

Safeguards Monitoring Report

Quarterly Resettlement Monitoring Report (April – June 2018)

November 2018

VIE: Ha Noi Metro Rail System Project (Line 3: Nhon
– Ha Noi Station Section)

Prepared by TPF Getinsa-Eurostudios, S.L (Project Management Support Phase 2) for Ha Noi People's Committee and the Asian Development Bank.

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QUARTERLY RESETTLEMENT MONITORING REPORT

SECOND QUARTERLY REPORT 2018

FROM APRIL TO JUNE, 2018

Ref: PMS2_MRB_QRMR_17.04

VIETNAM: HANOI METRO RAIL SYSTEM PROJECT (LINE 3: NHON – HANOI STATION SECTION)

Loan No. 2741-VIE

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ABBREVIATIONS

ADB	-	Asian Development Bank
AFD	-	Agence Francaise de Development
AH/AP	-	Affected household/affected person
CP	-	Compensation Plan
DMS	-	Detailed Measurement Survey
DOC	-	Department of Construction
DONRE	-	Department of Natural Resource and Environment
DPC	-	District People's Committee
EA	-	Executing Agency
EIB	-	European Investment Bank
EM	-	External Monitoring
GRM	-	Grievance Redress Mechanism
Ha	-	Hectare
HPC	-	Hanoi People's Committee
m	-	meter
MOT	-	Ministry of Transport
MRB	-	Hanoi Metropolitan Railway Management Board
PMU1	-	Project Management Unit 1
RCS	-	Replacement cost study
ROW	-	Right-of-way
RP	-	Resettlement Plan
SPS	-	Safeguard Policy Statement (2009)
URF	-	Updated Resettlement Framework
URP	-	Updated Resettlement Plan
WPC	-	Ward People's Committee
LAR	-	Land Acquisition and Resettlement



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EXECUTIVE SUMMARY

Land Acquisition Progress

1. A total of 203,491.5 m² of land will be affected by project, of which 17,612.1 m² belong to WPCs and 185,879.4 m² belong to 533 households and 21 organizations. Of the total 533 affected households, 195 households have to be physically displaced and 86 business households will be affected.

Status of land acquisition progress

2. By the end of Quarter II/ 2018, of the total 185,897.4 m² of land acquisition which belongs to affected households and organizations, 182,789.7 m² has been acquired (accounted for 98.3%). The remaining area has not been acquired is 3,089.7 m². All of this area is affected by the construction of stations S9, S10, S11 and S12 of Package CP03. The area of the remaining components has been completed 100% for land acquisition.

Status of compensation and assistance payment

3. Of the 533 households and 21 affected by the project, 444 households and 16 organizations received full compensation payment until the second quarter of 2018. 89 remaining affected households and 5 organizations by the project for S9, S10, S11 and S12 of the CP03 package have not been received compensation & assistance.

4. By the time of preparation of the report, the total amount of compensation and support approved for the project was 525,993,951,431 VND, the total amount paid was VND 249,859,515,815 and the total unpaid amount was 276,134,435,616 VND.

Status of Relocation and Transfer

5. Total of 126 physically displaced HHs (the number of physically displaced households increase by 10 households compared to Quarter I/ 2018) who are eligible to land plot in resettlement site/apartment in relocation buildings, of which, 21 HHs in Tay Tuu Ward (Bac Tu Liem District) are entitled to land plot in Xuan Phuong Resettlement Site and 105 HHs in Ba Dinh, Dong Da and Hoan Kiêm districts are entitled to apartment in the relocation buildings in Ao Hoang Cau (Dong Da district) and N07 in Cau giay district. To date, all physically displaced HHs (21) HHs in Tay



Tuu ward (Bac Tu Liem district) already got the plot allocated in Xuan Phuong RS and 10 relocation HHs of Ba Dinh, Dong and Hoan Kiem districts got apartment allocated. The remaining HHs that are waiting for the apartment allocated is 95 HHs. It is planned by HPC that apartment allocation will be fully completed in October 2018.

Income Restoration Program

6. Project has two types of support: (i) Support for occupation change by 5 times of agricultural land prices based on the unit prices stipulated in Decision No.23/2014/QD-UBND by HPC; (ii) Support for living stabilization equal to VND 2,160,000 per person. In addition, the affected household members who have completed the vocational training with graduated certificate will be support cash assistance which equals VND 7,000,000 per labour for tuition and VND 4,650,000 per labour for buying practical toolkits.

Status of Obstruction and grievances

7. At the time of reporting, there are about 121 remaining complaints from affected households and organizations related to Phase 2- Depot and access line, some claims on ventilation shaft and other recommendations on resettlement policies of 32 households who are using the state house rental. Hanoi People's Committee has instructed the Department of Architecture, DOC and the District Resettlement Committees to closely coordinate and respond to affected households in accordance with Vietnamese law.

I. INTRODUCTION

A. Background

8. Hanoi Metro Rail System Project (Line No.3: Nhon - Hanoi Station Section) is the first pilot project of the Mass Transit Model in the urban area of Hanoi city. The Project Section extends 12.5km long, going from Nhon, along NH-32, into Hanoi station. The elevated part is 8.4km long, including 08 elevated stations. 01 ramp is 500m long. The underground part is 3.6km long, including 04 underground stations. The details are summarized in table 1 below.

Table 1: Names and locations of railway Stations

Sections	Names of Stations	Locations of Stations
Elevated Component	S1	Nhon
	S2	Minh Khai
	S3	Phu Dien
	S4	Cau Dien
	S5	Le Duc Tho
	S6	Vietnam National University, Hanoi
	S7	Chua Ha
	S8	Cau Giay
Underground Component	S9	Kim Ma street
	S10	Cat Linh street
	S11	Cua Nam street
	S12	Tran Hung Dao street

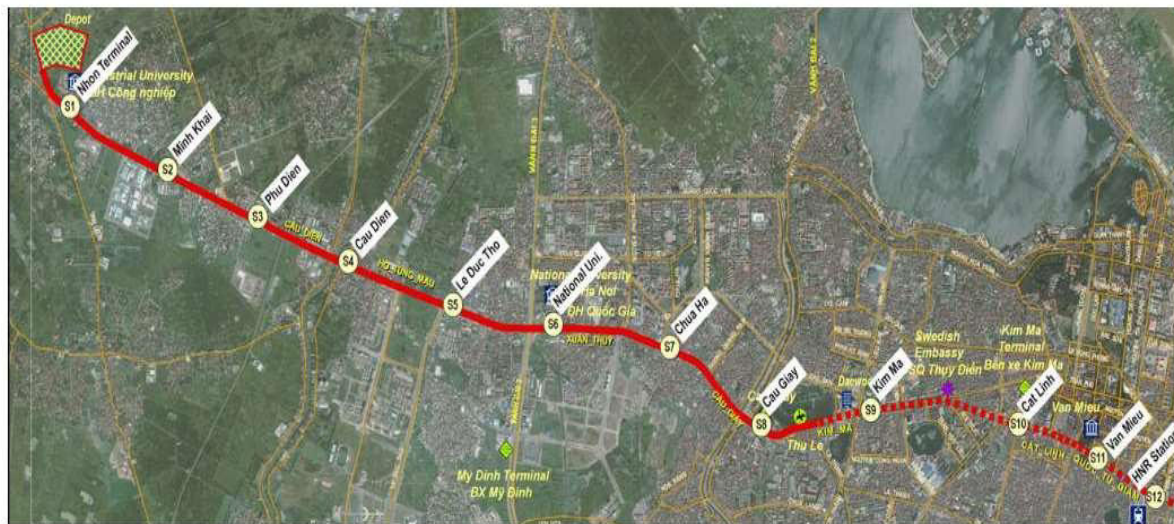


9. The Project is divided into 09 construction packages (from CP-01 to CP-09). The first 05 packages major on the construction of the civil works (from CP-01 to CP-05; CP-01: Elevated tracks; CP-02: Elevated stations; CP-03: Underground tunnels and underground stations; CP-04: Depot infrastructure; and CP-05: Depot architectural works). The others - 04 packages (from CP-06 to CP-09) are engaged in the supply and installation of electricity, rolling stock, equipment, etc. The Project is co-financed by the following loans: 250 million EUR from the French Treasury (DGTresor); 220 million EUR from the Asian Development Bank (ADB); 110 million EUR from the Agency Française De Development (AFD); and 73 million EUR from the European Investment Bank (EIB). The counterpart fund by Hanoi People Committee (HPC) is equivalent to 130 million EUR. The Project's sum of 783 million EUR is the original total investment cost proposed in the feasibility study in 2009 but the sum of the final total investment cost (TIC) is 1,176 million EUR proposed in 2011 and the HPC approved it in June 2013, including 900 million EUR from the Official Development Assistance (ODA) and 276 million EUR from the Vietnamese counterpart fund.

10. Hanoi People's Committee (HPC) is the Executing Agency (EA) and the Metropolitan Railway Management Board (MRB) is the Implementing Agency (IA) of the Project.

11. The Project, once completed, will (i) facilitate public transport connectivity, (ii) greatly enhance access in five districts of Ha Noi city, and (iii) be an important integral part of an improved public transport system, which aims to achieve increased public modal share through low-carbon transport that reduces greenhouse gas emissions. The line starts at Nhon (intersection of Road 70 and Highway 32 to the centre of Hanoi, goes through Ho Tung Mau street, Xuan Thuy street, Cau Giay street, Kim Ma street, Nui Truc street, Cat Linh street, Van Mieu street and the end point is at Hanoi Railway Station. The depot area which has been constructed in a 15 hectares in Minh Khai and Tay Tuu wards (Bac Tu Liem district), consists of some components such as concentration area of rail vehicle, washing machine, wheel lathes, maintenance factory and warehouse. The below figure shows the Project's alignment.

Figure 1: Layout of The Project



B. Functions of internal resettlement monitoring services

12. TPF Getinsa Euroestudios in association with HHA & Partner Group Consultant.JSC have been selected to provide the services of the supporting under the MRB focusing on (i) monitoring the status of land acquisition, displacement of affected households and affected organizations; (ii) monitoring and checking on the compensation and assistance to affected households and affected organizations were implemented in line with the provisions of the agreed URPs and the URF or not; (iii) certifying whether the affected households understood their rights and entitlements, and if information has been disseminated sufficiently to the affected households, including the Grievance Redress Mechanism; (iv) identifying of outstanding compensation and resettlement issues that require the attention of the agencies involved in the Project; (v) evaluating on changes in livelihoods of the affected households; (vi) evaluating on the implementation of income restoration for displaced persons; (vii) evaluating on effectiveness, impacts and sustainability of resettlement activities and providing necessary recommendations in the resettlement implementation for the Project.

II. RESETTLEMENT COMPONENT

A. Summary of impacts

13. A total of 203,491.5 m² of land will be affected by project, of which 17,612.1 m² belong to WPCs and 185,879.4 m² belong to 533 households and 21 organizations. Of the total 533 affected households, 195 households have to be physically displaced and 86 business households will be affected. Out of 126 households eligible are allocated for resettlement, 21 affected from Phase 2 – Depot and access line were allocated for land plots in Xuan Phuong. 105 affected from Package CP03 (S9-S12) will be allocated for resettlement apartments in N07 (Dich Vong, Cau Giay District) and CT2 (AO Hoang Cau, Dong Da District).

14. For phase 1 (depot and access line component), a total of 229 households has been affected with an amount of 148,340 m² of annual productive land

15. For the phase 2 (Depot and access line), total of the 156 has been affected with 21,824,6m². Out of 90 physically displaced households, 30 are business households and 21 eligible are allocated for resettlement plots in Xuan Phuong RS.

16. For Chem – Ha Dong (110kv High Voltage), there are 4 affected households with 190 m² of land acquisition.

17. For Viaduct & elevated stations (CP01&CP02) there are 2 affected organizations with 325.9 m² of land acquisition.

18. For Package CP03 (Tunnel and underground stations), there are a total of 163 affected households and organizations with 15.198.9 m² in which 144 households and 19 organizations. Out of 144 households, 56 are business households and 105 are eligible to be relocated for resettlement apartments in N07 (Dich Vong, Cau Giay District) and CT2 (Ao Hoang Cau, Dong Da).

Table 2: Summary of Impacts

No.	Wards/Communes	Total of AHs and Organization			HHs must to relocate	HHs are eligible for relocating arrangement	Business HHs	Total of affected land (m2)		
		Total	No. of AHs	No. of affected organizations				Total	Public land (Sidewalk transportation land)	Total of affected land belong to HHs and Organizations
A. Phase 1 Depot, access line										
	Tay Tuu and Xuan Phuong	229	229	0	0	0	0	148,340.0	0	148,340.0
Sub-total A		229	229	0	0	0	0	148,340.0	0.0	148,340.0
B. Phase 2 Depot, access line										
1	Depot	156	156	0	90	21	30	13,552.6	0	13,552.6
2	Access line			0			8,272.0	0	8,272.0	
Sub-total B		156	156	0	90	21	30	21,824.6	0	21,824.6
C. Chem - Ha Dong 110kv High Voltage										
1	Phuc Dien	4	4	0	0	0	0	190.0	0	190.0
Sub-total C		4	4	0	0	0	0	190.0	0	190.0
The Viaduct and Elevated Stations										
1	CP01&CP02	2	0	2	0	0	0	325.9	0	325.9
Sub-total D		2	0	2	0	0	0	325.9	0	325.9
D. The Underground Tunnel and Stations										
1	Station No.9	15	13	2	7	7	13	12,884.0	5,321.2	7,562.8
2	Station No.10	30	23	7	18	18	10	6,993.0	4,189.9	2,803.1
3	Station No.11	65	61	4	43	43	18	6,957.0	3,309.2	3,647.8
4	Station No.12	53	47	6	37	37	15	5,977.0	4,791.8	1,185.2
Sub-total E		163	144	19	105	105	56	32,811.0	17,612.1	15,198.9
Total		554	533	21	195	126	86	203,491.5	17,612.1	185,879.4

Source: Internal resettlement monitoring report of DCARB

B. Land acquisition progress, additional land acquisition and compensation payment

1. Land acquisition progress

19. Up to date, land acquisition and resettlement for the project has basically been completed as mentioned above. Total of affected land is 203,491.5 m² in which 17,612.1 m² is public land including sidewalk and public transport, this area has not to be compensated. Therefore, a total of area of land has to be acquired and compensated is 185,879.4m² which belongs to affected households and organizations. Up to Quarter II/ 2018, a total of 182,789.7 m² has been acquired (accounting for 98.3 % of land acquisition) and the remaining acquired land area is 3,089.7 m². All of this remaining acquired land area belongs to scope of impacts of Package CP03 (S9-S12). Land acquisition planning for the underground section has been reviewed for the implementation under the direction of HPC. As planned, land acquisition will be completed by October 2018.

20. For Package CP03, out of 15,198.9m² of land acquisition from affected households and organizations, 12,109.2 m² of land has been acquired (accounting for 79.7 %) and the remaining acquired land area is 3,089.7 m². Apart from Package CP03, other components have been acquired for 100%. Please see the detail in **Table 3 Land acquisition progress**.

Table 3: Land acquisition progress

No	Wards/Communes	Total of affected land (m ²)			Total of acquired land	Total of remaining land	% completed acquisition on land
		Total	Public land (Sidewalk, transportation land)	Total of affected land belong to HHs and Organizations			
Phase 1 Depot, access line							
1	Tay Tuu and Xuan Phuong	148,340.0	0	148,340.0	148,340.0	0	100

No.	Wards/Communes	Total of affected land (m2)			Total of acquired land	Total of remaining land	% completed acquisition land
		Total	Public land (Sidewalk, transportation land)	Total of affected land belong to HHs and Organizations			
Sub-total A		148,340.0	0.0	148,340.0	148,340.0	0.0	100
Phase 2 Depot, access line and Xuan Phuong Resettlement site							
1	Depot	13,552.6	0	13,552.6	13,552.6	0	100
2	Access line	8,272.0	0	8,272.0	8,272.0	0	100
Sub-total B		21,824.6	0	21,824.6	21,824.6	0	100
Chem - Ha Dong 110kv High Voltage							
1	Phuc Dien	190.0	0	190.0	190.0	0	100
Sub-total C		190.0	0	190.0	190.0	0	100
The Viaduct and Elevated Stations							
1	CP01&CP02	325.9	0	325.9	325.9	0	100
Sub-total D		325.9	0	325.9	325.9	0	100
The Underground Tunnel and Stations							
1	Station No.9	12,884.0	5,321.2	7,562.8	7,377.4	185.4	97.5
2	Station No.10	6,993.0	4,189.9	2,803.1	2,490.3	312.8	88.8
3	Station No.11	6,957.0	3,309.2	3,647.8	1,671.9	1,975.9	45.8
4	Station No.12	5,977.0	4,791.8	1,185.2	569.7	615.6	48.1
Sub-total E		32,811.0	17,612.1	15,198.9	12,109.2	3,089.7	79.7
Total		203,491.5	17,612.1	185,879.4	182,789.7	3,089.7	98.3

Source: Internal resettlement monitoring report of DCARB.

2. Additional land acquisition as anticipated for S9 and S10:

Additional number of affected households & organizations in S9 and S10

21. For the reason of serving construction implementation, a master plan has been approved on November 27th, 2017 for underground tunnel and stations (CP03).

22. For S9, due to the request of layout extension serving for package CP03, from contractor HGU, the right of way will be extended to at least 2.0 m on Kim Ma and Cat Linh Streets, which will be used as public land after construction, MRB is on the way coordinating with Ba Dinh District and DONRE to submit a plan of land acquisition of Ha Noi Parking Car Company to HPC for approval.

23. For S10, additional land acquisition for underground tunnels construction will be also implemented with the total of 622.1m² (including public land). Procedures for LAR are publicly being implemented for affected households & organizations in coordination with Dong Da District and DONRE as regulated. For households and organizations in S10, their land will be acquired based on the landmark of Cat Linh extension Street (the master plan for Cat Linh extension Street had been approved before).

24. Information disclosure, IOL and DMS for additional affected households and organizations whose land will be acquired, has started to be carried out from Early July 2018 for S9 and S10. All plans for compensation, assistances and resettlement will be implemented within Quarter III/ 2018. Total of plans for compensation and assistances as anticipated is 26 in which 19 households and 7 organizations.

25. As planned, in both S9 and S10, additional land will be acquired for 19 households (in which 6 new ones with the 1st land acquisition and 13 old ones with the second land acquisition) and 7 organizations (in which 2 new organizations with the first land acquisition and 5 old ones with the 2nd land acquisition)

26. For S9, additional land acquisition will be carried out for 4 affected households and 1 organization. All affected households and organizations are old ones with the second land acquisition. There will not have any new household or organization for additional land acquisition this time.

27. For S10, as planned, additional land will be acquired for 15 households (in which 6 new ones with the 1st land acquisition and 9 old ones with the second land acquisition) and 6 organizations (in which 2 new organizations with the first land acquisition and 4 old ones with the 2nd land acquisition).

28. DoNRE is reporting to Hanoi People's Council to update the land use plan for the additional acquisition area of S9 and S10. Also, MRB is working with local authorities to determine the list of affected AHs and organizations. Please see **Table 4 Additional number of affected households & organizations as anticipated in S9 and S10 due to the additional land acquisition serving for construction implementation.**

Table 4 Additional number of affected households & organizations as anticipated in S9 and S10 due to the additional land acquisition serving for construction implementation

Order	Number of affected households due to additional land acquisition (hhs)	In which		No of affected organizations due to additional land acquisition	In which		Total of approved plans of compensation & assistance as anticipated
		No of hhs with the 1 st time of land acquisition	No of hhs with both the first & 2 nd time of land acquisition		No of organizations with the 1 st time of land acquisition	No of organizations with both the first & 2 nd time of land acquisition	
Station 09	4	0	4	1	0	1	5
Station 10	15	6	9	6	2	4	21
Total	19	6	13	7	2	5	26

Total of area of additional land acquisition in S9 and S10:

29. Total of area of additional land acquisition as anticipated in S9 and S10 is 4,426.44 m² which belongs to affected in which 323.64m² belongs to affected households and 4,102.80m² belongs to organizations.

30. For S9, out of 3,939.60m² of acquired additional land, 30.50m² belongs to affected households and 3,909.10m² belongs to affected organizations.

31. For S10, out of 486.84m² of acquired additional land, 293.14m² belongs to affected households and 193.70m² belongs to affected organizations. HPC has been directing MRB, DPCs, the inter-authority to make the plan for the additional land acquisition in S9 and S10. Please see **Table 5 Total of area of additional land acquisition in S9 and S10**

Table 5 Total of area of additional land acquisition in S9 and S10

Order	Total of area of additional land acquisition (m2)	Total of area of additional land acquisition for households (m2)	Total of area of additional land acquisition for organizations (m2)
Station 09	3,939.60	30.50	3,909.10
Station 10	486.84	293.14	193.70
Total	4,426.44	323.64	4,102.80

2. Status of AHs receiving compensation and assistances

32. Compensation and assistance payment has basically completed and on going. Out of a total of 554 affected households and organizations (533 households and 21 organizations), 444 affected households and 16 organizations have received payment for compensation and assistances. 89 remaining households and 5 organizations (all belongs to CP03) have not received the compensation payment. Please see **Table 6 Progress of payment for households and organizations**

Table 6: Progress of payment for households and organizations

N o.	Wards/Com munes	Total of affected households and organizations			Total of received households and organizations		Total of remaining		
		Tot al	Organiz ation	Househ olds	Organiz ation	Househ olds	Organiz ation	Househ olds	Tot al
Phase 1 Depot, access line									
1	Tay Tuu and Xuan Phuong	229	0	229	0	229	0	0	0
Sub-total A		229	0	229	0	229	0	0	0
Phase 2 Depot, access line									
1	Depot	156	0	156	0	156	0	0	0
2	Access line								

N o.	Wards/Com munes	Total of affected households and organizations			Total of received households and organizations		Total of remaining		
		Tot al	Organiz ation	Househ olds	Organiz ation	Househ olds	Organiz ation	Househ olds	Tot al
Sub-total B		156	0	156	0	156	0	0	0
Chem - Ha Dong 110kv High Voltage									
1	Phuc Dien	4	0	4	0	4	0	0	0
Sub-total C		4	0	4	0	4	0	0	0
The Viaduct and Elevated Stations									
1	CP01&CP02	2	2	0	2	0	0	0	0
Sub-total D		2	2	0	2	0	0	0	0
The Underground Tunnel and Stations									
1	Station No.9	15	2	13	1	5	1	8	9
2	Station No.10	30	7	23	6	16	1	7	8
3	Station No.11	65	4	61	2	28	2	33	35
4	Station No.12	53	6	47	5	6	1	41	42
Sub-total E		163	19	144	14	55	5	89	94
Total		554	21	533	16	444	5	89	94

3. Status of approving the compensation plans for Ahs of CP03

33. For Package CP03, As mentioned in Table 6 above 89 households have not received compensation and assistances yet, in which 82 plans for compensation have been approved and 7 not yet approved.

34. For Package CP03, up to date, DCARBs have approved 156/163 compensation & assistance plans in which 137/144 for affected households and 19/19 for affected organizations. Therefore, all of affected organizations have been

approved for compensation & assistance plans, but there are still 7 remaining households who are waiting for approved compensation plans in S12. Please see the detail in **Table 7 Status of approving compensation plans for AHs of CP03.**

Table 7: Status of approving compensation plans for AHs of CP03

Order	Underground tunnel and stations	Number of affected households & organizations			Number of approved plans for compensation		Number of plans have not been approved yet		
		Total	Organizations	Households	Organizations	Households	Organizations	Households	Total
1	Station No.9	15	2	13	2	13	0	0	0
2	Station No.10	30	7	23	7	23	0	0	0
3	Station No.11	65	4	61	4	61	0	0	0
4	Station No.12	53	6	47	6	40	0	7	7
Total		163	19	144	19	137	0	7	7

4. Payment progress for compensation and assistances

35. Up to date, the total of approved cost for compensation and assistance of the project is 525,993,951,431 VND, the total paid compensation & assistance value is 249,859,515,815 VND and the total of remaining compensation & assistance value is 276,134,435,616 VND.

36. Apart from Package CP03, for other packages all affected households and organizations have full payment for compensation & assistances. For Package CP03 only, up to date, the total of approved cost for compensation and assistance of the project is 453,466,976,434 VND, the total paid compensation & assistance value is 177,332,540,818 VND and the total of remaining compensation & assistance value is 276,134,435,616 VND. Now, Districts and MRB are implementing the compensation & assistance payment for affected households and organizations. Please see the **Table 8: Progress of compensation and assistance.**

Table 8: Progress of compensation and assistance

No	Wards/Communes	Total of Approved compensation value (VND)	Total of paid compensation value (VND)	Total of remaining compensation value (VND)
A. Phase 1 Depot, access line				
1	Tay Tuu and Xuan Phuong	42,900,000,000	42,900,000,000	0
Sub-total A		42,900,000,000	42,900,000,000	0
B. Phase 2 Depot, access line and Xuan Phuong Resettlement site				
1	Depot	28,914,525,857	28,914,525,857	0
2	Access line			
Sub-total B		28,914,525,857	28,914,525,857	0
C. Chem - Ha Dong 110kv High Voltage				
1	Phuc Dien	272,449,140	272,449,140	0
Sub-total C		272,449,140	272,449,140	0
D. The Viaduct and Elevated Stations				
1	CP01&CP02	440,000,000	440,000,000	0
Sub-total D		440,000,000	440,000,000	0
E. The Underground Tunnel and Stations				
1	Station No.9	35,043,314,598	4,798,336,240	30,244,978,358
2	Station No.10	91,834,125,114	65,563,815,087	26,270,310,027
3	Station No.11	278,091,568,722	93,140,394,482	184,951,174,240
4	Station No.12	48,497,968,000	13,829,995,009	34,667,972,991
Sub-total E		453,466,976,434	177,332,540,818	276,134,435,616
Total		525,993,951,431	249,859,515,815	276,134,435,616

C. Status of public facilities

37. Relating to public facilities such as electric poles, electric transmission lines, communication lines, public structures and these public facilities have been removed and replaced by new ones.

D. Resettlement Sites Development and Relocation transfer progress

1. Resettlement Sites Development

38. For allocation of resettlement land to households affected by Depot area and access line (Phase 2), the resettlement site was initially allocated to DD1 - Xuan Phuong area (Northern District of Tu Liem). However, site DD1 has not been cleared while the adjacent land area called TT6.1A and TT6.1C has been cleared and the basic infrastructure has fully been completed in construction, water and electricity. Therefore, the People's Committee of Northern District of Tu Liem reported to Hanoi People's Committee to change the resettlement area from DD1 to TT6.1A and TT6.1C and approved by Hanoi People's Committee.

39. Eligible households affected by Package CP03 (Underground and Underground Station - S9, S10, S11 and S12) will be allocated for resettlement apartments in compliance with official Letter of DOC No.2010/SXD-PTN dated 22 March 2016 Location, area of apartments serving for households affected by Hanoi Pilot Metropolitan Railway Project.

40. Through the above Letter, the high-rise buildings with 170 apartments have already arranged enough resettlement for this project includes:

- (i) N07 building with 5.3 ha of Dich Vong ward, Cau Giay district with 51 apartments
- (ii) CT2 building at Hoang Cau street, Dong Da district has 119 apartments

41. Up to now, the construction of buildings has fully been completed and started to be handed to households as approved by Hanoi People's Committee. Details of area and number of apartments are demonstrated in **Table 9: Location, area and number of apartments arranged for resettled households.**

Table 9: Location, area and number of apartments allocated for resettled households

Name of building	Address	Total number of apartments(pcs)	In which (pcs)	Total area of each apartment (m2)
N07	Dịch Vọng Ward, Cầu Giấy District	51	25	59.50
			13	79.45
			13	59.87
CT2	Ao Hoàng Cầu, Đống Đa District			
	CT2-A	60	15	59.46
			15	59.41
			15	59.30
			15	63.61
	CT2-B	24	8	67.67
			8	52.77
			8	57.99
	CT2-C	35	9	62.09
13			68.67	

Name of building	Address	Total number of apartments(pcs)	In which (pcs)	Total area of each apartment (m2)
			13	70.80
	Total	170		

2. Relocation transfer progress of affected households

42. Up to date, the total number of physically displaced households is 195 against 185 compared to the quarter I/ 2018. The total number of households eligible to resettlement allocation is 126 (21 in Depot & Access Line and 105 in CP03) against 116 households eligible for resettlement allocation in Quarter I/ 2018, which increases 10 households compared to the last Quarter. All of 10 extra households eligible to resettlement allocation belong to affected households in Package CP03.

2.1. Relocation transfer progress of households affected by Depot and Access line

43. Relating to 21 households affected by Phase 2 - Depot and Access Line, Bac Tu Liem District has already allocated all of them for resettlement plots in Xuan Phuong RS. Infrastructure, electric and potable water in Xuan Phuong RS are adequate, near schools and not far from their acquired land. So their lives are not much affected by the project.

2.2. Relocation transfer progress of households affected by Underground tunnel and stations

44. In Quarter II/ 2018, DCARBs have approved 10 more households eligible for receiving relocation apartments in which 4 in S10 and 6 in S11. Therefore up to date, there are 105 eligible households for apartment relocation allocation. The total of eligible households for resettlement is now 126 for the whole project.

45. For 105 eligible households for resettlement allocation affected by residential land from Package CP03 (S9, S10, S11 and S12), HPC has issued Document No.9502/UBND-XDGT dated 04/12/2014 and Document No.4920/UBND-XDGT dated 17/7/2015, approving the resettlement fund of Building N07 of 5.3ha in Dich Vong, Cau Giay district and the housing fund of the high-rise building Project for site clearance at Ao Hoang Cau, Dong Da district for relocating households to implement Hanoi Metro Rail System Project (Line 3: Nhon - Hanoi Station Section) in the

districts of Ba Dinh, Dong Da, Hoan Kiem. Accordingly, on 22th March 2016, the Department of Construction (DOC) issued the Document No.2010/SXD-PTN, announcing the locations, areas of resettlement apartments for 105 relocated households who are affected by Hanoi Metro Rail System Project (Line 3: Nhon - Hanoi Station Section).

46. By the time of reporting, the Districts has completed the construction of 109 apartments for resettlement (including leasing) and organized relocation apartment lotteries for eligible households, of which 10 resettlement apartments at N07 and 99 at Ao Hoang Cau. DCARBs has coordinated with the Department of Construction to submit to HPC and have the decision to sell the first phase of 10 houses at N07 in Decision No. 1466/QĐ-UBND dated 26/3/2018 (adjusted Decision No.1083/QĐ-UBND on 13/02/2017) and 41 Ao Hoang Cau in Decision No.1490/QĐ-UBND dated 28/3/2018.

47. For Package CP03, MRB and the DCARBs have organized for 105 relocated households to visit the resettlement sites several times. Up to now, out of 105 eligible resettled households, 10 have been allocated for resettlement apartments in which 1 household in S10 and 9 households in S11. Ninety five (95) remaining households are waiting for after-received compensation payment from DPC or for decisions to sell resettlement apartments from DOC which have been submitted to HPC for approvals. As planned, by August 2018, decisions to sell resettlement apartments and instructions of procedures to sign contracts buying & handing over resettlement apartments for eligible households with the Housing Management Board (belonging to DOC) will be fully completed. Please see **Table 10: Status of relocation transfer progress affected by CP03.**

Table 10: Status of relocation transfer progress affected by CP03

No.	Wards/Communes	No of physically displaced HHs	HHs are eligible for relocating arrangement	HHs received apartment/land plot in RS	HHs have not received apartment/land plot in RS
A. Phase 1 Depot, access line					
	Tay Tuu and Xuan Phuong	0	0	0	0
Sub-total A		0	0	0	0
B. Phase 2 Depot, access line and Xuan Phuong Resettlement site					
1	Depot	90	21	21	0
2	Access line				
Sub-total B		90	21	21	0
C. Chem - Ha Dong 110kv High Voltage					
1	Phuc Dien	0	0	0	0
Sub-total C		0	0	0	0
D. The Viaduct and Elevated Stations					
1	CP01&CP02	0	0	0	0
Sub-total D		0	0	0	0
E. The Underground Tunnel and Stations					
1	Station No.9	7	7	0	7
2	Station No.10	18	18	1	17
3	Station No.11	43	43	9	34
4	Station No.12	37	37	0	37
Sub-total E		105	105	10	95
Total		195	126	31	95

III. INCOME RESTORATION PROGRAM (IRP)

1. Income Restoration Program (IRP) for Ahs by Depot and Access Line:

48. The support policy for living stabilization and job change is applied in accordance with Decision No.23/2014/QD-UBND, which stipulates the contents approved by HPC pursuant to Land Law 2013 and the Government's decrees on compensation, support and resettlement when the State acquires land in the City. According to the specific policy applied for the Compensation Plan of the Depot and access line Component, the Project has two types of support: (i) Support for job transition by 5 times of agricultural land prices based on the unit prices stipulated in Decision No.23/2014/QD-UBND by HPC; (ii) Support for living stabilization equal to VND 2,160,000 per person. In addition, the affected household members who have completed the vocational training with graduated certificates will be supported with cash assistance which equals to VND 7,000,000 per labour for tuition and VND 4,650,000 per labour for buying practical toolkits.

49. Bac Tu Liem DPC already implemented measures of IRP based on the resettlement policy framework (RPF) applied for underground tunnel and stations for affected households in Depot and Access Line in 2016. Bac Tu Liem DPC built measures, issued decisions and all paid support for IRP of affected households (100%) in Depot and Access Line. In general, the provided income restoration program for the affected households is compliant with provisions in the agreed resettlement Policy Framework applied for underground tunnel and stations.

2. Income Restoration Program (IRP) for Ahs by Underground Tunnel and Stations (CP03):

50. Income Restoration Program (IRP) for Ahs by Underground Tunnel and Stations (CP03) have also been implemented in compliance with provisions in the agreed updated resettlement plan (URP), The support policy for the households affected by the Underground Tunnel and Station Component is mentioned in the following table of benefit matrix (**Table 11 Income restoration supports to Affected households in CP03**).

Table 11: Income restoration supports to affected households

	Provisions in the URP	Implementation
Support for AHs due to previous land acquisition at the affected area	<p>The households whose only land was previously affected and houses were partly demolished (partly cut out) without relocation, now affected again (partly cut out) but without relocation: Assistance by 1,000,000 VND/m².</p> <p>The households whose land was previously affected (cut out or relocated), now totally affected and have to relocate: Assistance by 80,000,000 VND/land owner.</p>	Included in the detailed compensation, assistance and resettlement plans
Support for relocating houses and assets	Cash assistance is VND 5,000,000/household if relocating within the city; VND 8,000,000/household if relocating to other provinces/cities	Included in the detailed compensation, assistance and resettlement plans
Support for livelihood stabilization	<p>Cash assistance is equivalent to 30kg of rice/person/month for all family members</p> <ul style="list-style-type: none"> - For 3 months for the AHs whose houses are partly affected - For 6 months for the AHs whose houses are fully affected 	Included in the detailed compensation, assistance and resettlement plans
Support on stabilization of production and business	<ul style="list-style-type: none"> - For HHs who are leasing their house out for business: (i) 30% of annual income after tax according to the average income of 03 continuous years if the HHs have registration and pay tax for income from leasing out of the house or business; (ii) VND 2,500,000/household if the HHs have no registration and do not pay tax for the income from leasing - For HHs having business with license affected and having to relocate: (i) Assistance in cash equivalent to 30% annual income after tax according to the average income of 03 continuous years; (ii) Assistance for transportation of goods to the new location; (iii) Assistance for costs of finding the new relocation to re-establish business. - For HHs having business without license affected and having to relocate: VND 2,500,000/household 	<p>Not yet included in the detailed compensation, assistance and resettlement plans</p> <p>because MRB and DPCs are reviewing and verifying whether AHs are eligible to receive this assistance. Incomes after taxes of the AHs have been calculated by the District Tax Office.</p>
	<ul style="list-style-type: none"> - Assistance for vocational trainings and employment for the affected production, business households who have to relocate: all members of the HHs who are in labor age and unemployed shall be offered a free vocational training course - Cash assistance to find job or to purchase equipment for establishing business: VND 4,650,000/person 	Included in the detailed compensation, assistance and resettlement plans
Support for rental	- For the HHs who have to relocate and are	Included in the



	Provisions in the URP	Implementation
allowance	eligible to be compensated on land and house, registered for relocating to resettlement apartments: VND 1,000,000/person. The assistance period is calculated from the time when affected land is handed over to the time of having the notice of receiving the apartment - For the HHs opt to self-relocate: VND 1,000,000/person for 3 months	detailed compensation, assistance and resettlement plans
Support for self-relocating	Assistance by cash, calculated by the formula: $T = S_{tdc} \times G \times 0,3$, in which: T: Assistance amount for self- relocation S_{tdc} : Area of apartment as in the individual resettlement plan approved by DPC (m2) G: Average selling unit rate of the apartment approved by Department of Construction in the relocating building of the Project (VND/m2)	According to Decision 47/2016/QD-UBND dated on November 8, 2016 - VND 6,800,000/m ²
Support for the house renters	Cash assistance is VND 500,000/renting contract	Not yet included in the detailed compensation, assistance and resettlement plans because MRB and DPCs are reviewing and verifying whether AHs are eligible to receive this assistance.
Bonus for timely handover of land	- For HHs whose residential land is totally or partly acquired but their houses are totally demolished (i) VND 30,000,000/household if land is handed over within 01 day from the date of receiving compensation and allowances; (ii) VND 20,000,000/household if land is handed over within 04 days from the date of receiving compensation and allowances; (iii) VND 15,000,000/household if land is handed over within 05 days from the date of receiving compensation and allowances; (iv) VND 10,000,000/household if land is handed over on the required land-handover date. - For HHs whose land is partially acquired: Cash assistance is VND 500,000 – 2,000,000/m2 depending on the time of handover	Included in the detailed compensation, assistance and resettlement plans
Assistance for the State owned agencies and organizations who have land acquired	- Cash assistance for the actual movement related costs - Assistance for renting of the workplace while waiting for the new relocation arranged by the City.	Included in the detailed compensation, assistance and resettlement plans

IV. GRIEVANCES AND REDRESS MECHANISM

A. Grievances

51. Up to date, there are still 121 grievances of 120 affected households and one organization relating in compensation policies, positions of land for compensation, unit price for compensation and policy of relocation in which 42 in Depot & Access Line and 78 grievances in Package CP03 (Underground Tunnel & Stations). Please see **Table 12 Grievances of affected households & organizations**

Table 12: Grievances of affected households

Order	Depot & Access Line and Underground tunnel & stations	Total of complaints of Ahs	Main reasons for complaints					
			Complaints about compensation and support	Complaints about DMS	Conflict among Ahs about land right use	Complaints about quality of RS	Complaints related to Resettlement	Complaints about construction impacts
I	Depot	42	42	0	0	0	0	0
	Sub-total I	42						
II	Underground tunnel & stations							
1	Station No.9	8	0	0	0	0	0	8
2	Station No.10	8	5	0	0	0	3	0
3	Station No.11	33	33	0	0	0	0	0
4	Station No.12	29	0	0	0	0	29	0
	Sub-total II	78						
	Total (I+II)	120	80	0	0	0	32	8

Note: 121 grievances of affected households and organizations in which 120 grievances of households and 1 of one organization.

1. For households affected by Depot and Access line

52. For AHs affected by Depot and access line, after Bac Tu Liem District approved the compensation plans, households still disagreed with them and continued to lodge complaints (December 26th, 2001). Bac Tu Liem District People's Committee assigned the District Inspector to consider, verify and issued 56 decisions to verify the contents of the complaints and to inform the screening the complaints.

53. On May 15/5/2015, Bac Tu Liem District already settled 56 complaints



54. However, 35 affected households disagreed with the complaint settlement decision of the District People's Committee and appealed to HPC. HPC's decision to settle complaints complies with the policy of compensation and support of the District People's Committee for households.

55. Disagreeing with the complaint settlement results of Hanoi People's Committee, 42 households continued to lodge complaints to ADB. At some meetings, HPC concluded that all received complaints by ADB would be transferred to the related agencies including Bac Tu Liem District, MRB and Site Clearance Steering Committee for review.

56. In November 2017, ADB hired an independent resettlement monitoring consultant unit to inspect and review complaints from AHs. On that basis, ADB proposed a meeting among Bac Tu Liem District, MRB, independent monitoring experts and AHs to clarify the additional work performed for affected AHs. to their complaints.

57. MRB issued a letter to proposed Bac Tu Liem District to hold a meeting but now the meeting is still pending.

58. HPC has assigned the DoNRE to take the lead with Bac Tu Liem District, MRB and related agencies to inspect and settle existing grievances according to regulations and report results to the HPC and ADB in accordance with regulations and notifications in August 2018.

2. For households affected by underground tunnel and stations

59. For Package CP03, there are still 79 grievances of 78 affected households and one organization in which 8 in S9; eight (08) in S10; thirty three (33) in S11 and 29 in S12. Also, there is still one organization have not provided a bank account for compensation in S12.

60. For Station No 9, eight (08) AHs affected had complaints about the construction of S9's ventilation shaft. The Government Inspectorate has reviewed the grievances and HPC responded to the AHs by written documents based on the conclusion of the Government Inspectorate. HPC issued Letter No 100/T-VP to apply force eviction plans in August 2018 for these 8 households who has not executed law regulations.

61. As for S10 station, out of 8 households' complaints, there are 5 households complaining about the compensation policy and resettlement and 3 households involved in the relocation policy as they are using State-owned rental housing.



62. As for S11 station, there are still 33 households who have not complied with the approved plans for compensation and support. All procedures have been carried out by Dong Da District People's Committee in accordance with regulations to mobilize and persuade affected households to receive money for compensation and hand over the land acquisition. If the households continue to not execute, the Dong Da District People's Committee will have to apply force eviction plans.

63. For Station S 12, there are still 29 grievances of households who are using State-owned rental housing. Relocation options for 32 households who rent houses owned by the State (03 households in S10 and 29 AHs in S12): These households disagreed with the draft compensation, assistance and resettlement plans in which they were not compensated for affected land and houses, they were eligible to be compensated for expenses for house repair only and allowed to rent resettlement apartments in Ao Hoang Cau. After the plans had been disclosed to the AHs, the AHs requested to be allocated resettlement apartments. Inter-authorities reviewed and reported to the HPC. The HPC promulgated Decision No.4968 dated on October 10, 2017 on agreement with the request of the Compensation and Site Clearance Committee, which specifies that the AHs could opt to rent houses in Ao Hoang Cau or receive assistance for self-relocation equivalent to 60% of land value and 60% of affected house value. Dong Da DPC and Hoan Kiem DPC have finalized the compensation plans according to the new regulations and house rental in Ao Hoang Cau announced by the DOC in November 2017 (house rental varies from VND 57,658 to VND 131,379 per square metre. The AHs will have to pay monthly house rental of 5.7 million dong at least for an apartment of 100 square metres). The compensation plans have been disclosed to the AHs; however the AHs found the compensation plans unsatisfactory. Opinions as well as concerns of the AHs have been summarized and submitted to HPC for consideration. Also, one organization has not provided the bank account information to receive the compensation.

64. Hanoi People's Committee has directed the DCARBs of districts and inter-local authorities to carry out land acquisition procedures, approved compensation plans and resettlement plans in accordance with the legal regulations.

65. Hanoi People's Committee has issued Letter No 100/TB-VP and No 181/TB-VB and strictly directed the DCARBs of districts and inter-local authorities to settle grievances and carry out land acquisition procedures, approved compensation plans and resettlement plans in accordance with the legal regulations.

66. MRB has strictly been coordinating with DPCs, the inter-authority and related agencies to receive, screen, monitor and solve grievances for Ahs and organizations

on time under the direction of HPC. Details of grievances are demonstrated in **Table 13: Contents of grievances of Ahs and organizations in CP03.**

Table 13: Contents of grievances of Ahs and organizations in CP03

No	Package CP03	Number of grievances		Contents of grievances
	Underground Tunnel & Stations	Households	Organizations	
1	Station No 9	8	0	<ul style="list-style-type: none"> - 08 AHs did not agree with the position of ventilation shaft, which is supposed to be too close to their houses. Their complaints have been handled by the Hanoi Inspectorate.
2	Station No 10	8	0	<ul style="list-style-type: none"> - 03 AHs renting the State-owned houses have had complaints about their entitlements - 01 AH has requested to purchase one more relocation apartment - 01 AH has requested to be the last one to hand over the affected land; - 01 AH has requested to have the higher compensation price for the affected residential land; and - 02 AHs are to be subject to forced land acquisition.
3	Station No 11	33	0	<ul style="list-style-type: none"> - For households of Pham Van Chuong, Dong Da DPC has submitted a proposal of the higher compensation rate for his affected structures to HPC for consideration. - For household of Mr. Nguyen Van Son, Dong Da DPC has invited the household to work with many times; however, the household did not show up. - 31 AHs did not agree with the compensation unit rate for the affected land.
4	Station No 12	29	1	<ul style="list-style-type: none"> - 29 households did not agree with the house rental announced by the DOC because they supposed that they cannot afford to rent relocation apartments in CT2 Ao Hoang Cau. They wish to buy relocation apartments as per the resettlement policy of the Project. - One organization has not provided the bank account information to receive the compensation.
Total		78	1	79

B. Grievance Coordination Mechanism (GCM)

67. Hanoi Railway Management Board has been coordinating with Departments of compensation, assistance and resettlement of districts to develop a grievance coordination mechanism (GCM) including the following: (i) Coordination among related authorities in redressing complaints of organizations and individuals affected by the implementation of the Hanoi Metropolitan Railway Project in Hanoi City (Nhon - Hanoi Railway Station), (ii) the objects of application, (iii) coordination principle, (iv) coordination method. At present, GRC has been reviewed by Department of Justice for appropriate legal basis and will be then reported to Hanoi People's Committee for approval.

C. Other outstanding issues

68. According to the MOU agreed among ADB, HPC & MRB of a co-financers review mission implemented from 28th-30th May, 2018, there are some of other outstanding issues to be committed to carry out in the coming time. Please see details in **Table 14: other outstanding issues**

Table 14: other outstanding issues

Topic	Action	Agreed Schedule	Implementation
Completion of land acquisition and resettlement of underground stations	(i) Confirmed schedule of resettlement apartment handover from DOC.	31 March 2018	Handover has started.
	(ii) Updated schedule of the compensation and relocation of the affected households for the underground stations.	June 2018	On going
	(iii) Submission of RP addendum for additional land acquisition impacts for Stations 9 and 10.	August 2018	-DONRE is reporting to Hanoi People's Council to update the land use plan for the additional acquisition area of S9 and S10. - MRB is working with local authorities to determine the list of affected Ahs and organisations
	(iv) Submission of the additional RP addendum for the Stations 11 and 12.	October 2018	In fact, there is no plan for additional land acquisition for S11 and S12



Topic	Action	Agreed Schedule	Implementation
Land acquisition and impact assessment of underground tunnel	<p>Submission of progress report on building and IR impacts assessment with the following information included in the report:</p> <p>(i) confirm number of affected building;</p> <p>(ii) complete IR impacts assessment</p> <p>(iii) comparative IR cost for each type of mitigation measures for permanent acquisition against temporary land acquisition as suggested by MRB's consultant;</p> <p>(iv) submission schedule of draft RPs for ADB review and comments.</p>	July 2018	MRB has approved LHC as a sub-contractor of HGU to assess the IR impacts of underground tunnel. It is now a contract negotiation stage. As planned, the report will be completed by September 2018
Grievances ongoing complaints	HPC/DPC to hold a complainant closure meeting to address grievance from Depot and access line complainants.	June 2018	HPC has assigned the DoNRE to take the lead with Bac Tu Liem District, MRB and related agencies to inspect and settle existing grievances according to regulations and report results to the HPC and ADB in accordance with regulations and notifications in August 2018
Project GCM approval	HPC is requested to expedite approval of project grievance coordination mechanism as the major construction of activity is about to start soon, thus the project grievance coordination needs to be disclosed and socialized very soon as well.	As soon as possible	On June 2018, MRB has submitted a project GCM to the Department of Justice to verify the legality

V. CONCLUSION, RECOMMENDATIONS AND PLAN

A. Conclusion and Recommendation

69. The dissemination of Project's information in general and Project policy on compensation support and resettlement in particular has been coordinated by the MRB and the stakeholders. Most interviewees said that they received Project's information as well as the compensation policy from the implementing agencies.



70. The City's priority policies have been promptly consulted and disseminated to the AHs and the community, contributing to the satisfactory settlement of outstanding complaints.

71. The detailed measurement survey of affected assets and compensation pricing have been disclosed by the Compensation and Site Clearance Committees and the MRB in a public and transparent manner. The heads of affected households and representatives of the MRB, local authorities, Compensation and Site Clearance Committees of the City and the districts in the project area participated in the detailed measurement survey. Mistakes in the DMS process were additionally tallied and paid.

72. Compensation replacement costs were applied for all affected assets and land. The payment compensation has been done in the right procedures, which is paid directly one time for the affected households at the ward offices and at least one month prior to the construction.

73. The MRB local authorities and related parties have been working on resolving complaints and grievances. Questions that arose during the construction process as anticipated by the MRB were solved to ensure the interests of the people.

74. In general, the compensation, support and resettlement of the Project has been implementing transparently by the MRB, the Compensation and Site Clearance Committees, and the Land fund Development Centers of the districts and Hanoi People's Committee.

1. Resettlement component and Outstanding Issues

75. In General, implementing land acquisition and compensation payment has basically been completed in so far. Almost of land has been acquired for the project and almost all affected households have received full compensation and assistances for their affected assets except households affected by Package CP03 (S9-S12) as mentioned above. All remaining issues include

- The total of area land which has not been acquired yet is 3,089.7 m²
- 89 households and 5 organizations affected by S9, S10, S11 and S12 who have not yet received compensation & assistances, in which 7 have not yet approved for compensation and assistances.
- Resettlement allocation and physical displacement of 95 affected households have not yet completed.
- Ongoing claims Redress for affected households (121 grievances)

- GCM approved by HPC
- Submission of progress report on building and IR impacts assessment (September 2018).
- Apply force eviction plans for Ahs who did not obey approved plans for compensation & assistances in accordance with Vietnamese Law of land acquisition (August & September 2018).

2. Income Restoration Program

76. Additional support to help early income restoration of livelihoods has been made in accordance with the provisions of the Resettlement Policy Framework (RPF) and the updated resettlement plan (URP) for the underground component of the project.

B. Action Plan for Next Quarter

1. Resettlement Issues

77. Working more closely with the districts and HPC to (i) approve the compensation plans for 7 remaining households, (ii) make the payment for 5 organizations and 89 households who have yet to receive the compensation and assistance; (iii) coordinate with the districts/HPC to allocate the resettlement apartments for 95 households; (iv) Updated additional resettlement for additional land acquisition and internal resettlement monitoring for additional land acquisition and (v) coordinate with the districts and HPC to solve the existing grievances related to resettlement issues (121 grievances).

2. Income Restoration Program

78. Work closely with the Districts to regularly update the livelihood status and income of affected households so that timely measures or support can be provided to help households overcome the difficulties and restore early living standards in new RS which are at least as high as before being affected by the project.

3. Grievances and Grievance Coordination Mechanism (GCM)

79. MRB continues to work closely and coordinate with District, inter-local authorities to redress claims/ complaints/ grievances on time, promptly for households in order to mitigate the maximum social impacts. MRB also continues to monitor the status of approval for GCM which has been reviewing by Department of Justice and soon approved by HPC in the next Quarter.

APPENDIX

APPENDIX 1: LIST OF HOUSEHOLDS AND ORGANIZATIONS AFFECTED BY PACKAGE CP03 (S9-S12)

Station 9:

Order	Names of affected households	Address	Notes
1	Đỗ Thị Mùi	477 KM	03 hộ có diện tích cắt xén nhỏ đã được UBND TP chấp thuận cho tồn tại năm 2016
2	Nguyễn Đình Thành	475 KM	
3	Phạm Thị Giáp	473 KM	
4	Tạ Tường Hải	471 KM	
5	Nguyễn Thị Hương	469 KM	
6	Phạm Thị Thanh Mai	467 KM	
7	Phạm Văn Tuyên	423 KM	
8	Triệu Hoàng Trung	427-429 KM	
9	Nguyễn Hữu Viêm		
10	Nguyễn Văn Anh		

Order	Names of affected organizations	Address	Notes
1	Car -Parking Company		
2	Hoan Cau Company		

Ga S10:

Order	Names of affected households	Address	Notes
1	Cao Văn Túy - Nguyễn Thị Hà	29B Cát Linh	
2	Bà Lê Thanh Hương và các đồng thừa kế có quyền lợi, NV liên quan.	29C Cát Linh	
3	Trần Kim Phương	33 Cát Linh	
4	Nguyễn Đức Hiền - Phạm Thu Lan	1/33 Cát Linh	
5	Vũ Huy Sỹ và những người có QL, NV liên quan đến bà Nguyễn Thị Xuyên (đã chết)	4/33 Cát Linh	
6	Trần Xuân Tùng - Nguyễn Tuyết Lan	33A Cát Linh	
7	Bùi Tuấn Anh	33A Cát Linh	
8	Lê Công Kỹ - Lê Thị Thủy	33B Cát Linh	
9	Trần Mạnh Hưng - Nguyễn Kim Oánh	33D Cát Linh	
10	Nguyễn Hoàng Cường - Vũ Thị Bích	33E Cát Linh	



	Dung		
11	Đỗ Trung Kiên - Nguyễn Thị Hải	33L Cát Linh	
12	Nguyễn Văn Thắng - Trần Thị Phú	33H Cát Linh	
13	Trần Thị Thanh - Hồ Quang Thắng - Hồ Thị Thảo - Hồ Quang Thành	2/35 Cát Linh	
14	Phạm Quốc Cường - Vũ Hồng Hạnh	37 Cát Linh	
15	Vũ Hồng Yến	37A Cát Linh	
16	Ngô Văn Thanh - Nguyễn Thị Toán	37B Cát Linh	
17	Đàm Thế Xương	37C Cát Linh	
18	Phan Đình Lực	37D Cát Linh	
19	Trần Thế Hải - Phạm Kim Phượng	39 Cát Linh	
20	Đào Minh Ngọc - Đào Thúy Nga	41 Cát Linh (tầng 1)	
21	Đào Thị Như Phương - Đào Thúy Nga - Đào Đức Minh	41 Cát Linh (tầng 2)	
22	Đào Đức Minh	41 Cát Linh (tầng 1)	
23	Lê Minh Hùng	41 Cát Linh (tầng 2)	

Order	Names of affected organizations	Address	Notes
1	Cat Linh Primary School	31A Cát Linh	
2	Cat Linh Secondary School	31 Cát Linh	
3	Cienco 1 Company	33D và 3/33 Cát Linh	
4	Vinafco Company	33L Cát Linh	
5	Gueshouse No 3 – Lao Cai PPC Office	33C Cát Linh	
6	Hanoi MTV housing management Company	35B Cát Linh	
7	Pullman Hotel - Global Toserco Company	40 Cát Linh	

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Order	Names of affected households	Address	Notes
Văn Chương Ward			
1	Phạm Xuân Mỹ	23 QTG	
2	Nguyễn Quang Giao - Nguyễn Thu Lương	23 QTG	
3	Nguyễn Kim Anh	23 QTG	
4	Nguyễn Lan Phương	23 QTG	
5	Nguyễn Kim Anh và những người có	23 QTG	

	QL, NV liên quan		
6	Ngô Xuân Hưởng	23 QTG	
7	Nguyễn Thị Hậu - Nguyễn Văn Tùng	23 QTG	
8	Nguyễn Hồng Vân	27H QTG	
9	Nguyễn Sơn Đông	27A QTG	
10	Hoàng Bá Hiền	27B QTG	
11	Trần Mạnh Hà - Trần Thanh Thủy	27C QTG	
12	Nguyễn Văn Dũng - Nguyễn Thị Bình	27D QTG	
13	Tăng Thị Nhân	27E QTG	
14	Ngô Hồng Quân - Nguyễn Thị Thái	27G QTG	
15	Nguyễn Văn Thuần	27K QTG	
16	Phạm Thế Hùng - Nguyễn Thị Nga	27I QTG	
17	Nguyễn Tuấn Anh - Vương Kim Dung	29 QTG	
18	Phạm Ngọc Phi - Ngô Thị Chí Thanh	29 QTG	
19	Đỗ Thị Nguyên	31 QTG	
20	Ngô Quang Huy	31 QTG	
21	Lê Đình Toàn - Trần Thị Tính	33 QTG	
22	Mai Thị Liên	35 QTG	
23	Phạm Ngọc Long - Nguyễn Thị Đào	37 QTG	
24	Lê Đình Minh - Chu Bích Thủy	37 QTG	
25	Nguyễn Đình Thuận - Nguyễn Thị Liên	37 QTG	
26	Phạm Ngọc Long - Nguyễn Thị Đào	39 QTG	
27	Đặng Thị Liên và những người có QL, NV liên quan đến ông Nguyễn Tiến Dũng (đã chết)	41 QTG	
28	Trần Thị Lạng và những người có quyền lợi, nghĩa vụ liên quan	41 QTG	
29	Mai Thị Liên	43 QTG	
30	Ông Đỗ Thiện Sơn và những người có QL, NV liên quan	43 QTG	
31	Đỗ Thiện Sơn - Đoàn Thị Hoa	43 QTG	
32	Đỗ Thiện Hải - Huỳnh Thị Thoa	43 QTG	
33	Đỗ Thiện Hà - Chủ Thị Vĩnh	43 QTG	
34	Đỗ Việt Hưng và những người có QL, NV liên quan đến ông Đỗ Chí Hùng và bà Nguyễn Thị Hoàn	43 QTG	
35	Nguyễn Thị Phượng	45 QTG	
36	Đoàn Thị Thanh Bích và những người có quyền lợi liên quan đến ông Đỗ Duy Kỳ (đã chết)	47 QTG	
37	Vũ Ngọc Giao - Trần Thị Hồng	49 QTG	
38	Nguyễn Bá Lâm - Nguyễn Thị Thoa	49A QTG	
39	Hoàng Thịnh Trường	1/49 QTG	
40	Phạm Thị Ngọc Hòa	1/49 QTG	
41	Cao Thị Xiêm và những người có QL, NV liên quan đến ông Nguyễn Thảo (đã chết)	2/49 QTG	



42	Nguyễn Đăng Tiệm - Thế Thị Thìn	4/49 QTG	
43	Vũ Đức Thắng	5/49 QTG	
44	Trần Hữu Trâm - Bùi Thị Nhi	9/51 QTG	
45	Nguyễn Việt Dũng - Nguyễn Thị Nga	51B QTG	
46	Ông Trần Tuấn Đức, Bà An Thị Thúy Hà và những người có QL, NV liên quan đến ông Trần Nhân Tài và bà Bùi Thị Tịnh (đã chết)	51 QTG	
47	Đỗ Văn Lán và những người có QL, NV liên quan đến bà Nguyễn Thị Tới (đã chết)	51 QTG	
48	Ngô Văn Hưng - Đỗ Lệ Hằng	1/51 QTG	
49	Nguyễn Thị Chung - Nguyễn Thiện Hồ	11/51 QTG	
50	Bà Phạm Thị Dối và những người có quyền lợi, nghĩa vụ liên quan đến ông Đỗ Văn	53 QTG	
51	Đào Đức Hiền - Hà Thị Thái	53 QTG	
52	Phạm Thị Tâm - Vũ Nhật Hòa	55 QTG	
53	Nguyễn Thị Phượng	55B QTG	
54	Nguyễn Quốc Dũng - Khúc Thiên Hương	57 QTG	
55	Bà Đỗ Thị Phúc và những người có quyền lợi NV liên quan	1 ngõ Lương Sử A - QTG	
56	Vũ Viết Liêm - Trần Thị Hiền	2 ngõ Lương Sử B	
57	Đàm Minh Trâm - Phạm Thị Thanh Hương	12 ngõ Lương Sử A	
58	Nguyễn Hoàng Anh	7 ngõ Lương Sử B	
59	Đặng Thị Thanh Hương	9 ngõ Lương Sử B	
Văn Miếu Ward			
60	Nguyễn Văn Sơn - Nguyễn Thị Phượng	56A Quốc Tử Giám	
61	Phạm Văn Chương - Nguyễn Thị Lan Anh	56A Quốc Tử Giám	
Order	Names of affected organizations	Address	Notes
1	HoChiMinh administrative politics Institute	56B Quốc Tử Giám	
2	Ministry of planning and investment	65 Văn Miếu	
3	Hanoi MTV urban environment Company	49 Quốc Tử Giám	
4	Thang Long MTV Company	27 Quốc Tử Giám	

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Order	Names of affected households	Address	Notes
Cửa Nam & Phường Trần Hưng Đạo Wards			
1	Bà Nguyễn Huyền Trang và ông Phan Hải Cường	107 THĐ	
2	Ông Nguyễn Văn Hoàng và bà Nguyễn Thị Nga	107 THĐ	
3	Ông Hà Quang Tuấn	107 THĐ	
4	Ông Lê Công Biền	107 THĐ	
5	Ông Nguyễn Ngọc An	107 THĐ	
6	Bà Lê Thị Minh Tâm	107 THĐ	
7	Bà Phạm Thị Sỹ	107 THĐ	
8	Ông Nguyễn Bình Phúc	107 THĐ	
9	Bà Nguyễn Thị Minh	107 THĐ	
10	Ông Trần Quang Thén	107 THĐ	
11	Bà Vũ Thị Thúy Hằng	107 THĐ	
12	Bà Nguyễn Thị Từ	107 THĐ	
13	Bà Hoàng Thị Huyền - Nguyễn Hoàng Quân	107 THĐ	
14	Ông Nguyễn Đình Tập	107 THĐ	
15	Ông Lê Minh Thụy	107 THĐ	
16	Ông Nguyễn Đức Trụ	107 THĐ	
17	Ông Vũ Văn Toàn	107 THĐ	
18	Bà Nguyễn Thị Thịnh	107 THĐ	
19	Ông Đặng Văn Thiệu	107 THĐ	
20	Ông Phạm Ngọc Ánh	107 THĐ	
21	Ông Vũ Văn Kiên	107 THĐ	
22	Bà Trương Thị Mỹ Hạnh	107 THĐ	
23	Ông Lưu Trí Hoan	107 THĐ	
24	Ông Trần Đình Đồng	107 THĐ	
25	Ông Lê Đức Tùng và các đồng thừa kế	102B THĐ	
26	Bà Nguyễn Thị Hà Thanh	102B THĐ	
27	Ông Lê Ánh Hồng	98A THĐ	
28	Ông Đinh Anh Tuấn và bà Nguyễn Thị Thúy Hường	48C PBC	
29	Bà Nguyễn Thúy Quỳnh và ông Nguyễn Phú Cường	98A THĐ	
30	Ông Phan Văn Minh	107 THĐ	
31	Ông Nguyễn Đình Bình và bà Trần Thị Thu Huệ	107 THĐ	
32	Bà Nguyễn Thị Tiến và các đồng thừa kế của ông Nguyễn Ngọc Thiện	98A THĐ	
33	Bà Nguyễn Thúy Hương	98A THĐ	
34	Bùi Đức Vĩ - Bùi Đức Thắng - Bùi Thanh Sơn	100 THĐ	
35	Ông Nguyễn Đăng Thu	102 THĐ	



36	Đào Tuyết Hoa - Đào Tuyết Hà - Đào Thị Mỹ Hiền - Đào Mỹ Hằng	102B THĐ	
37	Ông Trịnh Văn Luận và ông Đỗ Tuấn Anh	48 PBC	
38	Bà Đinh Thị Kim Anh	48C PBC	
39	Ông Nguyễn Quốc Lịch	48 PBC	
40	Ông Nguyễn Viết Pháp và các đồng thừa kế của bà Nguyễn Thị Thắng	102 THĐ	
41	Bùi Mạnh Cường - Lê Thanh Lan - Bùi Thái Linh - Bùi Thái Ly	98A THĐ	
42	Ông Nguyễn Đình Bình và bà Trần Thị Thu Huế	102B THĐ	
43	Đình Văn Trung - Nguyễn Thị Dung - Lê Thị Kim Phượng - Đinh Thị Thanh Hiếu - Đinh Thùy Hương - Phạm Hoàng Tùng	102B THĐ	
44	Ông Nguyễn Gia Linh	102 THĐ	
45	Bà Nguyễn Thị Thanh Nhàn	99 THĐ	
46	Ông Chu Trọng Huyền và Bà Trịnh Thị Tâm	48 PBC	
47	Bà Nguyễn Thị Viên	98A THĐ	
Order	Names of affected organizations	Address	Notes
1	Hoan Kiem District People's Committee	106B THĐ	
2	Vietnam education & science Institute	101 và 106 THĐ	
3	Hanoi Gas Station - Yên Viên locomotive Factory	106B THĐ	
4	Construction & Installation Factory No 1 - Bach Dang MTV Company – General Logistics Department – Ministry of Police	106B THĐ	
5	Soviet union friendship palace	91 THĐ	
6	Vietnam Railway general corporation	107 THĐ	

APPENDIX 2 Details of compensation & support payment for households & organizations affected by CP03 (Underground tunnel and stations from S9 to S12)

Order	Underground tunnel and stations	Total of approved plan value for compensation & assistances (VND)			Total of paid value for compensation & assistances (VND)		Total of remaining value for compensation & assistances (VND)		
		Total	Organizations	Households	Organizations	Households	Organizations	Households	Total
1	Station No.9	35,043,314,598	4,148,910,240	30,894,404,358	2,807,679,520	1,990,656,720	1,341,230,720	28,903,747,638	30,244,978,358
2	Station No.10	91,834,125,114	7,506,798,380	84,327,326,734	5,143,988,068	60,419,827,019	2,362,810,312	23,907,499,715	26,270,310,027
3	Station No.11	278,091,568,722	38,350,478,838	239,741,089,884	2,812,278,798	90,328,115,684	35,538,200,040	149,412,974,200	184,951,174,240
4	Station No.12	48,497,968,000	2,289,603,000	46,208,365,000	1,669,130,000	12,160,865,009	620,473,000	34,047,499,991	34,667,972,991
Total		453,466,976,434	52,295,790,458	401,171,185,976	12,433,076,386	164,899,464,432	39,862,714,072	236,271,721,544	276,134,435,616

APPENDIX 3: Details of relocation transfer of eligible households in Package CP03

Order	Tunnels and Underground stations	Total of households are eligible to be allocated for relocation apartments	Total of approved relocation apartments	Total of households have received relocation apartments	Total of relocation apartments have been handed over	Total of remaining relocation apartments	Total of households have not received relocation apartments	% of hand over for relocation apartments obtained
1	Station No.9	7	10	0	0	10	7	0
2	Station No.10	18	19	1	1	18	17	6
3	Station No.11	43	59	9	10	49	34	21
4	Station No.12	37	39	0	0	39	37	0
Total		105	127	10	11	116	95	10