

# **External Resettlement Monitoring Report**

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## **5<sup>th</sup> MONITORING REPORT**

**INTERGRATED RURAL DEVELOPMENT PROJECT IN CENTRAL PROVINCES**

**LOAN No.2357-VIE(SF), CREDIT CVN 601101C**

**PACKAGE No.8 : CONSULTING SERVICE ON SAFEGUARD POLICY**

**EXTERNAL MONITORING ON IMPLEMENTING RESETTLEMENT PLAN IN 8 PROVINCES  
INCLUDING THUA THIEN HUE, QUANG NAM, QUANG NGAI, KON TUM, BINH DINH, PHU  
YEN, NINH THUAN AND BINH THUAN**

**Prepared by INVESTMENT AND ENVIRONMENT PROTECTION CONSULTATION COMPANY  
EPC (EPC Co., LTD) under Ministry of Agriculture and Rural Development (MARD) and the Asian  
Development Bank ( ADB).**

## CURRENCY EQUIVALENTS

(As of 31<sup>th</sup> December 2012 at Vietcombank)

Currency unit	–	vnd
VND 1.00	=	\$ 0.00004789
\$1.00	=	VND 20,815

## WEIGHTS AND MEASURES

m (Meter)	–	Is the <a href="#">base unit</a> of length
m2 (Square meter)	–	A system of units used to measure areas
m3 (Volume)	–	A system of units used to measure the spaces, that an object or substance occupies.
kg (Kilogram)	–	A decimal unit of weight based on the gram

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## LIST OF ABBREVIATIONS

ADB	:	Asian Development Bank
AFD	:	Agence Francaise de Developpement
AP(s)	:	Affected Person(s)
CPC	:	Commune People's Committee
CPMU	:	Central project management Unit
DARD	:	Department of Agriculture and Rural Development
DCC	:	District compensation council
DMS	:	Detailed measurement survey
DPC	:	District People's Committee
EMA	:	External monitoring agency
HH	:	Household
IRDPCP	:	Integrated rural development project in central provinces
MARD	:	Ministry of Agriculture and Rural Development
PC	:	People's Committee
PIB	:	Project information booklet
PPC	:	Provincial People's Committee
PPMU	:	Provincial Project management Unit
PRA	:	Participatory rapid appraisal
RP	:	Resettlement plan
TOR	:	Term of reference

## **I. GENERAL**

### **1.1 Project background**

The Asian Development Bank (ADB) and the Agence Francaise de Developpement (AFD) have provided the Socialist Republic of Vietnam with loan financing to undertake a rural development project in central provinces of the country. The Project consists of the upgrading of medium-scale rural infrastructure with about 129 subprojects which will include rural roads, irrigation systems, water supply systems, markets and coastal infrastructure. Preparations are currently being made for 128 subprojects. Among 129 subprojects, there are 45 subprojects with land acquisition and 84 subprojects without land acquisition. Regarding types of project, 74 subprojects are rural roads, 45 are irrigation rehabilitation, 6 are markets, 5 are rural water supply systems and one relates to coastal protection works. Thirteen provinces within the central region are recipients of the funding and are the project implementation agencies, namely Thanh Hoa, Nghe An, Ha Tinh, Quang Binh, Quang Tri, Thua Thien-Hue, Quang Nam, Quang Ngai, Kon Tum, Binh Dinh, Phu Yen, Ninh Thuan, and Binh Thuan. The Ministry of Agriculture and Rural Development is the Executive Agency (EA) of the Integrated rural development project in central provinces (IRDPCP) represented by the Agriculture Projects Management Unit to manage the project. Province Project management units (PPMUs) were established in 13 provinces for implementing the subprojects.

#### **1.1.1 Objectives of the project**

The project is to improve livelihood of people in rural areas by improving the access ability to market centres, agricultural production, health, education facilities and decreasing damage of natural calamity through repairing and upgrading medium-sized and important rural infrastructures in central provinces of Vietnam. Types of rural infrastructures, which are upgraded, include rural roads, irrigation schemes, flood prevention works, rural markets, rural water supply systems. The project will improve access ability to markets, employments, health services, education facilities, decrease damage of natural calamity, improvement of livelihood, increase of income and living standards of rural community.

#### **1.1.2 Introduction of the 5<sup>th</sup> resettlement monitoring**

##### **1.1.2.1 Scope of consulting package**

The Investment and Environment Protection Consultation Company EPC (EPC Co.,LTD) is the contractor of the consulting package on safeguard policy and external monitoring on implementing resettlement plan, package No.8 of IRDPCP, loaned by ADB, project number No.2357-VIE(SF), credit CVN 601101C. Package 8 covers 8 provinces including Thua Thien Hue, Quang Nam, Quang Ngai, Kon Tum, Binh Dinh, Phu Yen, Ninh Thuan and Binh Thuan.

The consulting package No.8 includes 8 monitoring times. Four (04) monitoring times had been taken place: (i) the 1<sup>st</sup> monitoring was in 05/2011, covered 7 subprojects in Quang Nam and 6 subprojects in Binh Dinh; (ii) the 2<sup>nd</sup> monitoring was in June 2011, covered 12 subprojects in Thua Thien Hue and 3 subprojects in Quang Ngai province, (iii) the 3<sup>rd</sup> monitoring was in August 2011, covered 7 subprojects in Kontum, 8 subprojects in Phu Yen and 7 subprojects in Quang Nam and (iv) the 4<sup>th</sup> monitoring was in October 2011, covered 5 subprojects in Binh Thuan and 2 subprojects in Ninh Thuan.

##### **1.1.2.2 Subprojects monitored in the 5<sup>th</sup> time (in Binh Dinh and Thua Thien Hue provinces)**

The 5<sup>th</sup> monitoring was taken place from 26 March 2012 until 6 April 2012. 21 subprojects in Binh Dinh and Thua Thien Hue were monitored, included 13 subprojects without resettlement and 8 subprojects required resettlement and land acquisition. 13 subprojects without resettlement

because they do not affect land and other assets of local households. All the 21 subprojects had been monitored one time previously and this is the 2<sup>nd</sup> monitoring for these projects (as requested in TOR, each subproject must be monitored 2 times). Monitoring methods for subprojects without resettlement and for subprojects with RP preparation are represented in Part III of the report. Background of subprojects monitored in the 5<sup>th</sup> monitoring are as follows:

**Table 1: Background of subprojects monitored in 5<sup>th</sup> monitoring**

Localities	Name of subprojects	Subproject description
<b>I. Binh Dinh province</b>		
An Nhon and Tay Son districts	Lining the main canal of Nui Mot reservoir	The subproject is located at 6 communes of An Nhon and Tay Son districts, Binh Dinh province. Among those, 3 communes, including Nhon Hoa, Nhon Tan communes of An Nhon district and Binh Nghi commune of Tay Son district are with land acquisition for the subproject. The subproject includes 3 components: (a) Upgrading and improving main canal of Nui Mot lake; (b) Construction of Ngheo dam; (c) Construction of a road for transporting construction materials to Ngheo dam and for local activities.
Hoai Nhon district	Upgrading My Binh reservoir	The upgrading My Binh reservoir subproject is located at Hoai Phu commune of Hoai Nhon district, Binh Dinh province, including 3 components: (a) Upgrading My Binh reservoir to ensure water storage for irrigation and living activities; (b) Lining main canal with 1,534.6 m long and structures on canal and (c) Building tertiary canal (N9 -2) with 492.7 m long.
Phu My district	Rehabilitating and upgrading Tra O irrigation system	The subproject is located at My Duc, My Loi and My Loc communes and Binh Duong townlet, Phu My district, Binh Dinh province, includes 3 components: (a) Upgrading Tra O dam; (b) Improving Chanh Khoan pump and (c) Lining main canal.
Hoai An district	Canal of Van Hoi reservoir	The subproject is located at An Thanh, An Tin, An Duc and An My communes of Hoai An district. The subproject is to construct 12.75 km canal of Van Hoi reservoir, including N2., N3, N5 canal with M200 concrete surface to ensure irrigation for 957 ha of productive land.
Phu My district	Upgrading My Trinh-My Hiep road	The subproject is located at My Hiep, My Hoa and My Trinh communes, Phu My district, Binh Dinh province. The subproject is to upgrade the existing road qith 16.715km, construct some drainage structures and 2 bridges with 2x12m and L = 12m, 06 bridges with L = 2m - 6m to ensure drainage in flood seasons.
Hoai An district	Upgrading An Duc-An My road	The subproject is located at An Duc, An Thanh, An Tin and An My communes of Hoai An district.

Localities	Name of subprojects	Subproject description
		The subproject is to upgrade inter commune road with 12km long from An Duc commune to An My commune including concreting the road and supplementing some drainage systems along the road.
Tay Son district	Upgrading and repairing N1 - Thuan Ninh primary canal	The subproject is located at Binh Tan, Binh Thuan communes of Tay Son district and Cat Hiep commune of Phu Cat district. Components of the subproject include improving 2.696 km canal N1, 11.115 km of secondary canal, including: N1-1, N1-2, N1-3; N1-4; N1-5, 1.176 km canal N1-1-1, N1-1-4, N1-3-1, constructing 151 works such as culvert, bridges, etc. To ensure irrigation for 1,664 ha of productive land for the area.
Phu Cat	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	The subproject is located at Cat Hanh and Cat Tuong communes of Phu Cat district. The subproject is involving to (i) Repairing 740.1 m long dam, including 604 m Hoc Cau dam and 136.1 m Cua Khau dam; (ii) Repairing culverts under the dams; (iii) 2 spillways Hoc Cau and Cua Khau; (iv) Constructing 3,208.66 m road on the reservoir, including 724 m road on Hoc Cau reservoir and 2,484.66 m road on Cua Khau reservoir; (v) Lining main canal with 2,200.8 m long and 33 secondary structures on the canal
Hoai Nhon district	Northern Lai Giang river embankment	The subproject is involving to construction of a dyke in Northern Lai Giang river at village 1, Bong Son townlet and Hoai Xuan commune, Hoai Nhon district. The dyke is from Bong Son railway bridge to Song Khanh pumping station with 2,729 m long. At some sections of the river with high risk of erosion, an embankment is constructed. Total length of the embankment is 1,906m.  The subproject is also involving to construction of 3 ferro-concrete culverts to drain rain-water into the river.
<b>II. Thua Thien Hue province</b>		
Phu Loc district	Vinh Hien-Vinh Hai-Vinh My inter commune road	The subproject is located at Vinh Hien, Vinh Hai, Vinh My communes, Phu Loc district, Thua Thien Hue province.  The subproject is involving to upgrade 7.16 km of an inter-commune road from macadam to concrete road grade B. Width of entire road is 4m including 3m of surface road. The road is mainly upgraded basing on the existing road, as for the section from Km1+729 ÷ Km2+754 (L=1,025m), the road is newly constructed to ensure technical

Localities	Name of subprojects	Subproject description
		requirement and improve operation efficiency. There are 40 culverts along the road, in which 32 culverts are kept using, 4 culverts are replaced and 4 are newly constructed. Drainage ditches are also built at some necessary sections along the road. Signboards are installed to ensure traffic safety.
Phong Dien district	East-West Hoi Tom flood protection dike system	The subproject is located at Phong Chuong commune, Phong Dien district, Thua Thien Hue province The subproject involves to upgrading a dike with 3.293 km from the downgraded soil surface up to concrete and construct 6 drainage culverts to ensure drainage and waterway traffic
Quang Dien and Huong Tra districts	Quang An - Quang Thanh and Huong Phong inter commune road	The subproject is located at Quang An and Quang Thanh communes, Quang Dien district and Huong Phong commune, Huong Tra district, Thua Thien Hue province The project is to upgrade 6.879km an inter-commune road from pathway and asphalted macadam road to concrete road grade A. Width of entire road is 5m including 3.5m of surface road. The road is mainly upgraded basing on the existing road. A new bridge B=2x4m will be constructed with a length of 8.5m to replace the old one. 17 culverts will be constructed and 8 old culverts are kept using to lengthen the drainage system after road widening. Drainage ditches are also built at some necessary sections along the road. Signboards are installed to ensure traffic safety.
Phong Dien district	Phong Hai - Dien Huong Inter commune road	The subproject is located at Phong Hai, Dien Hai, Dien Hoa, Dien Loc, Dien Mon, Dien Huong communes, Phong Dien district, Thua Thien Hue province The project is to upgrade 16.64 km of an inter-commune road from pathway to concrete road grade B. Width of entire road is 4m including 3m of surface road. The road is mainly upgraded basing on the existing road. Dai Loc bridge will be constructed (Km9+742.57) with a length of 23.1m. A bridge B=2x4m is constructed with L=8.5 m to replace the old bridge. 18 culverts will be constructed and 15 old culverts are kept using to lengthen the drainage system after road widening. Drainage ditches are also built at some necessary sections along the road. Signboards are installed to ensure traffic safety.
Phong Dien district	Phong Binh- Phong Chuong- Dien Loc flood protection dike system	The subproject is located at Phong Binh commune, Phong Dien district, Thua Thien Hue

Localities	Name of subprojects	Subproject description
		<p>province</p> <p>The subproject will restore and construct flood protection dike system with 4.837 m long by macadam. Dike surface will constructed by concrete for traveling;</p> <p>Constructing 21 irrigation and drainage culverts under the dike</p> <p>Constructing 5 circle culverts with 40cm diameter under the dike</p> <p>Constructing 2 drainage culverts combining traveling on the culvert</p>
Phu Loc district	Upgrading An-Son-Bon drainage system	<p>The subproject is located at Loc An, Loc Son and Loc Bon commune, Phu Loc district, Thua Thien Hue province</p> <p>The subproject mainly bases on existing structures to upgrade drainage system.</p>
Quang Dien district	Upgrading and Expanding An Gia pumping station (Tay Hung 2)	<p>The subproject is located at Quang Thai and Quang Loi communes, Quang Dien district, Thua Thien Hue province</p> <ul style="list-style-type: none"> <li>- The subproject will restore and construct a new pump station to replace the old one and install 3 new pumps HL 1400-5 (from these, 1 pump is for contingency);</li> <li>- Constructing and widening main canal with 2,729.3 m long;</li> <li>- Constructing 2 secondary canals: N1= 283m; N5 =638.8 m, ending of N5, there will be 2 banches of N5 canal, including N5-1 = 15.5m and N5-2=15.5m.</li> </ul>
Phu Vang district	Su Lo pumping station	<p>The subproject is located at Phu My, Phu Ho, Phu Xuan communes, Phu Vang district, Thua Thien Hue province</p> <p>The subproject will restore, upgrade and improve pump station and canal</p>
Phu Vang district	Reparing and upgrading Phu Da - Vinh Phu inter commune road	<p>The subproject is located at Phu Da, Vinh Phu, Vinh Ha communes, Phu Vang district, Thua Then Hue province</p> <p>The project is to upgrade 8.4 km of an inter-commune road from pathway to concrete road grade A (22TCN 210 – 92). Width of entire road is 5m including 3.5m of surface road. The road is mainly upgraded basing on the existing road. Some sections are adjusted to satisfy technical standards and regulations on traffic safety. There are 83 culverts along the road, From these, 18 are still good for use, 65 are newly constructed including 49 culverts B = 0.75m, 4 culverts B =</p>

Localities	Name of subprojects	Subproject description
		1m, 10 culverts B = 2m and 2 culverts B = 3m. Drainage ditches are also built at some necessary sections along the road. At other sections, rain water will run into agricultural areas or existing streams.
Quang Dien district	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district	The subproject is located at Quang Cong, Quang Ngan communes of Quang Dien district, Thua Thien Hue province The subproject will upgrade Quang Cong-Quang Ngan saline protection dike with a length of 8.36 km, repair and construct 75 structures in the dike. The subproject is located at Quang Cong and Quang Ngan communes from the border between Dien Hai commune and Quang Ngan commune to shrimp ponds at Quang Cong commune and ends at National Highway No.49B at Km36+400. On the dike, 75 structures will be constructed to ensure drainage and traveling in flood seasons.
Huong Tra and Phu Vang districts	Water supply systems in Huong Tra district and Phu Vang district	The subproject is located at Hai Duong commune, Huong Tra district, Phu Da, Vinh Phu, Vinh Thai, Vinh Ha, Phu Xuan, Vinh Xuan commune of Phu Vang district, Thua Thien Hue province Demand of water use: 1,990 (m <sup>3</sup> /day)
Phu Loc district	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam	The subproject is involving to upgrading and improvement of existing dam along Tam Giang lagoon located at Vinh Hung, Vinh Giang and Vinh Hien communes, Phu Loc district, Thua Thien Hue province with a length of 6.34 km. The subproject is also involving to repairing and constructing 19 structures on the dike, kept using 3 structures

### 1.1.2.3 Progress of RP preparation

In the 5<sup>th</sup> monitoring time, 8 subprojects required resettlement and land acquisition was monitored. Progress of RP preparation is summarized in the table below:

**Table 2: Progress of RP preparation of the subprojects**

Localities	Name of subproject	Agencies in charge of RP preparation	Progress of RP implementation	Date of approval
<b>Binh Dinh province</b>				
An Nhon and Tay Son districts	Lining the main canal of Nui Mot reservoir	Infra-Thang Long	Finished in 01/2010	04 February 2010
Hoai Nhon district	Upgrading My Binh reservoir	Infra-Thang Long	Finished in 04/2010	16 July 2010

Phu My district	Rehabilitating and upgrading Tra O irrigation system	Infra-Thang Long	Finished 07/2010	in	16 July 2010
Hoai An district	Canal of Van Hoi reservoir	Infra-Thang Long	Finished 10/2010	in	15 November 2010
Phu My district	Upgrading My Trinh-My Hiep road	Infra-Thang Long	Finished 10/2010	in	01 October 2010
Hoai An district	Upgrading An Duc-An My road	Infra-Thang Long	Finished 10/2010	in	15 October 2010
Tay Son district	Upgrading and repairing N1 - Thuan Ninh primary canal	Infra-Thang Long	Finished 04/2011	in	20 April 2011
Phu Cat	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	Infra-Thang Long	Finished 04/2011	in	20 April 2011

## 1.2 Scope and impact level of the subprojects as stated in RP

### 1.2.1 Number of AHs

**Table 3: Affected households in 8 approved subprojects**

	Name of subproject	Number of AHs as stated in RP				
		Total	Partially affected HHs	Lost more than 10% of productive land	Fully affected HHs	Vulnerable HHs <sup>1</sup>
1	Lining the main canal of Nui Mot reservoir	39	39	0	0	0
2	Upgrading My Binh reservoir	49	49	0	0	0
3	Rehabilitating and upgrading Tra O irrigation system	129	129	0	0	0
4	Canal of Van Hoi reservoir	480	480	0	0	0
5	Upgrading My Trinh-My Hiep road	429	429	0	0	0
6	Upgrading An Duc-An My road	337	337	0	0	0
7	Upgrading and repairing N1 -Thuan Ninh primary canal	441	441	0	0	0
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	174	174	0	0	0
	<b>Total</b>	<b>2,078</b>	<b>2,078</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> Vulnerable HHs include: (i) Ethnic minorities; (ii) Poor HHs; (iii) HHs who are receiving subsidy of GoV; (iv) HHs with disabled persons; (v) HHs with entire elderly persons; (vi) Female-headed HHs

### 1.2.2 Area of acquired land

All the 8 subprojects need acquisition of productive land and residential land of local people for the construction. According to data from RPs of the subprojects, area of acquired land are as follows:

**Table 4: Total affected land of 8 approved subprojects**

No	Name of subproject	Acquired land area of every subproject according to data in RPs (m <sup>2</sup> )					
		Total acquired land area	Agricultural land	Garden land	Pond land	Residential land	Other land
1	Lining the main canal of Nui Mot reservoir	4,829	4,829	0	0	0	0
2	Upgrading My Binh reservoir	1,295	1,295	0	0	0	0
3	Rehabilitating and upgrading Tra O irrigation system	74	74	0	0	0	0
4	Canal of Van Hoi reservoir	47,472	37,305	5,680	126	600	3,761
5	Upgrading My Trinh-My Hiep road	32,590	21,756	8,534	0	1,927	373
6	Upgrading An Duc-An My road	29,673	20,860	794	0	0	8,019
7	Upgrading and repairing N1 - Thuan Ninh primary canal	165,000	165,000			0	
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	11,044	11,044	0	0	0	0

### 1.2.Quantity of affected trees and annual crops

**Table 5: Quantity of affected trees and annual crops**

No	Name of subproject	Quantity of affected trees and annual crops as stated in RP		
		Fruit trees (tree)	Timber trees (tree)	Rice and other annual crops (m <sup>2</sup> )
1	Lining the main canal of Nui Mot reservoir	41	2,782	4,829
2	Upgrading My Binh reservoir	209	105	7,908
3	Rehabilitating and upgrading Tra O irrigation system	671	3,312	980
4	Canal of Van Hoi reservoir	512	2,565	41,933
5	Upgrading My Trinh-My Hiep road	954	7,169	20,800
6	Upgrading An Duc-An My road	550	1,756	21,082
7	Upgrading and repairing N1 -Thuan Ninh primary canal	0	15,286	30,027
8	Repairing and upgrading Hoc Cau and Cua	1,758	5,153	15,661

No	Name of subproject	Quantity of affected trees and annual crops as stated in RP		
		Fruit trees (tree)	Timber trees (tree)	Rice and other annual crops (m <sup>2</sup> )
	Khau reservoirs			

### 1.2.4 Area of affected houses and structures

**Table 6: Area of affected houses and structures**

No	Name of subproject	House		Yard (m <sup>2</sup> )	Wall (m <sup>2</sup> )	Fence (m <sup>2</sup> )	Well (m <sup>2</sup> )	Earth grave (grave)	Built grave (grave)
		HH	Area (m <sup>2</sup> )						
1	Lining the main canal of Nui Mot reservoir	0	0	0	0	0	0	0	0
2	Upgrading My Binh reservoir	0	0	0	0	7.5	0	0	0
3	Rehabilitating and upgrading Tra O irrigation system	0	0	0	0	0	0	0	0
4	Canal of Van Hoi reservoir	2	14.2	96.3	41.7	38.5	4	81	2
5	Upgrading My Trinh-My Hiep road	10	130	3	337.6	5,533	60	74	0
6	Upgrading An Duc-An My road	3	101.6	90.1	55.2	55	0	19	2
7	Upgrading and repairing N1 - Thuan Ninh primary canal	0	0	0	0	0	0	25	0
8	Repairing and upgrading Hoc Cau and Cua Khu reservoirs	0	0	0	0	0	0	0	0

## 1.3 Progress of RP implementation of the subprojects until the 5<sup>th</sup> monitoring

### 1.3.1 Payment

For all the 8 subprojects required resettlement and land acquisition, the payment was almost finished and all AHs have handed their sites for the project construction. Progress of payment is summarized below.

**Table 7: Progress of payment of the subproject which had been prepared RP**

No	Name of subproject	No. of AHs as stated in RP	No. of AHs in reality	No. of AHs who received compensation	Timing of payment finish
1	Lining the main canal of Nui Mot reservoir	39	41	41	20 May 2010
2	Upgrading My Binh reservoir	49	50	50	16 December 2010
3	Rehabilitating and upgrading Tra O irrigation system	129	128	128	10 September 2010

4	Canal of Van Hoi reservoir	480	554	554	24 May 2012
5	Upgrading My Trinh-My Hiep road	429	607	607	14 June 2012
6	Upgrading An Duc-An My road	337	411	411	15 November 2011
7	Upgrading and repairing N1 -Thuan Ninh primary canal	441	309	309	Finished payment on 14 July 2012 The number of AHs in reality is less than the number as stated in RP. The reason is the capital source was limited, therefore some secondary canals were removed from this subproject. Besides, some plots had been identified as land of local people during the RP preparation but they were re-determined as public land managed by CPC after verification of compensation records.
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	174	60	60	The number of AHs in reality is also less than the number as stated in RP with above reason. Besides, number of AHs has been changed due to change in scope of land acquisition Among 60 HHs who have received compensation, 45 HHs were issued decisions on payment and 15 HHs have not been issued decision. However, in order to speed up construction progress, the construction contractor used their money to pay in advance for the 15 HHs basing on the calculation sheets. Finished compensation payment in June 2012.

### 1.3.2 Progress of resettlement arrangement

Among the 8 subprojects required resettlement and land acquisition, only the subproject “Upgrading An Duc-An My road” has 2 fully affected HHs. All the 2 HHs were allocated residential land next to their affected land and they were building new houses.

### 1.4 Construction progress of the subprojects

Until April 2012, all the 21 subprojects which were monitored in the 5<sup>th</sup> time were started construction. Some subprojects were finished construction and operated. Information about construction progress of the subprojects is generalized in the table below:

**Table 8: Construction progress of the subprojects**

No	Name of subproject	Starting date	Completion date	Actual/Estimate of completion date
I	Binh Dinh province			

1	Lining the main canal of Nui Mot reservoir		- 10 October 2010 - 25 April 2012;  - 05 October 2010-05 April 2012	- 20 May 2012  - 20 May 2012
2	Upgrading My Binh reservoir		15 December 2011 - 15 December 2012	Completed
3	Rehabilitating and upgrading Tra O irrigation system		- 26 September 2010 - 26 August 2011  - 05 October 2010 - 15 October 2011  - 05 October 2010 - 15 October 2011	Completed
4	Canal of Van Hoi reservoir		- 01 January 2011 - 31 December 2011   - 01 January 2011 - 31 December 2011   - 01 January 2011 - 31 December 2011	- Finished 100%   - Finished 70%   - Finished 100%
5	Upgrading My Trinh-My Hiep road		30 January 2011 - 30 January 2012	Have been inspected and were going to hand over
6	Upgrading An Duc-An My road		14 February 2011 - 14 February 2012	Have been inspected and were going to hand over
7	Upgrading and repairing N1 -Thuan Ninh primary canal		15 July 2011 - 15 July 2012	- Finished 40%  - Finished 50%  - Finished 25%
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs		14 May 2011 - 14 May 2012	Finished 50%
9	Northern Lai Giang river embankment		09 January 2012 - 09 January 2013	finished 25%
II				

1	Vinh Hien-Vinh Hai-Vinh My inter commune road		Date of signing contract 20 August 2010 Due date 10 September 2011	Completed
2	East-West Hoi Tom flood protection dike system		Date of signing contract 20 August 2010 Due date 10 September 2011	Under construction
3	Quang An - Quang Thanh and Huong Phong inter commune road		Date of signing contract 20 August 2010 Due date 10 September 2011	Completed
4	Phong Hai - Dien Huong Inter commune road		Date of signing contract 20 August 2010 Due date 10 September 2011	Completed
5	Phong Binh- Phong Chuong- Dien Loc flood protection dike system		Date of signing contract 03 November 2010 Due date 03 November 2011	Completed
6	Upgrading An-Son-Bon drainage system		Date of signing contract 08 December 2010 Due date 08 December 2011	Under construction
7	Upgrading and Expanding An Gia pumping station (Tay Hung 2)		Date of signing contract 02 November 2010 Due date 08 November 2011	Under construction
8	Su Lo pumping station		Date of signing contract 04 December 2010 Due date 04 December 2011	Completed
9	Reparing and upgrading Phu Da - Vinh Phu inter commune road		Date of signing contract 30 September 2010 Due date 30 September 2011	Completed
10	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district		Date of signing contract 23 March 2011 Due date 01 April 2012	Under construction
11	Water supply systems in Huong Tra district and Phu Vang district		Date of signing contract 15 December 2011 Due date 15 December 2012	Under construction
12	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam		Date of signing contract 09 April 2011 Due date 15 April 2012	Under construction

From Table 7 and 8, please make a comparison to know whether the resettlement and compensation is matched with construction schedule.

### 1.5 Outstanding issues detected in previous monitoring and status of resolution

There were some outstanding issues mentioned in previous monitoring reports. Status of resolution until this monitoring time is represented in the table below:

**Table 9: Outstanding issues detected in previous monitoring and status of resolution**

No	Outstanding issues	Status of resolution	Expected completion date
<b>I</b>	<b><i>Binh Dinh province</i></b>		
1	Some compensation records stored at Phu My DCARB were invalid, such as lack of signatures of Binh Dinh PPMU, lack of seals, etc.	Phu My DCARB was finalizing the compensation records basing on comments of the monitoring time	done
2	Disbursement progress was delayed.	The payment were nearly finished at the time of 5 <sup>th</sup> monitoring	When completed?
3	There are significant disparities in the number of APs in RPs and in reality. Should update RP	CPMU was requesting the consultant to update RPs of the subprojects	When completed?
<b>II</b>	<b><i>Thua Thien Hue province</i></b>		
1	Regarding to the information disclosure, should post information pamphlet at office of CPC so that local people can learn about the subproject information.	Besides posting information pamphlet at offices of CPCs, the subprojects' information was also disseminated via information boards installed at the construction sites.	done
2	Contractors should ensure environment safeguard and not influence into living of local people	The contractors have tidied up the ground after the construction and did not cause any impacts on living of local people	done

## PART II. MONITORING OBJECTIVES AND INDICATORS

### 2.1 Objectives of independent monitoring:

The objectives of independent monitoring and evaluation are to:

- Review if the RP implementation is complied with the policies and procedures as stated in the RP;
- Consider if the pre-project living standards of AHs is improved or at least restored as mentioned in the RP;
- Find out gaps between actual situations and indicators stated in the RP, propose corrective actions if any;
- Assess and propose recommendations for the RP implementation and suitable methods/approaches to ensure that the impacts on living standards, livelihood, production, income, etc. of AHs are minimized

### 2.2 Specific duties of independent monitoring

Detailed description of independent monitoring activities on resettlement issues:

**Table 10:**  
**Independent-monitoring activities**

Indicators	Variables	Mechanism for Assessment	Timing of Assessment
<b>RP Implementation</b>	<ul style="list-style-type: none"> <li>• Resettlement staffing</li> <li>• Compensation Disbursements</li> <li>• Land and assets acquired</li> <li>• Preparation of replacement land</li> <li>• Funds disbursement</li> <li>• Public information and consultation</li> <li>• AP asset replacement</li> </ul>	<ul style="list-style-type: none"> <li>• Review of internal monitoring reports</li> <li>• Review Contractor plans and actions</li> <li>• Interviews with key staffs of implementing agencies at each level</li> <li>• Random sample of interviews with APs</li> </ul>	<ul style="list-style-type: none"> <li>• Every annual monitoring mission</li> </ul>
<b>Restoration of Living Standards and Livelihoods</b>	<ul style="list-style-type: none"> <li>• Compensation at replacement cost</li> <li>• Compensation with no depreciation or fees/taxes</li> <li>• Adequacy and suitability of replacement land</li> <li>• Adequacy of moving costs</li> <li>• Adequate timing for asset acquisition/replacement</li> <li>• Tenure security of APs</li> </ul>	<ul style="list-style-type: none"> <li>• Interviews with APs – stratified sample for those relocating, and those being allocated land, ethnic minorities and women</li> <li>• Review of internal monitoring reports</li> <li>• Review of revised compensation costs and materials costs</li> </ul>	<ul style="list-style-type: none"> <li>• Every annual monitoring mission</li> </ul>
	<ul style="list-style-type: none"> <li>• Changes in AP income levels, and sources of income</li> </ul>	<ul style="list-style-type: none"> <li>• Replicate socio-economic survey of a sample of APs</li> </ul>	<ul style="list-style-type: none"> <li>• At starting time (first</li> </ul>

<b>Indicators</b>	<b>Variables</b>	<b>Mechanism for Assessment</b>	<b>Timing of Assessment</b>
	<ul style="list-style-type: none"> <li>• Changes in AP access to services and utilities</li> <li>• AP participation in community organizations</li> <li>• AP participation in associated social action programs related to the project</li> <li>• Vulnerable groups rehabilitation</li> </ul>	(with some revisions for new social programs) <ul style="list-style-type: none"> <li>• Comparison with original socioeconomic survey and with later results</li> <li>• Village level focus group discussions, including women and ethnic minorities</li> <li>• Interviews with vulnerable APs</li> </ul>	year) and completion time (second year)
<b>AP Satisfaction</b>	<ul style="list-style-type: none"> <li>• AP awareness of resettlement procedures and entitlements</li> <li>• AP awareness and use of grievance system</li> <li>• AP satisfaction with the resettlement process</li> </ul>	<ul style="list-style-type: none"> <li>• Review records of grievance lodgement and redress</li> <li>• Random sample of interviews with APs</li> <li>• Community meetings</li> <li>• Focus Groups discussions with various categories of APs</li> </ul>	<ul style="list-style-type: none"> <li>• Every annual monitoring mission</li> </ul>
<b>Effectiveness of Resettlement Planning</b>	<ul style="list-style-type: none"> <li>• Accuracy of DMS for AP and asset loss recoding and planning</li> <li>• Adequacy of budget for implementation</li> <li>• Adequacy of implementation schedule to undertake required tasks</li> <li>• Occurrence of unforeseen problems</li> </ul>	<ul style="list-style-type: none"> <li>• Review records of grievance lodgement and redress</li> <li>• Random sample of interviews with APs</li> <li>• Interviews with implementing agencies at each level</li> </ul>	<ul style="list-style-type: none"> <li>• Every annual monitoring mission</li> </ul>
<b>Resettlement Impacts</b>	<ul style="list-style-type: none"> <li>• Re-occupation of cleared land</li> <li>• Migration to the project area</li> </ul>	<ul style="list-style-type: none"> <li>• Village chief meetings</li> <li>• Random AP interviews</li> <li>• Observation</li> </ul>	<ul style="list-style-type: none"> <li>• Every annual monitoring mission</li> </ul>

### PART III. MONITORING METHODS

Independent monitoring on RP implementation is to ensure all requirements in the approved RP of the subprojects must be followed and implemented. So the external monitoring agency (EMA) must collect information about actual implementation and compare with requirements in RP. In case of any disparity between the implementation and requirements in RP, EMA have to know, explain and recommend for resolution if any. Via experiences of EMA through many projects and with reference to TOR for the external monitoring, we suggest some methods as follows:

#### 3.1 Document study

##### a) Approach

Before field trip, all team members have to study all following documents:

- i. RPs of subprojects;
- ii. TOR for external monitoring;
- iii. Documents of GoV relating to land acquisition, compensation and resettlement such as Decree No. 197/2004/ND-CP dated 03/12/2004; Decree No. 84/2007/ND-CP of GoV dated 25/5/2007 and Decree No. 69/2009/ND-CP dated 13/8/2009; Circular No.14/2009/TT-BTNMT dated 01/10/2009 of MONRE about regulations on compensation, assistance, resettlement and procedures of land acquisition, allocation and rent.
- iv. Involuntary resettlement policies of ADB. Definition of replacement cost, procedures of replacement cost survey and approval.
- v. Resettlement-related documents related of MONRE, ADB and CPMU, Resettlement policy framework approved by ADB, etc.
- vi. Documents about compensation cost for houses, structures, annual crops, trees, etc. and other assistance of PPC; documents about land acquisition for subprojects such as compensation plans, provincial decisions on compensation plans, etc.

##### b) Expected outputs

**Table 11: Source, documents and expected outputs for subprojects with RPs**

Source	Needed documents/information	Expected outputs
CPMU	Approved RP reports	Entitlement policies; Responsibilities of related agencies; Procedures of complaints and complaint resolution, etc.
	Documents related to RP and updated RP sent to ADB by CPMU and responses of ADB, memoranda of ADB mission, etc.	Directions, instructions of the investor and local authorities about RP implementation
	Documents related to RP implementation of GoV and ADB	
	Documents, letters related to RP implementation sent to PPCs, PPMUs by CPMU and their responses	
	Monthly progress report of the construction and of	General information

Source	Needed documents/information	Expected outputs
PPMUs DCCs	the RP implementation prepared by CPMU and PPMUs	about RP implementation
	Report/information about complaints and complaint resolution	Obstacles due to entitlements
	General land acquisition decision (issued by PPCs) and land acquisition decision for every HH (DPCs)	One of legal bases
	Documents of RP implementation of PPMU and DCCs, such as PIBs, announcement of the compensation price, public consultation minutes, etc.;	If types of information disclosure required in RP are done in reality
	Agreement on replacement cost survey, reports, certificates of replacement cost valuation and decisions on approval of replacement cost	The compensation price in reality is based on replacement cost or compensation cost annually issued by PPCs
	Documents/decisions of PPCs on the RP implementation	If the entitlements in RPs are complained with?
	General compensation plans for provinces and compensation plan of each HH	
	Decisions on approval of general compensation plans and of detailed compensation options	
	List of AHs and level of impacts, particularly pay attention to severely affected HHs and vulnerable groups;	For sample size: 20% severely affected HHs and 10% partially affected HHs
	List of all relocated HHs. List of self-relocated HHs and HH who preferred to relocate to resettlement site	Review resettlement sites if any and HHs with resettlement need; if the construction of resettlement sites is finished before land acquisition; how is living of the HHs. If they have enough money for buying resettlement land, apartment?
	Entitlements of self-relocated HHs	
	Resettlement arrangement: name, location, scope, number of resettlement plots/ apartments, distance from the resettlement sites to the project location. The resettlement site is newly developed or available. If newly constructed, how are planning, design, selection of construction contractor, etc. Estimated price of the resettlement plots/apartments. Planned time of resettlement arrangement and comparison with construction progress of the project.	
	Compensation records (sample size as required in TOR). Main papers are covered in the review are: (i) DMS minute; (ii) Compensation calculation sheet; (iii) Minute of compensation negotiation (if any); (iv) Decision on approval of compensation options for every HH; (v) Minute or voucher of the payment; (vi) Minute of site-handing over	If AHs are compensated sufficiently in term of quantity of assets and at replacement cost? Is the timeliness of the compensation is complied with requirement in RP?
	Complaint petitions and resolution documents of	Number of HHs with

Source	Needed documents/information	Expected outputs
	authorities;	complaints and the main complaints
- Public announcement - Website: <a href="http://www.adb.org">http://www.adb.org</a>	- Decree No. 197/2004/ND-CP of GoV dated 03 December 2004; - Decree No. 84/2007/ND-CP of GoV dated 25 May 2007; - Decree No. 69/2009/ND-CP of GoV dated 13 September 2009; - Involuntary resettlement policy framework of the project	Understand about directions on GoV and ADB on compensation, assistance and resettlement

### 3.2 Participatory rapid appraisal (PRA)

#### a) Approach

The monitoring teams discussed with staff who directly participated in the project/RP implementation<sup>2</sup>. This method is used for collecting information for every activity during the RP implementation from local staff and the staff who directly participated in the RP implementation. The consultant regularly met and discussed with local staff and staff of PPMU and districts' compensation councils during the monitoring.

#### b) Expected outputs

**Table 12: Expected outputs via the PRA**

No	Agency	Discussed issue	Expected outputs
1	Local authorities	Assessment on RP implementation	(i) Have affected public assets been restored or compensated? (ii) Are there any HHs lost their income sources due to land acquisition; (iii) Is the compensation price suitable? Are there any complaints about the compensation? (iv) Is there any resettlement sites? If yes, how is the preparation of resettlement sites? Does the construction progress of the resettlement sites tally with progress of the project?
2	PPMUs and DCCs	RP and duties of PPMUs and DCCs according to RP and in reality;	(i) Types of information disclosure have been done, agencies and number of participants. Opinions of AHs and responses of local authorities; (ii) DMS form, procedure of DMS and participation of AHs during the asset inventory; number of HHs with DMS without presence of the owners and its procedure; (iii) Classification of AHs according to RP, severely affected HHs, partially affected HHs, relocated HHs, vulnerable groups; (iv) TOR for external valuation agency and requirement of replacement cost survey on land, structures, trees, annual crops. Procedures and results of consultation on compensation price. (v) Is approval of detailed compensation options based on negotiation on compensation? (vi) Compensation payment: transferring via bank or in cash; (vii) Time of payment as planned, number of AHs already compensated and not yet compensated, reasons why not yet received compensation. Duration from the payment until site-handing over is complied with approved RP? (viii) Number of AHs with complaints, main reasons, percentage of AHs

<sup>2</sup> No need public consultation due to small scope of the subprojects

			<p>complaining about main issue, such as about compensation price;</p> <p>(ix) Number of relocated HHs, including self-relocation and resettlement arrangement. Link the resettlement process with the preparation of resettlement sites. Investor of resettlement sites? How is the price? Is there any comparison on compensation cost with cost of resettlement plots? If they do not have enough money to pay for the resettlement plot, is there any assistance?</p> <p>(x) Are there any living restoration programs at the localities? If yes, then who is responsible for the design, have the programs been finished?, etc.</p>
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Based on questionnaires and discussion via PRA, the consultant has a general picture of RP implementation. Via this, the EMA knows that if they were advantageous or met any difficulties, if overwhelming majority of AHs agree to the project and RP implementation or not. What are difficulties and obstacles of local agencies? Do they need any measures for resolution? etc.

### 3.3 Interview based on questionnaire

#### a) Approach

Contents of interview vary from every subproject. For no resettlement subprojects, EMA interviews local people to review if the subprojects need land acquisition or not. For already-compensated subprojects, besides information disclosure and asset inventory AHs are also asked about compensation payment and their satisfactory level about the compensation.

A questionnaire is designed and attached to annex of this report. It is to collect information on household and other aspects related to the RP implementation. The consultants will interview households by questionnaires during the monitoring process. According to the TOR, the household interview will cover 10% of AHs and 20% of severely affected HHs and HHs as ethnic minorities.

#### b) Expected outputs

- Name of HH heads, address, national groups, education, knowledge of the HH on RP implementation such as information disclosure, land price, compensation calculation, payment and assistance activities, living restoration, complaints and complaint resolution, etc.
- The actual implementation at the localities;
- Satisfactory level of AHs: quantitative sufficiency, compensation and assistance cost for land, crops, trees and structures, etc;
- Comparison current living standards of local people with the pre-project ones;
- Impacts on environment and on local people during the construction of the project;
- Feedbacks of local people on compensation, resettlement and income restoration of the project, mitigation measures on environmental impacts applied by construction contractors; plans of relocated HHs and of vulnerable groups, their difficulties and suggestions, etc.

### 3.4 Site observation, taking typical photos

The monitoring teams observed status of site clearance, visited resettlement locations and review living conditions of AHs and take typical photos.

### 3.5 Sample size

As requested in TOR for the external monitoring and proposal of the EMA in the inception report, sample size includes: (i) 10% of partially affected HHs, (ii) 20% of severely affected HHs and (iii) 100% of HHs with complaint petitions. For the 8 subprojects required resettlement and land acquisition and were monitored in the 5<sup>th</sup> monitoring time, there are no HHs who lost more than

20% of productive land and no vulnerable HHs. There are 2 relocated HHs (both of them were interviewed in the 5<sup>th</sup> monitoring time). Sample size of AH interview for subprojects required resettlement and land acquisition is summarized in the table below:

**Table 13: Sample size of AH interview for subprojects required resettlement and land acquisition**

No	Subproject	Number of AHs in reality	Number of interviewees		Totalize until the 5 <sup>th</sup> monitoring	Percentage of interviewee (%)
			1 <sup>st</sup> monitoring	5 <sup>th</sup> monitoring		
1	Lining the main canal of Nui Mot reservoir	41	10	0	10	24%
2	Upgrading My Binh reservoir	50	10	0	10	20%
3	Rehabilitating and upgrading Tra O irrigation system	128	15	0	15	12%
4	Canal of Van Hoi reservoir	554	20	45	65	12%
5	Upgrading My Trinh-My Hiep road	607	25	60	85	14%
6	Upgrading An Duc-An My road	411	23	20	43	10%
7	Upgrading and repairing N1 -Thuan Ninh primary canal	309	0	40	40	13%
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	65	0	14	14	22%

For subprojects without resettlement, the monitoring team directly observed the civil works and interviewed some local households living within the project areas.

**Table 14: Sample size of AH interview for subprojects without resettlements**

No	Subproject	Number of interviewees
1	Northern Lai Giang river embankment	16
2	Vinh Hien-Vinh Hai-Vinh My inter commune road	23
3	East-West Hoi Tom flood protection dike system	6
4	Quang An - Quang Thanh and Huong Phong inter commune road	21
5	Phong Hai - Dien Huong Inter commune road	16
6	Phong Binh- Phong Chuong- Dien Loc flood protection dike system	10
7	Upgrading An-Son-Bon drainage system	5
8	Upgrading and Expanding An Gia pumping station (Tay Hung 2)	12
9	Su Lo pumping station	7

No	Subproject	Number of interviewees
10	Repairing and upgrading Phu Da - Vinh Phu inter commune road	14
11	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district	11
12	Water supply systems in Huong Tra district and Phu Vang district	13
13	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam	16

## PART IV. MONITORING RESULTS

### 4.1 For subprojects without resettlement

For subprojects without resettlement, the EMA focuses on following contents: (i) assess the information disclosure and its efficiency; (ii) consider if there is land/assets acquired during the construction of the subprojects and (3) opinions of local people about the subprojects' implementation. The three contents are represented in details as follows:

#### 4.1.1 Information disclosure

For no resettlement subprojects, the information disclosure is still paid attention to by local agencies. PPMU co-operated with project commune/townlet PCs to organize consultation meetings for project information disclosure. Disseminated contents in the public consultation meetings include: (i) introduction of the project; (ii) scope and design of the project, (iii) environmental issues, community supervisor during the design, the construction and the management of operation and maintenance in the future. The information disclosure is summarized in the table below:

**Table 15: Types of information disclosure for subprojects without RP**

No	Subproject	Types of information disclosure	Date	Location (commune)
<b>I</b>	<b>Binh Dinh province</b>			
1	Northern Lai Giang river embankment	Public consultation meeting	16-17 June 2011	At Hoai Xuan commune and Bong Son townlet
<b>I</b>	<b>Thua Thien Hue province</b>			
1	Vinh Hien-Vinh Hai-Vinh My inter commune road	Public consultation meeting	20-22 September 2009	Vinh Hien, Vinh Hai, Vinh My communes
2	East-West Hoi Tom flood protection dike system	Public consultation meeting	14 October 2009	Office of Phong Chuong commune PC
3	Quang An - Quang Thanh and Huong Phong inter commune road	Public consultation meeting	29-30 September 2009	Quang An, Quang Thanh and Huong Phong communes
4	Phong Hai - Dien Huong Inter commune road	Public consultation meeting	25-28 September 2009	Dien Hai, Phong Hau, Dien Hoa, Dien Loc, Dien Mon and Dien Huong communes
5	Phong Binh- Phong Chuong-Dien Loc flood protection dike system	Public consultation meeting	10 November 2009	Office of Phong Binh commune PC
6	Upgrading An-Son-Bon drainage system	Public consultation meeting	10-12 December 2009	Loc An, Loc Son and Loc Bon communes
7	Upgrading and Expanding An Gia pumping station (Tay Hung 2)	Public consultation meeting		Office of Quang Thai commune PC
8	Su Lo pumping station	Public consultation	14 October 2008	Office of Phu Xuan commune PC

No	Subproject	Types of information disclosure	Date	Location (commune)
		meeting		
9	Repairing and upgrading Phu Da - Vinh Phu inter commune road	Public consultation meeting	25-26 December 2009	Phu Da, Vinh Phu and Vinh Ha communes
10	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district	Public consultation meeting	1 November 2010	Quang Cong and Quang Ngan communes
11	Water supply systems in Huong Tra district and Phu Vang district	Public consultation meeting	19-21 January 2011	Huong Tra district (Hai Duong commune) and Phu Vang district (Phu Xuan, Phu Da, Vinh Phu, Vinh Ha, Vinh Thai and Vinh Xuan communes)
12	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam	Public consultation meeting	27 October 2010	Vinh Hung, Vinh Giang, Vinh Hien communes

Besides the public consultation meetings, information boards about subprojects were also installed at civil works of every subproject.

#### 4.1.2 Assessment on land acquisition and cleared assets during the construction

In the previous monitoring reports, the monitoring team has represented scope of design, scope of the construction of subprojects without RP. Results of the monitoring showed that the contractors have complied with the approved design and the construction did not impact land and assets of local people. Until the 5<sup>th</sup> monitoring time, some subprojects have been completed the construction. Therefore, the EMA has evaluated level of impact on land and assets of local people during the construction of subprojects with following results:

**Table 16: Level of impact on land and assets of local people during the construction**

No	Subproject	Scope of design/construction of the subproject	Level of impact on land and assets of local people
1	Vinh Hien-Vinh Hai-Vinh My inter commune road	Width of road surface: 3.0m Length of road: 7.16km Grade of road: grade IV, group C	The construction was finished, width of the road is 3m The subproject construction did not impact any land or other assets of local people along the road
2	East-West Hoi Tom flood protection dike system	Width of dike: 3.0m Length of dike: 3.3km Grade of dike: grade IV, group C	The previous dike was 3-3.5m wide and has been upgraded to prevent periodic little flood The subproject construction did not impact rice cultivation of local people The contractor had gathered construction materials on an uncultivated land plot and then transported them to the civil works by

No	Subproject	Scope of design/construction of the subproject	Level of impact on land and assets of local people
			small barge. Location of gathering construction materials is at the intersection of bridge and rural roads but the contractor has not installed any caution boards
3	Quang An - Quang Thanh and Huong Phong inter commune road	Width of road surface: 3.5m Length of road: 6.879km Grade of road: grade III-1-c	The construction was finished, width of the road is 3.5m The subproject construction did not impact any land or other assets of local people along the road
4	Phong Hai - Dien Huong Inter commune road	Width of road surface: 3.0m Length of road: 16.6km Grade of road: grade IV, group C	The construction was finished, width of the road is 3m The subproject construction did not impact any land or other assets of local people along the road
5	Phong Binh- Phong Chuong- Dien Loc flood protection dike system	Width of dike: 3.0m Length of dike: 4.837km Grade of dike: grade IV, group C	The construction was finished and has been operated. Entire scope of the construction is located within the previous dike, therefore the subproject construction did not impact any land or other assets of local people
6	Upgrading An-Son-Bon drainage system	Width of dike: 3.0m Length of dike: 14km Grade of dike: grade IV, group C	The dike system has been finished upgrading and is located within the previous dike. Therefore the subproject construction did not impact any land or other assets of local people
7	Upgrading and Expanding An Gia pumping station (Tay Hung 2)	Length of main canal: 2.729km Type of canal/Width of canal: 105x120cm Grade of structure: grade IV, group C	The construction of new pumping station and the upgrading from earth canal into concreted canal are located within the previous structures and therefore the subproject construction did not impact any land or other assets of local people The subproject investment helped the production within the project areas improve from 1-crop into 2-crop. Local people were very happy and highly appreciated the subproject
8	Su Lo pumping station	Length of main canal: 5.483km Type of canal/Width of canal: 120x135cm Grade of structure: grade IV, group C	The canal system has been finished upgrading and is located within the previous canal. Therefore the subproject construction did not impact any land or other assets of local people
9	Repairing and upgrading Phu Da - Vinh Phu inter	Width of road surface: 3.5m	The construction was finished, width of the road is 3.5m

No	Subproject	Scope of design/construction of the subproject	Level of impact on land and assets of local people
	commune road	Length of road: 8.426km Grade of road: grade III, group C	The subproject construction was located within the previous road and therefore did not impact any land or other assets of local people along the road
10	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district	Length of dike: 8.361km Type of canal/Width of canal: 3.5m Grade of structure: grade IV, group C	The upgrading of dike system is located within the previous dike. Therefore the subproject upgrading did not impact any land or other assets of local people
11	Water supply systems in Huong Tra district and Phu Vang district		The water supply pipes with Ø100 are fixed in the ground along the National highway No.49. Before construction of the water supply system, the contractor has informed to CPC and DPC and sent document to the management agency of the road for informing The subproject construction did not impact any land or other assets of local people However, the contractor should level the ground after the construction
12	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam	Length of dike: 6.344km Type of canal/Width of canal: 3.0-4.0m Grade of structure: grade IV, group C	The structures were finished and has been operated There were no impacts on land or other assets of local people The previous dike is made from earth and muddy when having rain. The traveling was very difficult. Now the dike were covered by concrete and that helped the traveling be very convenient.
13	Northern Lai Giang river embankment	Length of dike: 2.729km Length of embankment: 1.906km Grade of structure: grade IV, group C	The structure was under construction Within the construction area, there are no impacts to land and other assets of local people

#### 4.1.3 Opinions of local people about the project implementation

For subprojects without RP, besides direct observation of the construction site, the monitoring have interviewed some local people within the project site. Results of the interview are as follows:

**Table 17: Results of Interview with APs in subprojects without resettlement**

No	Subproject	Number of interviewed households	Number of households who agreed with project implementation	Positive impacts of the project on					
				Land/ structures	Economy development	Health services assess	Social security	Environment	Education
1	Northern Lai Giang river embankment	16	16	0	16	0	0	16	0
2	Vinh Hien-Vinh Hai-Vinh My inter commune road	23	23	0	16	22	23	23	22
3	East-West Hoi Tom flood protection dike system	6	6	0	6	0	6	6	0
4	Quang An - Quang Thanh and Huong Phong inter commune road	21	21	0	21	21	20	21	20
5	Phong Hai - Dien Huong Inter commune road	16	16	0	16	15	15	16	13
6	Phong Binh- Phong Chuong- Dien Loc flood protection dike system	10	10	0	10	0	10	0	0
7	Upgrading An-Son-Bon drainage system	5	5	0	5	0	5	0	0
8	Upgrading and Expanding An Gia pumping station (Tay Hung 2)	12	12	0	12	0	0	0	0
9	Su Lo pumping station	7	7	0	7	0	7	0	0

No	Subproject	Number of interviewed households	Number of households who agreed with project implementation	Positive impacts of the project on					
				Land/ structures	Economy development	Health services assess	Social security	Environment	Education
10	Repairing and upgrading Phu Da - Vinh Phu inter commune road	14	14	0	14	14	14	14	14
11	Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district	11	11	0	11	10	10	11	11
12	Water supply systems in Huong Tra district and Phu Vang district	13	13	0	13	12	0	13	0
13	Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam	16	16	0	16	10	16	13	10

Results of the interview show that:

- All the interviewees (170/170 HHs) said that they were disseminated information about the project. All of them agreed to and supported the subprojects' implementation policy.
- None of them were affected land or other assets due to the construction of subprojects;
- 100% of the interviewees were happy with the subprojects' implementation because they would get benefit from the subprojects. At the time of the 5<sup>th</sup> monitoring, some subprojects had been finished and were operated. The operation of the subprojects helped local people travel more conveniently, promote the commercial intercourse and facilitate the learning of their children.
- Among 170 interviewees,
- + 163 HHs said that the subprojects contributed to improved their economic. 7 HHs did not respond this content;

- + 104 HHs responded that their health was improved due to the subprojects' implementation;
- + 126 HHs thought that social security would be better after construction of the subprojects;
- + 133 HHs responded that the environment would be better after construction of the subprojects;
- + 90 HHs thought that the subprojects' construction would positively influence into education, especially for rural road subprojects.

## 4.2 For subprojects required resettlement and land acquisition

The procedure and the agencies involving to the compensation of the subprojects required resettlement and land acquisition were represented in the previous monitoring reports. Therefore, the content is not repeated in this report.

In the 5<sup>th</sup> monitoring, the monitoring team focused on following issues: (i) Information disclosure, (ii) updating number of APs and status of compensation payment, (iii) reviewing compensation records, (iv) results of AP interview about compensation, (v) assessment on restoration of living standards of severely affected HHs (focus on relocated HHs), (vi) Complaints and status of resolution.

### 4.2.1 Information disclosure

Information disclosure about the RP is particularly paid attention to. It is to make sure that all AHs can be participated in and consulted sufficiently. If information is disseminated well, AHs can understand thoroughly about the RAP. Thus, it will reduce complaints as well as ensure progress of the project.

Types of information disclosure and status of implementation are as follows:

**Table 18: Types of information disclosures**

No	Subproject	Types of information disclosure	Duration	Location
1	Lining the main canal of Nui Mot reservoir	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	16 October 2009	- Nhon Hoa commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	05 July 2010	- Nhon Tan commune - Binh Nghi commune
2	Upgrading My Binh reservoir	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	04 April 2010	Hoai Phu commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	10 April 2010	
3	Rehabilitating and upgrading Tra O irrigation system	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	29-30 December 2009	- My Duc commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	25 February 2010	- My Loi commune - My Loc commune - Binh Duong townlet
4	Canal of Van Hoi reservoir	- Public consultation meeting for information disclosure about the subproject, compensation policies	03 July 2010	- An Tin commune

No	Subproject	Types of information disclosure	Duration	Location
		for APs	05 December 2010	- An Thanh commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC		- An My commune
5	Upgrading My Trinh-My Hiep road	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	03 July 2010	- My Hiep commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	22 December 2010 - 22 January 2011	- My Trinh commune - My Hoa commune
6	Upgrading An Duc-An My road	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	06 August 2010	- An Duc commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	28 March 2011 - 28 April 2011	- An Thanh commune - An Tin commune - An My commune
7	Upgrading and repairing N1 -Thuan Ninh primary canal	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	19 April 2011	- Binh Thuan commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	15 May 2011	- Cat Hiep commune
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	- Public consultation meeting for information disclosure about the subproject, compensation policies for APs	12 March 2011	- Cat Hanh commune
		- Posted list of AHs and their compensation amount at offices of villages and of CPC	13 May 2011	- Cat Tuong commune

#### 4.2.2 Updating number of AHs and status of compensation payment

**Table 19: Updating number of AHs of subprojects**

No	Subproject	Number of AHs as predicted in RP					Number of AHs in reality				
		Total	Partially affected	Severely affected	Vulnerable HHs	Relocated HHs	Total	Partially affected	Severely affected	Vulnerable HHs	Relocated HHs
1	Lining the main canal of Nui Mot reservoir	39	39	0	0	0	41	41	0	0	0
2	Upgrading My Binh reservoir	49	49	0	0	0	50	50	0	0	0
3	Rehabilitating	129	129	0	0	0	128	128	0	0	0

No	Subproject	Number of AHs as predicted in RP					Number of AHs in reality				
		Total	Partially affected	Severely affected	Vulnerable HHs	Relocated HHs	Total	Partially affected	Severely affected	Vulnerable HHs	Relocated HHs
	and upgrading Tra O irrigation system										
4	Canal of Van Hoi reservoir	480	480	0	0	0	554	554	0	0	0
5	Upgrading My Trinh-My Hiep road	429	429	0	0	0	607	607	0	0	0
6	Upgrading An Duc-An My road	337	337	0	0	0	411	409	0	0	2
7	Upgrading and repairing N1 -Thuan Ninh primary canal	441	441	0	0	0	308	308	0	0	0
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	174	174	0	0	0	60	60	0	0	0

In general, there were significantly disparities between the number of AHs in reality and the number of AHs as mentioned in RPs:

- Number of AHs in reality are more than those in RP, including following subprojects: (i) Canal of Van Hoi reservoir; (ii) Upgrading My Trinh-My Hiep road; (iii) Upgrading An Duc-An My road. According to Binh Dinh PPMU, this was because adjustment of design scope (widening);

- Number of AHs in reality are fewer than those in RP, including following subprojects: (i) Upgrading and repairing N1 -Thuan Ninh primary canal; (ii) Repairing and upgrading Hoc Cau and Cua Khau reservoirs. According to Binh Dinh PPMU, this was because reduce of land acquisition scope.

Therefore, RPs of these subprojects must be updated.

**Table 20: Status of compensation payment and site-handing over**

No	Subproject	Decision on approval of detailed compensation plan	Date of issue	Number of Ahs	Approved cost (VND)	Paid HHs	Paid amount	Number of AHs handed over their site
1	Lining the main canal of Nui Mot reservoir	2091/QD-UBND	16 September 2010	41	251,626,654	41	251,626,654	41
2	Upgrading My Binh reservoir	2646/QD-UBND	03 August 2010	50	143,373,000	50	143,373,000	50
3	Rehabilitating and upgrading Tra O irrigation system	1725/QD-UBND	02/06/2010	128	231,218,019	128	231,218,019	128

No	Subproject	Decision on approval of detailed compensation plan	Date of issue	Number of Ahs	Approved cost (VND)	Paid HHs	Paid amount	Number of AHs handed over their site
4	Canal of Van Hoi reservoir	3173/QD-CTUBND	03 December 21010	498	4,781,275,388	498	4,781,275,388	498
		2433/QD-CTUBND	16 November 2010	56	241,819,228	56	241,819,228	56
5	Upgrading My Trinh-My Hiep road	6182/QD-UBND 11896/QD-CTUBND	22 December 2010 12 October 2011	523 84	3,469,201,444 516,000,000	523 84	3,469,201,444 516,000,000	523 84
6	Upgrading An Duc-An My road	302/QD-UBND 2388/QD-CTUBND	28 March 2010 11 November 2011	326 85	3,961,252,433 572,000,000	326 85	3,961,252,433 572,000,000	326 85
7	Upgrading and repairing N1 - Thuan Ninh primary canal	1151/QD-CTUBND 1257/QD-CTUBND	ngày 30/5/2011 ngày 25/7/2011	259 50	2,625,771,000 1,034,137,700	259 50	2,625,771,000 1,034,137,700	259 50
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	616/QD-UBND	11 May 2011	60	748,822,622	45	159,926,825	60

In subproject “Repairing and upgrading Hoc Cau and Cua Khau reservoirs”, 54 HHs have been compensated. However, because of changes in design, 9 HHs in the old list will not be affected anymore, but another 15 HHs will be affected. The changes in design are to minimize the value of lost assets (total compensation amount of the 9 impacted HHs is 588,895,797 VND, while the compensation amount of the 15 new AHs is 67,000,000 VND).

**Table 21: Comparison of time of payment with time of construction**

No	Subproject	Time of payment	Time of construction	Comments
1	Lining the main canal of Nui Mot reservoir	04/2010 - 05/2010	10/2010	Timeliness of payment was complied with
2	Upgrading My Binh reservoir	10/2010	12/2011	Timeliness of payment was complied with
3	Rehabilitating and upgrading Tra O irrigation system	08/2010 - 09/2010	10/2010	Timeliness of payment was complied with
4	Canal of Van Hoi reservoir	01/2011 - 05/2012	01/2011	Timeliness of payment was complied with
5	Upgrading My Trinh-My Hiep road	12/2010 - 06/2012	01/2011	Timeliness of payment was complied with
6	Upgrading An Duc-	04/2011 -	02/2011	<b>Timeliness of payment</b>

No	Subproject	Time of payment	Time of construction	Comments
	An My road	11/2011		<b>was not complied with</b>
7	Upgrading and repairing N1 -Thuan Ninh primary canal	05/2011 - 07/2012	07/2011	Timeliness of payment was complied with
8	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	05/2011 - 06/2012	05/2012	Timeliness of payment was complied with

**Comment:** Among 8 subprojects required resettlement and land acquisition, only subproject “Upgrading An Duc-An My road” did not comply with requirement about timeliness of payment. However, for speeding up the construction progress, the contractor had used their money for paying APs in advance basing on drafted compensation calculation. It is to ensure that APs had received their compensation before handing over their sites for the subproject.

#### 4.2.3 Review of compensation records

##### *a) Compensation unit cost*

Among 8 subprojects with RP preparation, the 1<sup>st</sup> monitoring report has mentioned and compared compensation cost in reality with those stated in RP of 6 subprojects. Therefore, in the 5<sup>th</sup> monitoring report, the monitoring team only focused on comparison of compensation cost of 2 remaining subprojects. They are subproject “Upgrading and repairing N1 -Thuan Ninh primary canal” and subproject “Repairing and upgrading Hoc Cau and Cua Khau reservoirs” in Binh Dinh province.

#### **+ Documents for compensation and assistance calculation**

The compensation calculation was based on following documents:

- Decree No.197/2004/ND-CP dated 3 December 2004 of GoV on compensation, assistance and resettlement in the event of land acquisition by the State;
- Decree No.69/2009/ND-CP dated 13 August 2009 of GoV regarding supplementary regulations on granting of LURC, land acquisition, procedures of compensation, assistance and resettlement in the event of land acquisition by the State and settlement of complaints about land;
- Decree No.84/2007/ND-CP dated 25 May 2007 of GoV regarding supplementary regulations on compensation, assistance and resettlement in the event of land acquisition by the State;
- Land law No.13/2003/QH11 on competence and responsibilities of the State and of the land users;
- Decision No.02/2010/QD-UBND dated 22 February 2010 of Binh Dinh PPC on compensation, assistance and resettlement in the event of land acquisition by the State in the province;
- Decision No.49/2009/QD-UBND dated 17 December 2009 of Binh Dinh PPC on land price list in the province in 2010;

- Decision No.29/2010/QĐ-UBND dated 20 December 2010 of Binh Dinh PPC on land price list in the province in 2011;
- Decision No.37/2007/QĐ-UBND dated 31 October 2007 of Binh Dinh province on compensation unit cost of houses, structures, graves, crops in the event of land acquisition by the State in the province;
- Decision No.20/2008/QĐ-UBND dated 23 May 2008 of Binh Dinh PPC on adjustment rate of unit cost as stipulated in decision No.37/2007/QĐ-UBND dated 31 October 2007.

Via the analyses above, it reveals that documents for compensation calculation are in effectiveness. Accordingly, compensation cost were updated at the compensation time.

#### **+ Comparison of compensation cost in reality with compensation cost proposed in RPs**

Results of the comparison are represented in the table below:

**Table 22: Comparison of compensation cost in reality with ones in RPs**

No	Type of assets	Unit	Compensation cost proposed in RP and in reality	
			In RP	In reality
I	Upgrading and repairing N1 -Thuan Ninh primary canal			
1	Rice land grade 5	VND/m <sup>2</sup>	21,000	21,000
2	Rice land grade 6	VND/m <sup>2</sup>	17,000	17,000
3	Earth grave	Grave	600,000	600,000
4	Eucalyptus (4-6 cm diameter)	Tree	11,000	11,000
5	Eucalyptus (6-8 cm diameter)	Tree	16,500	16,500
6	Small bamboo	Tree	10,000	10,000
7	Mature bamboo	Tree	4,600	4,600
8	Rice on land grave 5	VND/m <sup>2</sup>	960	960
9	Assistance for career change and employment support for rice land grade 5	VND/m <sup>2</sup>	42,000	42,000
10	Assistance for career change and employment support for rice land grade 6	VND/m <sup>2</sup>	34,000	34,000
II	Repairing and upgrading Hoc Cau and Cua Khau reservoirs			
1	Annual crop land grade 6	VND/m <sup>2</sup>	20,000	20,000
2	Earth grave	Grave	600,000	600,000

No	Type of assets	Unit	Compensation cost proposed in RP and in reality	
			In RP	In reality
3	Mature coconut	Tree	450,000	450,000
4	Mature peach	Tree	220,000	220,000
5	Rice on land grave 4	VND/m <sup>2</sup>	1,320	1,320
6	Assistance for career change and employment support for annual crop land grade 6	VND/m <sup>2</sup>	40,000	40,000

The table shows that compensation cost in reality was complied with the cost which was surveyed and proposed in RP.

#### **b) Review of compensation records**

According TOR for external monitoring, sample size of record check is 10% of compensation records. However, as requirement of CPMU, the monitoring team has reviewed entire compensation records of 7 subprojects which were prepared RPs (the monitoring team did not check compensation records of subproject “Upgrading An Duc-An My road” because the records of this subproject have not been finished).

Reviewed papers include: (i) Enumeration voucher; (ii) Asset inventory minute, (iii) Minute of identification of compensation amount (calculation minute), (iv) Decision on approval of detailed compensation plan, (v) Summary table of list of AHs who received compensation, (vi) Authorized letter for receiving compensation. Some findings via the records check are as follows:

**Table 23: Checking compensation records**

No	Subproject	Number of checked records	Good practices	Outstanding issues
1	Rehabilitating and upgrading Tra O irrigation system	128	<ul style="list-style-type: none"> <li>- All AHs were delivered enumeration vouchers;</li> <li>- Asset inventory minutes are signed sufficiently by DCARB, inventory team and AH and sealed by DCARB;</li> <li>- Calculation sheet: quantities of affected assets in the calculation sheets tally with the quantities in asset inventory minute and the calculation sheets were signed by (i) Financial and Planning Department, (ii) Department of Natural Resources and Environment, (iii) Department of Industry and Commerce, (iv)</li> </ul>	<ul style="list-style-type: none"> <li>- Asset inventory minutes were not filled date of asset inventory;</li> <li>- For some compensation records, name of AH did not match among different minutes (mainly differences in the middle name);</li> <li>- Some asset inventory minutes were not filled name of AH</li> </ul>

No	Subproject	Number of checked records	Good practices	Outstanding issues
			<p>CPC; (v) AH; (vi) the person who is in charge of preparation of the calculation sheet.</p> <ul style="list-style-type: none"> <li>- Summary table of list of AHs who received compensation was signed by AHs for confirmation;</li> <li>- Authorized letter was sufficient</li> </ul>	
2	Lining the main canal of Nui Mot reservoir	41	<ul style="list-style-type: none"> <li>- Asset inventory minutes are signed sufficiently by DCARB, inventory team and AH and sealed by DCARB;</li> <li>- Calculation sheet: quantities of affected assets in the calculation sheets tally with the quantities in asset inventory minute and the calculation sheets were signed by (i) Financial and Planning Department, (ii) Department of Natural Resources and Environment, (iii) Department of Industry and Commerce, (iv) CPC; (v) AH; (vi) the person who is in charge of preparation of the calculation sheet</li> <li>- Summary table of list of AHs who received compensation was signed by AHs for confirmation;</li> <li>- Authorized letter was sufficient</li> </ul>	<ul style="list-style-type: none"> <li>- The land management staff has signed the asset inventory minutes but her/his name was not mentioned in the composition of inventory team at the first page of the inventory minute.</li> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head.</li> <li>- For some compensation records, name of AH did not match among different minutes (differences in the middle name);</li> <li>- Doan Thi Hai HH at Nhon Tan commune: in the calculation sheets, their compensation amount is 670,500 VND but in compensation decision and in the summary table of payment, their compensation amount is 685,500 VND.</li> </ul>
3	Upgrading My Binh reservoir	50	<ul style="list-style-type: none"> <li>- Asset inventory minutes are signed sufficiently by DCARB, inventory team and AH and sealed by DCARB;</li> <li>- Calculation sheet: quantities of affected assets in the calculation sheets tally with the quantities in asset inventory minute and the calculation sheets were signed sufficiently by involving stakeholders.</li> <li>- Summary table of list of AHs who received compensation was</li> </ul>	<ul style="list-style-type: none"> <li>- One record was not filled date of inventory in the asset inventory minute;</li> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;</li> <li>- Some HHs are entitled to assistance for change of LURC but this assistance has not been mentioned in compensation and assistance</li> </ul>

No	Subproject	Number of checked records	Good practices	Outstanding issues
			signed by AHs for confirmation; - Authorized letter was sufficient	calculation sheet; - Dao Duy Thanh HH at Hoai Phu commune: in the calculation sheets, their compensation amount is 113,792 VND but in compensation decision and in the summary table of payment, their compensation amount is 113,952 VND
4	Canal of Van Hoi reservoir	498	<ul style="list-style-type: none"> <li>- Sufficient papers.</li> <li>- Appropriate time among different papers</li> <li>- Sufficient signatures and seals of stakeholders.</li> <li>- Compensation records were stored separately for every HH and bound into folders for convenient management.</li> </ul>	<ul style="list-style-type: none"> <li>- For some compensation records, name of AH did not match among different minutes (differences in the middle name);</li> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;</li> <li>- In records of 18 HHs, quantity of affected assets in inventory minute did not tally with the quantity in minute of confirmation of compensation amount;</li> <li>- In records of 4 HHs, compensation amount in the minute of confirmation of compensation amount did not tally with the amount in Decision on approval of detailed compensation plan</li> </ul>
5	Upgrading and repairing N1 -Thuan Ninh primary canal	259 <sup>3</sup>	<ul style="list-style-type: none"> <li>- Sufficient papers.</li> <li>- Appropriate time among different papers</li> <li>- Sufficient signatures and seals of stakeholders.</li> <li>- Compensation records were stored separately for every HH and bound into folders for convenient management.</li> </ul>	<ul style="list-style-type: none"> <li>- For some compensation records, name of AH did not match among different minutes (differences in the middle name);</li> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;</li> </ul>

<sup>3</sup> The records of 49 HHs in Phu Cat district have not been reviewed because the monitoring team have not yet been provided their records

No	Subproject	Number of checked records	Good practices	Outstanding issues
				- In records of 2 HHs, quantity of affected assets in inventory minute did not tally with the quantity in the calculation sheets.
6	Upgrading My Trinh-My Hiep road	523	<ul style="list-style-type: none"> <li>- Sufficient papers.</li> <li>- Appropriate time among different papers</li> <li>- Sufficient signatures and seals of stakeholders.</li> <li>- Compensation records were stored separately for every HH and bound into folders for convenient management.</li> </ul>	<ul style="list-style-type: none"> <li>- For some compensation records, name of AH did not match among different minutes (differences in the middle name);</li> <li>- In records of 13 HHs, minutes of confirmation of compensation amount have been signed by HH heads.</li> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;</li> <li>- In records of 62 HHs, quantity of affected assets in inventory minute did not tally with the quantity in minute of confirmation of compensation amount.</li> </ul>
7	Repairing and upgrading Hoc Cau and Cua Khau reservoirs	50	<ul style="list-style-type: none"> <li>- Sufficient papers.</li> <li>- Appropriate time among different papers</li> <li>- Sufficient signatures and seals of stakeholders.</li> <li>- Compensation records were stored separately for every HH and bound into folders for convenient management.</li> </ul>	- In records of 4 HHs, quantity of affected assets in inventory minute did not tally with the quantity in minute of confirmation of compensation amount.

Via the records check, the monitoring team has detected some outstanding issues as follows:

- For some compensation records, name of AH did not match among different minutes, mainly differences in the middle name;
- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;
- For some compensation records, quantity of affected assets in inventory minute did not tally with the quantity in minute of compensation calculation. However, the errors are not significant, mainly differences in quantity of trees and crops.

During the record check, the monitoring team took note every outstanding issue and provided information to CPMU, Binh Dinh PPMU, DCARBs and District land source development centre for resolution.

#### 4.2.4 Interviewing AHs about the compensation

Besides the record check, the monitoring team also interviewed some AHs about the compensation. Results of the AH interview are summarized below.

**Table 24:**

#### **Assessment of APs on resettlement implementation**

Contents	Number of interviewees			Percentage (%)		
	Yes	No	Total	Yes	No	Total
Attended public consultation meetings	185	4	189	98%	2%	100%
Understood compensation policy through the project information booklet (PIB)	0	189	189	0%	100%	100%
Knew compensation price for affected assets	189	0	189	100%	0%	100%
Were delivered compensation calculation sheets	0	189	189	0%	100%	100%
Understood complaint procedures	189	0	189	100%	0%	100%
Participated in the asset inventory	189	0	189	100%	0%	100%
Signed inventory minutes	189	0	189	100%	0%	100%
Insufficient inventory and measurement	0	189	189	0%	100%	100%
Satisfied with compensation price	189	0	189	100%	0%	100%

Results of the AH interview showed that AHs were disseminated information through public consultation meetings only. No HHs have received pamphlet about compensation policy of the project. For these subprojects, AHs were only announced the compensation prices through posting compensation plans at offices of CPC. They were not delivered a copy of calculation sheet for storage. In general, the information disclosure of these subprojects was limited.

100% of interviewees said that they have participated in the DMS during the asset inventory and measurement and signed DMS minutes. All their affected assets were inventoried and calculated in the compensation calculation sheets. Although AHs were not delivered copy of calculation sheets, they also knew their compensation amount through the detailed compensation plans which were posted at office of CPC. 100% of interviewees said that the compensation prices were acceptable, especially the assistance for career change and employment support for HHs who lost agricultural land.

#### 4.2.5 Assessment on restoration of living standards of severely affected HHs

Among 8 subprojects with RP preparation which were monitored in the 5<sup>th</sup> time, 7 subprojects does not have any HHs who lose more than 10% of productive land, HHs who lose business as well as vulnerable HHs. Therefore, the impacts of subprojects on living standards of AHs were insignificant. As for subproject “Upgrading An Duc-An My road”, there are 2 relocated HHs. These HHs are

severely affected by the subproject implementation. Therefore, we will focus on status of living restoration of the 2 HHs.

No	Name of HH head	Item	Previous status	Current status
1	Nguyen Hong Thanh HH at Thanh Luong village, An tin commune	Land area (m <sup>2</sup> )	264	264
		Land type	Garden land	Residential land
		Status of land ownership	Not yet granted LURC	The HH was going to be granted LURC
		House area (m <sup>2</sup> )	69.75	97.5
		House grade	Grade 4	Grade 3
		Career	Bike repair	Business + pig raising
		Average monthly income	3,500,000	4,500,000
2	Nguyen Van Tay HH at Thanh Luong village, An tin commune	Land area (m <sup>2</sup> )	108	130
		Land type	Residential land	Residential land
		Status of land ownership	With LURC	The HH was going to be granted LURC
		House area (m <sup>2</sup> )	33.6	100
		House grade	Grade 4	Grade 3
		Career	Motorbike repair	Motorbike repair
		Average monthly income	2,500,000	3,000,000

The table shows that the current status of living standards of the 2 HHs are better than before. The HHs were allocated land with equal or bigger area and were assisted for granting LURC. They have used their compensation money to built new houses which are more solid and with higher grade. Their employment and income were also restored.

#### 4.2.6 Complaints and status of resolution

According to staff of the investor, DCARB, district land source development centres and AHs, until the 5<sup>th</sup> monitoring, there were not any HHs complained about the subproject's implementation, including the compensation and the construction. It partly reveals that AHs as well as local people highly agreed to the subprojects' implementation.

## **PART V. CONCLUSION, RECOMMENDATION**

### **5.1 Conclusion**

#### **5.1.1 For subprojects without resettlement**

1. Via monitoring on subprojects without resettlement, it reveals that PPMU and local authorities have complied with procedures. The contractors and the investors closely co-operated with CPCs, villages, local people during the construction.
2. The information disclosure was sufficiently done by PPMU and local authorities to ensure announcement and transparency of information to local people within the project areas.
3. The construction was done within existing structures. No subprojects need recovery of land nor assets outside the existing structures for the construction.

#### **5.1.2 Subprojects required resettlement and land acquisition**

Basically, the compensation for subprojects required resettlement and land acquisition was complied with approved RPs.

1. The information disclosure had been done rather sufficiently as requested in the approved RP. All APs knew compensation policies of the project through public meetings as well as were announced compensation amount at offices of CPC and of villages. However, a limitation in the information disclosure of this project is not to deliver project information booklet of these subprojects for APs as well as not to hand calculation sheets for APs.
2. All affected assets were inventoried, calculated and compensated sufficiently. No HHs complaints about insufficient compensation.
3. All AHs agreed to compensation unit cost. Via AH interview about their satisfactory level with compensation price, all the interviewees agreed to compensation unit cost of the subproject.
4. Timeliness of the compensation was complied with. All AHs received compensation amount before handing over the site for construction.
5. Until the monitoring time, no HHs complained about RP implementation.

### **5.2 Outstanding issues and recommendations**

Some outstanding issues are summarized below:

**Table 25: Outstanding issues for subprojects required resettlement and land acquisition**

<b>No</b>	<b>Outstanding issues</b>	<b>Recommendation</b>
1	- For some compensation records, name of AH did not match among different minutes, mainly differences in the middle name;	- Supplement confirmation paper of HH name which is signed and sealed by CPC; - Supplement confirmation paper of the

No	Outstanding issues	Recommendation
	<ul style="list-style-type: none"> <li>- Some inventory minutes were signed by authorized person but have not yet been filled the relationship of the authorized person with HH head;</li> </ul>	relationship which is signed and sealed by CPC
2	<ul style="list-style-type: none"> <li>- For some compensation records, quantity of affected assets in inventory minute did not tally with the quantity in minute of compensation calculation.</li> <li>- For some compensation records, compensation amount in the minute of compensation calculation did not tally with the amount in Decision on approval of detailed compensation plan</li> </ul>	DCARBs and district land source development centre should review and adjust
3	Number of AHs in reality is much different from the number stated in RPs of some subprojects	Should update RPs of these subprojects

The consultant will follow up these issues in the next monitoring.

## **PART VI. ANNEXES**

### **6.1 Some typical pictures taken at the subprojects' sites**

**Subproject “Quang An - Quang Thanh and Huong Phong inter commune road” has been finished construction**



**Subproject “Upgrading and Expanding An Gia pumping station” was being constructed some supplementary components**





### **Subproject: East-West Hoi Tom flood protection dike system**



Status of the old dike



A section of the dike which has been constructed

**Subproject “Phong Binh- Phong Chuong- Dien Loc flood protection dike system” has been finished construction**



### Subproject: Phong Hai - Dien Huong Inter commune road



The road has been finished and operated



The monitoring team was interviewing Nguyen thi My HH at Dien Hoa commune



Information board about the subproject

### Subproject: Upgrading of saline protection dike combine with, Quang Cong-Quang Ngan road, Quang Dien district



A section of the dike was upgraded



The monitoring team was interviewing Le Van van HH at Quang Ngan commune

**Subproject: Water supply systems in Huong Tra district and Phu Vang district**



A section was being put water pipes



A section was finished putting water pipes

**Subproject: Su Lo pumping station**



**Subproject: Repairing and upgrading Phu Da - Vinh Phu inter commune road**



The monitoring team was interviewing Vo Hien HH at Vinh Trinh village, Phu Da commune

**Subproject “Upgrading Vinh Hung-Vinh Giang-Vinh Hien irrigation dam” was finished construction and operated**



**Subproject: Vinh Hien-Vinh Hai-Vinh My inter commune road**



The monitoring team was interviewing Doan Van Dung HH at Vinh My commune

**Subproject: Upgrading An-Son-Bon drainage system**



### Subproject: Upgrading My Trinh-My Hiep road



A road section was under construction



The intersection at My Hoa commune was upgraded basing on current status. Although the road was upgraded, safe corridor of the road has not been cleared.



The ending section of the Upgrading My Trinh-My Hiep road subproject



The monitoring team was interviewing Huynh Phong HH at My Hiep commune



The monitoring team was interviewing Mrs. Nguyen Thi Sen, a daughter-in-law of Mr. Do Chap (died) at Phuoc Tho village, My Hoa commune



The monitoring team was interviewing Do Huu Khanh HH at Phuoc Tho village, My Hoa commune



The monitoring team was interviewing the wife of Mr. Nguyen Hoe at Phuoc Tho village, My Hoa commune

### Subproject: Upgrading An Duc-An My road



Information board about the subproject installed at the civil work



A road section was under construction



A road section was finished construction



The monitoring team was interviewing Tran van Sao HH at An Duc commune



Nguyen Hong Thanh HH, a relocated HH, was building new house on their new plot

Nguyen Van Tay HH, a relocated HH, was building new house on their new plot

**Subproject: Canal of Van Hoi reservoir – was under construction**



**Subproject: Repairing and upgrading Hoc Cau and Cua Khau reservoirs**



The reservoir before construction

The reservoir before the construction

## 6.2 List of interviewees

No	HH head	Address
<b>Subproject: Canal of Van Hoi reservoir</b>		
1	Mai Xuan Ta	An Thanh commune, Hoai An district
2	Nguyen Thi Phuong	An Thanh commune, Hoai An district
3	Vo Xuan Hung	An Thanh commune, Hoai An district
4	Nguyen Thanh Long	An Thanh commune, Hoai An district
5	Vo Thi Thuan	An Thanh commune, Hoai An district
6	Nguyen Ngoc Do	An Thanh commune, Hoai An district
7	Nguyen Thanh Binh	An Thanh commune, Hoai An district
8	Pham Thi Trong	An Thanh commune, Hoai An district
9	Tran Van Han	An Thanh commune, Hoai An district
10	Dang Thi Tho	An Thanh commune, Hoai An district
11	Nguyen Van Them	An Thanh commune, Hoai An district
12	Hoang Thi Au	An Thanh commune, Hoai An district
13	Nguyen Dinh Huan	An Thanh commune, Hoai An district
14	Do Nam	An Thanh commune, Hoai An district
15	Huynh Cong Nong	An Thanh commune, Hoai An district
16	Tran Xuan Tung	An Thanh commune, Hoai An district
17	Hoang Thi Suong	An Thanh commune, Hoai An district
18	Ho Ngoc Hung	An Thanh commune, Hoai An district
19	Cao Van Nay	An Thanh commune, Hoai An district
20	Nguyen Thi Dieu	An Thanh commune, Hoai An district
21	Tran Khanh Du	An Thanh commune, Hoai An district
22	Vo Thi Xuan Hong	An Thanh commune, Hoai An district
23	Tran Thi Duong	An Thanh commune, Hoai An district
24	Tran Van Niem	An Thanh commune, Hoai An district
25	Dinh Minh Hoa	An Thanh commune, Hoai An district
26	Truong Quang Kien	An Thanh commune, Hoai An district
27	Tran Thi Canh	An Thanh commune, Hoai An district
28	Nguyen Van Phu	An Thanh commune, Hoai An district
29	Le Van Suong	An Thanh commune, Hoai An district
30	Le Van Thong	An Thanh commune, Hoai An district
31	Nguyen Trung Tho	An Tin commune, Hoai An district
32	Hoang Minh Ky	An Tin commune, Hoai An district
33	Pham Thi Doc	An Tin commune, Hoai An district
34	Duong Hanh	An Tin commune, Hoai An district
35	Nguyen Trung Thoan	An Tin commune, Hoai An district
36	Van Thi Van	An Tin commune, Hoai An district
37	Le Cong Phung	An Tin commune, Hoai An district

No	HH head	Address
38	Ngo Dinh Khoi	An Tin commune, Hoai An district
39	Pham Thi Canh	An Tin commune, Hoai An district
40	Nguyen Thi Nguyet	An Tin commune, Hoai An district
<b>Subproject: Upgrading My Trinh-My Hiep road</b>		
1	Huynh Phong	My Hiep commune
2	Le Van Duong	My Hiep commune
3	Bui Van Loi	My Hiep commune
4	Huynh Kim Cong	My Hiep commune
5	Pham Ngu	My Hiep commune
6	Pham Tuan Anh	My Hiep commune
7	Doan Thanh Phong	My Hiep commune
8	Nguyen Chi	My Hiep commune
9	Bui Tro	My Hiep commune
10	Vo Kim Hung	My Hiep commune
11	Tran Van Thuan	My Hiep commune
12	Ngo Hoanh	My Hiep commune
13	Pham Hong Phuc	My Hiep commune
14	Do Mai Quy	My Hiep commune
15	Tran Thanh Phong	My Hiep commune
16	Pham Van Ban	My Hiep commune
17	Pham Van Phụng	My Hiep commune
18	Pham Van Hung	My Hiep commune
19	Le Hong Phong	My Hiep commune
20	Nguyen Thi Dy	My Hiep commune
21	Pham Thanh Hong	My Hiep commune
22	Pham Ba Lanh	My Hiep commune
23	Le Van Ninh	My Hiep commune
14	Pham Tram	My Hiep commune
25	Pham Thi Chi	My Hiep commune
26	Pham Trong Trang	My Hiep commune
27	Trần Van Phung	My Hiep commune
28	Pham Thanh Mai	My Hiep commune
29	Nguyen Thai	My Hiep commune
30	Pham Thi Danh	My Hiep commune
31	Pham Viet Quang	My Hiep commune
32	Ho Vinh Quang	My Hiep commune
33	Vo Thi Tu	My Hiep commune
34	Thai Hai	My Hiep commune
35	Do Chap	My Hiep commune

No	HH head	Address
36	Do Huu Khanh	My Hiep commune
37	Nguyen Hoe	My Hiep commune
38	Truong Thi Cuc	My Hiep commune
39	Le Huy Hoang	My Hiep commune
40	Le Thi Xuan	My Hiep commune
41	Dang Quang Linh	My Hiep commune
42	Huynh Xuan	My Hiep commune
43	Vo Lai	My Hiep commune
44	Duong Duc	My Hiep commune
45	Nguyen Van Tuan	My Hiep commune
46	Ngo Viet Dung	My Hiep commune
47	Nguyen Minh Quan	My Hiep commune
48	Nguyen Van Giap	My Hiep commune
49	Nguyen Thi Luan	My Hiep commune
50	Vo Truoc	My Hiep commune
51	Nguyen Van Dien	My Hiep commune
52	Le Huy Hong	My Hiep commune
53	Le Kim Cuong	My Trinh commune
54	Le Van Tam	My Trinh commune
55	Vo Van Thuan	My Trinh commune
56	Le Huu Thanh	My Trinh commune
57	Le Thi Kieu	My Trinh commune
58	Vo Van Huong	My Trinh commune
59	Nguyen Cang	My Trinh commune
60	Trinh Van Thong	My Trinh commune
<b>Subproject: Upgrading An Duc-An My road</b>		
1	Nguyen Hong Thanh	An Tin commune
2	Nguyen Van Tay	An Tin commune
3	Nguyen Trong Thanh	An Tin commune
4	Vo Van Dung	An Tin commune
5	Vo Dot	An Tin commune
6	Dang Van Qui	An Tin commune
7	Le Hon	An Tin commune
8	Dinh Xuan Hoa	An Tin commune
9	Dang Van Long	An Tin commune
10	Le Thi Sen	An Tin commune
11	Ngo Van Nghiem	An Tin commune
12	Nguyen Thi Huyen	An Tin commune
13	Le Van Bieu	An Tin commune

No	HH head	Address
14	Vo Thanh Hong	An Tin commune
15	Dao Xuan Tam	An Duc commune
16	Nguyen Van Thom	An Duc commune
17	Ho Thi Tram	An Duc commune
18	Nguyen Van Trinh	An Duc commune
19	Nguyen Thi Cuc	An Duc commune
20	Ngo Hieu Truong	An Duc commune
<b>Subproject: Upgrading and repairing N1 -Thuan Ninh primary canal</b>		
1	Nguyen Van Du	Binh Thuan commune
2	Tran Thanh Dinh	Binh Thuan commune
3	Vo Thi Duoc	Binh Thuan commune
4	Nguyen Son Ha	Binh Thuan commune
5	Phan Van Hai	Binh Thuan commune
6	Nguyen Thi Hoa	Binh Thuan commune
7	Tran Kim Hoang	Binh Thuan commune
8	Tran Thanh Long	Binh Thuan commune
9	Pham Van Nghia	Binh Thuan commune
10	Nguyen Nhieu	Binh Thuan commune
11	Nguyen Van Nhung	Binh Thuan commune
12	Le Ngo	Binh Thuan commune
13	Ho Cong Ngoc	Binh Thuan commune
14	Nguyen Van Ngu	Binh Thuan commune
15	Nguyen Thi Huong	Binh Thuan commune
16	Nguyen Thi Huu	Binh Thuan commune
17	Tran Van Len	Binh Thuan commune
18	Nguyen Thanh Long	Binh Thuan commune
19	Nguyen Van Luan	Binh Thuan commune
20	Thai Thi Luan	Binh Thuan commune
21	Do Thi Mai	Binh Thuan commune
22	Tran Mich	Binh Thuan commune
23	Nguyen Thi Nang	Binh Thuan commune
24	Ho Thai Nguyen	Binh Thuan commune
25	Le Van Nhon	Binh Thuan commune
26	Truong Thi Nhung	Binh Thuan commune
27	Nguyen Thi Nua	Binh Thuan commune
28	Pham Thi Noi	Binh Tan commune
29	Dao Van Phuong	Binh Tan commune
30	Tran Quoc Phu	Binh Tan commune
31	Nguyen Thi Tai	Binh Tan commune

No	HH head	Address
32	Huynh Thanh Nhan	Cat Hiep commune
33	Mai Thi Det	Cat Hiep commune
34	Nguyen Van Tien	Cat Hiep commune
35	Dang Van Hoi	Cat Hiep commune
36	Le Thi Thien	Cat Hiep commune
37	Luong Van Xy	Cat Hiep commune
38	Nguyen Ton Hien	Cat Hiep commune
39	Luong Cong Phi	Cat Hiep commune
40	Nguyen Van Luc	Cat Hiep commune
<b>Subproject: Repairing and upgrading Hoc Cau and Cua Khau reservoirs</b>		
1	Phan Bon	Cat Tuong commune
2	Bui Lung	Cat Tuong commune
3	Duong Thi Bay	Cat Tuong commune
4	Nguyen Ke Cuong	Cat Tuong commune
5	Nguyen Van Lau	Cat Tuong commune
6	Le Cong Thang	Cat Tuong commune
7	Nguyen Bay	Cat Tuong commune
8	Dang Huon	Cat Hanh comune
9	Nguyen Ba Tho	Cat Hanh comune
10	Nguyen Thi Thu	Cat Hanh comune
11	Nguyen Nghia	Cat Hanh comune
12	Nguyen Dat	Cat Hanh comune
13	Vuong Van Bang	Cat Hanh comune
14	Ha Thi Trieu	Cat Hanh comune

### 6.3 Some mistakes in compensation records

No	HH head	Issue
<b>I. Subproject: Upgrading My Binh reservoir</b>		
1	Dao Duy Thanh	The compensation amount in calculation minute is 113,792 VND; however, in the compensation decision and payment list, this HH is paid 113,952 VND
2	Pham Thi Sao	Not stated relationship between HH head with the person who was authorized to sign compensation record
3	Nguyen Cuu	Not stated relationship between HH head with the person who was authorized to sign compensation record
4	Ngo Nghiep	Not stated relationship between HH head with the person who was authorized to sign compensation record
5	Pham Gioi	Not stated relationship between HH head with the person who was authorized to sign compensation record
6	Nguyen Ai	Not stated relationship between HH head with the person who was authorized to sign compensation record
7	Phan Chau Le	Not stated relationship between HH head with the person who was authorized to sign compensation record
<b>II. Subproject: Canal of Van Hoi reservoir</b>		
1	Nguyen Van Luong	Quantity of affected trees in the inventory minute is different from one in the confirmation minute of compensation amount
2	Nguyen Thi Hoai Phuong	- In inventory minute, the HH named as Nguyen Thi Hong Phuong but signed as Nguyen Thi Phuong
3	Huynh Hoang	In inventory minute, the HH signed as Huynh Minh Hoang
4	Le Thi Nhon	Not stated relationship between HH head with the person who was authorized to sign compensation record (Mr. Le Van Hoang signed)
5	Le Ba Phuoc	Not stated relationship between HH head with the person who was authorized to sign compensation record (Mrs. Nguyen Thi Quan signed)
6	Vo Thi Bich Huong	Lacked 3 banana trees in the confirmation minute of compensation amount
7	Luong Van Dan	Lacked 1 coconut tree in the confirmation minute of compensation amount
8	Tran Quang Tam	Quantity of "gio bau" trees in the inventory minute is different from one in the confirmation minute of compensation amount
9	Thai Thanh Trung	Thai Van Trung signed the compensation record
10	Nguyen Van Qua	Confirmation minute of compensation amount is 4.754.880 VND; compensation decision is 4.843.980 VND
11	Nguyen Gioi	Nguyen Van Gioi signed the compensation record
12	Nguyen Van Gioi - Tran Thi Dep	Quantity of small banana trees in the inventory minute is different from one in the confirmation minute of compensation amount (20 and 4 trees respectively) Confirmation minute of compensation amount is 12.372.600 VND; compensation decision is 12.373.200 VND
13	Dinh Van Chinh	Dinh Cong Chinh signed the compensation record
14	Nguyen Thi Tinh	2 pages of the confirmation minute of compensation amount were not copied
15	Nguyen Van Phuong	Quantity of coconut trees (over 2m high) in the inventory minute is different from one in the confirmation minute of compensation amount (2 and 1 trees respectively)
16	Nguyen Van Long	Nguyen Thanh Long signed the compensation record
17	Pham Minh Anh	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount (68.3 and 63.8 m <sup>2</sup> respectively)
18	Le Cong Giap	Assistance cost for career change is incorrect in the confirmation minute of compensation amount
19	Bui Xuan Lo	Quantity of graves in the inventory minute is different from one in the confirmation minute of compensation amount (6 and 8 graves respectively)

No	HH head	Issue
20	Nguyen Thi Bich Hoa	Quantity of “mung mut, sau dau, rau mon » trees in the inventory minute is different from one in the confirmation minute of compensation amount
21	Le Thi Hoa	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount (85 and 8.5 m <sup>2</sup> respectively)
22	Vo Thi Tinh	The first page of the confirmation minute of compensation amount have not been filled name of HH head
23	Tran Thi Bau	Name in the record is Le Thi Bau
24	Ong Thi Tan	There is a grave in inventory minute and in confirmation minute of compensation amount but this grave is excluded in the decision on compensation payment.
25	Tran Minh Thanh	Name in the record is Tran Minh Thành
26	Nguyen Thi Tuoi	There is no well in inventory minute, breeding facilities and grave but these structures are calculated in confirmation minute of compensation amount
27	Phan Thi Thao	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tuong Van Than signed)
28	Do Thi Can	Not stated relationship between HH head with the person who was authorized to sign compensation record (Do Trong Man signed)
29	Nguyen Van Nam	Not stated relationship between HH head with the person who was authorized to sign compensation record (Le Thi Su signed)
30	Nguyen Ngoc Tan	Name in the record is Nguyen Ngoc Tao
31	Hoang Tong	Name in the record is Hoang Van Tong
32	Le Thi Mai	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount (20.2 and 20.5 m <sup>2</sup> respectively)
33	Lam Thi Kha	Not stated relationship between HH head with the person who was authorized to sign compensation record (Vo Thi Le signed)
34	Le Van Nham	Not stated relationship between HH head with the person who was authorized to sign compensation record (Doan Thi Tam signed)
35	Huynh Cong Chan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tran Thi Hoa Trang signed)
36	Huynh Dien	Not stated relationship between HH head with the person who was authorized to sign compensation record (Hoang Cong Dinh signed)
37	Nguyen Tam	Name in the record is Nguyen Van Tam
38	Le Van Thoai - Dang Van Minh	The asset inventory minute does not mention any affected assets
39	Lai Thi Quyen	The asset inventory minute does not mention any affected assets
40	Phan Xuan Minh	Name in the record is Pham Xuan Minh
41	Phan Minh Thong	Name in the record is Pham Minh Thong
42	Bui Cong Duc	The first page of confirmation minute of compensation amount is not filled name of HH
43	Tran Van Phong	Name in the record is Tran Van Phong
44	Nguyen Thanh Nhan	Name in the record is Pham Thanh Nhan
45	Nguyen Gioi	Name in the record is Nguyen Van Gioi
46	Nguyen Van Qua	Confirmation minute of compensation amount is 19.798.176 VND; compensation decision is 19.928.256VND
47	Pham An	Quantity of eucalyptus trees in the inventory minute is different from one in the confirmation minute of compensation amount
48	Doan Thi Thau	Lacked signatures of APs and of CPC in the confirmation minute of compensation amount
49	Hoang Thi An	Area of affected rice is incorrect in the inventory minute
50	Nguyen Thi Suong	Area of affected rice is not mentioned in the inventory minute
51	Ho Van Ky	Some papers in the record name Ho Ky
52	Nguyen Van Tam	Not stated relationship between HH head with the person who was authorized to sign compensation record (Mr. Nguyen Minh Lam signed)
53	Le Thanh Duong	Name in the record is Le Van Duong
54	Tran Thi Xuan	AP has not signed in the inventory minute and the confirmation minute of compensation amount

No	HH head	Issue
55	Nguyen Duc Phuc	In inventory minute, there is 92m <sup>2</sup> of rice land but this affected land is not calculated in confirmation minute of compensation amount
56	Tran Thanh Hung	Confirmation minute of compensation amount is 6.164.900 VND; compensation decision is 6.169.400 VND
57	Nguyen Quang Thong	AP has not signed in the inventory minute and the confirmation minute of compensation amount
58	Dang Van Toan	The HH signed as Dang Dinh Toan
59	Dinh Thi La	Not stated relationship between HH head with the person who was authorized to sign compensation record (Doan Thi Thanh signed)
60	Nguyen Van Thanh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Truong Thi Xuyen signed)
61	Tran Van Hai	AP has not signed in the confirmation minute of compensation amount
62	Nguyen Minh Huyen	Name in the record is Nguyen Duc Huyen
63	Che Thi Nhan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thi Lien signed)
64	Pham Thi Chan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Phong signed)
65	Ngo Thi Xuan Thu	Not stated relationship between HH head with the person who was authorized to sign compensation record (Hoang Quoc Viet signed)
66	Tran Duc Tan	Lacked the asset inventory minute
67	Le Ket	- The last page of the confirmation minute of compensation amount were not copied - Not stated relationship between HH head with the person who was authorized to sign compensation record (Luom signed)
68	Vo Thi Hoa	Not stated relationship between HH head with the person who was authorized to sign compensation record (Bui Thi Men signed)
69	Duong Tan Minh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Duong Thi Thien signed)
70	Duong Yen	AP has not signed in the confirmation minute of compensation amount
71	Nguyen Van Bon	Inventory minute and confirmation minute of compensation amount have not been filled name of HH and date of asset inventory
72	Nguyen Duc De	AP has not signed in the inventory minute and the confirmation minute of compensation amount
73	Le Thi Bon	Affected land in the inventory minute is 109.2 m <sup>2</sup> ; but in the confirmation minute of compensation amount is 134.4 m <sup>2</sup>
	UBND xa An My	Affected land in the inventory minute is 39 m <sup>2</sup> ; but in the confirmation minute of compensation amount is 40 m <sup>2</sup>
<b>III. Subproject: Upgrading and repairing N1 -Thuan Ninh primary canal</b>		
1	Phan Thi Du	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Tan Luc signed)
2	Vo Thi Ha	Not stated relationship between HH head with the person who was authorized to sign compensation record (Vo The Anh signed)
3	Duong Thi Ngoc	Not stated relationship between HH head with the person who was authorized to sign compensation record (Le Den signed)
4	Tran Thanh Dinh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tran Thi Phan signed)
5	Nguyen Thi Hoa	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tran Van Thanh signed)
6	Nguyen Anh Tu	8.725 m <sup>2</sup> of annual crop land is mentioned in asset inventory, but this land was not calculated compensation >>>> Should clarify in the compensation the reason why this affected land is not compensated.
7	Nguyen Thi An	Not stated relationship between HH head with the person who was authorized to sign compensation record (Truong Hoa signed)
8	Nguyen Dao	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Van Trung signed)
9	Nguyen Thi Diep	Not stated relationship between HH head with the person who was authorized to sign compensation record (Pham Van Tan signed)
10	Nguyen Van Dung	Not stated relationship between HH head with the person who was

No	HH head	Issue
		authorized to sign compensation record (Nguyen Thi My Lan signed)
11	Do The Dung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Do Hoang Minh signed)
12	Bui Thi Hanh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Dac Thang signed)
13	Ta Thi Mau	Not stated relationship between HH head with the person who was authorized to sign compensation record (Ho Duc Hue signed)
14	Nguyen Nhieu	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thi Dong signed)
15	Nguyen Van Nhung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tran Thi Phuong signed)
16	Le Ngo	Not stated relationship between HH head with the person who was authorized to sign compensation record (Ta Thi Ban signed)
17	Nguyen Nhung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Bui Thi Sy signed)
18	Nguyen Thi Phan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thanh Nam signed)
19	Duong Thi Phung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thi Tong signed)
20	Nguyen Thi Phung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Van Si signed)
21	Truong Thi Qua	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thi Hong Van signed)
22	Ngo Thi Sau	Not stated relationship between HH head with the person who was authorized to sign compensation record (Ngo Dinh Toan signed)
23	Nguyen Tra	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thanh Nam signed)
24	Tran Thi Tuyen	Not stated relationship between HH head with the person who was authorized to sign compensation record (Dang Van Thanh signed)
25	Huynh Ngoc Anh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Pham Thi Chi signed)
26	Huynh Ngoc Anh	Not stated relationship between HH head with the person who was authorized to sign compensation record (Huynh Ngoc Phuc signed)
27	Do Thi Chau	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Van Bich signed)
28	Truong Dang	Not stated relationship between HH head with the person who was authorized to sign compensation record (Truong Van Binh signed)
29	Nguyen Thi Dap	Not stated relationship between HH head with the person who was authorized to sign compensation record (Huynh Van Phuong signed)
30	Ho Hong	Not stated relationship between HH head with the person who was authorized to sign compensation record (Ho Duc Choi signed)
31	Nguyen Thi Huong	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Van Thanh signed)
32	Nguyen Thanh Long	Area of affected rice land the inventory minute is different from one in the compensation calculation sheet (38 and 57m <sup>2</sup> respectively)
33	Thai Thi Luan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Do Thi Mai signed)
34	Truong Thi Nhung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Van Xuan signed)
35	Nguyen Ngoc Son	Not stated relationship between HH head with the person who was authorized to sign compensation record (Duong Thi Phien signed)
36	Tran Thi Thai	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Phuong signed)
37	Duong Thi Tung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Mai Lan signed)
38	Ho Xuan Yen	Not stated relationship between HH head with the person who was authorized to sign compensation record (Truong Thi Huong signed)
39	Huynh Van Bay	Quantity of eucalyptus trees (6-8 cm) in the inventory minute is different

No	HH head	Issue
		from one in the compensation calculation sheet (65 and 70 trees respectively)
40	Huynh Cu	Not stated relationship between HH head with the person who was authorized to sign compensation record (Huynh Van Phuong signed)
41	Mai Duc Cuong	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Thi Bui signed)
42	Nguyen Day	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Tan Luc signed)
43	Mai Anh Hung	Not stated relationship between HH head with the person who was authorized to sign compensation record (Mai Hao signed)
44	Phan Thi Mai	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Xuan Duc signed)
45	Nguyen Moi	Not stated relationship between HH head with the person who was authorized to sign compensation record (Nguyen Tan Luc signed)
46	Nguyen Thi My	Not stated relationship between HH head with the person who was authorized to sign compensation record (Huynh Ngoc Cong signed)
47	Ho Cong Phu	Confirmation minute of compensation amount is signed as Ho Van Phu
48	Vo Huu Quyen	Not stated relationship between HH head with the person who was authorized to sign compensation record (Vo Van Tien signed)
<b>IV. Subproject: Upgrading My Trinh-My Hiep road</b>		
1	Nguyen Van Trieu	Quantity of small bamboo trees in the inventory minute is different from one in the confirmation minute of compensation amount (0 and 17 trees respectively)
2	Dao Chan	There is no confirmation minute of compensation amount in the compensation record
3	Dao Van Mai	Incorrect last name
4	Dao Xuan Sanh	There is 12m <sup>2</sup> of breeding facilities is affected in the inventory minute but only 10m <sup>2</sup> is calculated in the confirmation minute of compensation amount
5	Tran Van Truyen	There is 79,3 m <sup>2</sup> is affected in the inventory minute but 89.1m <sup>2</sup> is calculated in the confirmation minute of compensation amount
6	Huynh Kim Minh	The inventory minute does not mention affected “nhan, oi, khe, chanh, vu sua, si” trees but these trees are calculated in the confirmation minute of compensation amount. There is no supplementary inventory.
7	Bui Tro	The inventory minute mentioned 11 earth graves. These graves are not calculated in the confirmation minute of compensation amount, but some trees which are not mentioned in the inventory minute are calculated in the confirmation minute of compensation amount
8	Nguyen Van Hanh	The inventory minute mentioned 24,5m <sup>2</sup> of “me”, but this was not calculated in the confirmation minute of compensation amount
9	Pham Su	The inventory minute mentioned 15m <sup>2</sup> of “me”, but this was not calculated in the confirmation minute of compensation amount
10	Vo Thi Hieu	The inventory minute does not mention fence, but 30m of fence was calculated in the confirmation minute of compensation amount
11	Vo Van Day	The inventory minute mentioned 1 affected “me” tree, but 2 “me” trees were calculated in the confirmation minute of compensation amount
12	Nguyen Thi Nhen	The inventory minute mentioned 39m fence, but 25m of fence was calculated in the confirmation minute of compensation amount
13	Nguyen Hoang Long	There is no inventory minute. Confirmation minute of compensation amount is 676600 VND
14	Tran Vinh	The inventory minute mentioned 1 affected “sanh” tree, but 5 “sanh” trees were calculated in the confirmation minute of compensation amount
15	Nguyen Van Thanh	The inventory minute mentioned 25m fence, but 30m of fence was calculated in the confirmation minute of compensation amount
16	Tran Van Cuong	The inventory minute mentioned 1 small cocconut tree. The confirmation minute of compensation amount calculated for 1 small coconut trees and 3 mature coconut trees = 900,000 VND.
17	Tran Quang Thuong	In confirmation minute of compensation amount: 6.439.746, in decision on

No	HH head	Issue
		payment: 6.549.378 VND.
18	Vo Dinh Dung	Inventory minute has 5 eucalyptus (6-8cm) trees, 1 eucalyptus (<4m) tree. confirmation minute of compensation amount calculated: 35 eucalyptus (6-8cm) trees, 100 eucalyptus (<4m) trees
19	Tran Van Den	Inventory minute: 222.8 m <sup>2</sup> of perennial tree land is affected. Confirmation minute of compensation amount: calculated assistance for career change with 36.8 m <sup>3</sup> .
20	Le Van Luong	Inventory minute: no affected grave. Confirmation minute of compensation amount: calculated 3 earth graves and assistance for relocation.
21	Pham Tri	Inventory minute: 290.2 m <sup>2</sup> of land is affected. Confirmation minute of compensation amount: calculated 488 m <sup>2</sup>
22	Pham Cham	Inventory minute: 41.3 m <sup>2</sup> "me". Confirmation minute of compensation amount: did not calculate this asset
23	Pham Van Lang	Inventory minute: 367.9m <sup>2</sup> of perennial tree land is affected. Confirmation minute of compensation amount: calculated for 402.2 m <sup>2</sup> and assistance for career change.
24	Pham Van Kha-Nguyen Thanh Xuan	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount
25	Le Van Duc	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount (0.8 and 1.8 m <sup>2</sup> respectively)
26	Tran Van Nhan	Not stated relationship between HH head with the person who was authorized to sign compensation record (Tran Minh Nhan signed)
27	Pham Van Mot	Quantity of "oi" trees in the inventory minute is different from one in the confirmation minute of compensation amount (1 and 2 trees respectively)
28	Tran Quang Vinh	Inventory minute: 26.2m <sup>2</sup> of land is affected. Confirmation minute of compensation amount: did not calculate for this land
29	Mai Tinh	Quantity of "oi" trees in the inventory minute is different from one in the confirmation minute of compensation amount (1 and 3 trees respectively)
30	Dang Ngoc Tan	Inventory minute: 6.9m <sup>2</sup> of land is affected. Confirmation minute of compensation amount: did not calculate for this land
31	Chau Thi Xuan	Inventory minute: 19.2m <sup>2</sup> of land is affected. Confirmation minute of compensation amount: did not calculate for this land
32	Nguyen Minh Tai	Area of fence in the inventory minute is different from one in the confirmation minute of compensation amount (17 and 30 m <sup>2</sup> respectively)
33	Nguyen Trinh	Inventory minute: no grave is affected. Confirmation minute of compensation amount: calculated for 2 graves
34	Mai Van Cu	Area of vegetable and quantity of affected graves in the inventory minute is different from one in the confirmation minute of compensation amount
35	Nguyen Van Dao	Land is managed by CPC but the confirmation minute of compensation amount calculated compensation and assistance for 28 m <sup>2</sup>
36	Nguyen Van Dinh	Land is managed by CPC but the confirmation minute of compensation amount calculated compensation and assistance for 0,4 m <sup>2</sup>
37	Vo Thằng	Quantity of small "keo" trees in the inventory minute is different from one in the confirmation minute of compensation amount (6 and 12 trees respectively)
38	Vo Van Thuan	Inventory minute: no grave is affected. Confirmation minute of compensation amount: calculated for 1 grave
39	Nguyen Ngoc Diep	Should adjust affected land in the inventory minute in accordance with suggestion of the HH about acquisition of entire land.
40	Doan Van Dung	Area of affected land in the inventory minute is different from one in the confirmation minute of compensation amount
41	Vo Van Thong	Area of affected land and affected rice in the inventory minute is different from one in the confirmation minute of compensation amount
42	Vo Thi Duy	Area of affected land and affected annual crop ("me") in the inventory minute is different from one in the confirmation minute of compensation amount

No	HH head	Issue
43	Nguyen Minh	Should adjust affected land in the inventory minute in accordance with suggestion of the HH about acquisition of entire land. Not yet calculated assistance for career change basing on the affected agricultural land in the confirmation minute of compensation amount
44	Vo Tan Quang	Area of affected garden land in the inventory minute is different from one in the confirmation minute of compensation amount (321.7 and 433.5 m <sup>2</sup> respectively)
45	Doan Thi Hue	Should adjust affected land in the inventory minute in accordance with suggestion of the HH about acquisition of entire land.
46	Nguyen Thi Lang	Not yet calculated compensation for 1 “xoan” tree in the confirmation minute of compensation amount
47	Nguyen Duc Thang	Area of affected garden land in the inventory minute is different from one in the confirmation minute of compensation amount (209.3 and 325 m <sup>2</sup> respectively)
48	Phan Thi Nam	Not yet calculated assistance for career change basing on the affected 14.9 m <sup>2</sup> of perennial tree land
49	Vo Lai	Inventory minute has 3 affected “mu u” tree. Confirmation minute of compensation amount: calculated for 1 wood tree.
50	Tran Quoc Danh	Inventory minute: affected area is 53.2 m <sup>2</sup> . Confirmation minute of compensation amount: calculated for 55.2 m <sup>2</sup> .
51	Nguyen The Hoa	Inventory minute: affected area is 61.2 m <sup>2</sup> . Confirmation minute of compensation amount: calculated for 65.8 m <sup>2</sup> .
52	Nguyen Kim Long	Inventory minute: no wood tree is affected area. Confirmation minute of compensation amount: calculated for 2 trees.
53	Dinh Quang Hien	AH has not signed confirmation minute of compensation amount
54	Huynh Than	AH has not signed confirmation minute of compensation amount For the brick fence, inventory minute: not mentioned affected area. Confirmation minute of compensation amount: calculated for 7.5 m <sup>2</sup> .
55	Do Ngoc Lien	Lacked signautures of stakeholders in the confirmation minute of compensation amount
56	Do Viet Tam	Quantity of affected poles in the inventory minute is different from one in the confirmation minute of compensation amount (0.14 and 0.58 m <sup>2</sup> respectively)
57	Huynh Thanh	Area of fence in the inventory minute is different from one in the confirmation minute of compensation amount (42.9 and 40 m <sup>2</sup> respectively)
58	Huynh Van Chuong	Area of fence in the inventory minute is different from one in the confirmation minute of compensation amount (12.41 and 14.9 m <sup>2</sup> respectively)
59	Nguyen Hoe	AH has not signed confirmation minute of compensation amount
60	Do Do	AH has not signed confirmation minute of compensation amount
61	Huynh Lap	AH has not signed confirmation minute of compensation amount
62	Dinh Thanh Hai	Quantity of wood trees in the inventory minute is different from one in the confirmation minute of compensation amount (2 and 1 tree respectively)
63	Do Huu Khanh	AH has not signed confirmation minute of compensation amount
64	Do Huu Hanh	AH has not signed confirmation minute of compensation amount
65	Do Chap	AH has not signed confirmation minute of compensation amount Area of fence and quantity of “me” tree in the inventory minute is different from one in the confirmation minute of compensation amount
66	Do Lan	AH has not signed confirmation minute of compensation amount
67	Huynh Tien Dung	The name in the inventory minute is Huynh Chi Dung
68	Chau Van Khanh	Quantity of “me” tree in the inventory minute is different from one in the confirmation minute of compensation amount (2 and 1 tree respectively)
69	Nguyen Thi Hang	Not stated relationship between HH head with the person who was authorized to sign compensation record (Cho signed)
70	Nguyen Hong Son	Quantity of banana tree in the inventory minute is different from one in the confirmation minute of compensation amount (10 and 2 tree respectively)
71	Doan Van Hanh	Quantity of “me” tree in the inventory minute is different from one in the

No	HH head	Issue
		confirmation minute of compensation amount (3 and 0 tree respectively)
72	Doan Quyen	Area of fence in the inventory minute is different from one in the confirmation minute of compensation amount (13.38 and 0 m2 respectively)
73	Nguyen Kha	AH has not signed confirmation minute of compensation amount
74	Dang Van Dong	AH has not signed confirmation minute of compensation amount
75	Huynh Van Thuan	AH has not signed confirmation minute of compensation amount
76	Nguyen Len	Area of rice land area (grade 4) in the inventory minute is different from one in the confirmation minute of compensation amount
77	Nguyen Ngoc Toan	82.5 m2 of affected land is not calculated compensation
78	Nguyen Van Manh	Area of fence in the inventory minute is different from one in the confirmation minute of compensation amount (30 and 50 m2 respectively)
79	Vo Van Thuan	Vo Van Nhuan signed confirmation minute of compensation amount
80	Tran Quang Trung	BBTKHT va BBXDGTBT chua khop nhau ve dien tich lua BAH (tuong ung la 0 va 63,2 m <sup>2</sup> ) Area of affected rice land area in the inventory minute is different from one in the confirmation minute of compensation amount (0 and 63.2 m2 respectively)
81	Tran Long An	Inventory minute: no vegetable is affected; confirmation minute of compensation amount: calculated compensation for affected vegetable
82	Huynh Thanh Luan	Name of record is Huynh Thi Luan
83	Nguyen Thanh Le	The name in the inventory minute is Nguyen Van Le
84	Pham Thi Thinh	Quantity of affected tree in the inventory minute is different from one in the confirmation minute of compensation amount
<b>V. Subproject: Repairing and upgrading Hoc Cau and Cua Khau reservoirs</b>		
1	Nguyen Van Phuc	Inventory minute stated that there is affected land but this land is not calculated in the calculation minute Compensation record was enclosed LURC
2	Ha Dinh Hanh	Inventory minute did not identify types of affected land but calculation minute has calculated compensation for land and assistance for career change
3	Nguyen Dinh	Inventory minute stated that there is no affected grave. Calculation minute calculated for 6 earth graves
4	Vo Van Dong	Inventory minute mentioned 406+ 1076,6 m <sup>2</sup> of land is acquired but only 801,9+ 2137,2 m <sup>2</sup> is calculated compensation in the calculation minute
5	Nguyen Van Lau	There are 13 affected graves in the inventory minute but only 1 graves were calculated compensation in the calculation minute
6	Le Cong Thang	There are 3 affected graves in the inventory minute but only 2 graves were calculated compensation in the calculation minute
<b>VI. Subproject: Rehabilitating and upgrading Tra O irrigation system</b>		
1	Tran Quoc Hoang	Tran Ngoc Hong is incorrect; has issued decision on name adjustment. However, in asset inventory minute and confirmation minute of compensation amount, the HH signed as Tran Ngoc Hoang
2	Nguyen Manh	In asset inventory minute, HH signed as Nguyen Minh Hung, in the confirmation minute of compensation amount, HH signed as Nguyen Minh Hung, Nguyen Manh
3	Phan Van Hung	The inventory minute is signed as Nguyen Van Hung
4	Ngo Huu Nam	Has issued decision on name adjustment; asset inventory minute is signed as Nguyen Huu Nam
5	Do Van Du	The inventory minute and the confirmation minute of compensation amount are signed as Do Du
6	La Van Phong	There is no authorized letter in the compensation record. Vo Thi Chut has received compensation money. Has issued decision on name adjustment; asset inventory minute and confirmation minute of compensation amount are signed as La Thanh Phong
7	Nguyen Van De	The first page of the asset inventory minute has not been filled name of HH head

No	HH head	Issue
8	Do Thanh Quyen	The first page of the asset inventory minute has not been filled name of HH head
9	La Danh	Quantity of coconut trees in the inventory minute is different from one in the confirmation minute of compensation amount (1 and 2 trees respectively)
10	La Thanh Phong	The first page of the asset inventory minute has not been filled name of HH head
11	Nguyen Thu	The inventory minute and the confirmation minute of compensation amount are signed as Nguyen Van La
12	Nguyen Bay	The inventory minute is signed as Nguyen Van Bay
13	Do Khanh	The inventory minute is signed as Do Van Khanh
14	Nguyen Loc	The first page of the asset inventory minute has not been filled name of HH head. The inventory minute is signed as Nguyen Van Loc
15	Do De	The first page of the asset inventory minute has not been filled name of HH head
16	La Van So	The inventory minute is signed as La Lai
17	Nguyen Van Nam	Decision of name adjustment was issued but in the confirmation minute of compensation amount, the HH name is still Nguyen Van Nam
18	Phan May	AP has not signed the asset inventory minute
19	Dang Duc Cuong	The confirmation minute of compensation amount is signed as Dang Van Cuong
20	Truong Ngoc Thuan	The first page of the asset inventory minute has not been filled name of HH head
21	Dao Van Thuan	The inventory minute and the confirmation minute of compensation amount are signed as Dang Van Thuan
22	Tran Minh Tuan	The first page of the asset inventory minute has not been filled name of HH head
23	Nguyen Van Tung	The first page of the asset inventory minute has not been filled name of HH head
24	To Thi Hoa	Not stated relationship between HH head with the person who was authorized to sign asset inventory minute (Nguyen Tien Luan signed)

## 6.4 Questionnaire

### INTEGRATED RURAL DEVELOPMENT PROJECT IN CENTRAL PROVINCES

Questionnaire for field survey

Name of subproject: .....

Type of subprojects: ☐1 With land acquisition ☐2 Without land acquisition (temporary impacts)

Location: .....

#### Types of impacts:

☐1 Partial

☐2 Relocated

☐3 Temporary impacts during the construction

#### A – FOR HHs WITH LAND ACQUISITION ONLY

##### I. General information about the household

1. Name of interviewees: .....  
Age: ..... Sex: Male ☐1 Female ☐2 Education:.....  
Career: ..... Relationship with HH head: .....
2. Name of household head: .....  
Age: ..... Sex: Male ☐1 Female ☐2 Education:.....  
Career:.....  
Address: .....
3. Number of persons living with the householder: .....  
Of which: Male: ..... persons Female: ..... persons  
Number of HH with income:
  - Before the project implementation, .....persons, of which, Male : ..... persons.  
Female : ..... persons
  - After the project implementation ..... persons, of which, Male : ..... persons.  
Female : ..... persons
4. National group  
Kinh ☐1 Chinese ☐2 Other ☐3 (in detail): .....
5. Is the family as a social policy family receiving Government subsidy?  
☐1 Yes ☐2 No
6. Type of impacts
  - ☐1 Loss of residential land
  - ☐2 Loss of agricultural land (Percentage of land loss:.....)
  - ☐3 Damage to houses and other structures
  - ☐4 Damage to structures rent by the State
  - ☐5 Damage to business
  - ☐6 Damage to living facilities

##### II. Project information disclosure

7. Do you know about the project?  
☐1 Yes ☐2 No
8. Which mean of communication that you receive information about the project?  
☐1 Pamphlet ☐4 Staff of local authorities

- |                            |                             |                            |                 |
|----------------------------|-----------------------------|----------------------------|-----------------|
| <input type="checkbox"/> 2 | News                        | <input type="checkbox"/> 5 | Project staff   |
| <input type="checkbox"/> 3 | Newspaper, radio            | <input type="checkbox"/> 6 | Public meetings |
| <input type="checkbox"/> 7 | Other source (detail) ..... |                            |                 |

9. Do you understand policy and entitlements you will get from the project through the information disclosure?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

If no, the reason is:

- |  |  |
|--|--|
| <input type="checkbox"/> 1 Not clear dissemination | <input type="checkbox"/> 3 Don't know who can be asked |
| <input type="checkbox"/> 2 Haven't learnt about    | <input type="checkbox"/> 4 Other, detail:.....         |

### III. Asset inventory:

10. Have you been informed in advanced about time for measurement and inventory of your affected assets?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

11. Did you participate in the measurement and inventory of your affected assets?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

12. Are there any of your assets not inventoried?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

If yes, what is not inventoried? .....

13. Is the measurement of your land and house correct?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

14. Did you review and sign inventory minute?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

15. Do you store one copy of your compensation calculation sheet?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

### IV. Compensation and assistance (for relocated HHs only)

16. Were you consulted for selecting compensation options (such as cash compensation, receiving resettlement plots, apartments, etc.)

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

17. Did you review your compensation calculation sheet?

- |                                |                               |
|--------------------------------|-------------------------------|
| <input type="checkbox"/> 1 Yes | <input type="checkbox"/> 2 No |
|--------------------------------|-------------------------------|

18. Have you compensated?

- |                                    |                                    |
|------------------------------------|------------------------------------|
| <input type="checkbox"/> 1 Already | <input type="checkbox"/> 2 Not yet |
|------------------------------------|------------------------------------|

If yes, then what are they?

- |                                   |   |
|-----------------------------------|---|
| <input type="checkbox"/> 1 Land   | <input type="checkbox"/> 2 Assets on land |
| <input type="checkbox"/> 3 Others |   |

19. When did you receive compensation?

- |  |
|--|
| <input type="checkbox"/> 1 Before the construction   |
| <input type="checkbox"/> 2 In the construction phase |

☐3 After the construction

20. Which assistance did you receive?

- ☐1 Assistance for rate of agricultural land loss  
☐2 Assistance for policy families  
☐3 Assistance for business loss  
☐4 Assistance for vocational training  
☐5 Assistance for transportation  
☐6 Other assistances (in detail): .....  
☐7 Had not received  
☐8 No assistance

21. Are you satisfied with compensation price?

☐1 Yes

☐2 No

If no, why? .....

22. Are there any members lost employment due the project?

☐1 Yes

☐2 No

### V. Complaint and complaint resolution

23. Do you have any queries/complaints?

☐1 Yes

☐2 No

24. Have your queries/complaints been solved?

☐1 Already

☐2 Not yet

25. How long they have been solved.....

26. Why haven't they been solved: .....

### VI General comments:

Please give your opinions about the project (benefit of the project? Your living before and after the project? If changed, how does it change, do you agree to the project implementation, etc.)

.....

## B. INTERVIEW WITH TEMPORARY AFFECTED HHs DURING THE CONSTRUCTION

(For subprojects without land acquisition only)

1. Which assets are you affected due to the construction of the project?

<input type="checkbox"/> 1	Residential land	<input type="checkbox"/> 2	Agricultural land/garden	<input type="checkbox"/> 3	House
<input type="checkbox"/> 4	Business	<input type="checkbox"/> 5	Crops, trees	<input type="checkbox"/> 6	Other

2. Scope and duration of impacts

<input type="checkbox"/> 1	Residential land	
<input type="checkbox"/> 2	Agricultural land/garden	
<input type="checkbox"/> 3	House	
<input type="checkbox"/> 4	Business	
<input type="checkbox"/> 5	Crops, trees	
<input type="checkbox"/> 6	Other	

3. Estimate of the damage

☐1 ≤ 500,000  
VND

☐2 500,000-  
1,000,00 VND

☐3 1,000,000-  
5,000,000 VND

☐3 ≥ 5,000,000  
VND

4. With such impacts, did you request compensation?

☐1 Yes

☐2 No

5. If yes, did you send your petitions requiring the compensation? Who did you send to?

☐1 Already

☐2 Not yet

☐3 Not yet and would not send

☐1 Commune PC

☐2 Construction  
contractor

☐3 Other

6. If yes and already sent, have you received responses from construction contractor?

☐1 Negotiated

☐2 Not yet

7. Your assessment on impacts of the subproject to your living?

No	Impacts of the subproject	Positive	Negative	Do not know	Remark
1	Economic				
2	Health				
3	Social security				
4	Environment				
5	Education				

8. Do you have any suggestions about the project implementation? Are you satisfied with the project implementation? .....

Dated .....

**Survey staff**

(Sign, name)