

INTERNAL SOCIAL SAFEGUARDS MONITORING REPORT

(From July 2016 to December 2016)

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L3173-VIE: INTEGRATED RURAL DEVELOPMENT SECTOR PROJECT IN THE CENTRAL PROVINCES (Additional Financing)

CURRENCY EQUIVALENS

Currency unit: Vietnam Dong (VND) and US dollar (US\$)

Exchange rate on 21th February 2017: \$1 = VND 22,750

ABBREVIATIONS

ADB	Asian Development Bank
AHs	Affected Households
APs	Affected Persons
APMB	Agriculture Project Management Board
CARB	Compensation Assistance and Resettlement Board
CPC	Commune Peoples' Committee
CPMU	Central Project Management Unit
CSB	Commune Supervisory Board
DARD	Department of Agriculture and Rural Development
DLFDC	District Land Fund Development Center
DMS	Detailed Measurement Survey
AHs	Displaced Persons
DPC	District Peoples' Committee
DRCs	District Resettlement Committee
EM	Ethnic Minority
EMDP	Ethnic Minority Development Plan
GAP	Gender Action Plan
GoV	Government of Vietnam
HHs	Households
HIV-AIDS	Human Immuno Virus-Acute Immune Deficiency Syndrome
IOL	Inventory of Losses
IPP	Indigenous Peoples' Plan
IRDSPCP	Integrated Rural Development Sector Project in Central Provinces
IRMR	Internal Resettlement Monitoring Report
LIC	Loan Implementation Consultant
LURC	Land Use Registration Certificate
MARD	Ministry of Agriculture and Rural Development
N-T-P	Notice-to-Proceed
ODA	Official Development Assistance
O&M	Operation and Maintenance
PIB	Project Information Booklet
PPC	Provincial Peoples' Committee
PPMS	Project Performance Monitoring System

PPMU	Provincial Project Management Unit
REMDF	Resettlement and Ethnic Minority Development Framework
REMDP	Resettlement and Ethnic Minority Development Plan
RP	Resettlement Plan
ROW	Right-of-Way
SAH	Severely Affected Household
SES	Socio-Economic Survey
SP	Subproject
SPS	Safeguards Policy Statement
STI	Sexually-transmitted infection
VND	Vietnamese Dong
VWU	Vietnamese Women's Union
WUGs	Water Users Groups

GLOSSARIES

- Displaced person (DP)** - Means any person or persons, household, firm, private or public institution that are fully or partially, permanently or temporarily physically displaced (relocated, lost residential land, or lost shelter) and/or economically displaced (lost land, assets, access to assets, income sources or means of livelihood) due to (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. AHs could be of three types: (i) persons with formal legal rights to land lost; (ii) persons who have claims to such lands that are recognized or recognizable under national laws; and (iii) persons who have neither formal legal rights nor recognized or recognizable claims to the lost land. In the case of a household, the term DP includes all members residing under one roof and operating as a single economic unit, who are adversely affected by a project or any of its components.
- Compensation** - Means payment in cash or in kind to replace losses of land, housing, income and other assets caused by the Project. All compensation is based on the principle of replacement cost, which is the method of valuing assets to replace the loss at current market rates, plus any transaction costs such as administrative charges, taxes, registration and titling costs.
- Cut-off date** - Means the date of project land acquisition announcement by competent agency. The AHs will be informed of the cut-off date for each project component, and any person who settled or assets created in the project area after the cut-off date will not be entitled to compensation and assistance under the project.
- Detailed Measurement Survey (DMS)** - Based on the approved detailed engineering design, this activity involves the finalization of subproject land acquisition and resettlement impacts, including final cost of resettlement. This is the process where all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; secondary structures, such as fences, tombs, wells; trees with commercial value; etc.) and sources of income and livelihood inside the Project right-of-way (project area) are identified, measured, their owners identified, their exact location pinpointed, and their replacement costs calculated. Additionally, the severity of impact to the affected assets and the severity of impact to the livelihood and productive capacity of AHs will be determined.
- Ethnic Minority (EM)** - Any ethnic group of Vietnam, except the dominant Kinh Group, who has the following characteristics at different levels - living in the rural and remote area or having ancestor's territory in the project area and depending on natural resources of these area and territory, and having a social or cultural identity distinct from that of the dominant group (Kinh-Viet).
- Entitlement** - A range of various activities including compensation, assistance in income restoration, shift in occupation, relocation... etc, provided to affected people based on the type and level of impact which aims to restore their socio-economic conditions.
- Income Restoration Programme** - A program designed with various activities that aim to support affected persons to recover their income / livelihood to pre-project levels. The program is designed to address the specific needs of the affected

persons based on the socio-economic survey and consultations.

Land acquisition	- Refers to the process whereby an individual, household, firm or private institution is compelled by a public agency to alienate all or part of the land it owns or possesses to the ownership and possession of that agency for public purposes in return for compensation at replacement costs
Rehabilitation	- This refers to additional support provided to APs losing productive assets, incomes, employment or sources of living, to supplement payment of compensation for acquired assets, in order to achieve, at a minimum, full restoration of living standards and quality of life
Relocation	- This is the physical relocation of an AP from her/his pre-project place of residence and/or business.
Resettlement and Ethnic Minorities Development Plan (REMDP)	- A plan for resettlement of an ethnic minority population, combining the resettlement plan with specific ethnic minority concerns and cultural sensitivity for the specific needs of the ethnic minority groups.
Replacement Cost	- The amount needed to replace an affected asset net of transaction costs such as administrative charges, taxes, registration and titling costs
Replacement Cost Survey	- This refers to the process involved in determining replacement costs of affected assets based on empirical data
Resettlement	- This includes all measures taken to mitigate any and all adverse impacts of a project on AP property and/or livelihoods, including compensation, relocation (where relevant), and rehabilitation as needed.
Severely affected household (SAH)	- This refers to affected households who will (i) lose 10% or more of their total productive land and/or assets, (ii) have to relocate; and/or (iii) lose 10% or more of their total income sources due to the subproject.
Stakeholders	- Individuals, groups, or institutions that have an interest or stake in the outcome of a project. The term also applies to those potentially affected by a project. Stakeholders include land users, country, regional and local governments, implementing agencies, project executing agencies, groups contracted to conduct project activities at various stages of the project, and other groups in the civil society which may have an interest in the project.
Vulnerable group	- These are distinct groups of people who might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically include: (i) female headed households with dependents, (ii) disabled household heads, (iii) households falling under the generally accepted indicator for poverty, (iv) children and the elderly households who have no other means of support, (v) landless, and (vi) ethnic minorities.

WEIGHTS AND MEASURES

km	-	kilometer
kg	-	kilogram
ha	-	hectare
m	-	meter

NOTE

This Internal Social Safeguards Monitoring Report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

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I. GENERAL INFORMATION

1. Objectives of the project

1. Loan No. 3173 (SF) – Additional financing of the Integrated Rural Development Sector Project in Central Provinces (IRDSPCP) was approved by ADB on 20 October 2014. The Additional financing costs US\$ 92.5 million, US\$85 million through a loan from the ADB, and the remaining US\$7.5 million contributed by The Government. The Loan Agreement between ADB and the State's Bank of Vietnam was signed on 23 January, 2015, become effective from 22 June, 2015 and the Loan will be closed on 30 June, 2019. The main office of ADB in Vietnam has been responsible for management of the additional financing since November 2014.

2. The objectives of project is to improve the livelihoods and standards of living for the rural population in the Central Region. The objectives will be gained through improving the quality, expanding irrigation area, improving connectability among infrastructures, increasing agriculture production, creating more job opportunities, improving health and education condition and reducing damage caused by natural disaster for people living in the provinces participating in the project. The project comprises three components: (i) improving infrastructure in rural infrastructure in the Central Region; (ii) improved capacities of (a) national, provincial, district and commune staff in project management and supervision, and (b) farmers in agricultural production ; and (iii) improved project management skills for infrastructure development.

3. The Executing Agency (EA) for the IRDPCP is the Ministry of Agriculture and Rural Development (MARD), with responsibility delegated to the IRDPCP Central Project Management Unit (CPMU) to monitor the implementation of project. Agencies implementing subprojects are the PPCs of six provinces participating in the project. Central Project Management Unit (CPMU) – under Agriculture Project Management Board is established with responsibility for implementing the project at Central level. At the provincial level, PPMUs under MARD are established by PPCs and responsible for managing daily activities of the project.

2. Information of Subprojects

4. ADB's mission in June 2012 conducted screening of subprojects under the additional financing. Five screening criterias are: (i) dealing with urgent need of proposed beneficiaries; (ii) limiting land acquisition and impact on resettlement and insignificant impacts on environment; (iii) simple design in an adjacent area is well prepared and presented easily to understand; (iv) capable of completion with a minimum of civil works contract packages; and (v) subproject cost greater than \$2 million and less than \$7 million equivalent. There have been 24 subprojects screened in six provinces (including Ha Tinh, Thua Thien Hue, Binh Dinh, Phu Yen, Ninh Thuan and Binh Thuan). These subprojects are expected to irrigate for 29,000 ha of agriculture land, about 115km of road on canals and 24.5 km of dike for preventing flood to improve road to important irrigation works, and improve accessibility to agricultural product market.

5. The project includes 24 subprojects in which 20 subprojects requiring land acquisition and 04 subprojects not requiring land acquisition are determined. The subprojects in provinces are as follows:

Table 1. Number of subprojects by Provinces

Province	Number of Sub-project	Subproject with land acquisition
Ha Tinh	5	4
Thua Thien Hue	3	3
Binh Dinh	6	6
Phu Yen	4	4
Ninh Thuan	2	2
Binh Thuan	4	1
Total	24	20

3. Social safeguards documents required for preparation of subprojects

6. Land acquisition will be involved with 20 subprojects as mentioned above, therefore 26 social safeguards reports are required for those in term of resettlement and social issues which consists of Resettlement plan (11 RP), Resettlement and ethnic minority development plan (1 REMDP and 2 uREMDPs), Due diligence report (6 DDRs), update Resettlement plan (2 uRPs) and land donation reports (4 LDRs). The table below describes the information of required safeguards documents by subprojects.

Table 2. Information of social safeguards Documents required by Subprojects

No	Name of Subprojects	Type of Required safeguard documents					Notes
		RP	uRP	REMDP /uREMDP	DDR	Land Donation Reports	
A Ha Tinh Province							
1	Upgrading canal system of Rac River irrigation				√		
2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha District					√	
3	Upgrading Ho Dam and Tiem River canal system						No land acquisition
4	Upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho District					√	
5	Upgrading irrigation system of 3 Communes, Thach Ha District					√	
B Thua Thien Hue Province							
1	Upgrading Dai Giang River Dike system	√					
2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	√					
3	Upgrading road combining with flood protection dike of Pho Lai - Thanh Cam - Nam Duong - Co Thap					√	
C Binh Dinh Province							
1	Upgrading Nui Mot Reservoir's canal system	√	√		√		
2	Upgrading Lai Giang Dam's primary canal	√					
3	Upgrading Hoi Khanh Reservoir	√	√				
4	Upgrading My Thuan Reservoir	√					
5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	√			√		
6	Upgrading Van Phong canal system				√		
D Phu Yen Province							
1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	√			√		
2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	√					
3	Upgrading Suoi Cau weir and access road	√					
4	Upgrading irrigated canal of Dong Tron reservoir's irrigation system	√					
E Ninh Thuan Province							
1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system			√			
2	Upgrading the Cham Canal and management road of the South primary			√	√		

No	Name of Subprojects	Type of Required safeguard documents					Notes
		RP	uRP	REMDP /uREMDP	DDR	Land Donation Reports	
	canal of Nha Trinh - Lam Cam irrigation system						
F	Binh Thuan Province						
1	Upgrading Du Du Reservoir						No land acquisition
2	Upgrading Saloun Reservoir			√			
3	Upgrading North primary canal of Ba Bau irrigation system						No land acquisition
4	Upgrading primary canal of Quao River						No land acquisition
	Total	11	2	3	6	4	

4. Objectives of internal monitoring

7. The main purpose of the monitoring and evaluation program is to ensure that matters related to involuntary resettlement have been implemented in accordance with the policies and procedures of the RPs following ADB SPS 2009 and the uREMDF.

8. The general objectives of internal monitoring is to:(i) report on the status and assess the compliance with the agreed RP; (ii) confirm the availability/handover of land to the proposed subprojects; (iii) monitor contractors' compliance to policy framework's provisions related to temporary land acquisition during civil works; (iv) ensure that the standards of living of affected households is restored or improved; (v) monitor the implementation process; (vi) assess the sufficient implementation of compensation, resettlement measures and social development assistance programs; (vii) identify problems or potential problems; and (viii) identify and implement quick response measures to mitigate emerging issues.

9. The report is established by CPMU to provide information and report to ADB on the implementation status of compensation and resettlement in the second six months of 2016 in the project provinces as of December 2016.

II. DETAILED PROGRESS OF RESETTLEMENT IMPLEMENTATION

1. Progress of preparation of social safeguards documents for the subprojects

10. At the time of this report, total 20 out of 26 required safeguard reports have been approved by ADB that includes 6 DDRs, 9 RPs, one (1) REMDP, two (2) uREMDPs and 2 uRPs. While 6 remaining reports including four (4) land donation reports and two (2) RPs have been submitted to ADB for consideration and approval. The table below describes more details on the status of preparation of social safeguards by subproject in provinces.

Table 3. Social safeguards documents required for subprojects

No	Name of Subprojects	Type of Required safeguard documents	Status of Documents
A	Ha Tinh Province		
1	Upgrading canal system of Rac River irrigation	DDR	Approved by ADB in Jan 2017
2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha District	Land donation report	Submitted to ADB
3	Upgrading Ho Dam and Tiem River canal system	No Land acquisition	NA
4	Upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho District	Land donation report	Submitted to ADB
5	Upgrading irrigation system of 3 Communes, Thach Ha District	Land donation report	Submitted to ADB
B	Thua Thien Hue Province		
1	Upgrading Dai Giang River Dike system	RP	Submitted to ADB
2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	RP	Approved by ADB in Jan 2017
3	Upgrading road combining with flood protection dike of Pho Lai - Thanh Cam - Nam Duong - Co Thap	Land donation report	Submitted to ADB
C	Binh Dinh Province		
1	Upgrading Nui Mot Reservoir's canal system	RP	Approved by ADB in Jul 2014
		uRP	Approved by ADB in Jun 2016
		DDR	Approved by ADB in Aug 2016
2	Upgrading Lai Giang Dam's primary canal	RP	Approved by ADB in Aug 2014
3	Upgrading Hoi Khanh Reservoir	RP	Approved by ADB in Aug 2014
		uRP	Approved by ADB in Aug 2016
4	Upgrading My Thuan Reservoir	RP	Approved by ADB in Aug 2016
5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	RP	Approved by ADB in December 2016
		DDR	Approved by ADB in Oct 2016

No	Name of Subprojects	Type of Required safeguard documents	Status of Documents
6	Upgrading Van Phong canal system	DDR	Approved by ADB in Aug 2016
D	Phu Yen Province		
1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	RP	Approved by ADB in Sep 2016
		DDR	Approved by ADB in Jul 2016
2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	RP	Approved by ADB in Aug 2016
3	Upgrading Suoi Cau weir and access road	RP	Approved by ADB in Oct 2016
4	Upgrading irrigated canal of Dong Tron reservoir's irrigation system	RP	Submitted to ADB in March 2017
E	Ninh Thuan Province		
1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	uREMDP	Approved by ADB in Jun 2016
2	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	REMDP	Approved by ADB in Jun 2016
		DDR	Approved by ADB in Jun 2016
F	Binh Thuan Province		
1	Upgrading Du Du Reservoir	No Land acquisition	NA
2	Upgrading Saloun Reservoir	uREMDP	Approved by ADB in Jul 2016
3	Upgrading North primary canal of Ba Bau irrigation system	No Land acquisition	NA
4	Upgrading primary canal of Quao River	No Land acquisition	NA

2. Scope of impacts caused by subprojects

11. As of December 2016, land acquisition implementation of the 20 subprojects in six provinces has affected to 5,001 households including 3,814 households are permanently affected and 1,187 HHs are temporarily affected during the construction process. Of the total 3,814 households having land are permanently acquired, a total of 3,707 HHs are marginally affected, 107 HHs are severely affected by losing more than 10% of agriculture land and 346 HHs are belonging to vulnerable groups. The detailed number of affected HHs in each province is presented in the below table.

Table 4. Number of affected HHs in the project provinces

Province	Permanently affected HHs				Temporarily affected HHs (*)	Total number of affected HHs
	Total	Marginally affected HHs	Severely affected HHs	Vulnerable Groups		
Ha Tinh	276	264	12	9	0	276

Province	Permanently affected HHs				Temporarily affected HHs (*)	Total number of affected HHs
	Total	Marginally affected HHs	Severely affected HHs	Vulnerable Groups		
Thua Thien Hue	276	276	0	0	0	276
Binh Dinh	858	858	0	0	1,187	2,045
Phu Yen	1,940	1,905	35	206	0	1,940
Ninh Thuan	334	274	60	131	0	334
Binh Thuan	130	130	0	0	0	130
Total	3,814	3,707	107	346	1,187	5,001

12. As of the reporting time, total 1,786,656 m² of land will be permanently acquired for construction of the subprojects. Agricultural land is acquired with the highest proportion in comparison with other types of land (accounting for 59.0% of the total permanently acquired land area). Meanwhile total 781,956 m² will be temporary impacted for construction of the subproject. Regarding area of land acquisition, Binh Dinh is determined as the province with the most acquired land area out of the remaining provinces. Acquired residential land area only accounts for 0.6% of the total acquired land area (with 6,326 m²). Data on acquired land in the provinces is presented in the table below:

Table 5. Affected land area in the project provinces

Province	Permanently acquired land area (m ²)							Temporarily affected land area (m ²)	Total temporarily and permanently affected land area (m ²)
	Agricultural land	Residential land	Aquaculture land	Garden Land	Forestry land	Other land	Total		
Ha Tinh	37,995	1,505	0	0	0	0	39,500	0	39,500
Thua Thien Hue	2,781	44	1,591	0	0	8,600	13,016	0	13,016
Binh Dinh	159,910	4,190	0	0	54,129	0	218,229	781,956	1,000,185
Phu Yen	276,898	0	1,595	8,585	539	102,584	390,200	0	390,200
Ninh Thuan	79,611	587	0	0	0	222,346	302,544	0	302,544
Binh Thuan	35,420	0	0	0	0	5,791	41,210	0	41,210
Total	592,614	6,326	3,186	8,585	54,668	339,321	1,004,700	781,956	1,786,656

13. Affected structures include temporary houses, graves and other structures. The DMS result shows that total of 1,447.4 m² of houses and 20,125.3 m² of structures is affected by the subproject. In addition, 151 graves are affected by the subprojects.

14. In addition, implementation of the subprojects affects 431,461.6 m² of rice and types of crops such as maize, potato, cassava, peanut, vegetable and grass. The implementation of subprojects in the six provinces had to cut off 81,755 timber and fruit trees. Detailed impacts on structures, trees and crops are presented in the table below:

Table 6 Impacts on structures, trees and crops caused by the project

Province	Affected structures (m ²)				Affected trees and rice/crops	
	House	Structures	Grave	Other	Rice/crop	Trees (tree)
			(Unit)		(m ²)	
Ha Tinh	-	448.0	-	-	-	540.0
Thua Thien Hue	54.9	46.7	39.0	-	844.5	4,069.0
Binh Dinh	1,379.0	6,071.0	112.0	-	233,815.0	51,565.0
Phu Yen	13.5	8,128.7	-	-	131,621.0	11,323.0
Ninh Thuan	-	5,053.9	-	-	64,789.6	13,933.0
Binh Thuan	-	377.0	-	-	391.5	325.0
Total	1,447.4	20,125.3	151.0	0.0	431,461.6	81,755.0

3. Information dissemination and community consultation

15. Information dissemination and community consultation activity is an implementation process during the project's implementation process. As of the reporting time, at least two times of information dissemination and community consultation have been organized in the project provinces. The information has been disseminated including:

- i) Detailed design of subproject's policies, those eligible for compensation and resettlement assistance.
- ii) The DMS, sub-project impact, eligibility particularly on the cut-off dates for this sub-project, grievance redress mechanism;
- iii) Mechanisms of payment, and grievance redress.
- iv) Consulting on the replacement cost, compensation payments and other entitlements of AHs as well as the additional assistance and allowances to the severely affected households, affected vulnerable group;
- v) Gender and vulnerable group issues;
- vi) Implementation schedule.

16. The first time of information dissemination and community consultation was organized by LIC during FS period in coordination with PPMUs to implement in Binh Thuan province in 2013, in Ha Tinh in 2016 and other provinces in 2014. The latest information dissemination and community consultation time was carried out in 2016, after approval detailed design and construction drawing design of subprojects by competent authorities, and information dissemination consultation meetings was conducted with communal authority and representatives of affected HHs in affected communes. Details are in the table below:

Table 7. Information on information dissemination and community consultation

Provinces	Times of information dissemination and community consultation	
	<i>The first time</i>	<i>The second time</i>
Ha Tinh	Jan-16	Oct/2015; Feb, Mar/2016
Thua Thien Hue	Mar-14	Apr-16
Binh Dinh	Apr-14	Sep/2014; Feb/May-15
Phu Yen	May and Jun/2014	May-16
Ninh Thuan	Aug-14	May, Sep 2016
Binh Thuan	Jul-13	Jan-16

17. For all public consultation meeting, the local authorities, communities and potentially affected households agreed on implementing of the subproject. All attendants were aware of project benefits and they will active participate during subproject implementation. The main feedbacks from AHs is below:

- i) All of the AHs participating in the meetings agree with compensation policy of the project and agree with compensation unit price issued by the PPC;
- ii) Vulnerable AHs agree to receive compensation and assistance in cash in accordance with the project's policy;
- iii) The participants in the meetings propose that it needs to have strict coordination of PPMU and contractor with local authority to ensure security as well as environment during the construction process;
- iv) The AHs propose that PPMU should require contractor to restore the site to its original status after construction;
- v) Representatives of the AHs propose to be employed by contractor to do suitable work during the construction process.

18. Regarding to recommendations and opinions of the participants, the PPMU and relevant parties have responded as follows:

- i) The PPMU and the contractors will work closely in order to ensure security as well as environment during the construction process.
- ii) The PPMU confirmed that the contractors have to restore the site to its original status after construction completion.
- iii) The PPMU will work closely with the contractor to recruit the member of affected households to perform activities that are suitable to their conditions during construction process.

19. In addition, during the project implementation process, localities have conducted information dissemination and community consultation through different ways such as community meetings, delivery of leaflet, pasting notices at CPCs/village and through local loudspeaker.

4. Implementation process of DMS

20. As of the reporting time, all of 20 subprojects requiring resettlement have completed DMS for affected HHs. Of which, nine (09) subprojects completed DMS in 2015 and other 11 subprojects completed DMS in 2016. DMS data of subprojects in the project provinces is presented in the table below:

Table 8. Implementation process of DMS

Provinces	Number of subprojects completed DMS in 2015	Number of subprojects completed DMS in 2016	Number of subprojects not completed DMS	Number of subprojects requiring land acquisition
Ha Tinh	1	3	0	4
Thua Thien Hue	0	3	0	3
Binh Dinh	5	1	0	6
Phu Yen	1	3	0	4

Provinces	Number of subprojects completed DMS in 2015	Number of subprojects completed DMS in 2016	Number of subprojects not completed DMS	Number of subprojects requiring land acquisition
Ninh Thuan	2	0	0	2
Binh Thuan	0	1	0	1
Total	9	11	0	20

5. Preparation and approval of compensation plan

21. By the reporting time, 20/20 subprojects have approved the compensation plans for affected assets. In order to ensure entitlement of affected HHs, the compensation plans are prepared basing on entitlement matrix which is separately determined in RP/uRP and REMDP/uREMDP for each subproject. Data on status of preparation and approval of compensation plan is presented in the table below:

Table 9. Progress of preparation and approval of compensation plan

Provinces	Number of subprojects not approved compensation plan yet	Number of subprojects approved compensation plan	Number of subprojects requiring land acquisition
Ha Tinh	0	4	4
Thua Thien Hue	0	3	3
Binh Dinh	0	6	6 ¹
Phu Yen	0	4	4
Ninh Thuan	0	2	2
Binh Thuan	0	1	1
Total	0	20	20

6. Compensation and assistance payment

22. Up to now, the implementation of compensation and assistance payment to the affected households has basically completed with a total number of affected HHs received compensation and assistance is 4,203 HHs with compensation amount of VND 57,569,348,244 (equivalent to US\$ 2,530,521). Detailed compensation and assistance payment progress in the provinces is presented in the table below:

Table 10. Implementation status of compensation payment

Provinces	Number of HHs received compensation	Compensation and assistance amount paid to affected HHs	Remarks
Ha Tinh	40	1,026,537,720	236 HHs donated their land to SP, no compensation to those
Thua Thien Hue	59	300,781,000	151 HHs donated their land to SP RP of Dai Giang SP has been not approved yet, therefore, the district has yet to pay the compensation to AHs (66 AHs)

¹ Although, six subprojects in Binh Dinh province have approved the compensation plan for affected households, but one of compensation plan for AHs in Nhon Tho commune of Upgrading rural infrastructures in Nhon Tho - Nhon Khanh SP are being adjusted as updating new compensation rate. Therefore, AHs in Nhon Tho commune are not paid the compensation and assistance for their affected assets.

Provinces	Number of HHs received compensation	Compensation and assistance amount paid to affected HHs	Remarks
Binh Dinh	1,810	12,099,015,824	<i>The compensation rate for affected assets in Nhon Tho commune are being adjusted, therefore, not yet completed for compensation (235 AHs)</i>
Phu Yen	1,940	31,838,769,000	
Ninh Thuan	334	12,234,896,000	
Binh Thuan	20	69,348,700	130 HHs donated their land to SP, just 20 HHs out of 130 HHs received compensation for assets on affected land
Total in VND	4,203	57,569,348,244	
in USD		2,530,521	

7. Process of land acquisition and resettlement

23. By the reporting time, 18 subprojects have completed on site clearance, except SP of upgrading Dai Giang River Dike system in Thua Thien Hue province and SP of upgrading rural infrastructures in Nhon Tho - Nhon Khanh in Binh Dinh province. For upgrading Dai Giang River Dike system SP, as mentioned above the RP of upgrading Dai Giang River Dike system SP has not been approved yet, therefore, the land fund development center has yet to conduct payment the compensation and assistance to affected households. For SP of upgrading rural infrastructures in Nhon Tho - Nhon Khanh, compensation payment and site clearance have completed for section of Nhon Loc and Nhon Khanh communes at the end of December 2016, and this has not completed for the section of Nhon Tho commune yet. The compensation for AHs of this commune is expected to complete at the early Second Quarter 2017.

24. Of the total 5,001 households affected by subprojects, none of the affected households had to relocate by land acquisition activity.

Table 11. Process of resettlement and site clearance

Provinces	Number of subprojects	Number of subprojects requiring land acquisition	Number of subprojects completed on site clearance
Ha Tinh	5	4	4
Thua Thien Hue	3	3	2
Binh Dinh	6	6	5
Phu Yen	4	4	4
Ninh Thuan	2	2	2
Binh Thuan	4	1	1
Total	24	20	18

8. Restoration of AHs' livelihoods and rehabilitation of AHs' lives

25. Besides compensation for affected land and property, the project has adopted many policies to support affected households to ensure the progress of rehabilitation work as well as the interests of the people and help them to restore stable life.

26. At the time of the report, total of 2,184 AHs were paid assistance for change of job with regards to their livelihood stabilization and income restoration with total value of VND 16,041,010,275. See details in the table as below:

Table 12. Implementation status of livelihood restoration and rehabilitation of AHs

Provinces	Number of HHs receiving assistances	Assistance amount paid to affected HHs
Ha Tinh	40	647,522,820
Thua Thien Hue	40	195,507,650
Binh Dinh	726	4,377,941,700
Phu Yen	1,219	9,585,768,105
Ninh Thuan	159	1,234,270,000
Binh Thuan	0	0
Total	2,184	16,041,010,275

Notes: There is no assistance for change of job because all of affected households (130 HHs) have agreed to donate their land to the subproject.

27. According to the project policies, SAH and AHs of the vulnerable group, apart from receiving compensation for affected assets, get assistance amount to stabilize their life and restore their livelihood. In case, AHs wish to take part in job trainings after their land is acquired, the project will create favorable conditions and support SAHs to send their application letters in the vocational training centers of the district and province. However, at the time of report, DMS shows that AHs decided to receive assistance amount for their life stabilization in cash and find new jobs by themselves instead of attending vocational training courses would be arranged by the subproject.

9. Assess degree of APs' satisfaction of compensation and resettlement

28. At least 02 public consultations were conducted for each SP by CPMU/LIC/PPMU and others by local authorities. In those public consultation, information related to project implementation including impact scale, impact level, compensation plan and compensation price and public information booklet were delivered to AHs. Besides, a grievance procedures and grievance redress scheme was established on compensation in the project. In the stage of preparing and updating RP/REMDP a grievance scheme of compensation and resettlement was prepared and delivered to AHs in project communes.

29. By the reporting time, it has not recorded any complaint on the implementation of compensation and assistance payment of the project. The local people in general are satisfied with the result of the lost inventory, compensation price assistance and resettlement policies of the Project.

10. Conformity of resettlement implementation progress with civil works progress and next plan

30. According to the project requirements, compensation is only made when RP/uRP or REMDP/uREMDP is approved by ADB and construction only commences when compensation, assistance payment is implemented.

31. With this regards, 04 SPs: 01 in Ninh Thuan, 02 SPs in Binh Dinh and 01 SP in Phu Yen paid compensation in advance before RP/REMDP is approved by ADB. Therefore, ADB required to prepare DDR for those SPs and at present DDRs are being prepared by LIC. At the time of this reports, all of these documents have been prepared and submitted to ADB, as above mentioned all of these reports have been approved by ADB.

32. In addition, although the RP of Dong Tron SP in Phu Yen province just submitted to ADB at early of March 2017, but based on the letter of ADB on 16th December 2016 regarding acceptance for SP to conduct compensation before approving the RP, therefore, this SP have paid the compensation and assistance to affected households.

III. PENDING ISSUES

1. Outstanding issues

33. In general, almost of SPs now have completed for the implementation of compensation and land acquisition, except the SP of upgrading Dai Giang River Dike system in Thua Thien Hue province and Nhon Tho – Nhon Khanh in Binh Dinh province. For these SPs, the compensation plan for affected households are prepared however the RP of this SP has not been approved yet, therefore, the compensation is not conducted to the affected households. One other remaining is one section of Upgrading rural infrastructures in Nhon Tho - Nhon Khanh SP, because the time of land acquisition implementation is long, therefore, now the compensation rate are being adjusted as updating new compensation rate, once the compensation rate is approved the compensation payment will be made to the affected households. It is expected that the affected households will received compensation and assistance at early second Quarter 2017.

2. Solutions for the pending issues and responsibility of relevant agencies

34. The PPMU of Binh Dinh province need to actively cooperate with Units implementing compensation in updating and approving the compensation plan for affected assets of HHs. And the PPMU also needs to frequently report to CPMU and LIC on the arising issues during the implementation process.

35. In addition, the PPMU of Thua Thien Hue should proactively cooperate with CPMU and LIC in preparation the required social safeguards reports in supporting subproject documents in order to get the approval from ADB.

IV. CONCLUSION AND RECOMMENDATION

1. Conclusions

36. At the beginning stage of the project, the project provinces have not fully complied with regulations of the project on resettlement so it has been supplemented and adjusted procedure and process on implementing compensation and resettlement many times. However, up to now, the project provinces have proactively cooperated with stakeholders to ensure that compensation, assistance and resettlement activity will be fully complied with regulations mentioned in uREMDF of the project.

37. In general, affected HHs agree and support implementation of the SPs in locality. For HHs received compensation and assistance amount, none of them has complaint about the compensation payment.

2. Recommendations

38. PPMUs need to ensure full compliance with process and procedure of the project on implementation of compensation, assistance and resettlement. The PPMUs need to strictly cooperate with DLFDCs and CPCs to ensure that compensation procedures will be complied in accordance with regulations in uREMDF of the project.

39. The PPMUs needs to cooperate with authority at district and commune levels to fully disseminate information on compensation and assistance policy of the project; and publicly post the uREMDF and approved RPs/uRPs/REMDPs/uREMDPs at the office of affected communes. Besides, it needs to fully deliver project operational manual to each affected HH. It needs to ensure that opinions and comments of people in the implementation process of compensation and assistance plan will be recorded and feedback.

40. It needs to ensure strict coordination among CPMU, LIC and PPMUs to find out and resolve any arising issue relating to compensation and resettlement during the project implementation process.

Annexes:(8 annexes in the attached excel files)