

Social Monitoring Report

For the period covering July–December 2020
Project Number: 38412-023
October 2021

India: MFF - Assam Integrated Flood and Riverbank Erosion Risk Management Investment Program — Tranche 1

Prepared by the Flood and River Erosion Management Agency of Assam (FREMAA) for the State Government of Assam and the Asian Development Bank.

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**Assam Integrated Flood and Riverbank Erosion Risk
Management Investment Program**

**Bi-annual Social Monitoring Report (Tranche-I)
July 2020 to December 2020**

Submitted to:

Asian Development Bank

Submitted by:

**Flood and River Erosion Management Agency of Assam
(FREMAA)**

Government of Assam

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A. IMPLEMENTATION PROGRESS UNDER TRANCHE- I

1. LAND ACQUISITION AND RESETTLEMENT

Background

1. Government of Assam (GoA) applied for a loan from the Asian Development Bank (ADB) to finance the *Assam Integrated Flood and Riverbank Erosion Risk Management Investment Program (AIFRERMIP)*. The Project-1 under Tranche-I comprises Civil Works for the priority riverbank protection and flood embankment renovation works, at the three selected subproject sites of Palashbari-Gumi, Dibrugarh and Kaziranga, along with procurement of equipment, consulting services and operational costs for the first three years. (Note - the Kaziranga subproject is shifted to Project 2 under Tranche-II due to late receipt of the environmental clearance from Gol).

2. Embankment work in both Palashbari and Dibrugarh in Project-1 under Tranche-I are the portion of the Civil Works which entail land acquisition (LA) and have an impact on the resettlement and rehabilitation (R&R) issues in the subprojects. Hence, Resettlement Plans for both the subprojects and implementation as well as monitoring arrangements as per ADB Safeguard Policy and loan agreement are required for mitigating the impacts. Previous Resettlement Plans were prepared for both Palashbari and Dibrugarh during PPTA in 2009.

Updating of Resettlement Plans

3. Preparation of the Resettlement Plans (RP) - was completed for the 3 subprojects (Palashbari, Dibrugarh and Kaziranga) during the project preparation stage in 2009. All three RPs were agreed by the Government of Assam (GoA) and approved by ADB. The focus of LA and R&R activities in PMC are to update the Resettlement Plans prepared in 2009 in accordance with the most up-to-date information on the ground and in coordination with the civil work plan. Since Kaziranga subproject was shifted to Project 2 under Tranche II update of only Palashbari and Dibrugarh RPs was completed in 2014 and approved by ADB under Project 1. Table 1 includes details of each of the sub-projects.

Table 1: Description of Sub-Projects under Tranche 1

| Sub-Project | Package Details |
|-------------|---|
| Palashbari | <ul style="list-style-type: none">• Embankment works (retirement) of 5.02 kms;• Riverbank protection works of 4.9 kms; |
| Dibrugarh | <ul style="list-style-type: none">• Strengthening and widening of the existing embankment of 8.53 kms;• Riverbank protection works at Mothola Reach-Revetment of 2.4 kms;• Construction of porcupine screens; |

4. The embankment work in both Palashbari and Dibrugarh are the portion of the Civil Works which require land acquisition (LA) and have an impact on the resettlement and rehabilitation (R&R) issues along the subprojects. The remaining Civil Works listed in Table 1, are restricted to the riverbank and will not have an impact on the land acquisition as well as rehabilitation and resettlement.

5. Under the ADB Involuntary Resettlement, the Tranche 1 Investment Program was categorized as an “A” project, and therefore Resettlement Plans (RPs) were prepared for both Palashbari and Dibrugarh in 2009 during PPTA stage. The update of the Resettlement Plans in

accordance with the most up-to-date information on the ground and in coordination with the Civil Works plans was finalized and approved by ADB in May 2014 for Palashbari and July 2014 for Dibrugarh.

1.1 IMPACTS AND RP IMPLEMENTATION UNDER PALASHBARI SUBPROJECT

6. The project impacted 446 HHs affecting 1373 persons. The village/ward wise breakup is given in Table 2 below.

Table 2: Distribution of Affected HHs and Persons in Palashbari

| No | District | Village/ Town/ Ward | Affected Households | Affected Persons |
|---------------|--------------|---------------------|---------------------|------------------|
| 1. | Kamrup | Kochpara | 36 | 94 |
| 2. | Kamrup | Hudumpur Village | 36 | 57 |
| 3. | Kamrup | Hudumpur Town | 68 | 180 |
| 4. | Kamrup | Palashbari Ward 6 | 31 | 105 |
| 5. | Kamrup | Palashbari Ward 5 | 56 | 187 |
| 6. | Kamrup | Palashbari Ward 1 | 97 | 353 |
| 7. | Kamrup | Palashbari Ward 2 | 15 | 73 |
| 8. | Kamrup | Sadilapur | 63 | 218 |
| 9. | Kamrup Metro | Majirgaon | 44 | 106 |
| Total: | | | 446 | 1373 |

7. A summary of impacts in each civil work package is at Table 3.

Table 3: Summary of Impacts¹ in Each Civil Work Packages - Palashbari

| Civil Work Package | Number Affected People due to displacement or residence | Number Affected People due to displacement or commercial structures | Number Affected People due to displacement or commercial and residential structures | Number of Affected People that losses their land | Number of Affected People losses other assets (and type of assets) |
|---|---|---|---|--|--|
| Palashbari riverbank protection (under water works) | NIL | NIL | NIL | NIL | NIL |
| Palashbari embankment construction and riverbank protection (above water works) | 217 HHs 976 APs | 15 HHs 65 APs | 4 HHs 18 APs | 136 HHs 612 APs | 193 HHs 868 APs |
| Gumi - riverbank protection | NIL | NIL | NIL | NIL | NIL |

1.1.1 Payment of Compensation against Land, Structures and Trees/Horticulture

8. Total land required to be acquired for Palashbari subproject is 38.48 ha the detailed break up is as at Table 4 below.

¹ Affected persons facing multiple impacts are presented separately and hence the total will be greater than 446 affected persons.

Table 4: Break up of Land Required for Palashbari Subproject

| Subproject | District | Land Required in ha | | |
|--------------|--------------|---------------------|--------------|--------------|
| | | Private Land | Govt. Land | Total |
| Palashbari | Kamrup | 25.72 ² | 9.33 | 35.05 |
| | Kamrup Metro | 2.56 | 0.87 | 3.43 |
| Total | | 28.28 | 10.20 | 38.48 |

9. Govt. of Assam(GoA) completed the release of fund for payment of compensation against land, structures, trees etc. through FREMAA for both private and government land for Palashbari subproject by May 2014. Summary of payment of compensations over private land till end of December 2015 is given in Table 5 below. Compensation for land, structures and trees is paid to all affected persons in private land and to majority (98%) of the affected persons in government land. Two affected persons in government land could not be paid as the structure owners are not interested in claiming the small amount of compensation, in spite of best efforts of FREMAA.

Table 5: Summary of Payment of Compensation over Private and Government Land - Palashbari

| Civil Work Package | Private Land | | | | Government Land | |
|---|----------------------------|-------------------|---|-------------------|---|-------------------|
| | Compensation against Land | | Compensation against structures and tress | | Compensation against structures and tress | |
| | Number of Affected Persons | Compensation Paid | Number of Affected Persons | Compensation Paid | Number of Affected Persons | Compensation Paid |
| Palashbari riverbank protection (under water works) | NIL | NIL | NIL | NIL | NIL | NIL |
| Palashbari embankment construction and riverbank protection (above water works) | 136 | 136 | 203 | 203 | 126 | 124 ³ |
| Gumi - riverbank protection | NIL | NIL | NIL | NIL | NIL | NIL |

1.1.2 Payment of Resettlement Assistances

10. A summary of payment of resettlement and income restoration assistances is at Table 6.

Table 6: Summary of Payment of Resettlement Assistances - Palashbari

| Civil Work Package | Number Affected People Eligible for Resettlement Assistances | Number Affected People Assistances Paid | Number Affected People Eligible for Resettlement Assistances under income restoration | Number Affected People Assistances Paid |
|---|--|---|---|---|
| Palashbari riverbank protection (under water works) | NIL | NIL | NIL | NIL |
| Palashbari embankment construction and riverbank protection (above water works) | 224 | 224 | 39 | 39 |
| Gumi - riverbank protection | NIL | NIL | NIL | NIL |

² Includes 2.15 ha of private land acquired through negotiations from 36 landowners

³ Compensation of 2-affected persons amounting to Rs.500 and Rs.600 could not be paid as the structure owners are not coming forward to receive the amount.

1.2 IMPACTS AND RESETTLEMENT PLAN IMPLEMENTATION ACTIVITIES IN DIBRUGARH

11. There were a total of 462 affected households and 2179 affected persons identified in the 6 villages/ wards as shown in Table 7.

Table 7: Distribution of Affected HHs and Persons in Dibrugarh

| No. | District | Village/ Town/ Ward | Affected Households | Affected Persons |
|---------------|-----------|---------------------|---------------------|------------------|
| 1. | Dibrugarh | Japaragoan | 12 | 80 |
| 2. | Dibrugarh | Maijan Barsaikia | 39 | 181 |
| 3. | Dibrugarh | Ward No. 16 | 182 | 808 |
| 4. | Dibrugarh | Ward No. 22 | 83 | 460 |
| 5. | Dibrugarh | Ward No. 7 | 43 | 113 |
| 6. | Dibrugarh | Ward No. 9 | 103 | 537 |
| Total: | | | 462 | 2179 |

12. A summary of impacts in each civil work package is at Table 8.

Table 8: Summary of Impacts⁴ in Each Civil Work Package

| Civil Work Package | Number Affected People due to displacement or residence | Number Affected People due to displacement or commercial structures | Number Affected People due to displacement or commercial and residential structures | Number of Affected People that losses their land | Number of Affected People losses other assets (and type of assets) |
|---|---|---|---|--|--|
| Dibrugarh - riverbank protection (Motholla - Oakland) | NIL | NIL | NIL | NIL | NIL |
| Dibrugarh - Dibrugarh Town Protection dyke rehabilitation | 349 HHs 1455 APs | 78 HHs 325 APs | 22 HHs 88 APs | 57 HHs 256 APs | 298 HHs 1252 APs |

1.2.1 Payment of Compensation against Land, Structures and Trees/Horticulture

13. Total land required to be acquired for Dibrugarh subproject is 9.43 ha the detailed break up is as at Table 9 below.

Table 9: Break up of Land Required for Dibrugarh Subproject

| Subproject | District | Land Required in ha | | |
|--------------|-----------|---------------------|-------------|-------------|
| | | Private Land | Govt. Land | Total |
| Dibrugarh | Dibrugarh | 2.25 | 7.18 | 9.43 |
| Total | | 2.25 | 7.18 | 9.43 |

14. A summary of payment of compensation against private and government land is at Table 10. Compensation for land, structures and trees is paid to majority of the affected persons in private land and the affected persons in government land. Those who could not be paid include affected persons not coming forward to collect the compensation as the compensation amount is small and affected persons whose whereabouts is not known. Towards reaching out to such persons, newspaper advertisements were issued and despite the efforts taken, the

⁴ Affected persons facing multiple impacts are presented separately and hence the total will be greater than 462 affected persons.

compensation amount could not be paid and is with the concerned District Collector's office, and can be claimed at any time.

Table 10: Summary of Payment of Compensation against Private and Government Land - Dibrugarh

| Civil Work Package | Private Land | | | | Government Land | |
|---|----------------------------|-------------------|---|-------------------|---|-------------------|
| | Compensation against Land | | Compensation against structures and tress | | Compensation against structures and tress | |
| | Number of Affected Persons | Compensation Paid | Number of Affected Persons | Compensation Paid | Number of Affected Persons | Compensation Paid |
| Dibrugarh - riverbank protection (Motholla - Oakland) | NIL | NIL | NIL | NIL | NIL | NIL |
| Dibrugarh - Dibrugarh Town Protection dyke rehabilitation | 57 | 53 | 80 | 76 | 366 | 342 |

1.2.2 Payment of Resettlement Assistances

15. Payment status of resettlement assistances remained same as 65 APs were tenants and they shifted to some other locations once the civil work started in encumbrance free land. These APs could not be traced despite enquires made in the project area to trace the affected persons. With regard to payment of income restoration assistance, one eligible AP expired before start of civil work near his shop and the family closed down the shop also expressed unwillingness to receive the assistance. A summary of payment status of Resettlement Assistances is at Table 11.

Table 11: Summary of Payment of Resettlement Assistances - Dibrugarh

| Civil Work Package | Number Affected People Eligible for Resettlement Assistances | Number Affected People Assistances Paid | Number Affected People Eligible for Resettlement Assistances under income restoration | Number Affected People Assistances Paid |
|---|--|---|---|---|
| Dibrugarh - riverbank protection (Motholla - Oakland) | NIL | NIL | NIL | NIL |
| Dibrugarh - Dibrugarh Town Protection dyke rehabilitation | 414 | 349 | 78 | 77 |

2. STATUS OF LA AND RESETTLEMENT IMPLEMENTATION FOR (TRANCHE-I)

Palashbari Subproject

- 487 out of total 501 PAPs received compensation against land, structures and trees/horticulture products till the end of this semi-yearly period.
- Non- payment of compensation against 14 PAPs cases due to out of the 14 families 2 families have very less compensation amount i.e. Rs. 252.00 and Rs. 256.00 respectively &

they denied to apply for the compensation. Again 1 family applied for de-acquisition of unused portion of their land and the rest 11 families are untraceable.⁵

- 15 Bigha 0 katha 8 Lesa of additional land has been purchased under direct purchase provision of land act.
- No Zirat compensation payment made during this semi-yearly period.
- All R&R assistances have been paid to PAPs;
- Income Restoration Assistance has been paid to all eligible PAPs
- 109 landless families identified for resettlement were provided land for construction of house;
- Entire 5019 M of encumbrance free land, handed over to SIO by DCs and SIO in turn handed over the land to the contractor for completion of civil work.

Complaints and Court Cases

16. Till date, total 61 numbers of complaints were received by GRC out of which 40 were from Palashbari Town Ward No. 2, 10 each from Hudumpur and Sadilapur, and 1 from Peril town. All 61 complaints have been resolved amicably.

Dibrugarh Subproject:

- 342 out of 366 PAPs having no right over the land have been paid compensation against structures and trees/horticulture products till end of this semi-yearly period.
- As per recent information collected from LA Branch, DC office Dibrugarh, no payment of compensation has been released to PAP's in relation to structures, trees/horticultural products etc. over Govt Land Acquired for construction of DTP Dyke Tranche I, during the period from Jan to June 2020, Hence 342 out of 366 PAP's have received their Zirat compensation till date. It is also to be noted that none of the remaining 24 Nos. of PAP's has submitted any claim against their Zirat compensation over Govt Land during the aforementioned period due to various reasons unknown.
- Total 414 PAPs were to be given R&R assistances out of which 376 PAPs have been paid compensation against structures and trees/horticulture products during last quarter. The remaining PAPs could not be traced as they have shifted from the site.
- Payment of Zirat has been paid to all the APs.
- Income Restoration Assistance has been paid to 77 out of 78 eligible PAPs.
- Entire 8.53 km of encumbrance free land, has been handed over to SIO by DC and SIO in turn handed over the land to the contractor for start of civil work.

Compliance of Safeguard Requirements under Tranche- I

17. There are no outstanding issues on compliance of safeguard requirements under Tranche I. Para 10 of the Aide Memoire of ADB Mission of April 20th to 3rd May 2018 and 23rd May 2018 clearly indicated this as - "During the July 2017 monitoring visit, 13 non-title-holders and 1 title-holder were identified as not having been paid compensation of Zirat under Project 1. In November 2017, the Mission urged FREMAA to resolve the outstanding payments immediately. In March 2018, a mission was fielded by a Social Development Specialist (ADB consultant) to support FREMAA in resolving the issues. In May 2018, FREMAA informed the

⁵ Information collected from DC Office Kamrup by FS, FREMAA

Mission that all outstanding Project 1 households have now been paid Zirat compensation, and that all the outstanding 42 affected people of Palashbari sub-project have been allotted land at Boratoli resettlement site. FREMAA shared with ADB the records confirming payments and the handing over of possession to the affected people, as well as communication from Circle Officer, Palashbari Revenue Circle. Documents were found to be in order by the ADB consultant. Also, on 23 May 2018, FREMAA informed ADB that the land development works in Kochpara have been completed. However, the documentary evidences of the compliances of the safeguard requirements are provided below:

Figure 1: Photographs of relocation sites



Boratoli Resettlement Sites



Agsia Relocation site and approach road

18. Individual allotment patta land is to be issued to all the affected families in three relocation sites. Allotment letter shall be issued by the Respective Collector of the concerned district.

Figure 2: APR against Payment of Zirat to Mr. Bubul Kalita, Palashbari Sub-project

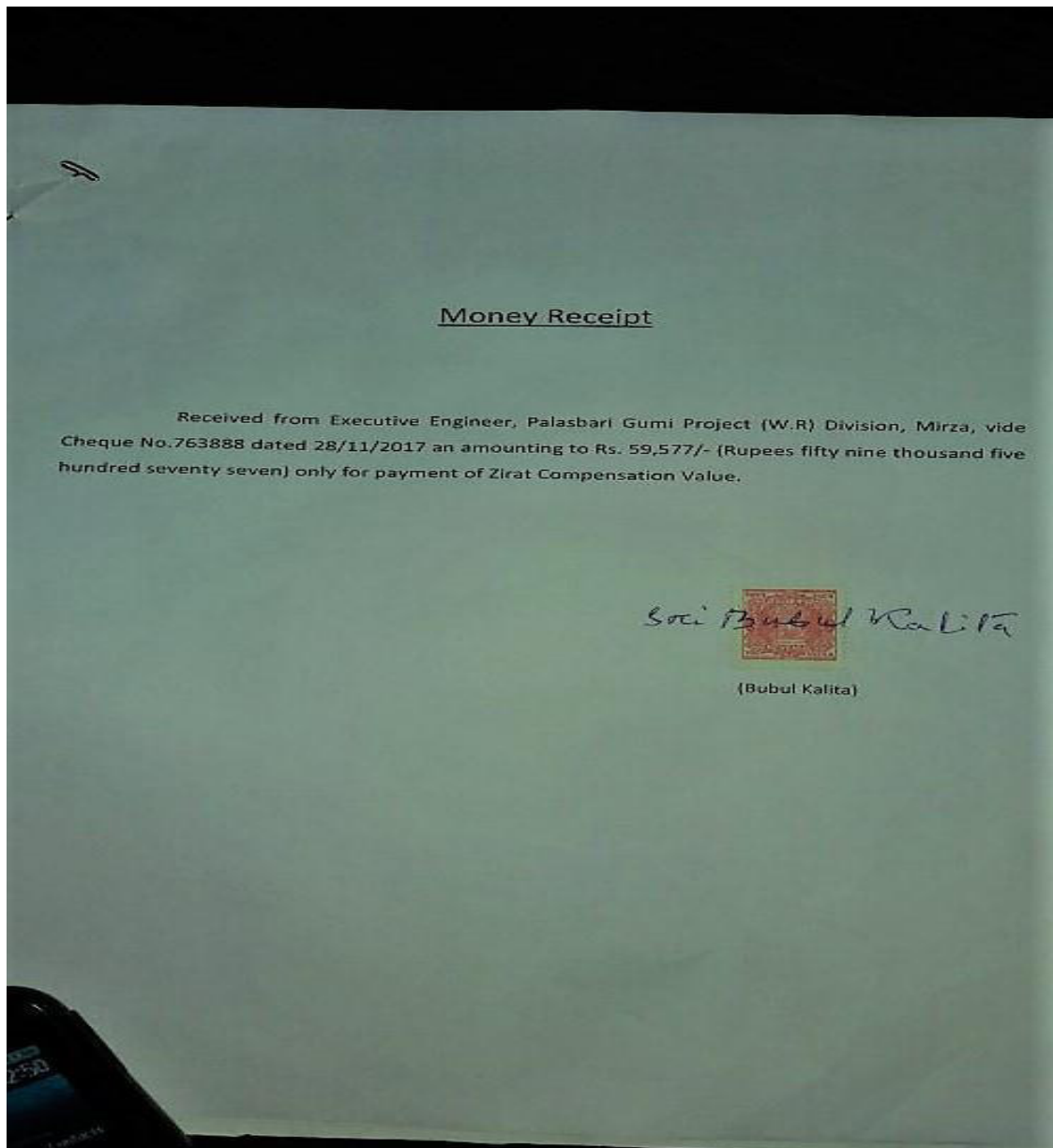


Figure 3: APRs against Payment of Zirant to 13 Remaining APs of Dibrugarh








| A. 1.8. IN CONNECTION WITH RECEIPT OF COMPENSATION IN ORA-22/2012/77 IN CONNECTION WITH CONSTRUCTION OF DIP DYKE UNDER TRANCHE-I Circle-Dibrugarh East Revenue Circle | | | | | | |
|---|---|--------------|-------------------|-------------|--|------------------------------|
| Sl. No. | Name of the person occupying the land | Type of Land | Name of Ward. | Amount paid | Signature of the Recipient with date | Identified By |
| 1 | SRI MAHAJAN, Mrs. D. 0851 101 | Govt. | Pura 1 Amolapatty | 80,500.00 |  22/11/12 | Collector Dibrugarh District |
| 2 | SRI ACHYUT, Mr. 045, S/o Durgaswar Darg | Govt. | Pura 1 Amolapatty | 22,000.00 |  22/11/12 | Collector Dibrugarh District |
| 3 | SRI SURESH DAS, W/o RENU DAS | Govt. | Pura 1 Amolapatty | 14,158.00 |  22/11/12 | Collector Dibrugarh District |
| 4 | SRI KALP CHAN, S/o Pradip Chandra | Govt. | Pura 1 Amolapatty | 656,000.00 |  22/11/12 | Collector Dibrugarh District |
| 5 | SRI KAMAKISHA, PANDIT, S/o Bikram | Govt. | Pura 1 Amolapatty | 280,200.00 |  22/11/12 | Collector Dibrugarh District |
| 6 | SRI KALP CHAN, S/o Anisur Khan | Govt. | Maharajpatty | 388,320.00 |  22/11/12 | Collector Dibrugarh District |
| 7 | SRI JALPAJI, S/O S/O Anisur Khan | Govt. | Maharajpatty | 123,880.00 |  22/11/12 | Collector Dibrugarh District |

Figure 4: Photographs of Additional Land Filling at Kochpara Rehabilitation Site, Palashbari



Engagement of External Monitor of RP Implementation under Tranche I

19. ADB decided to engage the external monitor from ADB side and external and carried out site visits to both the sub-projects of Dibrugarh and Palashbari during September 2017 to March 2018. The external monitoring report has been submitted by the ADB consultant directly to ADB.

B. COMPLIANCE OF ADB SAFEGUARD REQUIREMENTS UNDER TRANCHE I

3. INVOLUNTARY RESETTLEMENT

Table 12: Status of Loan Covenants (Schedule 5)

| Item No. | Covenant | Status of Compliance |
|-----------------------|---|---|
| Schedule 5, Para 6 | Undertake the Project and the Subprojects in accordance with applicable laws and regulations of the Borrower and the State, and ADB's Policy on <i>Involuntary Resettlement</i> (1995), as set out in Resettlement Framework and relevant Resettlement Plan | Update of Resettlement Plans of Palashbari and Dibrugarh Subprojects have been done in accordance with the applicable laws and regulations of the Borrower and the State, and ADB's Policy on Involuntary Resettlement and same has been done during RP implementation. |
| Schedule 5, Para 7(a) | Subject to compliance with all applicable laws and regulations of the Borrower and the State, the SEA shall acquire or make available the land and right to land free from any encumbrances, and clear the utilities, trees, and any other obstruction of such land, required for commencement of construction activities in accordance with the schedule agreed under the relevant civil work contract. | Entire encumbrance free land of 8400M in Dibrugarh and of 5019 M in Palashbari has been made available to the contractor. All obstructions like utilities, trees etc. have been cleared. |
| Schedule 5, Para 7(b) | The SEA shall ensure that all land and right-of-way required by the Subprojects will be made available in a timely manner and that the provisions of the Resettlement Plans, including compensation and entitlements for affected households and persons, will be implemented in conformity with applicable laws and regulations of the Borrower and the State, and ADB's Policy on <i>Involuntary Resettlement</i> (1995), as set out in Resettlement Framework and agreed Resettlement Plan | Fund for payment of compensation against the land, assets and R&R assistances as per the approved RP and the payment have been made except a few unresolved court cases and family dispute in case of land and missing APs in case of R&R assistances |
| Schedule 5, Para 7(c) | The SEA shall ensure that prior to land acquisition and any resettlement under each Subproject, the related RP including its update based on consensus of affected persons, is disclosed with all necessary information made available to persons affected by the Project | Relevant information on land acquisition and resettlement has been disclosed to the project affected persons. Information disclosure write up in local language has been prepared and displayed in the prominent public places in and around the Subproject areas of Palashbari and Dibrugarh. It has been confirmed that |

| Item No. | Covenant | Status of Compliance |
|--------------------|--|---|
| | and confirm that it be uploaded onto ADB's website. The SEA shall ensure that all compensation programs are completed as outlines in the RPs prior to commencement of civil works contract under a Subproject. | all information disclosed is uploaded in ADB side. It has been ensured that all compensation programs are completed as outlined in RPs prior to commencement of civil works in both Dibrugarh and Palashbari subprojects. |
| Schedule 5, Para 8 | Submit progress and completion reports on land acquisition and resettlement for Subprojects under the quarterly progress reports for the Project. | Quarterly and bi-annual progress reports on land acquisition and resettlement for Dibrugarh and Palashbari subprojects have been submitted to ADB. |
| Schedule 5, Para 8 | Engage an independent monitoring and evaluation agency to assess performance and impacts of land acquisition and resettlement and submit external monitoring report to ADB on a semi-annual basis for review. | ADB has engage the external monitor from ADB side and external monitor consultant had submitted the report to ADB directly. |

Table 13: Compliance of ADB's Safeguard Requirements (Involuntary Resettlement) Part-I

| Item No. | Resettlement Task | Responsible Agency | Progress to Date/Remarks |
|-----------------------------------|---|--------------------|--|
| 1.0 | RESETTLEMENT MANAGEMENT | | |
| Institutional Arrangements | | | |
| 1.1 | Financing and budget, adequacy, timely availability, outstanding concerns | FREMAA | <ul style="list-style-type: none"> • Financing pattern established • Adequate budget provision made in the RPs • Fund released on time to DCs (for disbursement of compensation against land and assets) and SIOs (for disbursement of R&R assistances) • There are no outstanding concerns. |
| 1.2 | Establish PIU, resettlement staff as in RP, APs database, training | GoA/FREMAA | <ul style="list-style-type: none"> • PIUs in form of SIOs for Palashbari and Dibrugarh Subprojects established • Resettlement staff as per updated RPs in place • APs database for Palashbari and Dibrugarh Subprojects prepared • Training to the concerned officials imparted. |

| Item No. | Resettlement Task | Responsible Agency | Progress to Date/Remarks |
|--|--|--|---|
| Updating of RP based on Detailed Design | | | |
| 1.3 | Update-RP - revise based on DMS, revise implementation schedule, revise budget | FREMAA and PMC | <ul style="list-style-type: none"> • Update of RPs completed based on DMS • Revised implementation schedule prepared • Budget revised in updated RPs accordingly. |
| 1.4 | Approve compensation rates – approved, adequacy as per RP, APs informed. | DCs, FREMAA and ADB | <ul style="list-style-type: none"> • Compensation rate approved as per updated RPs • Information to APs ongoing. |
| Disclosure and Grievance Redress Arrangements | | | |
| 1.5 | Disclose RP, updated RP, disclosed to APs in local language, disclose revised RP on ADB website. | FREMAA, PMC, NGOs and ADB | <ul style="list-style-type: none"> • 2014 updated RPs have been disclosed to APs in local language • Disclosure of updated RPs in local language is completed on 10th April 2012 in Dibrugarh Sub-project & 24th March 2012 in Palashbari-Gumi Sub-project. • The updated RPs in ADB website. |
| 1.6 | Appoint grievance redress committees - terms of reference, appointment, publicity among APs, recording of complaints and decisions, satisfaction with process and reviews. | FREMAA, DCs, SIOs, NGOs and External Monitor | <ul style="list-style-type: none"> • GRC appointed under the Chairmanship of concerned DCs vide Notification No. AFRERM(P)/PROJ.45/ 2012/31 dated 27/04/2012 detailing its functions • Publicity among APs on grievance redress mechanism carried out • Recording of complaints and decision to resolve the complaints in place • Review of the process to be taken up by the external monitor. |
| Monitoring and Reporting Arrangements | | | |
| 1.7 | Set up internal monitoring system, staffing of offices responsible for resettlement, responsibility, reporting, verify utilization of monitoring reports for management decision-making purposes | FREMAA and External Monitor | <ul style="list-style-type: none"> • Internal monitoring system established • Staffing done as per the updated RPs with responsibility • Reporting system in place • Verification of utilization of monitoring reports for management decision making process is to being taken up by the external monitor |
| 1.8 | Appoint external monitoring agency | FREMAA/ADB | ADB has engage the external monitor from ADB side and external monitor consultant had submitted the report to ADB directly. |

C. SOCIAL DEVELOPMENT AND SAFEGUARDS

20. Social Safeguard as a part of the loan component in Tranche-I, FREMAA have been complied and also all necessary activities relating to Social Safeguard loan covenants. Under the Social Safeguard domain, the project activities had been focused on ensuring of beneficiaries to contribute the local employment and poverty reduction.

4. SOCIAL SAFEGUARD ACTION

21. FREMAA had been focused on compliance of Social Safeguard Policy of ADB while project implementation is taken up and the project encourages employment of local people in civil works as a part of short term measures of poverty reduction. As per the ADB guidelines, the contractors were encouraged to provide employment to:

- Local people especially PAPs in civil works –during execution of underwater bank protection work under Palashbari –Gumi Sub-project about 80% of the labourers were from local community.
- At least 20% women in works which they can handle easily. However, the target could not be fully achieved due to nature of civil work and Socio-cultural reasons.

22. Following items are Social Safeguard Action, as complied under Tranche-I project:

- Implementation agency disclosed Project 1 Gender Action Plan (GAP) [ANNEXURE-I], Indigenous Peoples Planning Framework (IPPF), Summary Poverty Reduction and Social Strategy (SPRSS) to the project affected person.
- Earlier the Implementation agency conducted public consultation with affected peoples, discussed special needs of specific groups such as landless and marginal farmers, women, embankment squatter population, and other minority groups such as scheduled tribes and castes & in the reporting period, no such consultations were conducted under Tranche-I Project.
- Implementation agency had worked closely with the affected persons, concerned local administrative authorities and local based organizations.
- Consultations were held with women and disadvantaged APs throughout the project I life.
- Implementation agency had set up an impartial complaint handling mechanism and develops processes and mechanisms for resolving social conflicts in project-related organizations.
- Provided training on participatory planning, gender mainstreaming, and other social development related areas.
- MIS was established to incorporate social safeguard and gender aspects in properly.
- Social Impact reports were prepared for Dibrugarh & Palashbari-Gumi sub project after field observation.
- Resettlement of 109 landless affected families in three resettlement sites.

23. Compliance with social safeguards and safety issues at work sites:

- PMC assists SIOs in finalizing the compliance report on issues of safeguards and safety at work sites.
- The contractors were advised to submit the compliance report on social safeguards and safety along with their bills.
- FREMAA suitably enforces compliance report on social safeguards and safety at work sites, from SIOs and contractors periodically during progress of works.
- All Grievances raised by PAP's were resolved by formed of Grievance Redressal Committee established under the project.

5. LABOR RIGHTS AND PROTECTION

- Scrutinized social safeguard and safety issues as per contract agreement in respect of works being executed by contractors for Palashbari, Gumi and Dibrugarh and file status report to FREMAA.
- Advised the contractors to conduct AIDS/HIV awareness camps at work sites, attended the awareness camp organized by contractor at Dibrugarh DTP dyke on 20th September, 16 and describing about AIDS/HIV's symptoms, prevention methods etc among labour and local people.
- Attended the awareness camp organized by contractor at Gumi on 29th November, 16 and describing about AIDS/HIV's symptoms, prevention methods etc among labour and local people.
- Attended the awareness camp organized by contractor at Palashbari on 11th January, 17 and described about AIDS/HIV's symptoms, prevention methods etc among labour and local people.

24. Contractor's has distributed condoms, first aid items viz: Adhesive bandages (band aids, sticking plasters), Bandages (for securing dressings), Antiseptic wipes & sprays, Pain killer tablet, Anti diarrhea medicine, Hydrogen peroxide etc. among labours in Awareness camp.

Palashbari-Gumi Sub-project: Snapshots of Activities

| | |
|---|--|
|  |  |
| <p>Cheque distribution ceremony to PAPs at Palashbari Circle office</p> | <p>Cheque distribution to the PAP in Azara Circle Office-Palashbari</p> |
|  |  |
| <p>Cheque distribution in Azara Circle Office at Palashbari</p> | <p>Interaction with APs at Majirgaon village</p> |



Interaction with the PPs by the FREMAA officials at Palashbari



Visit of Hon'ble Union Minister Dr. Sanjeev Balyan in Tranche (I) under Palashbari site

Annexure 1
SUMMARY GENDER ACTION PLAN^{6 1}

| Output / Activities | Indicators and Targets | Responsibilities | Time Frame |
|--|--|-------------------|------------|
| Output 1: Flood and Riverbank Erosion Risk Mitigation Functioning at Subproject Areas | | | |
| 1-1. Structural Works | | | |
| - Ensure willing women benefit from employment in construction/ rehabilitation of embankments and bank protection structures | <ul style="list-style-type: none"> Minimum 15% of labors are women, subject to suitability of the works and availability of the female workers. Equal wage for women and men for equal work | FREMAA, WRD, | Year 1-4 |
| 1-2. Non-structural Work | | | |
| - Inclusion of women in public awareness campaign and social mobilization in the three subproject areas | <ul style="list-style-type: none"> Minimum 30% of participants for project information dissemination meetings are women. | FREMAA, WRD | Year 1-2 |
| - Establishing inclusive DMCs at village, gram panchayat and block levels | <ul style="list-style-type: none"> Minimum 30% women's membership at each level, subject to local culture and customs Female sub-DMCs established –as needed- where socio-cultural practices require them and when women's active participation can be better sought through female only committees. | FREMAA, WRD, NGOs | Year 3-4 |
| - Inclusive community-based decision-making and training for DMCs to address specific needs of men and women | <ul style="list-style-type: none"> Minimum 30% of DMC representatives are women. Minimum 30% of participants for training programs on flood and river erosion risk management are women. | FREMAA, WRD, NGOs | Year 2-4 |
| - Community-based implementation and maintenance of re-forestation with women participation | <ul style="list-style-type: none"> Minimum 33% of plant maintenance committee members are women. Minimum 33% of participants for plant maintenance training are women. | FREMAA, WRD, NGOs | Year 2-4 |
| - Livelihood support for project affected people to address specific needs of men and women | <ul style="list-style-type: none"> Minimum 40% of participants of consultation meetings for needs surveys are women. Minimum 40% of participants for livelihood support programs are women. | FREMAA, WRD, NGOs | Year 2-4 |

⁶ ADB operations are guided by its Gender and Development Policy (1998) and the GAD Plan of Action 2008-2010 (see OMC2). This Gender Action Plan (GAP) has been developed in line with the Government of India (GOI)'s 11th Five-Year Plan and its stated commitment to gender mainstreaming in all sectors. It has been agreed with the Water Resource Department and the Social Welfare Department in Assam, and will be promoted where feasible. The gender activities included in the GAD Plan of Action (GAP) will be further complemented by the activities of a proposed JFPR on Community Flood Risk Management and Livelihood Improvement for River Erosion Victims in Assam. The envisaged activities include: (i) training for women's groups in adaptive cropping practices, fishing pond, cottage industry; (ii) establishing linkage with agricultural extension services and including women's groups; (iii) establishing linkage between agricultural product market or handicraft market and women's groups; and (iv) facilitating the placement of women trained in off-farm skills

| Output / Activities | Indicators and Targets | Responsibilities | Time Frame |
|--|---|------------------|-------------|
| Output 2: Strengthened Institutional Capacity for Flood and Riverbank Risk Management | | | |
| - Women's representation and gender issue awareness of the PMU of FREMAA | <ul style="list-style-type: none"> • Minimum 20% of PMU staff are women. • Minimum 75% of PMU staff receives training in gender consideration organized by ADB, ISC or FREMAA. • The non-technical wing of PMU ensures that the GAP is being implemented appropriately, and the GAP implementation quarterly progress is reported in all project quarterly progress reports. | FREMAA, WRD | Year 1-5 |
| - Women's representation in ISC's capacity development training for WRD | <ul style="list-style-type: none"> • Minimum 20% of participants of training by ISC for WRD are women. | WRD | Year 4-6 |
| - Sex-disaggregated data and monitoring. | <ul style="list-style-type: none"> • Sex-disaggregated data are collected for MIS • Sex-disaggregated data are collected and used for benefit monitoring and evaluation reports. | FREMAA, | Year 1 - 5 |
| - GIS Training of the PMU of FREMAA | <ul style="list-style-type: none"> • Minimum 30% of PMU staff are women. • Minimum 70% of PMU staff receives training in geographic information system organized by ISC. | FREMAA, ISC | Year 6 - 12 |

DMC = disaster management committee, FREMAA = Flood and River Erosion Management Agency of Assam, ISC = institutional strengthening consultant, MIS = management information system, NGO = nongovernment organization, PMU = project management unit, SIO = subproject implementation office, WRD = Water Resources Department.