

PROCUREMENT PLAN

Basic Data

Project Name: Flood Management in Selected River Basins Sector Project	
Project Number: 35182-043	Approval Number: 3440
Country: Indonesia	Executing Agency: Directorate General of Water Resources, Directorate General of Water Resources, Ministry of Public Works & Housing, Republic of Indonesia
Project Procurement Risk: Medium	Implementing Agency: Balai Besar Wilayah Sungai Cidanau-Ciujung-Cidurian, Balai Wilayah Sungai Maluku, Directorate General of Agricultural Infrastructure & Facilities, Ministry of Agriculture, Directorate General of Regional Development (MOHA), Directorate General of Water Resources, Ministry of Public Works & Housing, Republic of Indonesia, Directorate of River and Coastal, Directorate of Water Resources & Irrigation
Project Financing Amount: US\$ 161,570,000 ADB Financing: US\$ 108,700,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 52,870,000	Project Closing Date: 30 June 2023
Date of First Procurement Plan: 30 September 2016	Date of this Procurement Plan: 24 June 2021, Version 7
Advance Contracting: Yes	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 3,000,000	First package subject to prior review. Succeeding packages subject to post review
Shopping for Goods	Up to US\$ 100,000	Post review.
Community Participation in Procurement for Goods	Up to US\$ 30,000	Post review.
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 25,000,000	First package of each procuring entity regardless of contract value is subject to prior review. For succeeding packages: (i) each work contract with contract amount less than \$10,000,000 is subject to post review; and (ii) each work contract with contract amount of \$10,000,000 and above are still subject to prior review.
Shopping for Works	Up to US\$ 100,000	Post review.
Community Participation in Procurement for Works	Up to US\$ 30,000	Post review.

Consulting Services	
Method	Comments

Quality- and Cost-Based Selection for Consulting Firm	Default method, for consulting team contracts that do not meet the criteria of other selection methods.
Quality-Based Selection for Consulting Firm	For engagement of NGOs and similar entities or complex assignment requiring high specialization.
Consultant's Qualification Selection for Consulting Firm	For small contracts (maximum \$200,000) with highly specialized organizations for time critical assignment.
Least-Cost Selection for Consulting Firm	For very small contracts (maximum \$100,000) of standard or routine nature for time critical assignment.
Others for Consulting Firm	For specialized inputs.

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
Civil Works 4B	Upgrade of Rinjani Retention Basin, Normalization and Parapet Wall in Lower Batu Merah	10,000,000.00	NCB	Prior	1S1E	Q2 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No Comments: To be procured by BWSM

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS08AB	External social safeguard monitoring – 3 Cis and Ambon Seram Subprojects	545,000.00	QCBS	Prior	Q2 / 2021	STP	Assignment: National Quality-Cost Ratio: 80:20 Covid-19 Response? No Comments: To be procured by DGWR through SPSE ICB of

							MPWH
CS09	Community facilitators and independent consultant to verify voluntary land donation (MOHA)	350,000.00	CQS	Prior	Q2 / 2021	STP	Assignment: National Covid-19 Response? No Comments: To be procured by MOHA

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW07A	Land conservation through agroforestry including terracing - Serang	311,622.70	12	CPP	Post		Q3 / 2021	Advanced Contracting: N Covid-19 Response? No Comments: To be procured by Serang District Agriculture Agency and contracted to community groups
CW07B	Land conservation through agroforestry including terracing – Lebak	900,000.00	30	CPP	Post		Q3 / 2021	Advanced Contracting: N Covid-19 Response? No Comments: To be procured by Lebak District Agriculture Agency and contracted to community groups

CW07C	Land conservation through agroforestry including terracing – Pandeglang	780,000.00	26	CPP	Post		Q3 / 2021	<p>Advanced Contracting: N</p> <p>Covid-19 Response? No</p> <p>Comments: To be procured by Pandeglang District Agriculture Agency and contracted to community group</p>
CW08A	Land optimization including terracing - Serang	133,228.64	6	CPP	Post		Q3 / 2021	<p>Advanced Contracting: N</p> <p>Covid-19 Response? No</p> <p>Comments: To be procured by Serang District Agriculture Agency and contracted to community groups</p>
CW08B	Land optimization including terracing – Lebak	512,385.01	25	CPP	Post		Q3 / 2021	<p>Advanced Contracting: N</p> <p>Covid-19 Response? No</p> <p>Comments: To be procured by Lebak District Agriculture Agency and contracted to community groups</p>
CW08C	Land optimization including terracing - Pandeglang	368,562.14	18	CPP	Post		Q3 / 2021	<p>Covid-19 Response? No</p> <p>Comments: To be procured by Pandeglang District Agriculture Agency and contracted to community groups</p>

CW09A	Drainage system improvement in Ambon City and in Banten	1,751,237.72	87	CPP	Post		Q3 / 2021	<p>Advanced Contracting: N</p> <p>Covid-19 Response? No</p> <p>Comments: To be procured by Serang, Lebang and Pandeglang district PW agencies, and Ambon City PW Agency and contracted to community groups</p>
CW10	Construction of Control Room Ambon	40,071.43	1	SHOPPING	Post		Q3 / 2021	<p>Covid-19 Response? No</p> <p>Comments: To be procured by BWSM</p>
G02	Furniture and equipment for DGWR (Core Team at PMU)	24,735.00	1	SHOPPING	Post		Q3 / 2021	<p>Advanced Contracting: N</p> <p>Covid-19 Response? No</p> <p>Comments: To be procured by DGWR</p>
G05B	Furniture and equipment for DGAIF and District Agriculture Agencies	19,220.00	2	SHOPPING	Post		Q2 / 2021	<p>Covid-19 Response? No</p> <p>Comments: To be procured by provincial agriculture agency</p>
G1A1	Procurement and installation of Hydro-meteorological networks and the stations (3Cis)	744,000.00	1	NCB	Prior	1S1E	Q3 / 2021	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: N</p> <p>Bidding</p>

								Document: Goods Covid-19 Response? No Comments: To be procured by BBWS 3Cis
G1A2	Procurement and Installation of FF and Early Warning System (3Cis)	727,550.00	1	NCB	Prior	1S1E	Q3 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No Comments: To be procured by BBWS 3Cis
G1B1	Procurement and installation of Hydro-meteorological networks and the stations (Ambon-Seram)	675,100.00	1	NCB	Prior	1S1E	Q3 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No Comments: To be procured by BWSM
G1B2	Procurement and Installation of FF and Early Warning System (Ambon-Seram)	687,300.00	1	NCB	Prior	1S1E	Q3 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No Comments: To be procured by BWSM

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
CW6A	Upper Way Ruhu River Basin in the Ambon-Seram RBT	4,000,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No Comments: Indicative Package
CW6B	Middle and Lower Way Ruhu River Basin in the Ambon-Seram RBT	4,000,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No Comments: Indicative Package

Consulting Services							
Package	General	Estimated	Number of	Recruitment	Review	Type of	Comments

Number	Description	Value	Contracts	Method	(Prior/ Post)	Proposal	
CS03	CBFRM support and facilitation (4 packages)	104,804.00	4	CQS	Prior	STP	Assignment: National Covid-19 Response? No Comments: To be procured by DGRD/DGIAF

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
Civil Works 3	Ciujung River Stretch Downstream of the Core Subproject to the Toll Road	15,000,000.00	17,392,062.10	NCB	Q2 / 2020	03-FEB-21	Contract signed on 3 Feb 2021
Civil Works 1	Ciujung priority civil works package 1 (right side dike)	18,194,807.00	16,015,161.54	NCB	Q3 / 2018	05-NOV-18	Contract signed on 5 Dec 2018
Civil Works 2	Ciujung priority civil works package 2 (left side dike)	18,332,674.00	16,142,320.49	NCB	Q3 / 2018	05-NOV-18	Contract signed on 5 Dec 2018
Civil Works 4A	Two Check Dams in Ambon Seram RBT-Upper Batu Merah (Petra and Yakobus)	1,000,000.00	1,112,959.67	NCB	Q4 / 2019	03-JAN-20	Contract signed on 30 Mar 2020
G10D	4 motorbikes (trail) to be procured by Pandeglang district agriculture agency	9,725.00	7,976.64	SHOPPING	Q2 / 2018	02-MAY-18	Contract signed on 21 May 2018
G10C	Motorbikes for Lebak district agriculture agencies	9,725.00	7,976.64	SHOPPING	Q2 / 2018	01-JUN-18	Contract signed on 25 June 2018

G10B	Motorbikes for Serang district agriculture agencies	9,725.00	7,976.64	SHOPPING	Q2 / 2018	01-JUN-18	Contract signed on 20 June 2018
G10A	Cars for DGAIF	70,370.00	69,284.27	SHOPPING	Q3 / 2018	16-JUL-18	Contract signed on July 2018
G03	Furniture and equipment for BBWS 3 Cis	17,682.00	9,590.92	SHOPPING	Q4 / 2018	15-NOV-18	Contract signed on November and Dec 2018
G04	Furniture and equipment for BWSM	7,957.00	14,797.29	SHOPPING	Q4 / 2018	15-NOV-18	Contract signed on Dec 2018
G05A	Furniture and equipment for DGAIF	22,057.00	8,626.09	SHOPPING	Q4 / 2017		Contract signed on October 2017
G06	Furniture and equipment for DGRD	36,909.00	17,965.56	SHOPPING	Q4 / 2017		Contract signed on Dec 2017
G05D	Furniture and equipment for Lebak district agriculture agencies	1,011.00	906.28	SHOPPING	Q4 / 2018		Contract signed on October 2018
G05E	Furniture and equipment for Pandeglang district agriculture agencies	1,011.00	757.80	SHOPPING	Q2 / 2018		Contract signed on April 2018
G05C	Furniture and equipment for Serang district agriculture agencies	1,011.00	2,137.00	SHOPPING	Q1 / 2020		Contract signed on March 2020
G08	Vehicle - car and motorbikes for BWSM	40,239.00	32,647.98	SHOPPING	Q4 / 2018		Contract signed on October and 5 November 2018
G07	Vehicle - motorbike for BBWS 3 Cis	32,282.00	35,559.78	SHOPPING	Q4 / 2018	01-OCT-18	Contract signed on October 2018 (Car) and 24 October 2018 (motorbikes)

G09	Vehicle for DGWR (PMU)	22,727.00	31,652.25	SHOPPING	Q4 / 2019	03-FEB-20	Contract signed on 30 Mar 2020

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS01	Flood Risk Management and Engineering Services	6,436,761.00	5,809,063.96	QCBS	Q4 / 2016	20-DEC-17	Contract signed on 15 Dec 2017
CS04	Independent monitoring and evaluation	703,660.00	622,022.06	QCBS	Q3 / 2018	31-JAN-20	Contract signed on 20 Feb 2020
CS06	Institutional development for community-based flood risk management (Ideco-Bafrim)	4,039,052.00	3,873,303.68	QCBS	Q1 / 2017	20-NOV-17	Contract signed on 23 Nov 2017
CS02	Project Implementation Management Services	1,853,833.00	1,511,352.11	QCBS	Q4 / 2016	16-NOV-17	Contract signed on 29 Nov 2017
CS05	Farmland management and sustainable agriculture practices	1,375,459.00	1,025,154.65	QCBS	Q1 / 2017	03-JAN-18	Contract signed on 4 Jan 2018
CS08-A1	External social safeguard monitoring – 3 Cis – Core Subprojects	60,000.00	52,321.05	CQS	Q1 / 2018	19-NOV-18	Contract signed on 26 Nov 2018

D. National Competitive Bidding

A. Regulation and Reference Documents

1. General

- The procedures to be followed for national competitive bidding shall be those as set forth in Presidential Regulation No. 54/2010 of the Republic of Indonesia, dated 6 August 2010, as amended from time to time, with the

clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines.

B. Procurement Procedures

2. Eligibility

2. The eligibility of bidders shall be as defined under section I of the ADB Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Participation of Foreign Bidders and Joint Ventures

3. Foreign bidders shall be eligible to participate under the same conditions as national bidders regardless of the estimated value of the contract.

4. Foreign bidders shall not be asked or required to form joint ventures with, or be subcontractors to, national bidders in order to submit a bid and obtain a contract award.

4. Preferences

5. No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.

5. Prequalification and Bidding Period

6. The time allowed for the preparation and submission of prequalification documents and/or bids for large and/or complex contracts shall not be less than twenty-eight (28) days from the date of the last day of publication of the invitation to bid or the last day of availability of the bidding documents, whichever is later.

C. Bidding Documents

6. Bid Evaluation

7. No bid shall be rejected on the basis of a comparison with the owner's estimate or budget ceiling without ADB's prior concurrence.

7. Rejection of All Bids and Rebidding

8. Bids shall not be rejected and new bids solicited in a rebidding without the ADB's prior concurrence.

8. ADB Policy Clauses

9. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

10. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

11. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

