanh Hoa Province.
- (vi) Decision 39/2010/QD-UBND dated 24/11/2010 of Khanh Hoa PPC regulating the price to build new kinds of works, structures and compensation costs, support for works in Khanh Hoa province.
- (vii) Decision 454/QD-UBND dated 14.03.2007 of Khanh Hoa PPC on the approval of construction investment projects: Central Region Small and Medium Town Development Project in Khanh Hoa Province
- (viii) Decision 2037/QD-UBND dated 26.08.2009 of Khanh Hoa PPC adjusting Decision 454/QD-UBND dated 14.03.2007 of Khanh Hoa PPC on the approval of construction investment projects: Central Region Small and Medium Town Development Project in Khanh Hoa Province
- (ix) Decision 3381/QD-UBND dated 25/10/2009 of Khanh Hoa PPC adjusting Decision 454/QD-UBND dated 14.03.2007 of Khanh Hoa PPC on the approval of Central Region Small and Medium Town Development Project in Khanh Hoa Province
- (x) Decision 2738/QD-UBND dated 22/10/2010 of Khanh Hoa PPC on the proposal of land allocation for PMU of Central Region Small and Medium Town Development Project without land use fee, for the purpose of building Secondary Water pumping station in 4 villages of Ninh Son commune, Ninh Hoa district;
- (xi) Decision 1973/QD-UBND dated 10/11/2010 of Ninh Hoa District People's Committee approving the general plan on compensation, support and resettlement for Central Region Small and Medium Town Development Project - Khanh Hoa province. Item: Construction of water treatment plants and water supply pipeline system;
- (xii) Decision 425/QD-UBND dated 23/02/2011 by People's Committee of Ninh Hoa town approving the estimated allowance for ground construction of Ninh Son Commune stadium;
- (xiii) Notice No. 163/TB-UBND dated 25/10/2010 of the People's Committee of Ninh Hoa district on land acquisition policy, compensation, allowance and resettlement for implementing Central Region Small and Medium Town Development Project - Khanh Hoa province. Item: Construction of water treatment plants and water supply pipeline system. Location: Ninh Son Commune, An Ninh, Ninh Da, Ninh Tho, Ninh Diem, Ninh Thuy, Ninh Hai and Ninh Hoa town, Ninh Hoa district;
- (xiv) Cadastral measurement maps of the land (water supply alignment) in September / 2010; ratio of 1 / 2000, measured and established by the Natural Resources and Environment Technical Centre of Khanh Hoa province (19 sheet, from 1 to 19). Location: Ninh Son commune, An Ninh commune, Ninh Tho commune and Ninh Da ward, Ninh Diem ward, Ninh

Thuy ward, Ninh Hai ward, Ninh Hiep ward; Cadastral measurement maps of the land (construction of water treatment plants) on 11/8/2010; ratio of 1/1000, measured and established by the Office of the land use right registration - Ninh Hoa district (map No. 152). Location: Ninh Son commune, Ninh Hoa district.

4.2. Policy of ADB

The aim of the ADB's Safeguard Policy Statement *on Involuntary Resettlement* (2009) is to avoid or minimize the impacts on people, households, businesses and others affected by the land acquisition required by the Project. The overall goal of the ADB policy is to compensate and assist affected people *to restore their living standards to levels equal to, if not better than, that which they had before the Project.*

The main objectives and principles of the policy are as follows:

- (i) Screen the project early to identify past, present, and future involuntary resettlement impacts and risks. Determine the scope of resettlement planning through a survey and/or census of displaced persons, including a gender analysis, specifically related to resettlement impacts and risks.
- (ii) Carry out meaningful consultations with affected persons, host communities, and concerned nongovernmental organizations. Inform all displaced persons of their entitlements and resettlement options. Ensure their participation in planning, implementation, and monitoring and evaluation of resettlement programs. Pay particular attention to the needs of vulnerable groups, especially those below the poverty line, the landless, the elderly, women, children, and Indigenous Peoples, and those without legal title to land, and ensure their participation in consultations. Establish a grievance redress mechanism to receive and facilitate resolution of the affected persons' concerns. Support the social and cultural institutions of displaced persons and their host population. Where involuntary resettlement impacts and risks are highly complex and sensitive, compensation and resettlement decisions should be preceded by a social preparation phase.
- (iii) Improve, or at least restore, the livelihoods of all displaced persons through (i) land-based resettlement strategies when affected livelihoods are land based where possible or cash compensation at replacement value for land when the loss of land does not undermine livelihoods, (ii) prompt replacement of assets with access to assets of equal or higher value, (iii) prompt compensation at full replacement cost for assets that cannot be restored, and (iv) additional revenues and services through benefit sharing schemes where possible.
- (iv) Provide physically and economically displaced persons with needed assistance, including the following: (i) if there is relocation, secured tenure to relocation land, better housing at resettlement sites with comparable access to employment and production opportunities, integration of

resettled persons economically and socially into their host communities, and extension of project benefits to host communities; (ii) transitional support and development assistance, such as land development, credit facilities, training, or employment opportunities; and civic infrastructure and community services, as required.

- (v) Improve the standards of living of the displaced poor and other vulnerable groups, including women, to at least national minimum standards. In rural areas provide them with legal and affordable access to land and resources, and in urban areas provide them with appropriate income sources and legal and affordable access to adequate housing.
- (vi) Develop procedures in a transparent, consistent, and equitable manner if land acquisition is through negotiated settlement to ensure that those people who enter into negotiated settlements will maintain the same or better income and livelihood status.
- (vii) Ensure that displaced persons without titles to land or any recognizable legal rights to land are eligible for resettlement assistance and compensation for loss of non-land assets.
- (viii) Prepare a resettlement plan elaborating on displaced persons' entitlements, the income and livelihood restoration strategy, institutional arrangements, monitoring and reporting framework, budget, and time-bound implementation schedule.
- (ix) Disclose a draft resettlement plan, including documentation of the consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to affected persons and other stakeholders. Disclose the final resettlement plan and its updates to affected persons and other stakeholders.
- (x) Conceive and execute involuntary resettlement as part of a development project or program. Include the full costs of resettlement in the presentation of project's costs and benefits. For a project with significant involuntary resettlement impacts, consider implementing the involuntary resettlement component of the project as a stand-alone operation.
- (xi) Pay compensation and provide other resettlement entitlements before physical or economic displacement. Implement the resettlement plan under close supervision throughout project implementation.
- (xii) Monitor and assess resettlement outcomes, their impacts on the standards of living of displaced persons, and whether the objectives of the resettlement plan have been achieved by taking into account the baseline conditions and the results of resettlement monitoring. Disclose monitoring reports.

4.3. Gaps between National Laws and ADB Policy

The 2003 Land Law and Decree 197/2004/ND-CP considerably reduced differences that had existed between GOV and ADB policies relating to involuntary resettlement prior to the enactment of this legislation. Decree 17/2006, Decree 84/2007 and Decree 69/2009 have just further reduced remaining gaps.

Table 2 Highlights the key differences between Vietnam's policy on resettlement and the ADB's policy on involuntary resettlement. Measures to bridge the remaining differences in order to make local practices consonant with Bank policies and procedures are also provided.

Table 2: Differences between Vietnam's policy and ADB's Policy on Involuntary Resettlement

Decree 197/17/84/69	ADB Policy	Project Policy
<p><i>Article 6:</i> If persons who have land recovered by the State meet all conditions prescribed in Article 8 of the Decree 197/2004/ND-CP, they shall receive compensation; if they fail to meet all conditions for compensation, the Peoples' Committees of the provinces or centrally-run cities shall consider to provide support.</p>	<p>APs who are not entitled to compensation under domestic law will be assisted to restore their pre-project living standards.</p>	<p>No compensation for illegible land but assistance will be provided to restore their living level of APs to at least equal to pre-project level.</p> <p>All affected people by the Project, irrespective of tenure status, social or economic standing, will be equally entitled for compensation of their lost assets, incomes and businesses at full replacement cost, and provided with rehabilitation measures sufficient to assist them to improve or at least restore their pre-project living standards, income levels and productive capacity.</p>
<p><i>Article 9:</i> The compensation rates for land shall be determined by the PPC in accordance with the Government regulations for the type of land which has been used for at the time of land acquisition.</p> <p>Decree 17/2006 provides for compensation to be based on market prices. Where there is a difference between current use and</p>	<p>All affected houses and structures, irrespective of land tenure status, should be compensated at the full replacement cost.</p>	<p>Project staff and independent consultants will work with the Land Valuation Councils to carry out replacement cost surveys to ensure that project rates for all categories of loss will be equivalent to replacement cost at current market value. These compensation units will be updated at the time of compensation.</p>

Decree 197/17/84/69	ADB Policy	Project Policy
market values, a Land Valuation Council has to be set up to establish current market values.		
<p><i>Article 18,19, 20 Decree 197:</i></p> <p>■ Houses and structures on non-eligible-for-compensation land, which have not violated announced land use plans or the right of way, will be assisted at 80 percent of replacement cost.</p> <p>■ Houses and structures on non-eligible-for-compensation land, which have violated announced land use plans or the right of way, will not be assisted. In special cases, the PPC will consider to assist on the case by-case basis.</p>	All affected houses and structures, irrespective of land tenure status, should be compensated at the full replacement cost.	Full compensation at replacement cost will be paid for all affected structures based on current fair market price of new building materials and labor without any deductions for salvageable materials and labor or depreciation.
<i>Articles 26, 28, Decree 197:</i> Only registered businesses are eligible for assistance.	All affected businesses are eligible for assistance.	All affected businesses are eligible for assistance.
<p><i>Article 28,29, Decrees 197 and 69:</i> APs losing more than 30 percent of productive land will be entitled to living stabilization and training/job creation assistance.</p> <p>Decree 17/2006 strengthens this provision and provides for the long term assistance to poor households.</p>	Severely affected AP, including AP losing 10 or more than 10 percent of productive income generating assets including productive land, will be entitled to rehabilitation assistance.	<p>APs losing 10 or more than 10 percent of productive land will be entitled to rehabilitation assistance.</p> <p>Assistance will be available to vulnerable households.</p>
No provision for external monitoring.	External monitoring of the resettlement	Provision will be made for the independent external monitoring

Decree 197/17/84/69	ADB Policy	Project Policy
	process by an independent and qualified institution is required.	of the resettlement and income restoration process.

The project's RP is based on GOV's laws, regulations, and ADB's Involuntary Resettlement Policy (Safeguard Policy Statement in 2009). In recent years, GOV's policy on compensation, support and relocation has been significantly improved and nearly equivalent to requirements of ADB stated in its Involuntary Resettlement Policy. However, there remain some differences between these policies. The Vietnamese legal system of land tenure and right to compensation fully applies in the RP. There is an institutional structure through which people are informed and can appeal.

In application of the resettlement policy frameworks, project affected households have not only been informed but feedback has also been sought from those directly affected. All eligible affected households are entitled to compensation for their losses at replacement costs. Significantly, affected households will receive additional assistance (the percentage of affected assets defining significant impact varies between the policy frameworks; it is set at 10 percent for the RP). Users without official documentation and non-legal users, micro-enterprises not holding a business certificate and employees without a contract are eligible provided if they have been in the project area prior to the cut-off date. The deductions from assets compensation provided by the land law and its implementation decrees are not applicable. Houses and structures are compensated to rebuild the new ones with similar technical conditions. Vulnerable households are entitled to additional support in the restoration of their livelihoods.

4.4. Principle and Entitlement Policy

Resettlement implementation for packages having direct AHs is based on 4 main principles: : (i) every effort has been made to minimize land acquisition impact and other adverse social impact; (ii) if resettlement, with or without relocation, is unavoidable, affected people will receive compensation so that their living standards and income-earning capacity will be at least as high as they would have been in the absence of the project; compensation is provided before land or other assets are acquired from APs; (iii) the project provides an opportunity for the local population to derive benefits from it; and (iv) the local population participates in planning and implementation.

September 30, 2010 is defined as the cut-off date. This date is when the detailed measurement survey census is completed. It determines eligibility to the status of APs.

The entitlement policy is fully defined by the resettlement policy, includes some specific principles as: (i) Compensation for assets other than land is provided at full

replacement cost, without deduction for depreciation or salvage materials for houses and other structures; (ii) Compensation for agricultural land is provided through land of equal productive capacity acceptable to the displaced person, or in cash at replacement cost in accordance with AHs preference. Replacement of residential/premise land is made through land of equal size and productive capacity acceptable to the relocation AHs, or in cash at replacement cost, in accordance with the AHs' preference; (iii) Replacement residential and agricultural land is as close as possible to the land that was lost, and is acceptable to the AHs; (iv) The previous level of public infrastructure, community services and resources is maintained or improved; and (v) Plans for acquisition of land and other assets and provision of rehabilitation measures are carried out in consultation with the AHs.

Along with compensation for acquired land and property, there are other benefits to the affected households to maintain and / or improve their livelihood and earning capacity: (a) one-time allowance for the affected business households on the basis of annual income, (b) a subsidy for the transportation of households to move out of the project area, inside or outside the province, (c) grants monthly subsidies in cash equal to the price of rice in the transition period from 6 to 36 months, (d) provides one – time subsidy for households themselves selected to relocate within or outside the project area to improve facilities for a new home, and (i) additional support for vulnerable people.

II. Monitoring and assessment

RP implementation is a complex task, which is often associated with social security issues, so that donors and international organizations often require a system of monitoring the implementation of RP. Typically this monitoring system includes (i) internal monitoring which is usually directly performed by the investor, (ii) monitoring of donors and (iii) independent monitoring performed by an agency which is not under all investment. Independent monitoring aims to provide independent information and objectivity on issues arising during the implementation of RP and demonstrate that RP is implemented as approved plan, and to propose recommendations to overcome the existing problems on that basis, if any.

1. Objectives of monitoring and resettlement assessment

The objective of independent monitoring is to monitor and evaluate:

- (i) The implementation of the Resettlement Action Plan has been carried out according to the policies and procedures outlined in the Action Plan and Resettlement Policy Framework or not, and
- (ii) The main objectives stated in the RAP are achieved or not, specifically the implementation of RAP can be smoothly or not, as well as to monitor and maintain the implementation of the principle of "the lives of people affected will improved or at least equal to the conditions they had prior to project implementation".

The specific objectives are:

- (i) To assess whether the compensation and rehabilitation measures, and support programs and social development have been completed;
- (ii) To ensure the living standards of APs have been restored and improved;
- (iii) To identify problems and potential, and
- (iv) To propose timely solutions to solve or minimize those problems.

2. The issues to be monitored and evaluated

To accomplish the objectives of the independent monitoring plan for site clearance and resettlement, consultancy unit will perform the following specific tasks:

- Consider and assess the investigation, DMS and rights and interests of APs, documents relating to compensation, support and resettlement work, including inventory minutes, compensation solutions, internal monitoring reports and other relevant documents for packages still having problems until December 2011.
- Consider the impacts on households' assets during the process of construction packages implementation, and compensation and support for AHs.
- Consider and assess the efficiency, impacts and stability of support methods for improving AP's livelihood, concurrently determine hindrance to such methods and propose solutions to such problems in a timely and efficient manner, especially focused on SAPs and VAPs.
- Monitor the progress of construction of packages and resettlement areas (if any) and the provision of all necessary materials relating to the displacement of people, land use right certificate and new construction possession certificate.

3. Methods of monitoring and assessment and selection of samples

3.1. Methods

In the second monitoring and assessment, the Consultant has collected figures and information of PPMU, construction units and AHs. Information on monitoring has been collected from direct interview. The direct interview is to collect information from leaders, officials directly in charge of project implementation and AHs because they are persons who clearly and wholly understand the process of compensation and resettlement in survey area. The basic content of direct interview includes: information relating to provincial policy applied in compensation and site clearance, including information dissemination to people; assessment on level of project's impacts; lives of AHs and recovery methods; RAP implementation and process of consulting people; payment of compensation; complaints and solutions to handle difficulties.

3.2. Selection of sample

In the second monitoring and assessment, the Consultant has classified/selected AHs for survey to ensure the following principles of each package:

3.2.1. For households directly affected by packages:

- Interview 100% of severely affected households of all packages;
- Interview at least 30% of households that suffer minor impacts of all sub – projects; (For construction has less than 20 AHs, we will conduct interview all of these AHs; for construction has more than 20 AHs, we will conduct interview 30% of these AHs).
- The selection of AHs sample have to ensure the participation of vulnerable group such as households under special policy, poor households, ethnic minorities, households in which woman takes the main responsibility (to shoulder family's income).

3.3.2. For households affected by construction of packages

The package KH6/A/11/FA does not have to implement compensation but during the construction progress, there are some impacts on households, and this package is conducted in many wards/communes so the number of households interviewed in each ward/commune must also ensure the above principles.

The two project's packages do not have many AHs so the consultant interviewed all of AHs. The detail of AHs of two packages is as follow:

Table 3: The number of interviewed AHs

Location	Package	Number of AHs	Number of interviewed AHs in ward/commune where package is constructed	Total
Ninh Hoa	KH5/A/10/ICB	110	0	110
	KH6/A/11/FA	0	20	20
Total		110	20	130

4. Implementation of monitoring and evaluation

4.1. Location for RP monitoring and evaluation:

Location for the second monitoring includes:

Monitoring locations are divided into two categories: (i) the office of the management agency related to project and (ii) in the area where the project is constructed. Specifically: streets in communes of Ninh Son, Ninh An, Ninh Tho and Wards of Ninh Diem, Ninh Thuy, Ninh Hai and Ninh Da.

At construction site of Project: interview AHs, take photos of typical case.

Schedule and location of the second monitoring is as follows:

Table 4: Schedule and location of the second monitoring

No	Time	Location	Working content	Participant
1	8:00 - 10:00 of 17/8/2012	Ninh Hoa PMU and the Contractor	<p>Performing the second independent monitoring:</p> <ul style="list-style-type: none"> - Progress of DMS; applying price and compensation payment (if any) - Situation of compensation payment for households (if any); - Situation of complaints and complaint settlement for households (if any). - Construction progress of packages of the contractors - Progress of disbursement of packages 	Ninh Hoa PMU, InvestConsult and Vinaconex
2	In the afternoon of 17/8/2012	PC of Ninh Thuy, Ninh Hai and Ninh Diem commune	<ul style="list-style-type: none"> - Situation of communal socio – economy of People’s Committee of Ninh Thuy, Ninh Hai and Ninh Diem wards - Payment of compensation for households. - Situation of complaints and complaint settlement for households. 	Ninh Hoa PMU, Land development Center of Ninh Hoa, InvestConsult, local representatives
3	18/8/2012	PC of Ninh Son, Ninh Da commune, construction site of water supply system of Ninh Hoa (KH5/A/10/I CB)	<ul style="list-style-type: none"> - Situation of communal socio – economy of People’s Committee of Ninh Da and Ninh Son ward - Payment of compensation for households. - Situation of complaint and complaint settlement for households - Interview households in Ninh Da and Ninh Son wards - Visit to construction site 	Ninh Hoa PMU, Land development Center of Ninh Hoa, InvestConsult, local representatives
4	19/8/2012		- Situation of ward’s socio –	Ninh Hoa PMU,

		PC of Ninh An, Ninh Tho commune, construction site of package KH6/A/11FA	economy of People's Committee of Ninh An and Ninh Tho communes - Payment of compensation for households. - Situation of complaint and complaint settlement for households. - Interview AHs in Ninh An, Ninh Tho commune, Ninh Thuy, Ninh Hai anh Ninh Diem wards - Visit to construction site	Land development Center of Ninh Hoa, InvestConsult, local representatives
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Table 5: Personnel involved in the second monitoring

No	Name of specialists	Title
1	Le Chi Cuong	Team leader
2	Dang Thi Bao Khanh	Specialist on gender
3	Trinh Thi Thanh Thuy	Specialist on resettlement
4	Tran Thi Kim	Specialist of Community development

4.2. Implementation of monitoring and evaluation

Discussion with MABUTIP

Prior to site visit, the Consultant had a meeting with MABUTIP on content of monitoring, method of implementation and work schedule. Upon the discussion and exchange, the two sides have clarified the specific requirement on content and time for implementation, key informants and supporters, landmarks of report and discussion between MABUTIP and Consultant unit, in order to ensure that the process of monitoring is thorough and follows the schedule.

Discussion with Ninh Hoa sub-project management unit

Discuss with manager and officers of Ninh Hoa sub-project implementing unit on issues such as: (i) implementation progress and the impact of construction; (ii) Progress of disbursement; (iii) Development of income recovery programs such as proposed program, funding source and expected time for implementation.

Preparation of working content in local area.

Based on TOR and consultation of Ninh Hoa sub-project implementing unit on the program and working content of monitoring, the Consultant prepares the content of discussion and interview that will be implemented at all levels in order to make

favorable conditions in the period of site survey and get union in the form for collected information.

5. Difficulties in the process of monitoring and evaluation

As a result of prolonged and different RP activities in each stage, monitoring requires different ways of collecting information. In the period of this monitoring, RP is updated at all communes/wards and ADB has approved the RP updated in each stage. The RP is now continuously updated and being conducted.

The collection of information from AHs sometimes faces difficulties as the residents go to work, it takes time for the interviewers to meet and interview them.

III. Result of monitoring and evaluation

These are two packages at Ninh Hoa town, in which one package has to conduct the compensation, support, site clearance and disbursement to AHs. Until now these works has been finished and the contractors are implementing the construction.

The main content of the second mid-term monitoring report includes parts as follow: (i) Progress of the site clearance and resettlement compensation, (ii) project implementation progress and the impacts of construction to HHs, (iii) Progress of disbursement of packages, (iv) assessing the living recovery of affected group, (v) comments of communes/wards at the construction sites, and (vi) opinions of the consulting unit.

1. Progress of the site clearance and resettlement compensation

At the 2nd drive of the mid-term monitoring, the site clearance and resettlement compensation has been completed. The progress of construction packages is as below:

1.1. Package KH5/A/10/ICB: Ninh Hoa water supply system

+ Date of site handover to the construction unit is: November 11th, 2011 (Minutes of milestone handover and Minutes of people commitment are attached at the annex 3).

+ The start and end date of compensation payment is from June 06th, 2012 to January 12th, 2012 (Payment Bills of the Ninh Hoa PMU giving to AHs are attached at the annex 3).

1.2. Package KH6/A/11/IFA: Water supply and household connection in Ninh Hoa

+ Date of site handover to the construction unit is: July 30th, 2012.

+ The start and end date of compensation payment: this package did not have the resettlement compensation.

2. Progress of project's implementation and impacts of construction to HHs

Two packages at Ninh Hoa town conduct along the provincial road No 7, provincial road No 7 extended, provincial road No 1A and 1B. At Ninh Son commune, Ninh An

commune and Ninh Da ward, the pipeline is set up near HHs but the impact is not much. The progress of project's implementation is as follows:

2.1. Package KH5/A/10/ICB: Ninh Hoa water supply system

+ Starting date: 11/11/2011

+ Completion date: 10/05/2013

+ Contract duration: 18 months.

Progress of the package is as follows:

Table 6: Progress of package KH5/A/10/ICB

No	Item	Unit	Contract amount	Implemented	Ratio
1	Water pipe line	m	13,713	11,890	87%
2	Sedimentation tanks	tank		Install technical pipeline, concrete, plaster	50%
3	Clean water reservoir	reservoir		Complete construction and cleaning of reservoir	100%
4	Raw water pumping station	station		In raw water pumping station: install the stairs and pump. The management house of pumping station: complete the plastering	85%
	Clean water pumping station	station		Complete the stairs + install the pump and pipeline	
6	Filter-bed	tank		Complete the concrete of ground, pole + doing plastering.	85%
7	Treatment station infrastructure:				
7.1	Drainage system	m		Completed	100%
7.2	Pipeline	m		Completed	100%

2.2. Package KH6/A/11/IFA: Water supply and household connection in Ninh Hoa

+ Starting date: 08/2012

+ Completion date: 12/2012

+ Contract duration 05 months. Progress of the package is as follows:

Table 7: Progress of KH6/A/11/IFA package

No	Item	Unit	Contract amount	Implemented	Ratio
1	Service pipeline of Ninh An commune, HDPE pipeline D100 - D32, length: 1,663m	m	1,663	1,000	60%
2	Service pipeline of Ninh Tho commune, HDPE pipeline D90 – D50, length: 4,022m	m	4,022	2,200	55%
	Service pipeline of Ninh Diem commune, HDPE pipeline D63 – D32, length: 4,224m	m	4,224	0	0%
	Household connection	household	1,000	0	0%

3. Progress of disbursement

3.1. Package KH5/A/10/ICB: Ninh Hoa water supply system

No	Item	Unit	Amount
	Package value	VND	71,825,048,749
	Advance payment		7,182,504,875
	1 st phase		3,619,646,077
	2 nd phase		5,895,529,113
	3 rd phase		4,733,721,913
	4 th phase		5,716,779,149
	5 th phase		5,721,463,765

No	Item	Unit	Amount
	6 th phase		4,500,101,962
	Expectation of 7 th phase		

3.2. Package KH6/A/11/IFA: Water supply and household connection in Ninh Hoa

Do not conduct the disbursement

4. Assessment on level of AHs' rehabilitation

Unlike projects using domestic capital, in projects funded by international organizations, especially in Ninh Hoa city, Khanh Hoa province, the severely affected group and the vulnerable group are specially paid attention to. According to RP, the severely affected households are the ones who (i) are acquired more than 10% of production land and/or assets; (ii) have to relocate and/or are affected from at least 10% of their incomes. The results of DMS have helped indentifying the severely affected households in each district. In addition to the severely affected households, RP updated 6 vulnerable groups, including: (i) female – headed households having many dependent members, (ii) households headed by the disabled, (iii) households having income under poor level, (iv) households having the elderly and children without land and way to earn money, (v) households without land and (vi) households who are the ethnic minorities. The distribution of severely affected households and vulnerable groups according to RP is updated in Table 8 below.

Table 8. Distribution of severely affected households and vulnerable groups

No	Package	Total number of AHs, in which:							
		Severely affected households	Vulnerable groups						
			Households with difficulties	Poor households	Female – headed households	The elderly	Households having the disabled	Households of State's policy	Ethnic minorities
1	Package KH5/A/10/ICB: Water supply system in Ninh Hoa	0	0	0	25	1	0	0	0
2	Package KH6/A/11/IFA: water supply and HH connection	0	0	0	14	1	0	0	0
	Total	0	0	0	39	2	0	0	0

These groups need the special interest of project through rehabilitation programs

4.1. Rehabilitation programs

The updated RP mentioned many programs of rehabilitation for AHs. These programs are basically applied to available programs of province/city, but the applied objects are often extended base on project's policy. At Ninh Hoa town, these is no household affected on land (living land and production land), only affected on garden, barrier and trees. Although number of AHs in Ninh Hoa is 381, the amount of compensation payment is very small. The compensation money is maily paid for the households to fix gardening field, garden and fence so Ninh Hoa sub-project management unit just paid for them a small account. The AHs are paid from about 56,000 VND to 6,000,000 VND. For instance, Nguyen Trung's household is paid the most with 6.009.600 VND for 3m2 toilet (tole roof, cement ground, wooden door), 10.8 m2 cement yard, 2.4m2 wall. Lai Thai Tuu's household received the least with 56.000 VND for 1.2m2 cement yard. There is no AH losing job or livelihoods.

Table 8 shows two elderly households that Ninh An commune paid attention to. In important days such as Tet holidays and other celebrations, these households are always taken care by the government officers. There are 25 AHs out of 39 female-headed households. However, as mention before, the project has no impact on jobs, livelihoods of the householders so there is also no impact on the livings of female – headed households.

4.2 Some questions to assess level of households' rehabilitation and result of survey

In the second monitoring drive, the Consultants has accessed to severely affected households and vulnerable groups who have received compensation to initially assess level of their rehabilitation. The main questions used to assess the rehabilitation of people in this monitoring drive are presented in Table 9 below.

Table 9: Result of assessment on rehabilitation

Questions used for assessment	Result of survey			
	Total	Yes	No	No idea/ No change
1. Have AHs been consulted and disseminated the project information and entitlement policy in case of land acquisition?	39	39	0	0
2. Did AHs have opinion and sign in Minutes of Inventory?	39	39	0	0
3. Did households clearly understand their compensation and allowance through information dissemination drives?	39	39	0	0

Questions used for assessment	Result of survey			
	Total	Yes	No	No idea/ No change
4. Did households see the Table on discounted value of compensation before they sign and receive compensation?	39	39	0	0
5. Are households satisfied about the compensation price for land and assets?	39	39	0	0
6. Did households know about complaint procedures?	39	39	0	0
7. General assessment of interviewees: is their life after receiving compensation equal to or better than before?	39	39	0	0

The survey result shows that 100% of AHs are satisfied about compensation price for land and assets and basically, AHs 's lives are not impacted much, especially for the households headed by female.

"I am the householder, my family is not acquired residential land as well as agriculture land but just 1.8m2 yard, 2m2 tree fence and some trees. We received 854,000 VND for compensation and we've used the money to buy bricks to fix the house's yard" _ said Mrs. Nguyen Thi Theo - Village 4, Ninh Son Commune, Ninh Hoa Town

"My family is acquired 2m2 cement yard. After receiving the compensation, I add more money to hire worker to fix the yard" _ said Mrs. Huynh Thi Co - Ha Thanh village, Ninh Da Commune, Ninh Hoa Town

5. Opinons of leaders in wards/communes in project construction area

No	Full name	Position	Working Place	Content of Exchange
1	Ngo Quang Khanh	Deputy Chairman	Ninh Da Ward People's Committee	Mr Cao May's family in Ha Thanh residential group has complained about compensation price. Ninh Hoa sub-project management unit worked directly and explained to him the compensation price regulation of the town. The family understood clearly and allowed project's

				construction
2	Nguyen Phuoc Nghia	Deputy Chairman	Ninh Diem Ward People's Committee	<p>In locality, the households are glad when the project is implemented because prior to this project, they didn't have clean water. The households facilitated project's implementation</p> <p>- Construction unit has completed site as initial situation so that households could fix it according to their opinions.</p>
3	Nguyen Tan Sam	Deputy Chairman	Ninh Hai Ward People's Committee	<p>In locality, the households are glad when the project is implemented because prior to this project, they didn't have clean water and have to use water from wells. The households facilitated project's implementation</p> <p>- I hope that the project will be implemented in every residential groups in ward , because the project is implemented only in Residential Group 6, Residential Group 7 and Residential Group 8</p>
4	Pham Tan Dang	Chairman	Ninh Thuy Ward People's Committee	<p>- It is necessary to notify all households to arrange things for construction process</p> <p>- Disordered rocks affect people travelling</p> <p>- There are some roads have not been completed the site, for example, in front of Mr. Pham Van Luyen's family and Mr. Tran Vu Luong's family, My Luong Village, Ninh Thuy Ward</p>
5	Nguyen Quoc Tri	Chairman	Ninh An Ward People's Committee	<p>- The construction unit completed the site</p> <p>- HHs are glad when having</p>

				clean water to use
6	Phan Nhung	Chairman	Ninh Tho Commune People's Committee	<ul style="list-style-type: none"> - People really support the project because prior to the project, they have to use contaminated water - People received compensation and fixed site which affected by the project
7	Dao Trung Hai	Deputy Chairman	Ninh Son Commune People's Committee	<ul style="list-style-type: none"> - Wastewater treatment plant is located in commune. During constructing, the contractor didn't affect people (near to PC of Ninh Son Commune) and PC of Ninh Son Commune - AHs received compensation and completed site

IV. Conclusion

The compensation and site clearance under package KH5/A/10/ICB – water supply system in Ninh Hoa generally has complied with the Government's applicable regulations and the Project's relevant policies.

In Ninh Hoa Town, although there are a large number of AHs, the households' lives have not been changed much. The project's impact on female - headed households is not big. According to the households, the compensation price and allowance are reasonable. They received compensation and have no complaints about compensation.

Through two monitoring drives, the Consultant can withdraw a conclusion that:

*** About the project's information dissemination:** Ninh Hoa Land Fund Development Center has combined with relevant agencies to disseminate project's implementation policy, compensation policy framework, procedures of complaints and schedule of inventory and requirement about households' participation in the process of inventory. The project's information, project's policy framework is printed in leaflets and distributed to people via hand delivery, for thier reference.

*** About the affected assets inventory:** AHs, together with officials of Ninh Hoa Land Fund Development Center has conducted assets inventory and the officials have explained the compensation price for AHs. All types of papers, before announcing to all households, must be signed and sealed by relevant authorities in locality. AHs keep a copy of the Minutes for following comparison.

* **About compensation payment:** the current compensation price is based on price frame regulated by Khanh Hoa PPC, through Decision 1263/QĐ-UBND dated 22/4/2011 of Ninh Hoa town's PC and Official Dispatch 200/TT-NH dated 1/6/2011 on the compensation payment and allowance for the implementation of Khanh Hoa Small and Medium Towns Development Project. All AHs accepted the compensation price and handed over the site to Ninh Hoa PMU for project's construction.

* **About the level of satisfaction and rehabilitation:**

- In Ninh Hoa, there is no complaint of AHs. Generally, people satisfy with process and method of complaints settlement of local authorities, which has been announced during the process of information dissemination.

- Projects in Ninh Hoa don't have much impact on assets of AHs, so the level of rehabilitation of AHs is not affected. AHs used compensation to repair thier houses, which has been affected during construction process.

* **About the resettlement compensation activities and construction progress:** in Ninh Hoa town, all resettlement compensation activities have been completely conducted. All AHs received their compensation and handed over site for Cam Ranh PMU for project's construction. The progress of each package is mentioned in part 1, Chapter 3 of this report.

ANNEX 1: LIST OF CONTACTED OFFICERS

No	Agency	Officers in charge	Position	Quantity
I	PMU of Central Region Small and Medium Urban Development Project – Khanh Hoa province – Ninh Hoa sub-project implementing Unit			
1		Mr Duong Van Luc	Director	1
2		Mrs Nguyen Thi Binh	Deputy Director	1
3		Mr Nguyen The Truong	Officer	1
4		Mrs Nguyen Thi My Van	Officer	1
II	Ninh Da Ward, Ninh Hoa Town			
5		Mr Ngo Quang Khanh	Chairman	1
III	PC of Ninh Dien Ward, Ninh Hoa Town			
6		Mr Nguyen Phuoc Dien	Deputy Chairman	1
IV	PC of Ninh Thuy Ward, Ninh Hoa Town			
7		Mr Pham Tan Dang	Chairman	1
V	PC of Ninh Hai Ward, Ninh Hoa Town			
8		Mr Pham Tan Sam	Deputy Chairman	1
VI	PC of Ninh Tho Ward, Ninh Hoa Town			
9		Mr Phan Nhung	Deputy Chairman	1
VII	PC of Ninh Son Commune, Ninh Hoa Town			
10		Mr Dao Trung Hai	Deputy Chairman	1
VII	Contractor of package : Vietnam Construction and Import- Export Joint Stock Corporation			
11		Mr Le Tat Dung	Project's Vice President	1
12		Mr Tran Van Hieu	Sub-team leader 1	1
13		Mr Pham Duc Lan	Sub-team leader 2	1
	Total	13		

ANNEX 2. LIST OF INTERVIEWED HOUSEHOLDS***Annex 2.1 List of interviewed AHs of packages***

No	Full name	Address	Gender	
			Female	Male
1	Le Van Quang	Village 3, Ninh Son Commune		1
2	Nguyen Tan	Village 3, Ninh Son Commune		1
3	Hoang Mai Kha	Village 3, Ninh Son Commune		1
4	Ngo Thi Chinh	Village 3, Ninh Son Commune	1	
5	Hoang Kim Thuan	Village 4, Ninh Son Commune		1
6	Lai Thai Truc	Village 4, Ninh Son Commune		1
7	Tran Teo	Village 4, Ninh Son Commune		1
8	Nguyen Thi Theo	Village 4, Ninh Son Commune	1	
9	Vu Xuan Dao	Village 4, Ninh Son Commune		1
10	Mai Xuan Thanh	Village 3, Ninh Son Commune		1
11	Huynh Tan Phat	Village 3, Ninh Son Commune		1
12	Hoang Ba Ly	Village 3, Ninh Son Commune		1
13	Nguyen Dinh Chuc	Village 3, Ninh Son Commune		1
14	Truong Minh De	Village 3, Ninh Son Commune		1
15	Nguyen Van Vinh	Village 5, Ninh Son Commune		1
16	Pham Van Phon	Village 5, Ninh Son Commune		1
17	Do Duc Que	Village 5, Ninh Son Commune		1
18	Tran Van Quoi	Village 5, Ninh Son Commune		1
19	Vuong Thi Hanh	Village 5, Ninh Son Commune	1	
20	Dao Trung Dung	Village 5, Ninh Son Commune		1
21	Nguyen Dinh Phu	Village 5, Ninh Son Commune		1

22	Nguyen Thi Han	Village 5, Ninh Son Commune	1	
23	Dang Quoc The	Village 5, Ninh Son Commune		1
24	Phan The Thanh	Village 5, Ninh Son Commune		1
25	Le Thi Minh Nguyet	Village 5, Ninh Son Commune	1	
26	Dinh Dang San	Village 5, Ninh Son Commune		1
27	Tran Huu Tuyen	Village 5, Ninh Son Commune		1
28	Le Kiet	Village 5, Ninh Son Commune		1
29	Dang Thi Hoa	Village 5, Ninh Son Commune	1	
30	Hoang Kim Tung	Village 5, Ninh Son Commune		1
31	Tran Huu Tue	Village 5, Ninh Son Commune		1
32	Nguyen Trong Nhuong	Village 5, Ninh Son Commune		1
33	Vuong Van Khiem	Village 5, Ninh Son Commune		1
34	Tran Van De	Village 5, Ninh Son Commune		1
35	Hoang Kim Hoanh	Village 5, Ninh Son Commune		1
36	Nguyen Thi Vinh	Village 5, Ninh Son Commune	1	
37	Nguyen Cong Hoa	Village 5, Ninh Son Commune		1
38	Truong Cong Chat	Village 5, Ninh Son Commune		1
39	Le Van Ninh	Village 5, Ninh Son Commune		1
40	Ho Van Ngu	Village 5, Ninh Son Commune		1
41	Nguyen Chu Minh Thang	Village 5, Ninh Son Commune		1
42	Pham Van Vu	Village 3, Ninh Son Commune		1
43	Hoang Van Liem	Village 4, Ninh Son Commune		1
44	Hoang Van Dinh	Village 4, Ninh Son Commune		1
45	Nguyen Thi Canh	Village 3, Ninh Son Commune	1	

46	Luong Cong Hieu	Village 3, Ninh Son Commune		1
47	Tang Van Ly	Village 3, Ninh Son Commune		1
48	Dao Xuan Diep	Village 3, Ninh Son Commune		1
49	Nguyen Thi Thuy	Village 3, Ninh Son Commune	1	
50	Nguyen Xuan Lien	Village 3, Ninh Son Commune		1
51	Nguyen Duc Ty	Village 3, Ninh Son Commune		1
52	Nguyen Duy Quy	Village 3, Ninh Son Commune		1
53	Nguyen Chuc	Village 3, Ninh Son Commune		1
54	Nguyen Thanh Quan	Village 3, Ninh Son Commune		1
55	Nguyen Thi Hong	Village 3, Ninh Son Commune	1	
56	Truong Van Dinh	Village 3, Ninh Son Commune		1
57	Nguyen Huu Nghi	Village 3, Ninh Son Commune		1
58	Nguyen Tro	Village 5, Ninh Son Commune		1
59	Vo Van Tan Nghia	Village 4, Ninh Son Commune		1
60	Nguyen Duc Khung	Village 4, Ninh Son Commune		1
61	Tran Duc Son	Village 3, Ninh Son Commune		1
62	Le Minh Thuy	Ha Thanh, Ninh Da Ward	1	
63	Tran Minh Tuan	Ha Thanh, Ninh Da Ward		1
64	Nguyen Long Truyen	Ha Thanh, Ninh Da Ward		1
65	Huynh Tam	Ha Thanh, Ninh Da Ward		1
66	Nguyen Thi Nham	Ha Thanh, Ninh Da Ward	1	
67	Huynh Thi Co	Ha Thanh, Ninh Da Ward	1	
68	Nguyen Bao Ngan	Ha Thanh, Ninh Da Ward		1
69	Tran Anh	Ha Thanh, Ninh Da Ward		1
70	Huynh chinh	Ha Thanh, Ninh Da Ward		1

71	Vo Van Lam	Ha Thanh, Ninh Da Ward		1
72	Phan Thi Hoa	Ha Thanh, Ninh Da Ward	1	
73	Nguyen Bong	Ha Thanh, Ninh Da Ward		1
74	Le Quoc Phong	Ha Thanh, Ninh Da Ward		1
75	Do Minh Ngan	Ha Thanh, Ninh Da Ward		1
76	Doan Van Thoa	Binh Son Village, Ninh Tho Commune		1
77	Ho Thi Mai	Binh Son Village, Ninh Tho Commune	1	
78	Nguyen Tho Cuong	Lac Ninh Village, Ninh Tho Commune		1
79	Tran Huu Thin	La Ninh Village, Ninh Tho Commune		1
80	Nguyen Minh Duc	Lac Binh Village, Ninh Tho Commune		1
81	Tran Van Thu	Binh Son Village, Ninh Tho Commune		1
82	Pham Phu Cuong	Binh Son Village, Ninh Tho Commune		1
83	Pham Thi My Lien	Binh Son Village, Ninh Tho Commune	1	
84	Nguyen Thanh Nam	Binh Son Village, Ninh Tho Commune		1
85	Tran Van Dut	Binh Son Village, Ninh Tho Commune		1
86	Dang Thi Thanh Nga	Binh Son Village, Ninh Tho Commune	1	
87	Nguyen Van Ba	Binh Son Village, Ninh Tho Commune		1
88	Pham Ngoc Phuc	Binh Son Village, Ninh Tho Commune		1
89	Luong Van Dong	Phu Tho 2 Residential Group, Ninh Diem Ward		1
90	Le Thanh Hieu	Phu Tho 2 Residential Group, Ninh Diem Ward		1
91	Tu Van Hoa	Phu Tho 2 Residential Group, Ninh Diem Ward		1
92	Tran Van Luc	Phu Tho 2 Residential Group, Ninh Diem Ward		1
93	Nguyen Nhu Lai	Phu Tho 2 Residential Group, Ninh		1

		Diem Ward		
94	Truong Thi Bich Thuy	Phu Tho 2 Residential Group, Ninh Diem Ward	1	
95	Nguyen Thi Phuong	Phu Tho 2 Residential Group, Ninh Diem Ward	1	
96	Trinh Ngoc Tien	Phu Tho 2 Residential Group, Ninh Diem Ward		1
97	Tong Nhat Phong	Phu Tho 2 Residential Group, Ninh Diem Ward		1
98	Vo Thi Hong Thi	Phu Tho 1 Residential Group, Ninh Diem Ward	1	
99	Tran Dinh	Phu Tho 2 Residential Group, Ninh Diem Ward		1
100	Nguyen Thi Kim Hang	Phu Tho 1 Residential Group, Ninh Diem Ward	1	
101	Tran Thanh My	Phu Tho 1 Residential Group, Ninh Diem Ward		1
102	Ngueyn Minh Thu	Phu Tho 2 Residential Group, Ninh Diem Ward		1
103	Dang Viet Dung	Phu Tho 2 Residential Group, Ninh Diem Ward		1
104	Tran Thi Xe	Phu Tho 2 Residential Group, Ninh Diem Ward	1	
105	Trinh Tien Khoa	Phu Tho 2 Residential Group, Ninh Diem Ward		1
106	Pham Van Luyen	My Luong Residential Group, Ninh Thuy Ward		1
107	Ngo Thi Tuy	My Luong Residential Group, Ninh Thuy Ward	1	
108	Nguyen Cao Tri	Binh Tay 2, Ninh Hai		1
109	Nguyen Thi Le Thao	Binh Tay, Ninh Hai	1	

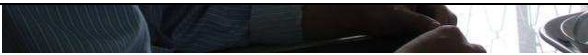













110	Do Thi Luyen	Binh Tay, Ninh Hai	1	
Total			25	85

Annex 2.2 List of AHs in project construction area

No	Full Name	Address	Gender	
			Female	Male
1	Mai Xuan Thanh	Village 3, Ninh Son Commune		1
2	Nguyen Thi Van	Village 5, Ninh Son Commune	1	
3	Ta Hong Thai	Village 5, Ninh Son Commune		1
4	Hoang Kim Hien	Village 5, Ninh Son Commune		1
5	Dinh Thi Thu	Village 5, Ninh Son Commune	1	
6	Nguyen Thi Tinh	Village 1, Ninh An Commune	1	
7	Dong Thi Tam	Village 1, Ninh An Commune	1	
8	Le Thi Minh Nguyet	My Luong Residential Group, Ninh Thuy Ward		1
9	Trinh Van Cuong	My Luong Residential Group, Ninh Thuy Ward		1
10	Dang Thi Hoa	My Luong Residential Group, Ninh Thuy Ward	1	
11	Tran Kim Phuong	My Luong Residential Group, Ninh Thuy Ward	1	
12	Cao May	Ha Thanh, Ninh Da Ward		1
13	Bui Thi Bich Chuyen	Ha Thanh, Ninh Da Ward	1	
14	Ho Thi Banh	Ha Thanh, Ninh Da Ward	1	
15	Do Thi Thanh Lien	Ha Thanh, Ninh Da Ward	1	
16	Nguyen Thi Thu	Ha Thanh, Ninh Da Ward	1	
17	Le Thi Ngoc Bich Van	Binh Son Village, Ninh Tho Commune	1	
18	Nguyen Thi Hong	Binh Son Village, Ninh Tho Commune	1	

	Van			
19	Nguyen Thi Han	Binh Tay 2 Residential Group, Ninh Hai Ward	1	
20	Hoang Thi Lich	Binh Tay 2 Residential Group, Ninh Hai Ward	1	
Total			14	6

ANNEX 3: IMAGES

	Working with leaders of Ninh Hoa sub-project implementing unit		Working with Ninh Hoa sub-project implementing unit
	The road in Ninh Tho Commune which has completed the site		The water pipeline construction area in Ninh Son Commune
	Water pumping station in Ninh Son Commune		Protection gate of wastewater treatment plant in Ninh Son Commune
	Wastewater treatment plant in Ninh Son Commune		Pipeline construction area in Ninh thuy Ward
	Interview with Mrs. Huynh Thi Co, Ha Thanh Residential Group, Ninh Da Ward		Interview with Mrs. Nguyen Thi Theo, Village 3, Ninh Son Commune
	Interview with Mr Nguyen Trung, Ha Thanh Residential Group, Ninh Da Ward		Interview with Nguyen van Luyen, My Luong Village, Ninh Thuy Commune
	Interview with Mr. Tran Vu Vuong, My Luong Village, Ninh Thuy Ward		Interview with Mrs. Truong Thi Bich Thuy, Phu Tho 2 Residential Group, Ninh Diem Ward

ANNEX 4: SURVEY TOOL

ANNEX 4A: CONSULTATION OF COMMUNE AUTHORITIES

1. Time of interview: datemonth year 201....
2. Name of interviewee:
3. Position:
4. Address:

5. Interview questionnaire:

a) Has land in traffic safety corridor been compensated to households?

- No [] Yes [] if Yes, when?

b) How many households owning land in the area of traffic safety corridor?

- Number of households:
- In which: number of compensated households:
- Number of households that have not received compensation:
- Which year that compensation was taken:
- (Specify Decisions relating to land acquisition of People's committee in province's traffic safety corridor):

c) Compensation for which kind of assets?

- Land [] House [] Plants and farm produce []

d) How is the compensation policy applied?

e) How many households complain about compensation?

- No [] Yes []
- If yes, how many existing complaining households that has not been settled?

Number of households:

f) Beside compensation, what's other kind of support that households received (specify kinds of support):

.....

g) Now compensated households have not used land in safety corridor is complying with agreement of which authorities?

Interviewer

Interviewee

ANNEX 4B: INTERVIEW FORM OF AFFECTED HOUSEHOLDS

I. GENERAL INFORMATION OF HOUSEHOLD:

1. Full name of householder
2. Address:
.....
3. Head of household.....
4. Children under 16:
5. Educational level of family's member in working age:
6. Income sources of household:

Income sources	Main income of household (Yes/No)	
	Before land acquisition	After land acquisition
Agriculture (such as aquaculture, gardening, rice cultivation, etc.)		
Poultry – breeding		
Goods buying and selling		
Food stores		
Company/ Manufacturer		
State officers/workers		
Employee in private companies		
Work in transportation field		
Drivers		
Subsidy from relatives (in Vietnam)		
Subsidy from relatives (abroad)		
Service work/house work		
Others		

How is the income of family before and after land acquisition?

- ☐ No changes...../
- ☐ Lower...../
- ☐ Higher/

7. Classify households as following classes?

- ☐ Ethnic minority
- ☐ Under social policy
- ☐ Women is householder (with/without children and without support)
- ☐ The old is householder without support
- ☐ The handicap is householder
- ☐ Poor households
- ☐ Others

II. IMPACTS CAUSED BY LAND ACQUISITION

Unit: m²

No	Kinds of affected land	Answer		Area of affected land	Total area of households' remaining land
		Yes	No		
1	Residential land without house and not be displaced				
2	Residential land with house and be displaced				
3	Land for planting crops				
4	Land for gardening				
5	Land for forestry				
6	Land for aquaculture				
7					
Do household have certificate of land use rights for the acquired land?				Yes	
				No	
				Other	

If “other”, specify in detail

III. COMPENSATION AND SUPPORT THAT HOUSEHOLDS RECEIVED AND ITS VALUE

8. Compensation and support that households received:

No	Description on reception (If the interviewee remember)	Total (VND)	Date of reception	Note (Compare compensation and market price)		
				More	Equal	Less
1	Residential land					
2	Agricultural land					
3	Houses and other construction					
4	Plants, crops					
5	Support (in detail) (a) (b) (c) (d)					

If the interviewee can't remember the compensation for affected assets, he may make general comment on compensation and comparison with market price at the compensated time

9. Affected assets have not been compensated (if any)

No	Description on affected assets that have not been compensated	Total (VND)	Reason	Was the settlement of this problem proposed to be settled? And when?
1				
2				

3				
4				
5				
6				

IV. PROJECT INFORMATION DISSEMINATION

10. Do you get information of the project?

☐1 Yes

☐2 No

11. From which source below that you got such information?

☐1 Posters

☐4 Local authorities

☐2 Notice board

☐5 Project staffs

☐3 Mass media (newspaper, radio...)

☐6 Population groups meetings

☐7 Others (in detail).....

12. Do you thoroughly understand content of compensation, rights and interests you are entitled to and procedure of complaining, through information dissemination?

☐1 Yes

☐2 No

If "No", why:

☐1 Unclear dissemination

☐3 Don't know who to ask

☐2 Didn't learn about it

☐4 Others, in detail:

Assets inventory

13. Have you been noticed in advance on time for measurement, inventory of your affected assets?

☐1 Yes

☐2 No

14. Did you participate in process of measurement, inventory of your affected assets?

☐1 Yes

☐2 No

15. Do you have any assets missing out?

☐1 Yes

☐2 No

If "Yes", which is it?

16. Is the measurement of affected land, houses implemented exactly?

☐1 Yes

☐2 No

17. Did you review and sign in inventory minutes?

☐1 Yes

☐2 No

18. Do you keep one copy worksheet of your affected assets?

☐1 Yes

☐2 No

Compensation and supported payment for displaced households /households whose business is affected

19. Do you feel satisfy with compensation price?

☐1 Yes

☐2 No

If "No", why:

.....
.....
.....
.....

Compensation and supported payment for households whose agricultural land is affected

20. Did you review the worksheet of compensation for your affected assets?

☐1 Yes

☐2 No

21. Did you receive any payment of compensation?

☐1 Yes

☐2 No

If "Yes", is it:

☐1 Land compensation

☐2 Plants, crops compensation

22. When did you receive your compensation/support?

☐1 Before construction

☐2 During construction

☐3 After construction

23. Which kind of support below that your household received?

- ☐1 Support for ratio of lost agricultural land
- ☐2 Support for vocational training
- ☐3 Support for production stabilization/income recovery
- ☐4 Support for family under policy
- ☐5 Other supports (in detail):
- ☐6 Do have support but have not received any payment
- ☐7 Do not have any support

24. Do you satisfy with compensation price?

☐1 Yes

☐2 No

If "No", why?

.....

.....

.....

.....

25. Does any member of household lose his/her job when land is acquired?

☐1 Yes

☐2 No

Complaints and complaints settlement

26. Do you have any complaint?

☐1 Yes

☐2 No

What is your complaint about?

.....

.....

.....

.....

27. Has your complaint been settled?

☐1 Yes

☐2 No

28. For how long.....

29. Why not (in detail):

VI General comment

Would you please comment on the Project (its benefits; changes of pre- and post-project living conditions, if any, how was it changed; do you agree with the project implementation?...)

.....
.....
.....

Date monthyear.....

Interviewee

(sign and full name)

Interviewer

(sign and full name)