

# **INTERNAL SOCIAL SAFEGUARDS MONITORING REPORT ON RESETTLEMENT**

**(From July 2018 to December 2018)**

---

Semi annual report

Loan Number: 3173

January, 2019

## **L3173-VIE: INTEGRATED RURAL DEVELOPMENT SECTOR PROJECT IN THE CENTRAL PROVINCES (Additional Financing)**

Prepared by Ministry of Agriculture and Rural Development for the Asian Development Bank

## **CURRENCY EQUIVALENS**

Currency unit: Vietnam Dong (VND) and US dollar (US\$)

Exchange rate on 3<sup>th</sup> January 2019: \$1 = VND 23,250

## **ABBREVIATIONS**

ADB	Asian Development Bank
AHs	Affected Households
APs	Affected Persons
APMB	Agriculture Project Management Board
CARB	Compensation Assistance and Resettlement Board
CPC	Commune Peoples' Committee
CPMU	Central Project Management Unit
CSB	Commune Supervisory Board
DARD	Department of Agriculture and Rural Development
DLFDC	District Land Fund Development Center
DMS	Detailed Measurement Survey
AHs	Displaced Persons
DPC	District Peoples' Committee
DRCs	District Resettlement Committee
EM	Ethnic Minority
EMDP	Ethnic Minority Development Plan
GAP	Gender Action Plan
GoV	Government of Vietnam
HHs	Households
HIV-AIDS	Human Immuno Virus-Acute Immune Deficiency Syndrome
IOL	Inventory of Losses
IPP	Indigenous Peoples' Plan
IRDSPCP	Integrated Rural Development Sector Project in Central Provinces
IRMR	Internal Resettlement Monitoring Report
LIC	Loan Implementation Consultant
LURC	Land Use Registration Certificate
MARD	Ministry of Agriculture and Rural Development
N-T-P	Notice-to-Proceed
ODA	Official Development Assistance
O&M	Operation and Maintenance
PIB	Project Information Booklet
PPC	Provincial Peoples' Committee
PPMS	Project Performance Monitoring System
PPMU	Provincial Project Management Unit

REMDF	Resettlement and Ethnic Minority Development Framework
REMDP	Resettlement and Ethnic Minority Development Plan
RP	Resettlement Plan
ROW	Right-of-Way
SAH	Severely Affected Household
SES	Socio-Economic Survey
SP	Subproject
SPS	Safeguards Policy Statement
STI	Sexually-transmitted infection
VND	Vietnamese Dong
VWU	Vietnamese Women's Union
WUGs	Water Users Groups

## **GLOSSARIES**

- Displaced person (DP)** - Means any person or persons, household, firm, private or public institution that are fully or partially, permanently or temporarily physically displaced (relocated, lost residential land, or lost shelter) and/or economically displaced (lost land, assets, access to assets, income sources or means of livelihood) due to (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. AHs could be of three types: (i) persons with formal legal rights to land lost; (ii) persons who have claims to such lands that are recognized or recognizable under national laws; and (iii) persons who have neither formal legal rights nor recognized or recognizable claims to the lost land. In the case of a household, the term DP includes all members residing under one roof and operating as a single economic unit, who are adversely affected by a project or any of its components.
- Compensation** - Means payment in cash or in kind to replace losses of land, housing, income and other assets caused by the Project. All compensation is based on the principle of replacement cost, which is the method of valuing assets to replace the loss at current market rates, plus any transaction costs such as administrative charges, taxes, registration and titling costs.
- Cut-off date** - Means the date of project land acquisition announcement by competent agency. The AHs will be informed of the cut-off date for each project component, and any person who settled or assets created in the project area after the cut-off date will not be entitled to compensation and assistance under the project.
- Detailed Measurement Survey (DMS)** - Based on the approved detailed engineering design, this activity involves the finalization of subproject land acquisition and resettlement impacts, including final cost of resettlement. This is the process where all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; secondary structures, such as fences, tombs, wells; trees with commercial value; etc.) and sources of income and livelihood inside the Project right-of-way (project area) are identified, measured, their owners identified, their exact location pinpointed, and their replacement costs calculated. Additionally, the severity of impact to the affected assets and the severity of impact to the livelihood and productive capacity of AHs will be determined.
- Ethnic Minority (EM)** - Any ethnic group of Vietnam, except the dominant Kinh Group, who has the following characteristics at different levels - living in the rural and remote area or having ancestor's territory in the project area and depending on natural resources of these area and territory, and having a social or cultural identity distinct from that of the dominant group (Kinh-Viet).
- Entitlement** - A range of various activities including compensation, assistance in income restoration, shift in occupation, relocation... etc, provided to affected people based on the type and level of impact which aims to restore their socio-economic conditions.

<b>Income Restoration Program</b>	- A program designed with various activities that aim to support affected persons to recover their income / livelihood to pre-project levels. The program is designed to address the specific needs of the affected persons based on the socio-economic survey and consultations.
<b>Land acquisition</b>	- Refers to the process whereby an individual, household, firm or private institution is compelled by a public agency to alienate all or part of the land it owns or possesses to the ownership and possession of that agency for public purposes in return for compensation at replacement costs
<b>Rehabilitation</b>	- This refers to additional support provided to APs losing productive assets, incomes, employment or sources of living, to supplement payment of compensation for acquired assets, in order to achieve, at a minimum, full restoration of living standards and quality of life
<b>Relocation</b>	- This is the physical relocation of an AP from her/his pre-project place of residence and/or business.
<b>Resettlement and Ethnic Minorities Development Plan (REMDP)</b>	- A plan for resettlement of an ethnic minority population, combining the resettlement plan with specific ethnic minority concerns and cultural sensitivity for the specific needs of the ethnic minority groups.
<b>Replacement Cost</b>	- The amount needed to replace an affected asset net of transaction costs such as administrative charges, taxes, registration and titling costs
<b>Replacement Cost Survey</b>	- This refers to the process involved in determining replacement costs of affected assets based on empirical data
<b>Resettlement</b>	- This includes all measures taken to mitigate any and all adverse impacts of a project on AP property and/or livelihoods, including compensation, relocation (where relevant), and rehabilitation as needed.
<b>Severely affected household (SAH)</b>	- This refers to affected households who will (i) lose 10% or more of their total productive land and/or assets, (ii) have to relocate; and/or (iii) lose 10% or more of their total income sources due to the subproject.
<b>Stakeholders</b>	- Individuals, groups, or institutions that have an interest or stake in the outcome of a project. The term also applies to those potentially affected by a project. Stakeholders include land users, country, regional and local governments, implementing agencies, project executing agencies, groups contracted to conduct project activities at various stages of the project, and other groups in the civil society which may have an interest in the project.
<b>Vulnerable group</b>	- These are distinct groups of people who might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically include: (i) female headed households with dependents, (ii) disabled household heads, (iii) households falling under the generally accepted indicator for poverty, (iv) children and the elderly households who have no other means of support, (v) landless, and (vi) ethnic minorities.

## **WEIGHTS AND MEASURES**

<b>km</b>	<b>-</b>	<b>kilometer</b>
<b>kg</b>	<b>-</b>	<b>kilogram</b>
<b>ha</b>	<b>-</b>	<b>hectare</b>
<b>m</b>	<b>-</b>	<b>meter</b>

## **NOTE**

This Internal Social Safeguards Monitoring Report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

# Contents

<b>I. GENERAL INFORMATION.....</b>	<b>9</b>
1. Objectives of the project .....	9
2. Information of Subprojects .....	9
3. Social safeguards documents required for preparation of subprojects .....	10
4. Social safeguards documents required for preparation of new addition subprojects .....	12
5. Objectives of internal monitoring .....	12
6. Institutional arrangements.....	13
<b>II. DETAILED PROGRESS OF RESETTLEMENT IMPLEMENTATION PLANS .....</b>	<b>13</b>
1. Progress of preparation of social safeguards documents for the subprojects.....	13
2. Progress of preparation of social safeguards documents for the addition subprojects/additional components .....	15
3. Scope of impacts caused by subprojects .....	15
4. Information dissemination and community consultation .....	17
5. Implementation process of DMS .....	19
6. Preparation and approval of compensation plan .....	20
7. Compensation and assistance payment .....	21
8. Process of land acquisition and resettlement .....	21
9. Restoration of AHs' livelihoods and rehabilitation of AHs' livelihood.....	22
10. Assessment on the degree of APs' satisfaction concerning compensation and resettlement. 24	
11. Conformity of resettlement implementation progress with civil works progress and next plan 24	
12. The status of implementation of payment of additional assistances for affected households as for the agreed actions in the approved Due Diligence Reports (DDR). ....	24
<b>IV. PENDING ISSUES.....</b>	<b>28</b>
<b>V. CONCLUSIONS AND RECOMMENDATIONS .....</b>	<b>29</b>
1. Conclusions .....	29
2. Recommendations.....	29

## **ANNEXS**

Annex 1: Updated progress of resettlement implementation as of 31/12/2018	
Annex 2: Number of Affected Households as of 31/12/2018	
Annex 3: Acquired Land Area as of 31/12/2018	
Annex 4: Affected Houses/Structures and Trees/Crops as of 31/12/2018	
Annex 5: Status of Compensation Payment as of 31/12/2018	
Annex 6: Status of Grievance Redress as of 31/12/2018	
Annex 7: Resettlement implementation progress, as of 31/12/2018	
Annex 8: Check List of Entitlements: Compensation and Allowances as of 31/12/2018.	

## **LIST OF TABLES**

Table 1. Number of subprojects by Provinces .....	9
Table 2. Information of social safeguards Documents required by Subprojects .....	10
Table 3. Social safeguards documents required for subprojects .....	13
Table 4. Number of affected HHs in the project provinces .....	15
Table 5. Affected land area in the project provinces .....	16
Table 6. Impacts on structures, trees and crops caused by the project .....	17
Table 7. Information on information dissemination and community consultation .....	17
Table 8. Implementation process of DMS .....	20
Table 9. Progress of preparation and approval of compensation plan .....	20
Table 10. Implementation status of compensation payment .....	21
Table 11. Process of resettlement and site clearance .....	21
Table 12. Implementation status of livelihood restoration and rehabilitation of AHs .....	22
Table 13. AH member recruited by contractors under subprojects .....	22
Table 14. Status of implementation of the agreed actions in the approved DDRs .....	24
Table 15. The status of resolving the issues raised by ADB Mission from 12 <sup>th</sup> to 23 <sup>th</sup> November 2018 .....	28



## I. GENERAL INFORMATION

### 1. Objectives of the project

1. Loan No. 3173 (SF) –Additional financing of the Integrated Rural Development Sector Project in Central Provinces (IRDSPCP) was approved by ADB on 20 October 2014. The Additional financing costs US\$92.5 million, US\$85 million through a loan from the ADB, and the remaining US\$7.5 million contributed by The Government. The Loan Agreement between ADB and the State's Bank of Vietnam was signed on 23 January, 2015, become effective from 22 June, 2015 and the Loan will be closed on 30 June, 2019. The main office of ADB in Vietnam has been responsible for management of the additional financing since November 2014.

2. The objectives of project is to improve the livelihoods and standards of living for the rural population in the Central Region. The objectives will be gained through improving the quality, expanding irrigation area, improving connectability among infrastructures, increasing agriculture production, creating more job opportunities, improving health and education condition and reducing damage caused by natural disaster for people living in the provinces participating in the project. The project comprises three components: (i) improving infrastructure in rural infrastructure in the Central Region; (ii) improved capacities of (a) national, provincial, district and commune staff in project management and supervision, and (b) farmers in agricultural production;and(iii) improved project management skills for infrastructure development.

3. The Executing Agency (EA) for the IRDPCP is the Ministry of Agriculture and Rural Development (MARD), with responsibility delegated to the IRDPCP Central Project Management Unit (CPMU) to monitor the implementation of project. Agencies implementing subprojects are the PPCs of six provinces participating in the project. Central Project Management Unit (CPMU) – under Agriculture Project Management Board is established with responsibility for implementing the project at Central level. At the provincial level, PPMUs under MARD are established by PPCs and responsible for managing daily activities of the project.

### 2. Information of Subprojects

4. ADB's mission in June 2012 conducted screening of subprojects under the additional financing. The five screening criterias are: (i) dealing with urgent need of proposed beneficiaries; (ii) limiting land acquisition and impact on resettlement and only insignificant impacts on environment; (iii) simple design is well prepared and easy to understand; (iv) easy to build and with a minimum of civil works contract packages; and (v) subproject cost is greater than \$2 million and less than \$7 million equivalent. There have been 24 subprojects screened in six provinces (including Ha Tinh, Thua Thien Hue, Binh Dinh, Phu Yen, Ninh Thuan and Binh Thuan). These subprojects are expected to irrigate 29,000 ha of agriculture land, about 115km of road on canals and 24.5 km of dike for preventing flood to improve road to important irrigation works, and improve accessibility to agricultural product market.

5. The project originally included 24 subprojects in which 20 subprojects requiring land acquisition and 04 subprojects not requiring land acquisition are determined. The subprojects in provinces are as follows:

**Table 1. Number of subprojects by Provinces**

Province	Number of Sub-project	Subproject with land acquisition
Ha Tinh	5	4
Thua Thien Hue	3	3
Binh Dinh	6	6

Province	Number of Sub-project	Subproject with land acquisition
Phu Yen	5	4
Ninh Thuan	3	3
Binh Thuan	4	1
<b>Total</b>	<b>26</b>	<b>20</b>

6. Beside, based on the saving budget from project implementation, two new subprojects and seven additional works to ongoing subprojects have been proposed. These two new SPs are NT3/Upgrading the infrastructures for safety vegetable production in An Hai commune, Ninh Phuoc district, NinhThuan Provinceand PY5/Tam Giang irrigation system upgrading, while the other 7 investments are considered only as additional components ofongoing/completed subprojects, including(i) Upgrading 2 secondary canals (N9 and N13) of Quao river system, Binh Thuan province (ii) Upgrading the North primary canal of Ba Bau irrigation system, Binh Thuan province; (iii) Repairing and upgrading canals of Rac River irrigation system and (iv) Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district in Ha Tinh province and (v) Upgrading and extending Quan culvert, Thua Thien Hue province. (vi) Upgrading tertiary canals of Cham irrigation scheme, Ninh Thuan province and (vii) calamity remedies of Van Phong irrigation scheme, Binh Dinh province. Three out of ninenew subproject/additional component will involve land acquisition. Concerning the new SP PY5/Tam Giang, the PPMU of Phu Yen has not yet provided information on resettlement matters.

### 3. Social safeguards documents required for preparation of subprojects

7. As above mentioned, there are 24 + 2 subprojects in the whole project, 21 of which are subject to land acquisition. Therefore, according to ADB's SPS, 26 social safeguards documents related to resettlement and social issues need to be prepared and approved for those subprojects. While for some SP, RP or REMDPs have to be prepared. DDRs are also needed for some subprojects where land has been acquired before RP's approval according to ADB requirements. These include11 Resettlement Plans (RPs), 3 Resettlement and Ethnic Minority Development Plan (1 REMDP and 2 uREMDPs), 6 Due Diligence Report (DDR), 2 update Resettlement Plans (uRPs) and 4 Land Donation Reports (LDRs). The table below describes the information of required safeguards documents by subprojects.

**Table 2.Information of social safeguards Documents required by Subprojects**

No	Name of Subprojects	Type of Required safeguard documents					Notes
		RP	uRP	REMDP /uREMDP	DDR	Land Donation Reports	
<b>A</b>	<b>Ha Tinh Province</b>						
1	Upgrading canal system of Rac River irrigation				√		
2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha District					√	
3	Upgrading Ho Dam and Tiem River canal system						No land acquisition
4	Upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho District					√	
5	Upgrading irrigation system of 3 Communes, Thach Ha District					√	
<b>B</b>	<b>Thua Thien Hue Province</b>						

No	Name of Subprojects	Type of Required safeguard documents					Notes
		RP	uRP	REMDP /uREMDP	DDR	Land Donation Reports	
1	Upgrading Dai Giang River Dike system	√					
2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	√					
3	Upgrading road combining with flood protection dike of Pho Lai - Thanh Cam - Nam Duong - Co Thap					√	
<b>C</b>	<b>Binh Dinh Province</b>						
1	Upgrading Nui Mot Reservoir's canal system	√	√		√		
2	Upgrading Lai Giang Dam's primary canal	√					
3	Upgrading Hoi Khanh Reservoir	√	√				
4	Upgrading My Thuan Reservoir	√					
5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	√			√		
6	Upgrading Van Phong canal system				√		
<b>D</b>	<b>Phu Yen Province</b>						
1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	√			√		
2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	√					
3	Upgrading Suoi Cau weir and access road	√					
4	Upgrading irrigated canal of Dong Tron reservoir's irrigation system	√					
<b>E</b>	<b>Ninh Thuan Province</b>						
1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system			√			
2	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system			√			
					√		
<b>F</b>	<b>Binh Thuan Province</b>						
1	Upgrading Du Du Reservoir						No land acquisition
2	Upgrading Saloun Reservoir			√			
3	Upgrading North primary canal of Ba Bau irrigation system						No land acquisition
4	Upgrading primary canal of Quao River						No land acquisition
	<b>Total</b>	<b>11</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>4</b>	

#### **4. Social safeguards documents required for preparation of new addition subprojects**

8. As above mentioned, there are 9 new subproject/additional components. Upon request of ADB in the letter dated 29 March 2017 on reviewing new additional SPs it has been proposed to use saving budget from the Project–Additional Financing, following the agreed screening criteria. The Central Project Management Unit (CPMU) and the Loan Implementation Consultants (LIC) organized a field trip<sup>1</sup> to the eight new subproject/additional components in provinces of Binh Dinh, Phu Yen, Ninh Thuan, Binh Thuan, Ha Tinh and Thua Thien Hue from 10 to 19 April 2017 and the detailed information of findings are those following:

9. Five out of eight new subproject/additional components will not involve any land acquisition as the construction activities are mainly located within the land area of existing works, (i) Upgrading 2 secondary canals (N9 and N13) of Quao river system, Binh Thuan province (ii) Upgrading the North primary canal of Ba Bau irrigation system, Binh Thuan province; (iii) Repairing and upgrading canals of Rac River irrigation system and (iv) Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district in Ha Tinh province and (v) Upgrading and extending Quan culvert, Thua Thien Hue province.

10. Therefore, the only 3 exceptions concern the preparation of (i) a new REMDP for the SP titled “Upgrading the infrastructures for safety vegetable production NT3 in An Hai commune, Ninh Phuoc district, Ninh Thuan Province”; (ii) REMDP for the SP titled “Upgrading tertiary canals of Cham irrigation scheme, Ninh Thuan province”, and (iii) an addendum to the Updated Resettlement Plan for Canal N24 Van Phong irrigation scheme subproject, Binh Dinh province. For the PY5/Tam Giang see below.

11. For PY5/Tam Giang, the CPMU has yet to summon LIC to visit this subproject; so far LIC has no information on this SP as mentioned in this report. The schedule for visiting of this SP is February 2019 and the findings of field trip will be reflected in the 1<sup>st</sup> IMR 2019.

#### **5. Objectives of internal monitoring**

12. Because this project is categorized as B in IR and IP, it only requires having internal monitoring reports during project implementation. The main purpose of the monitoring and evaluation program is to ensure that matters related to involuntary resettlement have been implemented in accordance with the policies and procedures of the RPs/REMDPs/DDR following ADB SPS 2009 and the uREMDF.

13. The general objectives of internal monitoring are to: (i) report on the status and assess the compliance with the agreed social safeguards documents, including RP/REMDP, DDR and land donation reports; (ii) confirm the availability/handover of land to the proposed subprojects; (iii) monitor contractors’ compliance to policy framework’s provisions related to temporary land acquisition during civil works; (iv) ensure that the standards of living of affected households is restored or improved; (v) monitor the implementation process; (vi) assess the sufficient implementation of compensation, resettlement measures and social development assistance programs; (vii) identify problems or potential problems; and (viii) identify and implement quick response measures to mitigate emerging issues.

14. This report is needed by CPMU to provide information and report to ADB on the implementation status of compensation and resettlement in the second half of 2018 in the project provinces, covering the period from July to December 2018.

---

<sup>1</sup>The CPMU (Ms. Pham Hoang Lan - Deputy Director; Mr. Pham Hien Luong - Technical staff; Mr. Pham Cong Duc - Technical staff; Ms. Hoang Thi Van - Safeguard Policy staff); LIC (Mr. Nguyen Van Toan - Irrigation specialist; Mr. Pham Ngoc Thang - Economist; Ms. Do Thi Nham - Environmental specialist; Mr. Nguyen Vinh Phu - Resettlement specialist).

## 6. Institutional arrangements

15. In order to ensure the compliance of the project with regard to the implementation of safeguard policies of ADB, since the beginning of the project preparation stage, the PPMUs have assigned an officer who is responsible for social safeguard policy issues, including social and land acquisition and environment with the support of the Specialists in term of resettlement and environment from project implementation consultant (PIC). Training courses on social safeguards policy have been implemented since the early stages of the project and additional supports are also provided to officials of PPMUs during project implementation. Therefore, all of the social safeguard issues of the project have been implemented in compliance with ADB's requirements and policy framework approved for the project.

### II. DETAILED PROGRESS OF RESETTLEMENT IMPLEMENTATION PLANS

#### 1. Progress of preparation of social safeguards documents for the subprojects

16. At the time of this report, total 26/26 required safeguard reports on resettlement have been approved by ADB, including six DDRs, 11 RPs, one REMDP, two uREMDPs, two uRPs and four Land Donation reports. The table below describes more details on the status of preparation of social safeguards by subproject in provinces.

**Table 3. Social safeguards documents required for subprojects**

No	Name of Subprojects	Type of Required safeguard documents	Status of Documents
<b>A</b>	<b>Ha Tinh Province</b>		
1	Upgrading canal system of Rac River irrigation	DDR	Approved by ADB in Jan 2017
2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha District	Land donation report	Submitted to ADB in 2016
3	Upgrading Ho Dam and Tiem River canal system	No land acquisition	NA
4	Upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho District	Land donation report	Submitted to ADB in 2016
5	Upgrading irrigation system of 3 Communes, Thach Ha District	Land donation report	Submitted to ADB in 2016
<b>B</b>	<b>Thua Thien Hue Province</b>		
1	Upgrading Dai Giang River Dike system	RP	Approved by ADB on 13 March 2017
2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	RP	Approved by ADB in Jan 2017
3	Upgrading road combining with flood protection dike of Pho Lai - Thanh Cam - Nam Duong - Co Thap	Land donation report	Submitted to ADB in 2017
<b>C</b>	<b>Binh Dinh Province</b>		
1	Upgrading Nui Mot Reservoir's canal system	RP	Approved by ADB in Jul 2014
		uRP	Approved by ADB in Jun 2016
		DDR	Approved by ADB in Aug 2016

No	Name of Subprojects	Type of Required safeguard documents	Status of Documents
2	Upgrading Lai Giang Dam's primary canal	RP	Approved by ADB in Aug 2014
3	Upgrading Hoi Khanh Reservoir	RP	Approved by ADB in Aug 2014
		uRP	Approved by ADB in Aug 2016
4	Upgrading My Thuan Reservoir	RP	Approved by ADB in Aug 2016
5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	RP	Approved by ADB in December 2016
		DDR	Approved by ADB in Oct 2016
6	Upgrading Van Phong canal system	DDR	Approved by ADB in Aug 2016
<b>D</b>	<b>Phu Yen Province</b>		
1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	RP	Approved by ADB in Sep 2016
		DDR	Approved by ADB in Jul 2016
2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	RP	Approved by ADB in Aug 2016
3	Upgrading Suoi Cau weir and access road	RP	Approved by ADB in Oct 2016
4	Upgrading irrigated canal of Dong Tron reservoir's irrigation system	RP	Approved by ADB on 21March2017
<b>E</b>	<b>Ninh Thuan Province</b>		
1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	uREMDP	Approved by ADB in Jun 2016
2	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	REMDP	Approved by ADB in Jun 2016
		DDR	Approved by ADB in Jun 2016
<b>F</b>	<b>Binh Thuan Province</b>		
1	Upgrading Du Du Reservoir	No Land acquisition	NA
2	Upgrading Saloun Reservoir	uREMDP	Approved by ADB in Jul 2016
3	Upgrading North primary canal of Ba Bau irrigation system	No Land acquisition	NA
4	Upgrading primary canal of Quao River	No Land acquisition	NA

## 2. Progress of preparation of social safeguards documents for the additional subprojects/additional components

17. Regarding to SP of “Upgrading the infrastructures for safe vegetable cultivation in An Hai commune, Ninh Phuoc district, Ninh Thuan Province”, on 12<sup>th</sup> September 2018 ADB has issued the letter to approve its REMDP. However, the technical design of this SP has been changed regarding the locations of six water tanks in the land of six households. However, there is no significant changes in resettlement/compensation terms because the area of the water tanks are not changed from the 853 m<sup>2</sup> for each tank, corresponding to those of the original design. The change concerns only their position in the land plot of the same households.. However, the compensation plans are not yet approved for all the AHs. The time of payment is expected in February 2019.

18. Regarding to SP “Repairing calamity impacts of Van Phong irrigation canal system subproject”, the public consultation meetings and SES for 5 communes and affected households have been conducted by CPMU, PPMU and LIC from 15 to 18 April 2018. At the end of June 2018, the addendum RP has been submitted to ADB and at the time of reporting, on 4<sup>th</sup> July 2018, the ADB has issued the NOL to the resettlement plan for this subproject. Based on the approved RP, the payment of compensation have been done for all affected households (45 households) in July 2018.

19. For SP of Upgrading tertiary canals of Cham irrigation scheme, Ninh Thuan province: As per PPMU’s information, now they are working with the relevant authorities to prepare for subproject implementation in term of land acquisition. It is expected that the DMS will be completed within February 2019. After completion of the DMS, the REMDP of this subproject will be prepared and submitted to ADB for review and approval.

## 3. Scope of impacts caused by subprojects

20. As of December 2018, land acquisition implementation of the 20 subprojects and 3 additional subprojects/components in six provinces has affected 5,193 households including 3,808 households on a permanent basis and 1,394 HHs temporarily affected during the construction process. Of the total 3,808 households having land permanently acquired, a total of 3,696 HHs are only marginally affected, 112 HHs are severely affected by losing more than 10% of their agriculture land (This is the total number of severely affected households by whole projects/32 subprojects. There isn’t any subprojects with more than 200 severely affected person: the SP with the highest number of SAHs is SP of Upgrading the NT2/Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system, with a total of 48 severely affected households. As resulting from the social economic survey done during preparation the REMDP for this subproject, the average household size is 3.9 person/HH, the total of severely affected person concerns 187 person) and 466 HHs are belonging to vulnerable groups, of which 178 households are of ethnic minority. This represents an increase of 5 EM households in comparison to the last report for the NT3/An Hai SP, while 288 households are classified as poor households and/or female headed households.

21. The detailed number of affected HHs in each province is presented in the Table 4 below.

**Table 4. Number of affected HHs in the project provinces**

Province	Permanently affected HHs				Temporarily affected HHs	Total number of affected HHs
	Total	Marginally affected HHs	Severely affected HHs	Vulnerable Groups	(*)	
Ha Tinh	282	270	12	8	0	282
Thua Thien Hue	276	276	0	0	0	276
Binh Dinh	827	827	0	0	1,061	1,888

Province	Permanently affected HHs				Temporarily affected HHs (*)	Total number of affected HHs
	Total	Marginally affected HHs	Severely affected HHs	Vulnerable Groups		
Phu Yen	1,940	1,905	35	206	324	2,264
Ninh Thuan	353	288	65	140	9	353
Binh Thuan	130	130	0	112	0	130
<b>Total</b>	<b>3,808</b>	<b>3,696</b>	<b>112</b>	<b>466</b>	<b>1,394</b>	<b>5,193</b>
<i>Note: In Ninh Thuan province, 9 HHs are temporarily impacts and also permanent impacts, therefore total of AHs is 353 HHs</i>						

Source: internal monitoring reports of PPMUs of six provinces

22. Since the last IRM report, a total 1,035,563 m<sup>2</sup> of land are permanently acquired for construction of the subprojects. However, because the technical design of the Dong Tron SP has changed some locations during the construction phase, an additional area of 485.4m<sup>2</sup> of annual crops land belonging to 12 households had to be acquired. Therefore, at the time of reporting a total of 1,036,048 m<sup>2</sup> are permanently acquired for construction of the subprojects. Of this area, agricultural land is acquired with the highest proportion in comparison with other types of land (accounting for ~ 59.0 % of the total permanently acquired land area). Meanwhile, a total of 834,338 m<sup>2</sup> are temporarily affected by the construction of the subproject. Regarding the area of the land acquired, Binh Dinh is the province with the most acquired land area out of the 6 participating provinces. Acquired residential land area only accounts for 0.5% of the total acquired land (5,025 m<sup>2</sup>). Of the total acquired land for SPs, a total of 54,642.3 m<sup>2</sup> of productive land have been donated by 517 households. The detailed data on acquired land in the provinces is presented in the Table 5 below:

**Table 5. Affected land area in the project provinces**

Province	Permanently acquired land area (m <sup>2</sup> )							Temporarily affected land area (m <sup>2</sup> )	Total temporarily and permanently affected land area (m <sup>2</sup> )
	Agricultural land	Residential land	Aquaculture land	Garden Land	Forestry land	Other land	Total		
Ha Tinh	28,470.0	1,505.0	0.0	0.0	0.0	0.0	29,975.0	0.0	29,975.0
Thua Thien Hue	2,781.2	44.1	1,591.1	0.0	0.0	8,599.9	13,016.3	0.0	13,016.3
Binh Dinh	167,050.6	2,889.0	0.0	6,652.9	59,660.2	1,030.1	237,282.8	781,956.0	1,019,238.8
Phu Yen	277,383	0.0	1,595.3	8,584.6	537.6	102,583.2	390,683.7	44,381.6	435,065.3
Ninh Thuan	98,747.2	587.0	0.0	0.0	0.0	232,210.6	331,544.8	8,000.0	339,544.8
Binh Thuan	33,545.5	0.0	0.0	0.0	0.0	0.0	33,545.5	0.0	33,545.5
<b>Total</b>	<b>607,978</b>	<b>5,025</b>	<b>3,186</b>	<b>15,238</b>	<b>60,198</b>	<b>344,424</b>	<b>1,036,048</b>	<b>834,338</b>	<b>1,870,386</b>

Source: internal monitoring reports of PPMUs of six provinces

23. Affected structures include temporary houses, graves and other structures. The DMS result shows that a total of 1,447.4 m<sup>2</sup> of houses and 22,573.1 m<sup>2</sup> of other structures are affected by the subproject. In addition, 173 graves are affected by the subprojects.

24. In addition, implementation of the subprojects affects 440,696.7 m<sup>2</sup> of rice and various types of crops, such as maize, potato, cassava, peanut, vegetable and grass. The implementation of



subprojects in the six provinces had to cut off 84,754.0 timber and fruit trees. Detailed impacts on structures, trees and crops are presented in the table below:

**Table 6. Impacts on structures, trees and crops caused by the project**

Province	Affected structures (m2)				Affected trees and rice/crops	
	House	Structures	Grave (Unit)	Other	Rice/crop (m2)	Trees (tree)
Ha Tinh	-	448.0	-	-	-	540.0
Thua Thien Hue	54.9	46.7	39.0	-	844.5	4,069.0
Binh Dinh	1,379.0	6,148.0	122.0	-	237,165.4	54,366.0
Phu Yen	13.5	8,128.7	-	-	131,621.0	11,323.0
Ninh Thuan	-	7,424.7	12.0	-	70,674.3	14,131.0
Binh Thuan	-	377.0	-	-	391.5	325.0
<b>Total</b>	<b>1,447.4</b>	<b>22,573.1</b>	<b>173.0</b>	<b>0.0</b>	<b>440,696.7</b>	<b>84,754.0</b>

Source: internal monitoring reports of PPMUs of six provinces

#### **4. Information dissemination and community consultation**

25. Information dissemination and community consultation activity are taking place during the project's implementation process. At the time of this reporting, at least two rounds of information dissemination and community consultation have been organized in the project provinces during project preparation and updating the safeguards documents for the project. In addition, as for ADB's requirement, the approved RPs/REMDPs have also been disclosed to all affected households and other stakeholders, besides the copies of these RPs/REMDPs that were placed in the commune/and villages. The information disclosure process covers the following topics:

- i) Detailed design of subproject's policies, AHs eligible for compensation and resettlement assistance.
- ii) The DMS, sub-project impact, eligibility particularly on the cut-off dates for each sub-project and grievances redress mechanism;
- iii) Mechanisms of payment.
- iv) Consulting on the replacement cost, compensation payments and other entitlements of AHs as well as on additional assistance and allowances to the severely affected households, affected vulnerable group;
- v) Gender and vulnerable group issues;
- vi) Implementation schedule.

26. The first period of dissemination of information and community consultation was organized by LIC during the FS preparation in coordination with PPMUs of six provinces in 2013 and 2014. The latest information dissemination and community consultation exercise was carried out in 2016, after approval of the detailed design and construction drawings of each subproject by the competent authorities; information dissemination through consultative meetings was conducted with communal authorities and representatives of affected HHs in their communes. Details of the above are shown in the Table 7 below:

**Table 7. Information on information dissemination and community consultation**

Provinces	Times of information dissemination and community consultation	
	<i>The first time</i>	<i>The second time</i>
Ha Tinh	Jan 2014	Feb, Mar2016
Thua Thien Hue	Mar 2014	Apr 2016
Binh Dinh	Apr 2014	Feb, May 2016
Phu Yen	May and Jun, 2014	May 2016
Ninh Thuan	Aug 2014	May, Sep 2016
Binh Thuan	Jul 2013	Jan 2016

Source: internal monitoring reports of PPMUs of six provinces

27. In order to ensure that affected households are able to participate in and understand the project information, as well as contribute ideas or recommendations to the project, the PPMUs have implemented a variety of activities and methods of information dissemination as follows:

- i) Delivery the project information leaflets to households;
- ii) Organize community consultation meetings;
- iii) Notification through community speaker system; and
- iv) Disseminate information through other commune and village meetings such as Women's Union, Veterans', Elderly's, Farmer's Union.

28. As results of all public consultation meetings, local authorities, communities and affected households agreed on the go ahead of each subproject. All attendants were aware of project benefits and they committed themselves to take active part to subprojects implementation. The main results of the above are listed here below:

- v) All of the AHs participating in the meetings agreed with compensation policy of the project and agree with compensation unit price issued by the PPC;
- vi) Vulnerable AHs agreed to receive compensation and assistance in cash in accordance with the project's policy;
- vii) The participants of the meetings stressed the need for a strict coordination among the PPMU and the works contractor with local authority to ensure security in operations as well as with full respect of the local environment during the construction process;
- viii) The AHs proposed that PPMU should require the works contractor to restore the sites according to their original status after construction;
- ix) Representatives of the AHs proposed to be employed by contractor in activities suitable for them during the construction process.

29. Regarding to recommendations and opinions of the participants, the PPMU and relevant parties have responded as follows:

- i) The PPMU, The Supervisors and the contractors will work closely in order to ensure security as well as environment compliance during the construction process.
- ii) The PPMU confirmed that the contractors have to restore the site to its original status after construction completion.
- iii) The PPMU will work closely with the contractor to recruit the member of affected households to do works suitable to them during construction process.

30. With regards to the ethnic minorities (178 EM households), in addition to general discussions or consultations in affected communities, the PPMUs of Ninh Thuan and Binh Thuan and other

project stakeholders have implemented a number of other measures to ensure (i) full participation of ethnic minorities in project preparation and implementation, (ii) full provision of information on project matters to ethnic minorities, and (iii) receipt of opinions and views of ethnic minority people on the project. Details are as follows:

- i) Delivering the project information brochures (PIBs) to each ethnic minority household in simple, understandable language, even in ethnic minority languages (as needed);
- ii) Disclosing project information at village meetings; and
- iii) Providing necessary materials/project documents for village chiefs and prestigious people in ethnic minority communities and, through village chiefs and village elders, disseminate information related to the project to ethnic minority people in the project area.

31. The views/opinions of ethnic minorities are summarized below:

- i) Expect the project to be completed early to facilitate improved production for the households' benefit.
- ii) Full compensation and allowances paid for affected households as regulated by the project.
- iii) Ensure suitable environmental sanitation during construction.
- iv) If there are other impacts on the public property of the local people, the project must compensate and restore their status to their original conditions, especially the local road.

32. Feedback from the PPMUs and stakeholders to opinions of EM households.

- i) The PPMU will work closely with the contractor to ensure that the project is implemented on schedule;
- ii) The PPMU will coordinate with all stakeholders to implement the compensation and the full support provided by the project;
- iii) PPMUs will require contractors to ensure suitable environmental condition during construction; and
- iv) The PPMU will ask the contractor to provide compensation if causing impacts on the assets of the households during the construction process.

33. During the period from July 2018 to December 2018, two consultations have been conducted with the aim of disseminating the summary content of the approved REMDP/RP by ADB. These meetings are organized by the PPMU and local officials in communes and villages, specifically as follows:

- Meeting to disseminate information on the Addendum RP of Van Phong canal SP on 20<sup>th</sup> July 2018.
- Meeting to disseminate information on the REMDP of An Hai SP on 15<sup>th</sup> September, 2018

34. The affected households fully understood the information provided and had no comments about these, especially on the policies and regulations on compensation and support of the project.

## **5. Implementation process of DMS**

35. At the time this report preparation, all the 20 subprojects and 3 additional subprojects requiring resettlement have completed the DMS for the affected HHs. Nine subprojects completed DMS in 2015 and the other 13 subprojects completed the DMS in 2016 & 2018. Only one additional subproject of Ninh Thuan (Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system) has not yet been completed for DMS, the DMS expects to complete within February 2019. LIC has not yet received information on Resettlement for the PY5/Tam Giang SP. The table 8 below presents the progress of DMS of subprojects by provinces:

**Table8.Implementation process of DMS**

Provinces	Number of subprojects with completed DMS in 2015	Number of subprojects with completed DMS in 2016 &2018	Number of subprojects that did not yet completed DMS	Number of subprojects requiring land acquisition
Ha Tinh	1	3	0	4
Thua Thien Hue	0	3	0	3
Binh Dinh	5	2	0	7
Phu Yen	1	3	0	4
Ninh Thuan	2	1	1	4
Binh Thuan	0	1	0	1
<b>Total</b>	<b>9</b>	<b>13</b>	<b>1</b>	<b>23</b>

Source: internal monitoring reports of PPMUs of six provinces

## 6. Preparation and approval of compensation plan

36. At the time of reporting, 21 subprojects out of 23 subprojects with involvement inland acquisition have implemented their compensation payment, except two additional subprojects such as SP “Development Infrastructure for Safe Vegetable Cultivation at An Hai Commune” and “Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system”.

37. On 12<sup>th</sup> September 2018, ADB has issued the letter to approve for the REMDP of An Hai SP. However, some locations of water tank have been changed (there is no change of affected households, because the new location is still located within their land area). Thus, the AHs have not received their compensation. The related payment is expected within February 2019.

38. For SP of Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system, Ninh Thuan province: As per PPMU’s information, now they are working with the relevant authorities to prepare for subproject implementation in term of land acquisition. It is expected that the DMS will be completed within February 2019. After completing the DMS, the compensation plans will be prepared and approved for the affected households.

39. In addition, the technical design of Dong Tron SP in Phu Yen province has been changed in some locations and these changes have reportedly caused some impact on productive land of 12 households who have already been affected by this SP in the original design version. The PPMU and LFDC of Phu Yen have prepared the additional compensation plans for these households and now these compensation plans are being reviewed by relevant departments. The expected time for approval and payment to those 12 HHs is within January 2019. Data on the status of preparation and approval of compensation plan is presented in the Table 9 below:

XXX

**Table 9.Progress of preparation and approval of compensation plan**

Provinces	Number of subprojects with not yet approved compensation plan	Number of subprojects with approved compensation plan	Number of subprojects requiring land acquisition
Ha Tinh	0	4	4
Thua Thien Hue	0	3	3
Binh Dinh	0	7	7
Phu Yen	0	4	4
Ninh Thuan	2	2	4
Binh Thuan	0	1	1

<b>Total</b>	<b>2</b>	<b>21</b>	<b>23</b>
--------------	----------	-----------	-----------

Source: internal monitoring reports of PPMUs of six provinces

## 7. Compensation and assistance payment

40. By the end of December 2018, a total of VND 63,121,376,251 (equivalent to US \$ 2,714,898) have been paid to 5,174 households including payment of compensation and assistance for both permanent and temporary impacts (an increase of 45 households in comparison to last report, due to compensation payment for these 45 households affected by SP of Repairing calamity impacts of B6a/Van Phong irrigation canal system). 19 HHs out of 5,193 AHs have not received their compensation and assistance due to changing of technical designs of An Hai SP as mentioned above. See below table 10 for detailed information for compensation status by provinces.

41. Besides, as above mentioned the technical design of Dong Tron SP in Phu Yen province has been changed in some locations and; changes have impacted on productive land of 12 households who have already been affected by this SP before. The PPMU and LFDC of Phu Yen have prepared the additional compensation plans for these households are being reviewed by relevant departments. The expected time for payment to those 12 HHs is within January 2019.

**Table 10. Implementation status of compensation payment**

Provinces	Number of HHs receiving compensation	Compensation and assistance amount paid to affected HHs	Remarks
Ha Tinh	282	1,026,537,720	236 donated their land to SP
Thua Thien Hue	276	897,513,000	151 donated their land to SP
Binh Dinh	1,888	17,024,511,530	
Phu Yen	2,264	31,010,740,568	
Ninh Thuan	334	12,452,446,533	
Binh Thuan	130	709,626,900	130 households donated their land to SP, of which 20 households received compensation for affected assets on land
<b>Total</b>	<b>5,174</b>	<b>63,121,376,251</b>	
	<b>In USD</b>	<b>2,714,898</b>	

Source: internal monitoring reports of PPMUs of six provinces

## 8. Process of land acquisition and resettlement

42. By the reporting time, 21 subprojects out of 23 subprojects have fully completed their site clearance. Of the total 5,193 households affected by subprojects, none need to be relocated due to their land acquisition caused by the SP. After completion of the compensation payment to affected households and site clearance, the PPMUs have coordinated with the cadastral department and land fund development center of the district and have conducted the handing-over of the land to the contractor for their construction works. Therefore, the SPs' sites do not face obstacles for implementing the civil works.

**Table 11. Process of resettlement and site clearance**

Provinces	Number of subprojects	Number of subprojects requiring land acquisition	Number of subprojects completed on site clearance
Ha Tinh	5	4	4

Thua Thien Hue	3	3	3
Binh Dinh	6	7	7
Phu Yen	5	4	4
Ninh Thuan	3	4	2
Binh Thuan	4	1	1
<b>Total</b>	<b>26</b>	<b>23</b>	<b>21</b>

Source: internal monitoring reports of PPMUs of six provinces

## 9. Restoration of AHs' livelihoods and rehabilitation of AHs' livelihood.

43. Besides compensation for affected land and property, the project has adopted adequate policies to support affected households in ensuring the interests of the people and help them to restore their stable livelihood.

44. At the time of this report preparation, of the total 3,808 households who had their agriculture productive land acquired, a total of 2,217 households were provided allowances as job changing supports with regards to their livelihood stabilization and income restoration, amounting to a total value of VND 16,105,894,075. See details in the Table 12 below:

**Table 12. Implementation status of livelihood restoration and rehabilitation of AHs**

Provinces	Number of HHs receiving assistances	Assistance amount paid to affected HHs
Ha Tinh	40	647,522,820
Thua Thien Hue	40	195,507,650
Binh Dinh	759	4,442,825,500
Phu Yen	1,219	9,585,768,105
Ninh Thuan	159	1,234,270,000
Binh Thuan	0	0
<b>Total</b>	<b>2,217</b>	<b>16,105,894,075</b>

Source: internal monitoring reports of PPMUs of six provinces

45. According to the project policies, SAH and AHs of the vulnerable group, apart from receiving compensation for affected assets, also got additional assistance to stabilize their life and restore their livelihood totaling VND 4,957,144,932. Should AHs wish to take part in job trainings after their land is acquired, the project will create favorable conditions and support SAHs by sending their application letters to vocational training centers of the district and province. However, at the time of this reporting, DMS shows that AHs decided to receive assistance for their life stabilization in cash and opted to find new jobs by themselves, instead of attending vocational training courses arranged for the subproject. During the process of public consultation's meeting, the affected households also informed that there was no significant impact on income sources of households. In addition, according to project policy various types of allowances have been provided to AHs such as (i) cash allowance for job changing and job creation for AHs affected by the permanent loss of agricultural land; (ii) cash allowance for life stabilization for AHs losing 10% or more of their productive landholdings; (iii) and cash allowance for vulnerable affected households. It is therefore not necessary to develop a separate income restoration program for households, but as agreed by the local authorities and the PPMU, these households will be supported to access to opportunities of livelihoods as well as activities during the construction of the project.

46. Regarding the requests from consulted AHs to take suitable and unskilled jobs during the project implementation, up to date a total of 6,847 members of AHs have been employed by the contractors for doing jobs such as digging the earth, transporting construction material, cleaning the construction site, mixing concrete, taking water to cure concrete surfaces, etc. The following table describes the number of AH member recruited by contractors under each province.

**Table 13. AH member recruited by contractors under subprojects**

No	Name of Subprojects	The number of AH member recruited by contractors
<b>A</b>	<b>Ha Tinh Province</b>	<b>972</b>
1	Upgrading canal system of Rac River irrigation	208
2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha District	223
3	Upgrading Ho Dam and Tiem River canal system	184
4	Upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho District	225
5	Upgrading irrigation system of 3 Communes, Thach Ha District	132
<b>B</b>	<b>Thua Thien Hue Province</b>	<b>210</b>
1	Upgrading Dai Giang River Dike system	71
2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	73
3	Upgrading road combining with flood protection dike of Pho Lai - Thanh Cam - Nam Duong - Co Thap	66
<b>C</b>	<b>Binh Dinh Province</b>	<b>3,380</b>
1	Upgrading Nui Mot Reservoir's canal system	514
2	Upgrading Lai Giang Dam's primary canal	1,233
3	Upgrading Hoi Khanh Reservoir	358
4	Upgrading My Thuan Reservoir	414
	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	308
6	Upgrading Van Phong canal system	553
<b>D</b>	<b>Phu Yen Province</b>	<b>1,393</b>
1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	423
2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	309
3	Upgrading Suoi Cau weir and access road	369
4	Upgrading irrigated canal of Dong Tron reservoir's irrigation system	292
<b>E</b>	<b>Ninh Thuan Province</b>	<b>339</b>
1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	245
2	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	94
<b>F</b>	<b>Binh Thuan Province</b>	<b>553</b>
1	Upgrading Du Du Reservoir	50
2	Upgrading Saloun Reservoir	168
3	Upgrading North primary canal of Ba Bau irrigation system	175
4	Upgrading primary canal of Quao River	160
	<b>Total</b>	<b>6,847</b>

**10. Assessment on the degree of APs' satisfaction concerning compensation and resettlement.**

47. At least two public consultations were conducted for each SP by CPMU/LIC/PPMU and others by local authorities. In those public consultations, information related to project implementation including impact scale, impact level, compensation plan/price and public information booklet were delivered to AHs. Besides, a grievance procedures and grievance redress scheme was established on compensation in the project. In the stage of preparing and updating RP/REMDP, a grievance scheme of compensation and resettlement was prepared and delivered to AHs in project communes.

48. Since last report, no complaint on the implementation of compensation and assistance payment has been raised except some households affected by Dong Tron reservoir's irrigation system" subproject in Phu Yen province whom complained regarding the impacts during construction due to rocky and soil fell down into their paddy land. However, at the time of this report, the compensation for damaged crop during construction have been made for these households as mentioned above. Therefore, the local people in general are satisfied with the result of the lost inventory, compensation price assistance and resettlement policies of the Project.

**11. Conformity of resettlement implementation progress with civil works progress and next plan**

49. According to the project requirements, compensation is only made when RP/uRP or REMDP/uREMDP is approved by ADB and construction only commences when compensations and assistance payments have been implemented.

50. In this respect, six SPs, including one SP in Ninh Thuan, three SPs in Binh Dinh, one SP in Phu Yen, and one SP in Ha Tinh province had paid compensation in advance before RPs/REMDPs were approved by ADB. At the time of this reports, all of the documents related to these preliminary compensatory activities have been prepared and submitted to ADB and subsequently approved as indicated in the Table 3.

**12. The status of implementation of additional assistances for affected households as for the agreed actions in the approved Due Diligence Reports (DDR).**

51. As mentioned in the previous paragraph, a total of six DDRs have been prepared and approved for six subprojects in provinces of Ninh Thuan, Phu Yen, Binh Dinh and Ha Tinh. After these DDRs have been approved by ADB, the PPMUs of these provinces have worked closely with the relevant agencies to implement the agreed actions according to the conclusions in the approved DDRs. To date, all of required actions have been implemented by the related PPMUs. The table 14 provides detailed information on completion on those required actions:

**Table 14 Status of implementation of the agreed actions in the approved DDRs**



No	Name of SP	Agreed actions in the approved DDR	Status of implementation	Time of completion
1	Upgrading the Southern Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system (NT2)	<p>a, Additional payment to households losing from 10% to 30% of productive land and vulnerable households</p> <p>b, Display of the construction drawing of the SP to AHs at the project area.</p> <p>c, Check the results of DMS of affected assets of the AHs and payment of the compensation for inadequate/mistaken DMS results</p> <p>d, Provision of the measures for households who lost more than 10% of productive land</p> <p>e, Check and support the affected households who want to get the loan from Policy Bank or job trainings/vocation</p> <p>f, Check and propose the action for left-over land of AHs after land acquisition by SP</p>	<p>a, A total of 32 households who lost from 10 – 30% of productive land have received an amount of 130,032,000 VND; 29 households are classified as vulnerable households and have received a total amount of 733,568,000 VND in compensation.</p> <p>b, The PPMU of Ninh Thuan has displayed the construction drawings to AHs and also displayed these in the concerned villages and commune.</p> <p>c, The PPMU and LFDC have surveyed the AHs based on DMS and identified 14 households for whom some affected assets have been early omitted; these have subsequently been paid their due supplementary compensation for a total of 166,850,080 VND.</p> <p>d, The PPMU and LFDC of Ninh Phuoc have worked with these households regarding job vocation or job trainings. These HHs don't need training courses because they have already attended many class relating agricultural subjects</p> <p>e, The PPMU and LFDC of Ninh Phuoc have worked with these households regarding job vocation or job trainings. They don't need training courses because they have attended many class relating agricultural subject.</p> <p>f, The PPMU and LFDC of Ninh Thuan have identified a total of 20 AHs who have left-over land after some of their land acquisition; then they have also checked for those areas, but there are still sufficient land available for</p>	<p>December 2016</p> <p>December 2016</p> <p>December 2016</p> <p>December 2016</p> <p>December 2016</p> <p>December 2016</p>

No	Name of SP	Agreed actions in the approved DDR	Status of implementation	Time of completion
		g, Conduct the training course to community monitoring board of four communes	production and there is no need to acquire other land for their livelihood(Average remaining land of these HHs is more than 200 m <sup>2</sup> with good condition of water irrigation for production).  g, The community monitoring boards have been established in four communes, and the LIC have conducted the trainings for these with regards to monitoring methodology.	December 2016
2	Upgrading Nui Mot reservoir canal system (BD1)	Payment of additional assistances to 33 households who are certified as vulnerable groups	The SP did not permanently acquire land of local households, as the SP onlytemporarily caused impactsto 351 households.Therefore, these households are not subject to additional assistance.Therefore,the additional payment is not required.	Not applicable as additional payment is not required.
3	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh (BD5)	Payment of additional assistances to severely affected households (02 HHs) and 01 vulnerable household	The PPMU and LFDC have checked and identified a total of 3 households who lostfrom 10% to 30% of productive land, and one household that lostfrom 30% to 70%;these households have been paid for subsistence assistances with a total of 19,305,000VND	April 2017
4	Upgrading Van Phong canal system (BD6)	Payment of additional assistances to severely affected households (16 HHs) and 29 vulnerable household	The PPMU and LFDC have identified that none of AH are severely affected households; 31 households are certified as vulnerable groups, of which: Binh Thuan: 5 HHs; Tay An: 5 HHs (Tay Son district); Nhon My: 6 HHs (An Nhon town); Cat Tan: 15 HHs (Phu Cat district). All of 31 households have been paid 2,000,000 VND/HH in cash as additional assistances to vulnerable groups, as per project's policy.	April 2017
5	Upgrading canal system of	Additional payment for households losing from	On August 20th 2017 the PPMU and LFDC have paid for the additional assistances	August 2017

No	Name of SP	Agreed actions in the approved DDR	Status of implementation	Time of completion
	Rac River irrigation (HT1)	10% to 30% of productive land Payment of additional assistances to vulnerable household	to 15 households with regard to assistances to vulnerable affected households and severely affected households as per project's policy.	
6	Consolidate main canal and branch canals of Dong Cam irrigation scheme (PY1), and Dong Tron SP	Additional payment to poor households who already received allowance for vulnerable households but less than 2,000,000 VND, while policy in approved RP will support a minimum 2,000,000 VND/HH.	<p>LFDCs of Phu Hoa, Tuy An and Tay Hoa districts have approved the additional supports to poor households in accordance with the approved RP. The details are as below:</p> <p>For Dong Tron SP:33 households for a total of 102,593,000 VND</p> <p>For Dong Cam SP:14 households for a total of 24,785,673 VND</p> <p>During June 2018, the payment of additional support have been made for these households.</p>	June 2018

Source: internal monitoring reports of PPMUs of six provinces

#### IV. PENDING ISSUES

52. Since last report, to date all SPs have completed the implementation of compensation and land acquisition including the findings and required actions of ADB missions in term of resettlement.(Please refer to the 1st IMR 2018 for details). However, during the Mission from 12th to 23th November 2018, ADB Mission has requested the PPMUs to urgently complete the compensation payment and land acquisition for three SPs in details as below:

**Table 15.The status of resolving the issues raised by ADB Mission from 12<sup>th</sup> to 23<sup>th</sup> November 2018**

No	Issues raised by ADB Mission	Status of completion
1	In Phu Yen province, there are 12 affected households due to the adjustment of the technical design of SP "Upgrading irrigation canal under Dong Tron water reservoir irrigation system". These households will be paid compensation at the end of November 2018.	PPMU and LFDC of Phu Yen have submitted the compensation plans for 12 AHs with a total of 110,000,000 VND. These compensation plans are being reviewed by DONRE. The compensation payments for these HHs is expected to complete within January 2019.
2	Completion of the compensation payment to households who are affected by An Hai SP	The technical design of this SP has changed some locations of water tanks (there is no change of affected households, because the new location is still located within their land area). Thus, some compensation plans have been confirmed. The time of payment is expected within February 2019.
3	Completion land acquisition for SP of Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system and contract award in December 2018	PPMU of Ninh Thuan are working with the relevant authorities to prepare for subproject implementation in term of land acquisition. It is expected that the DMS will be completed within February 2019. Once completion of the DMS, the REMDP of this subproject will be prepared and submitted to ADB for review and approval.

## **V. CONCLUSIONS AND RECOMMENDATIONS**

### **1. Conclusions**

53. At the beginning stage of the project, the project provinces have not fully complied with regulations on resettlement; therefore this shortcoming has been amended through adjusted procedures and processes for implementing compensations and resettlement on several occasions. Accordingly, the project provinces have proactively cooperated with stakeholders to ensure that compensation, assistance and resettlement activity will fully comply with regulations mentioned in the uREMDF of the project.

54. Overall, affected HHs located in the SPs area agreed and supported the above implementation procedures. None of the HHs that received compensation and assistance has raised complaint about the support provided.

### **2. Recommendations**

55. PPMUs need continuing to ensure full compliance with the Project's procedures concerning the implementation applied for compensation, assistance and resettlement issues. In this respect the PPMUs need to keep cooperating with DLFDCs and CPCs to ensure that compensation Project's procedures will be duly followed, in accordance also with the approved uREMDP Framework.

56. PPMUs need continuing to cooperate closely with relevant authorities dealing with resettlement matters, such as LFDCs and local agencies as well as with contractors, design consultants and supervisors to ensure that due payment to AHs affected by negative impacts and losses during construction implementation is timely enacted.

57. There is the need to ensure strict coordination among CPMU, LIC and PPMUs to detect and resolve any arising issue relating to compensation and resettlement during the project implementation process.

**Annexes** :(annexes in the attached excel files)

**Annex 1: Updated progress of resettlement implementation as of 31/12/2018**

T T	Code of SP	Sub-project	Type of safeguards documents	Implementat ion	Project info. Disclosure and consultation meeting		DMS		Compensation plan		Appraisal and approval of compensation plan		Compensation payment		Resettlement and site clearance		Award of contract
					Start	End	Start	End	Start	End	Start	End	Start	End	Start	End	
<b>I</b>	<b>HT</b>	<b>Ha Tinh province</b>															
1	HT1	Upgrading canal system of Rac River irrigation	DDR	Schedule	10-Feb- 15	10- May-15	14-Oct- 15	15-Oct- 15	16-Oct- 15	24-Apr- 16	2-Jan- 16	5-Jun-16	2-Jan-16	23-May- 16	6-Jan-16	6-Jan-16	1-Oct-16
				Reality	10-Feb- 15	10- May-15	14-Oct- 15	15-Oct- 15	14-Apr- 16	24-Apr- 16	2-Jan- 16	5-Jun-16	2-Jan-16	23-May- 16	6-Jan-16	6-Jan-16	1-Oct-16
2	HHT 1a	Repairing and upgrading canals of Rac River irrigation system	No Land acquisition	Schedule													10-Jan- 19
				Reality													10-Jan- 19
3	HT2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha district	Land donation report	Schedule	3-Feb- 16	3-Apr- 16	5-Jan- 16	25- May-16							6-Jan-16	8-Jan-16	26-Oct- 16
				Reality	3-Feb- 16	3-Apr- 16	5-Jan- 16	25- May-16							6-Jan-16	8-Jan-16	26-Oct- 16
4	HT3	Upgrading Ho Dam and Tiem River canal system	Land donation report	Schedule	26-Feb- 16	27-Feb- 16	4-Dec- 16	25-Apr- 16							6-Jan-16	8-Jan-16	18-Oct- 16
				Reality	26-Feb- 16	27-Feb- 16	4-Dec- 16	20-Apr- 16							6-Jan-16	8-Jan-16	18-Oct- 16
5	HT4	Upgrading irrigation system, rural road and market in five flooded communes of Duc Tho district	No Land acquisition	Schedule	21-Mar- 16	25-Mar- 16	4-Jan- 16	25-Apr- 16							6-Jan-16	8-Jan-16	1-Oct-16
				Reality	21-Mar- 16	25-Mar- 16	4-Jan- 16	25-Apr- 16							6-Jan-16	8-Jan-16	1-Oct-16
6	HHT 4a	Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district	No Land acquisition	Schedule													31-Dec- 18
				Reality													31-Dec- 18
7	HT5	Upgrading irrigation system of three communes, Thach Ha district	Land donation report	Schedule	24-Feb- 16	25-Feb- 16	5-Jan- 16	25- May-16							6-Jan-16	8-Jan-16	3-Oct-16
				Reality	24-Feb- 16	25-Feb- 16	5-Jan- 16	25- May-16							6-Jan-16	8-Jan-16	3-Oct-16

II	TTH	Thua Thien Hue province															
8	TTH1	Upgrading Dai Giang River Dike system	RP	Schedule	25-Jan-16	28-Jan-16	9-May-16	16-May-16	7-Jun-16	26-Jul-16	8-May-16	20-Aug-16	21-Aug-16	9-Jan-16	1-Dec-16	15-Dec-16	25-Oct-16
				Reality	25-Jan-16	28-Jan-16	9-May-16	16-May-16	2-Oct-16	10-Oct-16	6-Oct-16	18-Oct-16	15-Jan-17	2/30/2017	5-Feb-17	30-Mar-17	5-Apr-17
9	TTH2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	RP	Schedule	20-Jan-16	22-Jan-16	9-May-16	16-May-16	7-Jun-16	26-Jul-16	8-May-16	20-Aug-16	21-Aug-16	9-Jan-16	1-Dec-16	15-Dec-16	14-Oct-16
				Reality	20-Jan-16	22-Jan-16	9-May-16	16-May-16	2-Oct-16	10-Oct-16	6-Oct-16	18-Oct-16	15-Jan-17	2/30/2017	5-Feb-17	30-Mar-17	5-Apr-17
10	TTH3	Upgrading Road combining with flood protection dike of Pho Lai - Tham Cam - Nam Duong - Co Thap	RP	Schedule	12-Jan-16	12-Jan-16	9-May-16	30-May-16	31-May-16	25-Jun-16	20-Jun-16	30-Jun-16	20-Jul-16	30-Jul-16	5-Feb-17	30-Mar-17	25-Oct-16
				Reality	12-Jan-16	12-Jan-16	9-May-16	30-May-16	31-May-16	25-Jun-16	20-Jun-16	30-Jun-16	20-Jul-16	30-Jul-16	5-Feb-17	30-Mar-17	25-Oct-16
11	TTH1a	Upgrading and extending Quan culvert	No Land acquisition	Schedule													15-Sep-18
				Reality													15-Sep-18
II I	BD	Binh Dinh province															
12	BD1	Upgrading Nui Mot reservoir canal system	uRP	Schedule	10-Aug-15	15-Aug-15	5-Oct-15	30-Nov-15	2-Dec-15	20-Dec-15	21-Dec-15	30-Dec-15	15-Jan-16	30-Mar-16	10-Apr-16	20-Jun-16	10-Jul-16
				Reality	10-Aug-15	15-Aug-15	15-Sep-15	28-Oct-15	25-Nov-15	15-Dec-15	16-Dec-15	30-Dec-15	10-Jul-16	21-Sep-16	5-Nov-16	5-Dec-16	
13	BD2	Upgrading Lai Giang dam's primary canal	RP	Schedule	5-Feb-15	26-Feb-15	1-Mar-15	20-May-15	10-Jun-15	1-Jul-15	6-Jul-15	26-Jul-15	25-Mar-16	30-Mar-16	5-Jun-16	20-Jun-16	20-Jun-16
				Reality	5-Feb-15	29/2/2015	10-Mar-15	20-May-15	28-May-15	15-Jun-15	18-Jun-15	20-Jul-15	20-Feb-16	10-Mar-16	20-May-16	30/5/216	15-Jun-16
14	BD3	Upgrading Hoi Khanh reservoir	RP	Schedule	25-Jul-15	16-Aug-15	1-Sep-15	20-Nov-15	1-Dec-15	12-Dec-15	16-Dec-15	26-Dec-15	25-May-16	30-May-16	5-Jun-16	20-Jun-16	25-Jun-16
				Reality	20-Aug-15	18-Apr-16	25-Nov-15	9-May-16	13-Dec-15	25-May-16	31-May-16	15-Jun-16	9-Sep-16	9-Dec-16	12-Dec-16	15-Dec-16	20-Dec-16
15	BD4	Upgrading My Thuan reservoir	RP	Schedule	2-May-15	25-May-15	2-Jun-15	30-Oct-15	5-Nov-15	30-Nov-15	10-Dec-15	20-Jan-16	4-Jan-16	15/4/2016	2-Jun-16	15-Jun-16	15-Dec-16
				Reality	1-May-15	20-May-15	15-Jun-15	15-Nov-15	20-Nov-15	1-Apr-16	5-Apr-16	29-Apr-16	6-Dec-16	9-Dec-16	15-Dec-16	25-Dec-16	5-Mar-17
16	BD5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	RP	Schedule	20-Jun-15	10-Jul-15	20-Aug-15	15-Apr-16	20-Apr-16	15-May-16	20-May-16	10-Jun-16	20-Jun-16	10-Jul-16			15-Dec-16
				Reality	20-Jun-15	10-Jul-15	20-Aug-15	15-Apr-16	25-Apr-16	30-May-16	1-Jun-16	21-Nov-16	30-Jun-16	20-Jul-16	15-Dec-16	15-Dec-16	5-Mar-17
17	BD6	Upgrading Van Phong canal system	DDR	Schedule	20-Sep-14	25-Sep-14	5-Oct-14	30-Nov-14	1-Nov-14	10-Nov-14	10-Nov-14	25-Dec-14	10-Jan-15	30-Apr-15			
				Reality	15-Sep-14	25-Sep-16	20-Nov-14	25-Oct-16	10-Nov-14	10-Nov-16	22-Dec-14	2-Dec-16	10-May-15	5-Dec-16	10-Apr-16	10-Dec-16	15-Jun-16
18	BD6a	Repairing calamity impacts	RP addendum	Schedule	21-Feb-17	06-Apr-18	08-Oct-17	30-Oct-17	01-Nov-17	15-Dec-17	10-Jan-18	10-May-18	10-May-18	20-May-18	20-May-18	25-May-18	28-May-18

		of Van Phong irrigation canal system		Reality	21-Feb-17	06-Apr-18	08-Oct-17	30-Oct-17	01-Nov-17	15-Dec-17	10-Jan-18	10-May-18	10-May-18	20-May-18	20-May-18	25-May-18	28-May-18
<b>I V</b>	<b>PY</b>	<b>Phu Yen province</b>															
19	PY1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	RP	Schedule	15-May-14	15-May-14	15-May-15	15-Apr-16	30-May-15	15-Jun-15	25-Jun-15	30-Jun-15	1-Jul-15	10-Jul-15	20-Jul-15	25-Jul-15	30-Sep-16
				Reality	15-May-14	15-May-14	15-May-15	15-May-16	15-Jun-16	9-Jul-16	16-Dec-15	31-Aug-16	18-Jan-16	21-Oct-16	20-Nov-16	20-Dec-16	Not Yet
20	PY2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	RP	Schedule	14-May-14	14-May-14	15-Mar-15	10-Jun-16	30-Jan-16	29-Apr-16	10-May-16	30-May-16	10-Jun-16	10-Jun-16	30-Jun-16	10-Jul-16	4-Aug-16
				Reality	14-May-14	14-May-14	15-Mar-15	30-Oct-15	30-Jan-16	29-Apr-16	10-May-16	30-May-16	18-01-16	30-Jun-16	30-Jun-16	10-Jul-16	1-Sep-16
21	PY3	Upgrading Suoi Cau weir and access road	RP	Schedule	14-May-14	14-May-14	1-Aug-15	30-May-16	1-Jun-16	30-Jun-16	1-Jul-16	15-Jul-16	30-Jul-16	10-Aug-16	10-Aug-16	30-Aug-16	20-Oct-16
				Reality	14-May-14	14-May-14	1-Aug-15	25-Feb-16	15-Jun-16	9-Jul-16	20-07-16	31-08-16	23-11-16	08-12-16	8-Dec-16	20-Jan-17	Not Yet
22	PY4	Upgrading irrigation canal of Dong Tron reservoir's irrigation system	RP	Schedule	15-May-14	15-May-14	15-Oct-15	30-Apr-16	30-Jun-16	10-Jul-16	15-Jul-16	25-Jul-16	30-Jul-16	5-Aug-16	25-Aug-16	30-Aug-16	30-Sep-16
				Reality	15-May-14	15-May-14	15-Oct-15	4-May-16	15-Nov-16	30-Dec-16	30-11-16	31-12-16	10-Jan-17	18-Jan-17	18-Jan-17	25-Jan-17	30-Sep-16
23	PY 5	Tam Giang Irrigation subproject	RP	Schedule													April,2019
				Reality													
<b>V</b>	<b>NT</b>	<b>Ninh Thuan province</b>															
23	NT1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	REMDP	Schedule	7-Aug-14	8-Aug-14	5-Aug-15	12-Aug-15	17-Aug-15	31-Dec-15	3-Feb-16	3-Feb-16	24-Mar-16	7-Apr-16	24-Mar-16	12-Apr-16	17-May-16
				Reality	7-Aug-14	8-Aug-14	5-Aug-15	12-Aug-15	17-Aug-15	31-Dec-15	3-Feb-16	3-Feb-16	24-Mar-16	7-Apr-16	24-Mar-16	12-Apr-16	17-May-16
24	NT2	Upgrading the Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	uREMDP	Schedule	5-Aug-14	6-Aug-14	16-Feb-16	6-Mar-15	10-Mar-16	12-Apr-16	22-Apr-16	22-Apr-16	2-May-16	3-May-16	3-Jun-16	23-Jun-16	13-May-16
				Reality	5-Aug-14	6-Aug-14	16-Feb-16	6-Mar-15	10-Mar-16	12-Apr-16	22-Apr-16	22-Apr-16	3-Jun-16	6-Jun-16	3-Jun-16	23-Jun-16	13-May-16
25	NT3	Development Infrastructure for Vegetable Cultivation at An	REMDP	Schedule	21-Oct-16	15-May-17	20-Sep-17	20-Dec-18	1-Oct-17	10-Jan-19							8-Oct-18
				Reality	21-Oct-16	15-May-17	20-Sep-17	20-Dec-18	1-Oct-17	10-Jan-19							8-Oct-18



		Hai Commune Subproject															
26	NT4	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	REMDP	Schedule													25-Dec-18
				Reality													
<b>V I</b>	<b>BT</b>	<b>Binh Thuan province</b>															
27	BT1	Upgrading Du Du reservoir		Schedule													22-May-16
				Reality													22-May-16
28	BT2	Upgrading Saloun reservoir	uREMDP	Schedule	19-Feb-16	19-Feb-16	20-Jan-16	18-Feb-16	3-May-16	3-Sep-16	3-Oct-16	4-Sep-16	4-Oct-16	5-Oct-16	5-Nov-16	20/5/2016	21-May-16
				Reality	20-Jan-16	20-Jan-16	21-Mar-16	24-Mar-16	17-Aug-16	3-Sep-16	18-Aug-16	11-Sep-16	5-Oct-16	5-Oct-16	11-Oct-16	11-Oct-16	28-Sep-16
29	BT3	Upgrading North primary canal of Ba Bau irrigation system	No Land acquisition	Schedule													1-Apr-16
				Reality													1-Apr-16
30	BT3a	Upgrading the North primary canal of Ba Bau irrigation system	No Land acquisition	Schedule													14-Sep-18
				Reality													14-Sep-18
31	BT4	Upgrading primary canal of Quao river	No Land acquisition	Schedule													29-May-16
				Reality													29-May-16
32	BT4a	Upgrading 2 secondary canals (N9 and N13) of Quao river system	No Land acquisition	Schedule													18-Dec-18
				Reality													18-Dec-18

**APPENDIX 2: Number of Affected Households as of 31/12/2018**

TT	Code	Name of subprojects	Number of AH						Number of temporary AH	Note
			Number of AH according to approved RP/REMDP			Number of AH according to approved compensation plan				
			Total AH	Number of SAH	Number of VAH	Total AH	Number of SAH	Number of VAH		
I	HT	Ha Tinh province	286.0	12.0	-	282.0	12.0	8.0	-	
1	HT1	Upgrading canal system of Rac River irrigation	46.0	12		46	12	8	-	
2	HT1a	Repairing and upgrading canals of Rac River irrigation system								No land acquisition
3	HT2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha district	160.0			156			-	
4	HT3	Upgrading Ho Dam and Tiem River canal system	-						-	No land acquisition
5	HT4	Upgrading irrigation system, rural road and market in five flooded communes of Duc Tho district	61.0			61			-	
6	HT4a	Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district								No land acquisition
7	HT5	Upgrading irrigation system of three communes, Thach Ha district	19.0			19			-	
II	TTH	Thua Thien Hue province	116.0			276				
8	TTH1	Upgrading Dai Giang River Dike system	16.0			66			-	
9	TTH2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	100.0			59			-	

10	TTH3	Upgrading Road combining with flood protection dike of Pho Lai - Tham Cam - Nam Duong - Co Thap				151				
11	TTH4	Upgrading and extending Quan culvert								No land acquisition
<b>III</b>	<b>BD</b>	<b>Binh Dinh province</b>	<b>1,753.0</b>	<b>-</b>	<b>-</b>	<b>827.0</b>	<b>-</b>	<b>-</b>	<b>1,061.0</b>	
12	BD1	Upgrading Nui Mot reservoir canal system	351.0			0			351.0	SP has temporary impact only
13	BD2	Upgrading Lai Giang dam's primary canal	444.0			0			444.0	SP has temporary impact only
14	BD3	Upgrading Hoi Khanh reservoir	100.0			50			43.0	
15	BD4	Upgrading My Thuan reservoir	20.0			16			6.0	
16	BD5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	434.0			287			-	
17	BD6	Upgrading Van Phong canal system	359.0			429			217.0	
18	BD6a	Repairing calamity impacts of Van Phong irrigation canal system	45	0	1	45	0	1	0	
<b>IV</b>	<b>PY</b>	<b>Phu Yen province</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,940.0</b>	<b>35.0</b>	<b>206.0</b>	<b>324.0</b>	
19	PY1	Consolidate main canal and branch canals of Dong Cam irrigation scheme				641	2	22	0	
20	PY2	Upgrading road and sluice of An Cu - An Hiep - An Hoa				219	8	44	0	
21	PY3	Upgrading Suoi Cau weir and access road				170	18	29	0	
22	PY4	Upgrading irrigation canal of Dong Tron reservoir's irrigation system	-			910	7	111	324.0	
<b>V</b>	<b>NT</b>	<b>Ninh Thuan province</b>	<b>339</b>	<b>5</b>	<b>9</b>	<b>353</b>	<b>65</b>	<b>140</b>	<b>9</b>	
23	NT1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	194			210	12	88	5	

24	NT2	Upgrading the Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	126			124	48	43	4	
25	NT3	Development Infrastructure for Vegetable Cultivation at An Hai Commune Subproject	19	5	9	19	5	9	-	
26	NT4	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	NA	NA	NA	NA	NA	NA	NA	DMS is being processed
<b>VI</b>	<b>BT</b>	<b>Binh Thuan province</b>	<b>130</b>			<b>130</b>		<b>112</b>	<b>-</b>	
27	BT1	Upgrading Du Du reservoir	-						-	
28	BT2	Upgrading Saloun reservoir	130			130		112	-	
29	BT3	Upgrading North primary canal of Ba Bau irrigation system	-						-	
30	BT3a	Upgrading the North primary canal of Ba Bau irrigation system								No land acquisition
31	BT4	Upgrading primary canal of Quao river	-						-	
32	BT4a	Upgrading 2 secondary canals (N9 and N13) of Quao river system								No land acquisition
		<b>TOTAL</b>	<b>2,624</b>	<b>17</b>	<b>9</b>	<b>3,808</b>	<b>112</b>	<b>466</b>	<b>1,394</b>	

### 3. APPENDIX 3: Acquired Land Area as of 31/12/2018

T T	Cod e of SP	Sub-project	Area of permanently acquired land (m2)							Area of temporarily acquired land (m2)					
			Agricultural land	Residential land	Aquaculture land	Garden Land	Forest land	Other land	Total	Agricultural land	Residential land	Aquaculture land	Forest land	Other land	Total
<b>I</b>	<b>HT</b>	<b>Ha Tinh province</b>	<b>28,470</b>	<b>1,505</b>	<b>-</b>		<b>0.0</b>		<b>29,975.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
1	HT1	Upgrading canal system of Rac River irrigation	10,875						10,875.0	-	-	-	-	-	-

2	HT1 a	Repairing and upgrading canals of Rac River irrigation system	-					0.0						
3	HT2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha district	12,293	1,121	-	0.0	-	13,414.0	-	-	-	-	-	-
4	HT3	Upgrading Ho Dam and Tiem River canal system	-			0.0	-	0.0	-	-	-	-	-	-
5	HT4	Upgrading irrigation system, rural road and market in five flooded communes of Duc Tho district	3,567		-	0.0	-	3,567.0	-	-	-	-	-	-
6	HT4 a	Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district	-					0.0						
7	HT5	Upgrading irrigation system of three communes, Thach Ha district	1,735	384	-	0.0	-	2,119.0	-	-	-	-	-	-
<b>II</b>	<b>TTH</b>	<b>Thua Thien Hue province</b>	<b>2,781.2</b>	<b>44.1</b>	<b>1,591.1</b>	<b>0.0</b>	<b>8,599.9</b>	<b>13,016.3</b>						
8	TTH 1	Upgrading Dai Giang River Dike system	1,449.2	44.1	1,591.1		7,935.1	11,019.5	-	-	-	-	-	-
9	TTH 2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	1,332.0				664.8	1,996.8	-	-	-	-	-	-
10	TTH 3	Upgrading Road combining with flood protection dike of Pho Lai - Tham Cam - Nam Duong - Co Thap						0.0	-	-	-	-	-	-
11	TTH 4	Upgrading and extending Quan culvert	-					0.0						
<b>III</b>	<b>BD</b>	<b>Binh Dinh province</b>	<b>167,050.6</b>	<b>2,889.0</b>	<b>0.0</b>	<b>6,652.9</b>	<b>59,660.2</b>	<b>1,030.1</b>	<b>237,282.8</b>	<b>781,956</b>				<b>781,956</b>
12	BD1	Upgrading Nui Mot reservoir canal system						0.0	382,150					382,150

13	BD2	Upgrading Lai Giang dam's primary canal							0.0	353,220					353,220
14	BD3	Upgrading Hoi Khanh reservoir	7,003.0	2,889.0			54,130.0		64,022.0	366.9					367
15	BD4	Upgrading My Thuan reservoir	1,430.0						1,430.0						-
16	BD5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	65,592.0	0.0					65,592.0						-
17	BD6	Upgrading Van Phong canal system	87,339.0						87,339.0	46,219					46,219
18	BD6a	Repairing calamity impacts of Van Phong irrigation canal system	5,687	0	0	6652.9	5530.2	1030.1	18,899.8	0					0
<b>IV</b>	<b>PY</b>	<b>Phu Yen province</b>	<b>276,897.6</b>	<b>0.0</b>	<b>1,595.3</b>	<b>8,584.6</b>	<b>537.6</b>	<b>102,583.2</b>	<b>390,198.3</b>	<b>44,381.6</b>					<b>44,381.6</b>
19	PY1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	33,362			3,682	333.1	51,758	89,134.7						
20	PY2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	23,317		1,595	898	204.5	16,022	42,037.5						
21	PY3	Upgrading Suoi Cau weir and access road	131,348			3,630		34,360	169,337.8						
22	PY4	Upgrading irrigation canal of Dong Tron reservoir's irrigation system	88,871.2			373.7		443.4	89,688.3	44,381.6					44,381.6
<b>V</b>	<b>NT</b>	<b>Ninh Thuan province</b>	<b>98,747.2</b>	<b>587.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>232,210.6</b>	<b>331,544.8</b>	<b>8,000.0</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>8,000.0</b>
23	NT1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	18,369.0	587.0	0.0		0.0	183,586.8	202,542.8	5,000					5,000
24	NT2	Upgrading the Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	61,241.0	0.0	0.0		0.0	38,760.2	100,001.2	3,000					3,000
25	NT3	Development Infrastructure for Vegetable Cultivation at	19,137.2	0.0	0.0	0.0	0.0	9,863.6	29,000.8	-					-

		An Hai Commune Subproject													
26	NT4	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<b>VI</b>	<b>BT</b>	<b>Binh Thuan province</b>	<b>33,545.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>33,545.5</b>						
27	BT1	Upgrading Du Du reservoir	-	-	-		0.0	-	0.0	-	-	-	-	-	-
28	BT2	Upgrading Saloun reservoir	33,545.5	0.0	0.0		0.0	0.0	33,545.5	-	-	-	-	-	-
29	BT3	Upgrading North primary canal of Ba Bau irrigation system	-	-	-		0.0	-	0.0	-	-	-	-	-	-
30	BT3a	Upgrading the North primary canal of Ba Bau irrigation system	-				0.0		0.0						
31	BT4	Upgrading primary canal of Quao river	-	-	-		0.0	-	0.0	-	-	-	-	-	-
32	BT4a	Upgrading 2 secondary canals (N9 and N13) of Quao river system	0				0		0						
		<b>Total</b>	<b>607,492</b>	<b>5,025</b>	<b>3,186</b>	<b>15,238</b>	<b>60,198</b>	<b>344,424</b>	<b>1,035,563</b>	<b>834,338</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>834,338</b>

4. Annex 4: Affected assets (crop/tree/structures) as of 31/12/2018

TT	Code	Name of Sub-project	Area of affected house (m2)	Affected crop area (m2)	Affected tree (tree)	Affected structures (m/m2)	Affected tomb (unit)	Other structure
<b>I</b>	<b>HT</b>	<b>Ha Tinh province</b>	<b>0.0</b>	<b>0.0</b>	<b>540.0</b>	<b>448.0</b>	<b>0.0</b>	<b>0.0</b>
1	HT1	Upgrading canal system of Rac River irrigation	0.0	0.0	0.0	0.0	0.0	0.0
2	HT1a	Repairing and upgrading canals of Rac River irrigation system	0.0	0.0	0.0	0.0	0.0	0.0

3	HT2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha district	0.0	0.0	135.0	203.0	0.0	0.0
4	HT3	Upgrading Ho Dam and Tiem River canal system	0.0	0.0	0.0	0.0	0.0	0.0
5	HT4	Upgrading irrigation system, rural road and market in five flooded communes of Duc Tho district	0.0	0.0	0.0	0.0	0.0	0.0
6	HT4a	Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district	0.0	0.0	0.0	0.0	0.0	0.0
7	HT5	Upgrading irrigation system of three communes, Thach Ha district	0.0	0.0	405.0	245.0	0.0	0.0
<b>II</b>	<b>TTH</b>	<b>Thua Thien Hue province</b>	<b>54.9</b>	<b>844.5</b>	<b>4,069.0</b>	<b>46.7</b>	<b>39.0</b>	<b>0.0</b>
8	TTH1	Upgrading Dai Giang River Dike system	54.9	0.0	4,069.0	46.7	39.0	
9	TTH2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road		844.5				
10	TTH3	Upgrading Road combining with flood protection dike of Pho Lai - Tham Cam - Nam Duong - Co Thap						
11	TTH4	Upgrading and extending Quan culvert	0.0	0.0	0.0	0.0	0.0	0.0
<b>III</b>	<b>BD</b>	<b>Binh Dinh province</b>	<b>1,379.0</b>	<b>237,165.4</b>	<b>54,366.0</b>	<b>6,148.0</b>	<b>122.0</b>	
12	BD1	Upgrading Nui Mot reservoir canal system		38,215.0	7,662.0	212.0		
13	BD2	Upgrading Lai Giang dam's primary canal		35,322.0	963.0	239.0		
14	BD3	Upgrading Hoi Khanh reservoir	60.0	7,372.0	25,141.0	19.0	74.0	
15	BD4	Upgrading My Thuan reservoir	0.0	1,430.0	2,045.0	0.0	0.0	
16	BD5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	1,319.0	65,593.0	8,659.0	5,468.0	38.0	
17	BD6	Upgrading Van Phong canal system		87,339.0	7,095.0	210.0		



18	BD6a	Repairing calamity impacts of Van Phong irrigation canal system	0.0	1,894.4	2,801.0	0.0	10.0	
<b>IV</b>	<b>PY</b>	<b>Phu Yen province</b>	<b>13.5</b>	<b>131,621.0</b>	<b>11,323.0</b>	<b>8,128.7</b>	<b>0.0</b>	<b>0.0</b>
19	PY1	Consolidate main canal and branch canals of Dong Cam irrigation scheme		33,533.2	5,657.0	3,097.6		
20	PY2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	13.5	38,419.3	3,105.0	2,465.5		
21	PY3	Upgrading Suoi Cau weir and access road		3,711.6	934.0	2,177.5		
22	PY4	Upgrading irrigation canal of Dong Tron reservoir's irrigation system		55,957.0	1,627.0	388.1		
<b>V</b>	<b>NT</b>	<b>Ninh Thuan province</b>	<b>0.0</b>	<b>70,674.3</b>	<b>14,131.0</b>	<b>7,424.7</b>	<b>12.0</b>	<b>0.0</b>
23	NT1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system		18,312.0	6,490.0	1,941.9		
24	NT2	Upgrading the Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system		46,477.6	7,443.0	3,112.0		
25	NT3	Development Infrastructure for Vegetable Cultivation at An Hai Commune Subproject	0.0	5,884.7	198.0	2,370.8	12.0	
26	NT4	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	NA	NA	NA	NA	NA	NA
<b>VI</b>	<b>BT</b>	<b>Binh Thuan province</b>	<b>0.0</b>	<b>391.5</b>	<b>325.0</b>	<b>377.0</b>	<b>0.0</b>	<b>0.0</b>
27	BT1	Upgrading Du Du reservoir	0.0	0.0	0.0	0.0	0.0	0.0
28	BT2	Upgrading Saloun reservoir	0.0	391.5	325.0	377.0	0.0	0.0
29	BT3	Upgrading North primary canal of Ba Bau irrigation system	0.0	0.0	0.0	0.0	0.0	0.0
30	BT3a	Upgrading the North primary canal of Ba Bau irrigation system	0.0	0.0	0.0	0.0	0.0	0.0

31	BT4	Upgrading primary canal of Quao river	0.0	0.0	0.0	0.0	0.0	0.0
32	BT4a	Upgrading 2 secondary canals (N9 and N13) of Quao river system	0.0	0.0	0.0	0.0	0.0	0.0
		<b>TOTAL</b>	<b>1,447.4</b>	<b>440,696.7</b>	<b>84,754.0</b>	<b>22,573.1</b>	<b>173.0</b>	<b>0.0</b>

**5. Annex 5: Status of compensation payment as of 31/12/2018**

TT	Code	Name of sub projects	Compensation cost (VND)		Compensation amount paid to affected HHs (VND)		Compensation payment status		Site clearance status	
			Total approved cost estimate	Total cost approved in accordance with compensation plan	Compensation amount paid as of the reporting time	Remaining amount expected to be paid	Number of affected HHs received compensation amount as of the reporting time	Number of affected HHs refusing to receive compensation amount	Number of affected HHs handed over the site	Number of affected HHs not handed over the site
<b>I</b>	<b>HT</b>	<b>Ha Tinh province</b>	<b>1,151,497,720</b>	<b>1,026,537,720</b>	<b>1,026,537,720</b>	<b>-</b>	<b>282</b>	<b>-</b>	<b>282</b>	<b>-</b>
1	HT1	Upgrading canal system of Rac River irrigation	1,151,497,720	1,026,537,720	1,026,537,720	-	46	-	46	
2	HT1a	Repairing and upgrading canals of Rac River irrigation system	-	-	-	-	-	-	-	0
3	HT2	Upgrading irrigation/drainage and rural road system in flooding-prone area of Loc Ha district	-	-	-	-	61	-	61	
4	HT3	Upgrading Ho Dam and Tiem River canal system	-	-	-	-	156	-	156	
5	HT4	Upgrading irrigation system, rural road and market in five flooded communes of Duc Tho district	-	-	-	-	-	-	-	
6	HT4a	Repairing and upgrading irrigation system, rural road and market in 5 flooded communes of Duc Tho district	-	-	-	-	-	-	-	0

7	HT5	Upgrading irrigation system of three communes, Thach Ha district	-	-	-	-	19	-	19	
<b>II</b>	<b>TTH</b>	<b>Thua Thien Hue province</b>	<b>897,513,000</b>	<b>897,513,000</b>	<b>897,513,000</b>	<b>-</b>	<b>276</b>	<b>-</b>	<b>276</b>	<b>-</b>
8	TTH1	Upgrading Dai Giang River Dike system	596,732,000	596,732,000	596,732,000		66		66	
9	TTH2	Upgrading Dong Tay Hoi Tom Dike combining with on-farm road	300,781,000	300,781,000	300,781,000	-	59	-	59	-
10	TTH3	Upgrading Road combining with flood protection dike of Pho Lai - Tham Cam - Nam Duong - Co Thap	-	-	-		151	-	151	
11	TTH4	Upgrading and extending Quan culvert	-	-	-	-	-	-	-	0
<b>III</b>	<b>BD</b>	<b>Binh Dinh province</b>	<b>18,505,615,733</b>	<b>17,024,511,530</b>	<b>17,024,511,530</b>	<b>-</b>	<b>1,888</b>	<b>-</b>	<b>1,888</b>	<b>-</b>
12	BD1	Upgrading Nui Mot reservoir canal system	481,464,518	481,464,518	481,464,518	-	351	-	351	-
13	BD2	Upgrading Lai Giang dam's primary canal	565,892,000	565,892,000	565,892,000	-	444	-	444	-
14	BD3	Upgrading Hoi Khanh reservoir	1,969,687,000	1,969,687,000	1,969,687,000	-	93	-	93	-
15	BD4	Upgrading My Thuan reservoir	294,553,000	294,553,000	294,553,000	-	22	-	22	-
16	BD5	Upgrading rural infrastructures in Nhon Tho - Nhon Khanh	8,229,814,232	6,748,710,265	6,748,710,265	-	287	-	287	-
17	BD6	Upgrading Van Phong canal system	6,571,301,566	6,571,301,330	6,571,301,330	-	646	-	646	-
18	BD6a	Repairing calamity impacts of Van Phong irrigation canal system	392,903,417	392,903,417	392,903,417	0	45	-	45	-

<b>IV</b>	<b>PY</b>	<b>Phu Yen province</b>	<b>31,010,740,568</b>	<b>31,010,740,568</b>	<b>31,010,740,568</b>	<b>-</b>	<b>2,264</b>	<b>-</b>	<b>2,264</b>	<b>-</b>
19	PY1	Consolidate main canal and branch canals of Dong Cam irrigation scheme	8,572,500,255	8,572,500,255	8,572,500,255	-	641	-	641	-
20	PY2	Upgrading road and sluice of An Cu - An Hiep - An Hoa	4,822,621,140	4,822,621,140	4,822,621,140	-	219	-	219	-
21	PY3	Upgrading Suoi Cau weir and access road	4,827,946,913	4,827,946,913	4,827,946,913	-	170	-	170	-
22	PY4	Upgrading irrigation canal of Dong Tron reservoir's irrigation system	12,787,672,260	12,787,672,260	12,787,672,260	-	910	-	910	-
<b>V</b>	<b>NT</b>	<b>Ninh Thuan province</b>	<b>15,798,326,469</b>	<b>12,452,446,533</b>	<b>12,452,446,533</b>	<b>3,018,601,899</b>	<b>334</b>	<b>-</b>	<b>334</b>	<b>-</b>
23	NT1	Upgrading beginning section of North primary canal of Nha Trinh - Lam Cam irrigation system	4,592,452,037	4,265,174,000	4,265,174,000	-	210		210	
24	NT2	Upgrading the Cham canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	8,187,272,533	8,187,272,533	8,187,272,533	-	124		124	
25	NT3	Development Infrastructure for Vegetable Cultivation at An Hai Commune Subproject	3,018,601,899		-	3,018,601,899	-	-	-	
26	NT4	Upgrading the Cham Canal and management road of the South primary canal of Nha Trinh - Lam Cam irrigation system	NA	NA	NA	NA	NA	NA	NA	NA
<b>VI</b>	<b>BT</b>	<b>Binh Thuan province</b>	<b>738,699,857</b>	<b>709,626,900</b>	<b>709,626,900</b>	<b>-</b>	<b>130</b>	<b>-</b>	<b>130</b>	<b>-</b>
27	BT1	Upgrading Du Du reservoir	-	-	-	-	-	-	-	-
28	BT2	Upgrading Saloun reservoir	738,699,857	709,626,900	709,626,900	-	130	-	130	-

29	BT3	Upgrading North primary canal of Ba Bau irrigation system	-	-	-	-	-	-	-	-
30	BT3a	Upgrading the North primary canal of Ba Bau irrigation system	-	-	-	-	-	-	-	-
31	BT4	Upgrading primary canal of Quao river	-	-	-	-	-	-	-	-
32	BT4a	Upgrading 2 secondary canals (N9 and N13) of Quao river system	0	0	0	0	0	0	0	0
		<b>TOTAL</b>	<b>68,102,393,347</b>	<b>63,121,376,251</b>	<b>63,121,376,251</b>	<b>3,018,601,899</b>	<b>5,174</b>	<b>-</b>	<b>5,174</b>	<b>-</b>

