

Social Monitoring Report

May 2011

VIE: Ho Chi Minh City-Long Thanh-Dau Giay
Expressway Project

Prepared by EPC Co., Ltd. for the Viet Nam Expressway Corporation under the Ministry of Transport and the Asian Development Bank.

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HO CHI MINH - LONG THANH - DAU GIAY EXPRESSWAY PROJECT



INDEPENDENT MONITORING ON IMPLEMENTATION OF THE RESETTLEMENT PLAN (RP) *(The 8th time)*

Ho Chi Minh City, May 2011

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EXPRESSWAY PROJECT**

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ON IMPLEMENTATION OF THE RESETTLEMENT PLAN (RP)**
(The 8th time)

Prepared by: Nguyen Cong Hiep
Reviewed by: Le Thi Thanh Hoa

Ho Chi Minh City, May 2011

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**Investment and Environment Protection
Consultation Company EPC**

(EPC Co., LTD)

No: 214/ EPC/2010

*Submission of 8th external monitoring report on
implementing the RP of “HLD Expressway project”*

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

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Ho Chi Minh City, 25th May, 2011

**TO: - HO CHI MINH-LONG THANH-DAU GIAY EXPRESSWAY PROJECT
MANAGEMENT UNIT
- NIPPON KOEI**

- Based on the agreement signed between the Investment and Environment Protection Consultation Company EPC (EPC Co.,LTD) as the external monitoring agency (EMA) and the Joint Venture (JV) of NIPPON KOEI, KRI and MKE dated September 22nd, 2008 ref. the external monitoring (EM) on the implementation of the resettlement plan (RP) of the Ho Chi Minh-Long Thanh-Dau Giay Expressway project;
- Based on draft of the eighth monitoring report prepared by the EMA and sent to the Ho Chi Minh-Long Thanh-Dau Giay Expressway Project Management Unit (EPMU HLD) in May 2011,
- Based on comments, ideas of EPMU HLD on the draft and revision of the EMA.

EPC Co., LTD would like to submit the official report of 8th external monitoring attached to the document. The report consists of 10 copies in Vietnamese and 10 copies in English.

Sincerely yours,

Send to:

- *As above*

- *Store at the office of EPC*

EPC Co.,LTD

DIRECTOR

NGUYEN NAM SON

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ABBREVIATIONS

ADB	Asian Development Bank
AP(s)	Affected person(s)/Displaced person(s)
CPC	Commune People's Committee
DCC	District compensation council
DMS	Detailed measurement survey
DPC	District People's Committee
EMA	External monitoring agency
EPC Co.,LTD	Investment and Environment Protection Consultation Company EPC
EPMU HLD	HCMC-Long Thanh- Dau Giay Expressway Projects Management Board
FGD	Focused groups discussion
GoV	Government
HCMC	Ho Chi Minh City
HLD	Ho Chi Minh-Long Thanh-Dau Giay Expressway project
HHs	Households
JBIC	Japan Bank for International Co-operation
LURC	Land use right certificate
PAHs	Project affected households
PC	People's Committee
PPC	Provincial People's Committee
RP	Resettlement plan
SES	Socio-economic survey
TOR	Term of Reference
USD	United States Dollar
VEC	Vietnam Expressway Corporation
VND	Vietnam Dong
WPC	Ward People's Committee

PART I. GENERAL

The independent monitoring on implementing the resettlement plan (RP) of HLD expressway project is mobilized discontinuously within 25 months, corresponding to 8 monitoring times and reports. 7 period monitoring reports and 1 mid-term evaluation report were prepared. This is the 8th period monitoring report, as well as the final report per signed agreement. All data in the report is updated until 15 May 2011.

1.1 Project background

The HLD Expressway is designed to ensure safety for high speed (120kph) vehicles, especially heavy means of transport. It will be a toll-expressway with entrances/exits strictly controlled by toll stations. The expressway is owned and operated by the Viet Nam Expressway Corporation (VEC) under the Ministry of Transport (MOT). VEC has established the Southern Expressway Projects Management Unit (has been changed to Ho Chi Minh-Long Thanh-Dau Giay Expressway project management unit abbreviated to “EPMU HLD”) in Ho Chi Minh City (HCMC) which is responsible for the management of the detailed design and construction of the Expressway.

1.1.1 The project location

The project is located between Ho Chi Minh City and Dong Nai province. It is a part of the national expressway network. The project starts at the interchange with the ring road No.2 (km 4+000) and ends at center of Dau Giay roundabout (km 54+953). Length of the project is about 51 km. (Figure 1)

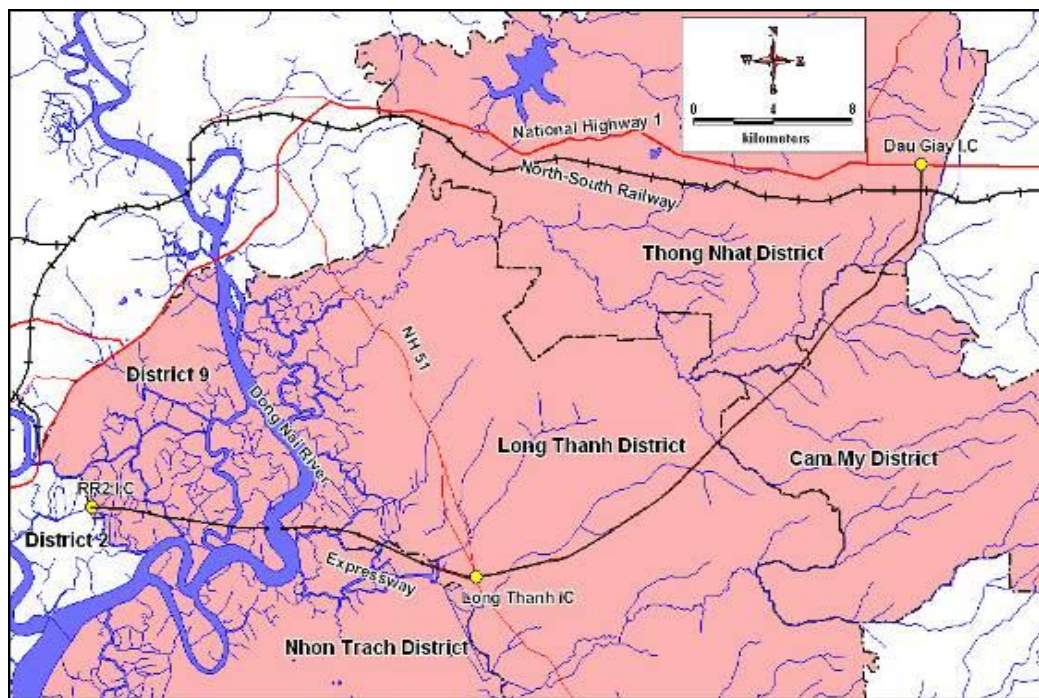


Figure 1. Location of the project and affected districts

In the first stage, 4 lanes (2 lanes in each direction) will be constructed. The expressway will traverse Long Truong, Long Phuoc, Truong Thanh and Phu Huu wards in District 9 of HCMC, a new bridge over the Dong Nai River, Long Thanh, Nhon Trach, Cam My and Thong Nhat districts of Dong Nai province. The construction of the HLD Expressway will play a significant role in supporting regional and national economic development, improving transportation and accelerating exterior communications along and at the ends of the expressway. The expressway will be a part of the national expressway network, an eastbound arterial route of the city and will also serve for the plan to develop a new international airport in Long Thanh district of Dong Nai province.

It starts at the interchange with the ring road No.2 (currently under construction) in District 9 of HCMC to the Dong Nai river. This section has a comparatively straight flat terrain. To pass the Dong Nai river, it needs to build an 2.2 km-long-concrete bridge over the Dong Nai river. Therefore, it needs two access roads at each end of the bridge to reach a navigation height of approximately 30 meters. From the bridge to Nhon Trach and Long Thanh districts, including Long Thanh townlet, its terrain is comparatively straight and flat too. From the intersection with the National Highway No.51, the expressway traverses the transitional area between the plain of the Dong Nai River and the Xuan Loc Highland. The terrain is mostly gently sloping down and then sloping up at the end of the road. The expressway ends at the intersection with the National Highway No.1 at Dau Giay.

The land acquired for the expressway is partly residential land but mostly agricultural land. There will be 5 bridges in District 9 section. For approximately 6.6 kilometers of its length in District 9 from kilometer 4+200 to kilometer 10+800 on the eastern side of the Tac River, there will be an elevated viaduct. The bridge over the Tac River is a part of the viaduct. It plans to build underpasses or overpasses for local travelling at existing roads and to residential areas.

1.1.2 Scope of the project

The HLD project is started at the Ring Road No.2 to Dau Giay with a length of 51 km. The expressway has 3 interchanges, 12 small and medium bridges, 1 big bridge (Long Thanh bridge) and an intelligent transmission system ITS. Main parameters for the project are summarized in table 1.

Table 1. Main parameters of the project

Category	Item	Quantity	Note
Total length (Km)	Road	40,485m	79%
	Bridge/Elevated viaduct	10,515m	21%
Bridge	Elevated viaduct	6,517m	Over the Tac River
	Small bridges	2,277m	12 small bridges
	Long Thanh bridge	2,120m	Equalizing concrete box girder with a slope of 4%
Interchange		3 interchanges	RR2, National highway No.51, Dau Giay
Operation and maintenance system	Toll station	3 stations	Railing gate
	Traffic direction center	1 center	
Other	Technical maintenance station	2 stations	At Km 11 and Km 41
	Management center	2 centers	

The project construction is divided into six construction bidding packages and one general package EPC, including entire work related to designing, supplying materials/equipments and constructing for establishing an intelligent transmission system (ITS) recommended by the PPTA group of ADB and JICA (SAPROF). Construction packages are represented in table 2 and figure 1.2. The construction packages No.1A, 1B, 2, 3 and 4 are used the loan from JICA. The packages No.5 and 6 are used the loan from ADB.

Table 2. Main components of each bidding package

Bidding package	Section 1 Km4+000- Km11+000 RR2 – Dong Nai River (7 km)	Section 2 Km11+000- Km14+100 Dong Nai river (3.1 km)	Section 3 Km14+100- Km23+900 Dong Nai river – interchange at National Highway No.51 (9.8 km)	Section 5&6 Km23+900-Km55+300 National Highway No.51 to Dau Giay (31.4 km)
Contract	Package 1A Package 1B	Package 2	Package 3	Package 5 Km23+900-Km37+800, Package 6 Km37+800-Km55+300
	Package 4 (ITS-general package EPC)			
Main component	Elevated viaduct Interchange at RR2 (6 km)	Long Thanh bridge Technical maintenance station	Road Weak ground treatment National Road No.51	Road Technical maintenance station Dau Giay interchange

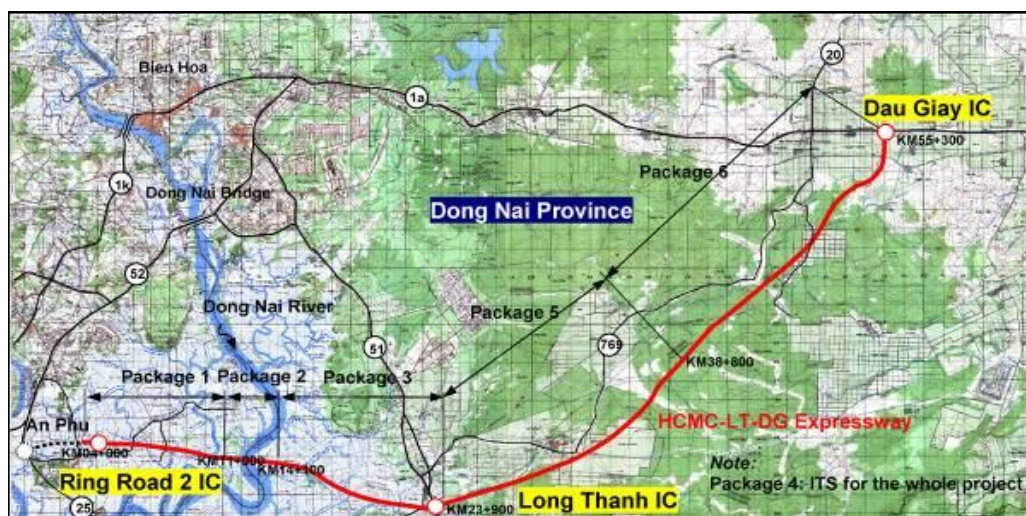


Figure 2. Construction packages of the project

1.2 Implementation progress of the project

Until 15 May 2011, about 97% of the site had been handed over for the construction as estimated. Implementation progress of the project is represented in the table below:

Table 3. Construction progress of the project

No	Package	Implementation progress
1	Package No. 1A Construction contractor: China Road & Bridge Corporation (CRBC)	Total length of the section is 3.5 km. At the time, handing-over of the site had been finished. <ul style="list-style-type: none"> - Entire temporary roads had been finished; - Mobilization of manpower, equipments: there are 10 drilling machines and 9 working teams. - Construction of Super-T girders: finished 142/840 girders; - Boards for constructing Super-T girders: finished 11/12 boards - Construction of concreted piles: constructed 77 piles - Construction of auger-cast piles: finished 843/1,020 piles - Road construction: treated soft foundation for the left road side (7th layers); filled the right side and spreaded geotechnics clothes for 2 first layers from Km4+020 to Km4+170 - Construction of pole base and body: 89 bases, 28 bodies and 33 beams had been completed. - Installation of Super-T: girders: finished 40 girders/4 spans.
2	Package No. 1B Construction contractor: CIENCO 6 & CIENCO 8 Joint Venture	Total length of the section is 3.5 km. At the time, handing-over of the site had been finished. <ul style="list-style-type: none"> - Construction of casting yard: 2 casting foundations of yard No.1 had been taken into operation. Another 2 casting foundations of yard No.1 would be taken into operation in June, 2011. The casting yard No.2 was being treated sinking of the foundation and would be taken into operation in June 2011.

No	Package	Implementation progress
		<ul style="list-style-type: none"> - Casting of Super-T girders: finished 19 girders - Drilling of D1200 auger-cast piles: finished 654/994 piles - Drilling of D1500 auger-cast piles: finished 20 piles - Construction of pole base: 51 bases, 47 bodies and 38 beams had been completed. - Finished detailed plan to ensure waterway traffic.
3	Package 2 Construction contractor: CIENCO 6 & CIENCO 8 Joint Venture	Total length of the section is 3.1 km. At the time, handing-over of the site had been finished. <ul style="list-style-type: none"> - Construction of public road: finished in HCMC and 0.3 Km in Dong Nai province; - Drilling of D1200 auger-cast piles: finished 234/444 piles - Drilling of D1500 auger-cast piles: finished 9/102 piles - Carried out waterway safeguard at Long Thanh bridge.
4	Package No. 3 Construction contractor: POSCO E & C	Total length of the section is 9.8 km. At the time, 9.2 km had been handed over for the construction. <p>* Preparation tasks:</p> <ul style="list-style-type: none"> - Finished and submitted records of construction measures, construction drawing had been designed and adopted by construction supervisor. - Constructed public roads, temporary bridges to Nuoc Trong bridge; - Submitted detailed plan and cost estimate for road and waterway safeguard measure; - Continued trial with vacuum-pump measure (with film and without film); drilled for supplementary geology survey; <p>* Main components:</p> <ul style="list-style-type: none"> - Material dig: dug 147,404 m³; - Construction of road surface: 464,718.53 m³; - Drilling of D1200 auger-cast piles at Long Thanh overpass: finished 60/160 piles; - Casting of piles 45x45: Dong Mon, Nuoc Trong, Hang Dieu and Ngon Cung bridges: finished 58,567.5 m. - Driving stakes: <ul style="list-style-type: none"> + Dong Mon bridge: finished 838/932 stakes; + Nuoc Trong bridge: finished 246/812 stakes; + Hang Dieu bridge: finished 39/212 stakes; + Ngon Cung bridge: finished 20/288 stakes. - Concreting of Super-T girders: finished 21/150 girders at Dong Mon bridge; - Construction of pole base, bodies and beams: <ul style="list-style-type: none"> + Dong Mon bridge: finished 6/32 bases, 5/32 bodies and 1/28

No	Package	Implementation progress
		beam; + Long Thanh overpass: finished 1/26 base. - Mobilized 3 drilling RD machines, 8 hammers for driving concrete stakes.
5	Package No. 4 General package EPC for ITS	Shortlist of contractors had been approved; under bidding for the construction
6	Package No.5 Joint-venture of Pumyang and Sungjee	Total length of the section is 13.9 km. At the time, 13.6 km had been handed over for the construction - Submitted technical drawing for road construction, list of trial equipments and related certificates, construction measures of box culverts, circle culverts, maintenance and repair plan for public road, environment management plans, and suppliers for driving stakes. - Cleared away the ground with 12,250 m/13,900 m; - Construction of public road: 10,500 m/13,900 m;
7	Package No.6 Hanshin design and construction company	Total length of the section is 17.183 km. At the time, 16.845 km had been handed over for the construction <i>* Preparation tasks:</i> - Submitted design drawings, construction measures, main materials; - Worked with road management unit, railway management unit, on traffic safeguard measures. Road bureau granted license of traffic safeguard guarantee at Dau Giay interchanges; - Constructed public road, concrete-mixing station, casting yards, and store yard (with about 90% as estimated). <i>* Main components:</i> - Cleared away and tidied up the ground with 826,983.29 m ² ; - Dug unsuitable materials: 233,304.87 m ³ ; - Dug 2-grade materials: 65,279 m ³ ; - Filling road surface by materials from other places: 132,479.33 m ³ (accounting for 15.43%); - Filling road surface by dug soil: 63,371.44 m ³ (accounting for 12.76%); - Auger-cast piles: drilled 3 pile at Song Nhan bridge, 1 pile at railway overpass, 1 pile at Thong Nhat bridge.

PART II. INDEPENDENT MONITORING ON THE RP IMPLEMENTATION

RP implementation is a complicated task and related to social safeguard. Therefore, international sponsors always request a monitoring system on implementation of the RP, including: (i) internal monitoring by the investor; (ii) monitoring by sponsors and (iii) external monitoring by an independent agency. The external monitoring is to supply independent and objective information about issues arising during the RP implementation and to prove that the RP implementation is complied with the approved one and then to propose solutions to deal with outstanding issues if any.

Contents of this part consist of: (i) tasks of the external monitoring agency (EMA) and (ii) monitoring methods which were used in the eighth monitoring.

2.1 Main tasks of the EMA

Main objects of the independent monitoring are to check and assess periodically following issues: (i) achievement of the resettlement objectives through the RP implementation; (ii) assessment on effects of income restoration programs. By the RP monitoring and assessment, we can learn some lessons for planning and preparing policies in the future. This can be done through post-resettlement evaluation study and assessment carried out within 6-12 months after the RP implementation is completed.

2.2 Monitoring methods

2.2.1 Study documents and records

In the eighth monitoring, documents and records which were studied by the EMA consist of (i) Report on disbursement progress prepared by the investor; (ii) Reports on compensation progress of DCCs, compensation records, complaint petitions and status of grievance redress; (iii) updated RPs and income restoration programs prepared by technical assistance consultant; (iv) Reports on implementation progress of income restoration programs and related documents, etc.

2.2.2 Discussing some activities relating to the RP and income restoration programs (IRPs) with the project staff

Discussing with staff of EPMU HLD and of DCCs some issues such as (i) Implementation progress of the project, mostly relating to design, bidding and site-handing over for the construction; (ii) Progress of disbursement and money transference to DCCs; (iii) Manpower of EPMU HLD for implementation of the RP; (iv) DMS and RP update; (v) Development of income restoration programs such as proposed programs, capital sources and estimated implementation time.

Besides, the EMA also discussed with technical assistance consultant following issues: (i) Progress of updating RP, (ii) Implementation progress of the IRPs; (iii) Outstanding issues, difficulties in the implementation of the RP update and of the IRPs.

The EMA also worked with district farmer associations, vocational training centres about IRPs: (i) Selected models for IRP implementation; (ii) Progress of the IRP implementation; (iii) Progress of disbursement until the monitoring time, checked procedures of disbursement and (iv) Difficulties in the implementation.

2.2.3 Monitoring on payment

Until May 2011, about 99.7% of PAHs had been compensated. Since January until May 2011, DCCs mainly paid the supplementary compensation for PAHs. The EMA was requested to witness and monitor the payment for all PAHs. EPC Co.,LTD assigned many staff to be present at and witness all payment stages. Monitoring on payment includes (i) checking legal documents which prove that PAHs are identified correctly and if the payment is complied with requirements of GoV and ADB, for example ID, register books, land/house use right certificates and authorized letters... (ii) Witnessing the payment and signing minutes of compensation payment.

2.2.4 Monitoring on relocation status and interviewing PAHs, focusing on severely affected HHs and vulnerable groups

In the eighth monitoring, the EMA focused on (i) monitoring on the relocation status of relocated HHs (if they have been allocated resettlement plots or apartments, their present housing, difficulties encountered by the HHs during the relocation); (ii) Interviewing vulnerable groups and severely affected HHs in order to study and assess their economic restoration possibility. The interview was carried out (i) at the time of monitoring on compensation payment and (ii) by questionnaires.

- For this project, the EMA is requested to monitor and witness the payment for PAHs. Monitoring teams met and discussed with PAHs prior to the time of payment. The discussion used to be combined with opening questions of the consultant to focus on the compensation, the pre-project employment and income of PAHs and their plans for the future. Since then the consultant could grasp their opinions and attitudes towards their concerns. Objects of unofficial interview are random PAHs, not identified which categories.

- Official interview was based on structured questionnaire. Interviewing based on structured questionnaires was also focused on compensation, relocation and income restoration.

Monitoring schedules in the eighth monitoring are summarized in the table below:

Table 4. Monitoring schedules in the eighth monitoring time

Date	Location	Discussed issues	Participants
I. Office work			
8 h 30' date 04 May 2011	Office of EPMU HLD	<ul style="list-style-type: none"> - Implementation progress of the project (construction progress of compensation progress); - Progress of RP update and implementation - Progress of disbursement, resettlement 	<ul style="list-style-type: none"> - EPMU HLD - EMA

Date	Location	Discussed issues	Participants
		arrangement; - Outstanding issues and difficulties in RP implementation.	
14 h 00' date 04 May 2011	TA consultant's office	- Progress of RP update; - Progress of the IRP implementation - Outstanding issues and difficulties in RP update and IRP implementation	- EMA - TA consultant
8 h 30' date 05 May 2011	DCC of district 9	- Implementation progress of compensation and assistance; - Review of some compensation records: DMS minutes, compensation calculation sheets and payment minutes; - Situation of complaints and complaint resolution of PAHs - Other outstanding issues	- EMA - DCC of district 9
14 h 00' date 05 May 2011	Farmers Association of district 9	- Selected IRPs; - List of participants; - Progress of IRP implementation; - Progress of disbursement; - Difficulties in the implementation.	- EMA; - Farmers Association of district 9
8 h 30' date 06 May 2011	Vocational training center of district 9	- Progress of training activities; - Progress of disbursement; - Difficulties in the implementation.	- EMA; - Vocational training center of district 9
8 h 30' date 09 May 2011	Cam My land fund development centre	- Progress of DMS, site handing over (agricultural land, residential land, public land, etc); - Preparation, submission and approval of detailed compensation plans; - Progress of payment; - Review of some compensation records: DMS minutes, compensation calculation sheets and payment minutes; - Situation of complaints and complaint resolution of PAHs - Other outstanding issues	- EMA - Cam My land fund development centre
13 h 30' date 09 May 2011	Farmers Association of Cam My district	- Selected IRPs; - List of participants; - Progress of IRP implementation; - Progress of disbursement; - Difficulties in the implementation.	- EMA - Farmers Association of Cam My district
8 h 30' date 10 May 2011	Thong Nhat land fund development centre	- Preparation, submission and approval of detailed compensation plans: <i>(i) at interchanges, overpasses; (ii) supplementary assistance according to Decree No.69;</i>	- EMA; - Thong Nhat land fund development centre

Date	Location	Discussed issues	Participants
		<ul style="list-style-type: none"> - Progress of payment; - Progress of resettlement arrangement for 6 relocated HHs - Review of some compensation records: DMS minutes, compensation calculation sheets and payment minutes; - Situation of complaints and complaint resolution of PAHs - Other outstanding issues 	
13 h 30' date 10 May 2011	Farmers Association of Thong Nhat district	<ul style="list-style-type: none"> - Selected IRPs; - List of participants; - Progress of IRP implementation; - Progress of disbursement; - Difficulties in the implementation. 	<ul style="list-style-type: none"> - EMA - Farmers Association of Thong Nhat district
8 h 30' date 11 May 2011	Long Thanh land fund development centre	<ul style="list-style-type: none"> - Progress of DMS, site handing over (agricultural land, residential land, public land, etc); - Preparation, submission and approval of detailed compensation plans <i>(i) at interchanges, overpasses; (ii) supplementary assistance according to Decree No.69;</i> - Progress of payment; - List of relocated HHs; Progress of resettlement arrangement; Status of plot allocation and house building in Long An resettlement site; - Review of some compensation records: DMS minutes, compensation calculation sheets and payment minutes; - Situation of complaints and complaint resolution of PAHs - Other outstanding issues 	<ul style="list-style-type: none"> - EMA - Long Thanh land fund development centre
13 h 30' date 11 May 2011	Farmers Association of Long Thanh district	<ul style="list-style-type: none"> - Selected IRPs; - List of participants; - Progress of IRP implementation; - Progress of disbursement; - Difficulties in the implementation. 	<ul style="list-style-type: none"> - EMA - Farmers Association of Long Thanh district
8 h 30' date 12 May 2011	Long Thanh-Nhon Trach vocational training center	<ul style="list-style-type: none"> - Progress of (i) vocational training, employment advice for PAHs; (ii) industrial stimulation; - Progress of disbursement; - Difficulties in the implementation. 	<ul style="list-style-type: none"> - EMA - Vocational training center
13 h 30' date 12 May 2011	Farmers Association of Nhon Trach	<ul style="list-style-type: none"> - Selected IRPs; - List of participants; - Progress of IRP implementation; - Progress of disbursement; 	<ul style="list-style-type: none"> - EMA - Farmers Association of Nhon Trach

Date	Location	Discussed issues	Participants
	district	- Difficulties in the implementation.	district
II. Field work			
From 04 May 2011 to 13 May 2011		<ul style="list-style-type: none"> - Interviewed PAHs (focused on vulnerable groups, relocated HHs) by questionnaire; - Visited some HHs who participate in IRPs 	- EMA

2.3 Outstanding issues represented in the seventh monitoring report

There were some outstanding issues relating to the RP implementation represented in the seventh monitoring report. Resolution situation of all the issues until the eighth monitoring is represented in the table below:

Table 5. Outstanding issues in the seventh monitoring and resolution situation

No	Outstanding issues	Resolution situation
I	Cam My district	
1	Assistance for land of 7 HHs who rented land of the 2 military units (see annex 1)	<ul style="list-style-type: none"> - PC of Dong Nai province issued decision No. 3217/QD-UBND dated 2 December 2010 on approval of detailed compensation plan for the HHs - Already compensated for the HHs
2	Supplementary assistance for career change according to Decree No.69	<ul style="list-style-type: none"> - Prepared supplementary compensation plans for 58 HHs; - The supplementary compensation plans were being submitted to Dong Nai province PC for approval;
II	Thong Nhat district	
1	PC of Thong Nhat district had not issued decision of land allocation for the 6 relocated HHs ¹	<ul style="list-style-type: none"> - PC of Thong Nhat district issued decision No. 4070/QD-UBND dated 30 December 2010 on approval of list of relocated HHs of HLD project in Thong Nhat district; - Thong Nhat DCC organized drawing lots for resettlement plots. HH of Hoang Thi Ly did not agree to draw lots since they required a plot by the road (see annex 4). HH of Hoang Thi Ly lodged by a complaint petition which was under consideration and verification by Thong Nhat district inspector.
2	Supplementing assistance amount for 6 relocated HHs due to delayed resettlement allocation	<ul style="list-style-type: none"> - DCC submitted supplementary assistance for the 6 HHs on 18 November 2010 (see annex 5) - Dong Nai PPC had not approved the supplementary assistance yet
3	Supplementing assistance for career	- DCC submitted supplementary assistance

¹ Including Tran Van Tien, Nguyen Tan Trinh, Pham Thi Dung, Phan Van Binh, Hoang Thi Ly and Hoang Ngoc Tan HHs

No	Outstanding issues	Resolution situation
	change and employment according to decree No.69	plans of 70 HHs on 18 November 2010 (see annex 6) - Dong Nai PPC had not approved the supplementary assistance yet.
III	Nhon Trach district	
1	HH of Phan Thi Huan complained that their land was compensated insufficiently. DPC issued decision on complaint resolution but the HHs was not paid supplementary	Paid for the HH on 16 December 2010 with 23,940,000 VND
2	Paying the assistance for vocational training for PAHs in cash (see annex 7)	- Not yet paid in cash for PAHs
IV	Long Thanh district	
1	Assistance for affected trees planted on alluvial ground along river at Tam An commune (which had not been granted LURC)	- PC of Dong Nai province issued decision No. 3423 dated 17 December 2010 on approval of compensation option for the 8 HHs (see annex 8) - Already paid for the HHs.
2	Certificates of land origin issued by CPC were not correct	- After receiving complaint petitions from the HHs, CPC verified and adjusted the certificates of land origin for the HHs (who directly work as farming); - Prepared supplementary assistance records for following HHs: (i) HH of Tran Thi Bach Mai: was issued approval decision (Decision No. 3531/QD-UBND dated 23 December 2010) and received compensation; (ii) HH of Bui Van Chung and HH of Nguyen Van Chien: assistance record was being submitted to Dong Nai province PC and the assistance would be paid in May 2011
3	Relocated HHs had not been allocated resettlement plots in Long An resettlement site	- After reviewing and comparing with planning drawing, there are some differences among area of resettlement plots and actual measurement. Therefore, Dong Nai Construction department is adjusting the differences. After the adjustment, the records will be transferred to Dong Nai Environment and Natural Resources for appraisalment and then Dong Nai PPC will issue decision of handing over the resettlement site for Long Thanh district. When decision on assigning the management of Long An resettlement site is issued by Dong Nai PPC, Long Thanh district PC will issue decision on resettlement allocation for the HHs with main information: name of allotted HHs, number of allotted resettlement plots, area, type of land, etc. Then

No	Outstanding issues	Resolution situation
		PAHs have to pay for land use right in resettlement site before they are allowed to build houses.
4	Supplementing assistance for job change according to Decree No. 69	<p>- Dong Nai province PC issued Decision No. 3426/QD-UBND dated 17 December 2010 - spell 1 (for 44 HHs); Decision No. 3434/QD-UBND dated 17 December /2010 - spell 3 (for 16 HHs); Decision No. 3531/QD-UBND dated 23/12/2010- spell 4 (for 26 HHs). The HHs were paid supplementary assistance.</p> <p>- Spell 2 (133 HHs); spell 5 (10 HHs) and spell 6 (10 HHs) were under consideration for approval.</p>
5	HH of Mai Van Dung: According to their LURC, they owned 50 m ² of residential land; 147 m ² of agricultural land. The HH was acquired 102 m ² for the project and compensated with 50 m ² as residential area and the rest (52 m ²) as agricultural land. Since the agricultural land neighboring the residential land (in the same plot), the HH required to assist for agricultural land neighboring residential area for the 52 m ² agricultural land	- According to Long Thanh DCC, the area with land of Mai Van Dung HH is not considered as residential area. Therefore, the HH was not entitled to assistance for agricultural land in residential area for their 52 m ² .
6	<p>Tuition fee bills of Nguyen Ngoc Dung HH and Nguyen Van Chien HH were not accepted since:</p> <p>- The HHs were entitled to assistance for vocational training for members in labour ages;</p> <p>- As direction of Long Thanh district Financial and Planning Department, APs have to provide tuition fee bills, then will be paid for the vocational training;</p> <p>- These households have provided tuition fee bills (their children were learning at the Long Thanh - Nhon Trach Area Vocational Centre), but the Long Thanh Financial and Planning Department did not accept payment with the reason: these APs were apprenticed from 2007-2010, while the project started in 2008 and according to Decision 26/QD-UBND of Dong Nai province PC, APs are paid for their tuition fee bills only when their apprenticeship begins after decisions on land acquisition are issued (after 2008).</p>	Not yet paid for the HHs
7	Paying assistance for vocational training	- Not yet paid for the HHs

No	Outstanding issues	Resolution situation
	for HHs in cash (see annex 9)	
V	District 9	
1	Some severely affected HHs (who lose more than 10% of agricultural land) suggested assistance for tuition fees and medical insurance of their children	Assistance plans of these HHs were prepared and submitted to VEC for approval
VI	Income restoration programs	
1	Adjusting agricultural models and signing contract amendment	<ul style="list-style-type: none"> - Agricultural models had been adjusted by Technical Assistance Consultant and approved by ADB; - Contract amendments on implementing agricultural models were signed in March 2011
2	The disbursement for buying breeds was delayed (for HHs who are entitled to participation in agricultural models)	Until the time, the cost for buying breeds had been disbursed in Cam My, Thong Nhat, Long Thanh and Nhon Trach districts.
3	At the time, the Long Thanh-Nhon Trach Vocational Training Center encountered some problems in vocational training, employment support: (i) Some communes had few trainees, thus its was difficult to hold training courses; (ii) Number of trainees in the districts was in accordance with the number of trainees in the contract (they may increase or decrease).	<p>(i) Few trainees:</p> <ul style="list-style-type: none"> + In Thong Nhat district, since the HHs had been trained via rural employment programs (at beginner level), therefore the vocational training centre suggested to train at advanced level with some focused careers; + In Nhon Trach and Long Thanh districts: since the two districts are near the vocational training centre, APs can learn at the centre (join other courses of the centre). <p>(ii) Number of trainees in the districts was in accordance with the number of trainees in the contract: In March, 2011, a contract amendment was signed VEC and Long Thanh Area Vocational Training Centre for the adjustment</p>

PART III. FINDINGS FROM THE EIGHTH MONITORING

In this monitoring time, the EMA focused on following issues: (i) Progress of compensation; (ii) Resettlement arrangement and relocation status of relocated HHs; (ii) Implementation progress of IRP. Results of the monitoring are represented in detail below:

3.1 Compensation progress

3.1.1 Progress of DMS

Until 15 May 2011, DMS had been finished in all the districts with 1,543 HHs. Besides, some HHs whose remaining land area is too small suggested the project acquire entire plots. For these cases, DCCs were considering for resolution. Number of PAHs and DMS progress are represented in the table below:

Table 6. Progress of DMS

No	District	Total PAHs		Carried out DMS	Percentage
		According to updated RP	In reality until 15 May 2011 ²		
1	District 9	236	236	236	100%
2	Nhon Trach district	63	63	63	100%
3	Long Thanh district	813	813	813	100%
4	Cam My district	225	225	225	100%
5	Thong Nhat district	206	206	206	100%
	Total	1,543	1,543	1,543	100%

3.1.2 Progress of replacement cost survey

As requirements of the sponsor, the replacement cost survey must be done every 6 months. In Dong Nai province, the Dong Nai Valuation Joint-Stock Company finished replacement cost survey in March 2011. In district 9, replacement cost for the remaining land of 7 HHs was being surveyed.

3.1.3 Submission and approval of detailed compensation plans

Basing on DMS data and the approved costs for all assets, DCCs will prepare detailed compensation options and then submit them to Provincial Appraisement Council for approval. Until 15 May 2010, the preparation of detailed compensation options had been started in all the districts. Progress of the detailed compensation option preparation and approval is represented in detail below:

² Included PAHs at interchanges and overpasses

Table 7. Submission and approval of detailed compensation plans

No	District	Number of PAHs			
		According to updated RP	In reality until 15 May 2011	Submission of compensation plan	Approval of compensation plan
1	District 9	236	236	223	223
2	Nhon Trach district	63	63	63	63
3	Long Thanh district	813	813	610	610
4	Cam My district	225	225	184	184
5	Thong Nhat district	206	206	206	158
	Total	1,543	1,543	1,286	1,238

Until 15 May 2011, compensation plans of 1,238 HHs (accounting for 80%) were approved. The rest HHs were being considered for legality verification, compensation calculation, posting and submission for approval.

Besides, there are some cases (supplementary assistance, relocation of structures) with delayed submission and approval of compensation plans which are summarized below:

Table 8. Some outstanding issues in preparation and submission of compensation records

No	District	Implementation progress and outstanding issues
1	Cam My district	<p>- Relocation of power line crossing the HLD expressway: Cam My DCC submitted the compensation plan for relocation of the power line on 29 September 2010 to Dong Nai Environment and Natural Resources Department. Dong Nai Finance Department issued document No. 556/STC-GCS on 22 March 2011 on appraisalment of compensation plan for relocation of power line with all agreement to compensation plan prepared by Cam My DCC. However, until the time, Dong Nai PPC had not approved the compensation plan yet;</p> <p>- Relocation of K6 ammunition store: Cam My DCC sent document No. 90/TTr on 19 October 2010 to Dong Nai Environment and Natural Resources for appraising relocation of K6 ammunition store. However, Cam My DCC was requested to supplement assistance for relocation. Cam My DCC (has been changed to <i>Cam My land fund development centre</i>) co-operated with Technical Bureau under Commander Ministry supplemented records and documents as regulations. Cam My land fund development centre sent document No.08/TTr-TTPTQD on 09 March 2011, on appraising the compensation plan. At the time, Dong Nai Environment and Natural Resources was co-operating with involving agencies to appraise the plan.</p>

No	District	Implementation progress and outstanding issues
		<p>- Compensation and assistance for affected assets of Xuan Nhan church: Xuan Nhan church was located at plot No.90 of cadastral map No.6. Entire area was 6,127 m² (affected area was 2,640 m², remaining area was 3,487 m²). The Xuan Nhan church was relocated to a new place. Since there are some affected structures on the remaining land, PC of Cam My district sent document No. 709/UBND-NL on 11 October 2010 on proposal of compensation and assistance for affected assets of Xuan Nhan church. Dong Nai Environment and Natural Resources Department issued document No. 3624/TNMT-QLDD on 26 October 2010 to suggest compensation and assistance for affected assets of Xuan Nhan church. In this document, the Environment and Natural Resources Department accepted the proposal of Cam My DPC on compensation for affected assets on remaining land of Xuan Nhan church due to no longer use. At the time, Dong Nai PPC was considering the record.</p> <p>- Supplementing assistance for vocational training and career change according to Decree No.69: At the time, Cam My land fund development centre identified 56 eligible HHs and was finalized supplementary assistance plans for approval;</p> <p>- 38 HHs are affected by interchanges, overpasses. At the time, DMS for the 38 HHs was finished. Cam My land fund development centre was calculating compensation for submission.</p>
2	Thong Nhat district	<p>- Supplementing assistance for vocational training and career change according to Decree No.69: Assistance records of 70 HHs had been submitted to PPC but not yet approved;</p> <p>- HHs affected by interchanges, overpasses: submitted compensation records for 14 HHs but not yet approved;</p>
3	Long Thanh district	<p>- Supplementing assistance for vocational training and career change according to Decree No.69:</p> <p>+ Dong Nai province PC issued Decision No. 3426/QD-UBND dated 17 December 2010 - spell 1 (for 44 HHs); Decision No. 3434/QD-UBND dated 17 December /2010 - spell 3 (for 16 HHs); Decision No. 3531/QD-UBND dated 23/12/2010- spell 4 (for 26 HHs). The HHs were already paid supplementary assistance.</p> <p>- Spell 2 (133 HHs); spell 5 (10 HHs) and spell 6 (10 HHs) were under consideration for approval.</p> <p>- There is an affected grave at Long Thanh townlet with more than 200 years (ancient grave). On 30 November 2010, Long Thanh DCC submitted cost valuation certificate issued by Dong Nai valuation joint-stock company but not yet appraised.</p>

3.1.4 Money transference of VEC

Until 15 May 2011, VEC disbursed for: (i) compensation; (ii) construction of infrastructures in resettlement sites; (iii) income restoration programs. Transferred amount of every activity is represented below:

Table 9. Transferred amount until 15 May 2011

No	Agency	Amount as stated in contract (VND)	Transferred amount (VND)	Percentage (%)
I. Compensation				
1	CC of district 9		413,074,667,215	
2	CC of Long Thanh district		198,315,621,482	
3	CC of Nhon Trach district		12,981,423,000	
4	CC of Cam My district		40,300,000,000	
5	CC of Thong Nhat district		59,200,000,350	
II. Income restoration programs				
6	Farmer Association of Thong Nhat district	436,209,000	370,102,574	85%
7	Farmer Association of Cam My district	627,522,500	545,523,750	87%
8	Farmer Association of Nhon Trach district	256,355,000	210,552,500	82%
9	Farmer Association of Long Thanh district	968,770,000	779,635,000	80%
10	Long Thanh-Nhon Trach vocational training centre (for vocational training activities, employment advice)	928,000,000	191,4880,000	21%
11	Long Thanh-Nhon Trach vocational training centre (for industry stimulation)	423,280,000	78,760,000	19%
12	Vocational training centre of district 9	806,300,000	472,500,000	59%
13	Farmer Association of district 9	1,554,316,500	788,780,000	51%
III. Construction of infrastructures in resettlement sites				
14	Lien Kim Son resettlement site		5,147,312,230	
15	Long An resettlement site		28,000,000,000	

3.1.5 Progress of payment

Until 15 May 2011, the payment in the 5 districts was about 99.7% of PAHs and 98.54% of compensation amount as estimated. Progress of payment in the 5 district is represented below:

Table 10. Progress of payment until 15 May 2011

No	District	Issued decisions	Compensation amount	Paid for PAHs		Sent to a bank	
				HH	Amount	HH	Amount
1	District 9	223	360,760,495,800 ³	194	326,522,520,800	29	34,237,975,000
2	Long Thanh district	610	160,902,315,876	571	146,509,482,971	36	6,083,852,592
3	Thong Nhat district	158	25,816,254,186	152	24,528,292,736	6	1,287,961,450
4	Cam My district	184	35,214,642,000	183 ⁴	34,857,214,000	0	-
5	Nhon Trach district	63	12,706,414,000	63	12,706,414,000	0	-
	Total	1,238	595,400,121,862	1,163	545,123,924,507	71	41,609,789,042

3.2 Progress of IRP implementation

3.2.1 In Dong Nai province

a) *Agricultural models*

The implementation of agricultural models was delayed compared with the initial plan due to adjustment of models as well as unit cost of each model. Until early of March, 2011, the adjustment of agricultural models had been finished and contract amendment had been signed. VEC also transferred money to districts Farmer Association, Until 15 May 2011, 4 District Farmer Associations of Dong Nai province had bought breeds for participants. Selected breeds are good breeds and with clear origins, are inoculated against diseases and carefully followed. The report mainly focuses on progress of implementation and difficulties during the implementation of agricultural models but has not assessed the effects of models. The assessment will be carried out at least 6 months or 1 year after implementing the models.

* *Farmer Association Long Thanh district*

According to contract amendment, Long Thanh district will implement 5 agricultural models with 24 participants, concretely:

+ Cow raising: There are 17 participants and they were provided breeds for the models.

³ The compensation amount is reduced due to adjustment of design

⁴ HH of Le Van Nhat did not receive compensation due to complaint about low price

+ Chicken raising: There are 4 participants. At the time, Farmer Association Long Thanh district disbursed finance for 3 HHs/4 HHs. Of 3 HHs who received the finance, 1 bought breeds, 2 were going to buy breeding for their models;

+ Pig raising: There is 1 participant and the HH was provided breeding for the model;

+ Abanas fish raising: The HH said that consumption of the product was very difficult. Therefore they did not want to invest in the model. Farmer Association of Long Thanh district was considering to transfer the finance for another HH.

+ Porcupine raising: There is 1 participant and the HH was provided breeding for the model.

*** *Farmer Association of Thong Nhat district***

According to contract amendment, Thong Nhat district will implement 2 agricultural models with 10 participants, concretely:

+ Cow raising: There are 2 participants with a finance of 30 billion VND/HH; according to the contract amendment, each HH is 22,787,446 VND/HH. To help the HHs have enough money to buy breeds, Farmer Association of Thong Nhat district used capital from the farmer association for the HHs loan (without interests).

+ Pig raising: There are 8 participants. 7 HHs were provided breeds. The remaining HH (Phung A Cau HH at Lo 25 commune) was not disbursed since this HH did not want to participate in the IRPs anymore. At the time, Farmer Association of Thong Nhat district informed to other eligible PAHs for registration.

*** *Farmer Association of Cam My district***

In Cam My district, there are 3 agricultural models including cow raising (6 HHs), porcupine raising (2 HHs) and pig raising (3 HHs)

+ For porcupine raising: bought breeds for the 2 HHs;

+ For cow raising: Only 2/6 HHs bought breeds. The rest HHs were looking for the breeds for buying.

+ For pig raising: The disbursement for these HHs was not started since these HHs wanted to buy breeds themselves, then Farmer Association would assess and paid for the breeds. However, until the time, the HHs still could not find any pig breeds. If the HHs cannot find breeds, Farmer Association of Cam My district will buy breeds of Vietnam Husbandry Joint-Stock Company for the models.

*** *Farmer Association of Nhon Trach district***

In Nhon Trach district, there are 3 agricultural models including duck raising (3 HHs), cow raising (2 HHs) and chicken raising (2 HHs)

+ Duck raising: There are 3 participants, including: (i) HH of Phan Thi Huan: since the HH did not want to participate in the models anymore. Therefore, HH of Dao Van Hai replaced; (ii) HH of Le Van Chin; (iii) HH of Nguyen Van Teo. There are some adjustments in actual implementation (super-meat duck raising is replaced to female duck raising). Farmer Association Nhon Trach district disbursed for buying breeds;

+ Cow raising: There are 2 participants, including: (i) HH of To Van Giac: the HH is not entitled to participation in the agricultural models since they does not have breeding facilities and lack labor of cow care; the funds will be transfer for other HH; (ii) HH of Duong Van Tam: the HH was disbursed for buying breeds. But the HH bought bulls for pulling wagon but did not bough cow as the contract.

+ Chicken raising: There are 2 participants including: (i) HH of Huynh Van Dang: the funds had transferred to HH of Phan Van Meo since the HH did not want to participate in the model anymore; (ii) HH of Ma Thi Ngau gave the funds for their daughter (HH of Nguyen Thi Thu Huong). The two HHs were disbursed for buying chicken breeds at Binh Minh company. They were waiting since the company did not remain breeds.

b) Progress of vocational training, employment advice

Until May 2011, Long Thanh vocational training centre carried out the vocational training for trainees in 4 districts. The registration and holding of training courses were very difficult since not many HHs wanted to learn. At the time, only Cam My district finished the vocational training activities. In 3 remaining districts, very few people registered to learn. This impacted the opening of training courses. Progress of vocational training in Dong Nai is represented below:

Table 11. Progress of vocational training in Dong Nai province

No	District	Number of trainees according to contract	Actual implementation			Note
			Trained career	Number of trainees		
				Started learning	Not yet learnt	
I	Nhon Trach district	16		5		
1	Phuoc Thien commune	5	Computer assembly and installation	5		Mixed with training courses at the centre
II	Long Thanh district	42		4	5	
1	Binh An commune		Cooking	3		Mixed with training courses at the centre
2	Binh Son commune		Cooking		3	Too few trainees for opening training courses

No	District	Number of trainees according to contract	Actual implementation			Note
			Trained career	Number of trainees		
				Started learning	Not yet learnt	
3	Long Thanh townlet		Make-up		2	Too few trainees for opening training courses
4	Long An commune		Driving forklift truck	1		Mixed with training courses at the centre
III	Cam My district	72		75		
1	Song Nhan commune		Cooking	30		Will take final exams in June 2011
			Make-up	28		Will take final exams in June 2011
			Industrial electricity	17		14 trainees graduated, 3 trainees gave up their studies
IV	Thong Nhat district	32		3	12	
1	Lo 25 commune		Make-up	2		Will take final exams in June 2011
			Pot plant care		2	Too few trainees for opening training courses
			Motorbike repair		1	Too few trainees for opening training courses
2	Xuan Thanh commune		Industrial electricity	1		
			Mobi phone repair		2	Too few trainees for opening training courses
			Driving forklift truck		2	Too few trainees for opening training courses
3	Bau Ham commune		Industrial electricity		1	Too few trainees for opening training courses
			Mobile phone repair		2	Too few trainees for opening training courses
			Cooking		2	Too few trainees for opening training courses

No	District	Number of trainees according to contract	Actual implementation			Note
			Trained career	Number of trainees		
				Started learning	Not yet learnt	

Besides, Long Thanh-Nhon Trach vocational training centre posted information of careers and jobs at CPC offices. The information includes enrolling students, recruitment needs of companies/enterprises, etc. The information board is updated every month.

b) Progress of industrial stimulation

According to the contract amendment, there are 4 industrial stimulation models: (i) Garment (4 HHs); (ii) Make-up, hairdressing (3 HHs); (iii) Cooking (1 HH) and (iv) Civil electricity (2 HHs):

+ Garment: There are 2 HHs in Cam My district and 2 HHs in Thong Nhat district registered this model. However, only 4 HHs of 4 district are entitled to this model (it means 1 HH per district only). Therefore, the Long Thanh-Nhon Trach vocational training needs re-survey to select eligible HHs.

+ Make-up, hairdressing: Informed forms of investment and eligibilities of this model at the training course opened in Cam My district. The selection of eligible for this model will be carried out after the course is finished;

+ Cooking: Informed forms of investment and eligibilities of this model at the training course opened in Cam My district. The selection of eligible for this model will be carried out after the course is finished;

+ Civil electricity: At the time, HH of Le Van Loi at hamlet 2 registered this model. The HH will be disbursed for implementation of model in 06/2011. Form of implementation: the Long Thanh-Nhon Trach vocational training centre will list all necessary products/equipments and co-operate with the HH to buy the equipments for the model.

3.2.2 Implementation progress in district 9

a) Progress of agricultural models

After signing contract with VEC, Farmer Association of district 9 delivered invitation letters to PAHs and organized meetings to inform about implementation of agricultural models:

+ Stage 1: organized meeting at Long Phuoc ward on 03 March 2011 with 25 participants of Long Phuoc ward;

+ Stage 2: organized meeting at Long Truong ward on 23 March 2011 with 24 participants of Long Truong, Phu Huu and Truong Thanh wards.

After the meetings, 6 HHs registered agricultural models. Farmer Association of district 9 surveyed the 6 HHs (including 3 pig raising models, 2 fish raising models and 1 orchid planting model). After the survey and assessment on conditions of the HHs, Farmer Association of district 9 will sign contracts with the eligible HHs and disburse for the models in June 2011.

In signed contract, district 9 has 5 agricultural models with 35 participants. However, only few HHs registered in reality (about 17%). The reason is PAHs remain little land only. Moreover, this is an urban area which is not suitable for implementation of agricultural models. Some HHs with small land area also suggested the project should switch to funds support for them to do business.

b) Progress of vocational training and industrial stimulation models

- Vocational training: With list of adversely affected HHs provided by technical consultant, vocational training centre of district 9 delivered invitation letters for meetings. Until May, 2011, vocational training centre of district 9 organized 2 meetings at Long Truong and Long Phuoc wards. 7 HHs with 17 trainees registered vocational training (accounting for 24%). Of which 2 trainees started learning. The rest 15 trainees have not learnt since they must supplement some papers such as copies of register books, IDs before enrolling (see Annex). Some trainees registered 2 careers but not exceeded 5 million VND per trainees.

- Industrial stimulation models have not been implemented since the training courses have not been finished.

3.3 Resettlement progress

**** District 9:***

In district 9, there are 17 relocated HHs. They are arranged resettlement at Long Buu resettlement site. At the time, all the 17 HHs had own houses at other places and did not need to relocate to the resettlement site.

**** Cam My district:***

In Cam My district, there are 5 relocated HHs. All these HHs opted self-relocation and they were entitled to assistance for the self-relocation. All of them re-built houses nearby the project site.

**** Thong Nhat district:***

In Thong Nhat district, there are 6 relocated HHs. PC of Thong Nhat district issued decision No. 4047/QD-UBND dated 30/12/2010 on approving list of PAHs who are entitled to resettlement arrangement;

Thong Nhat DCC organized drawing lot for the HHs, but HH of Hoang Thi Ly did not draw lot since they required a resettlement plot by the road. At the time, grievance petition of Hoang Thi Ly was under redress.

- Relocation status of the 6 relocated HHs:

+ HH of Tran Van Tien had another house in HCMC. They intended to sell the resettlement plot for getting gains;

+ HH of Nguyen Tan Trinh built a house with about 800m from the project site. They intended to give the resettlement plot for their children;

+ HH of Pham Thi Dung built a house with about 1.5 km from the project site. They intended to sell the resettlement plot for getting gains;

+ HH of Phan Van Binh had a house at other place. They intended to sell the resettlement plot for getting gains;

+ HH of Hoang Ngoc Tan built a house with about 500m from the project site. They intended to give the resettlement plot for their children;

+ HH of Hoang Thi Ly was still living at the affected house. They required resettlement plot by the road.

*** Long Thanh district**

Long Thanh district PC issued decision of land allocation for 208 HHs (221 resettlement plots). From these 203 HHs drew lot for their resettlement plots, (i) 52 HHs (with 56 resettlement plots) were allocated resettlement plots in Lien Kim Son resettlement site and built houses and (ii) 151 HHs (with 162 resettlement plots) were allocated resettlement plots in Long An resettlement site. At the time, these HHs had not built houses in Long An resettlement site due to difficulties in administrative mechanisms. After reviewing and comparing with planning drawing, there are some differences among area of resettlement plots and actual measurement. Therefore, Dong Nai Construction department is adjusting the differences. After the adjustment, the records will be transferred to Dong Nai Environment and Natural Resources for appraisal and then Dong Nai PPC will issue decision of handing over the resettlement site for Long Thanh district. When Dong Nai PPC had not issued decision on allocation of Long An resettlement site for Long Thanh district. Therefore, Long Thanh district PC could not allocate resettlement plots for PAHs. After Dong Nai PPC issues decision on allocation of Long An resettlement site for Long Thanh district, Long Thanh DPC will allocate resettlement plots for PAHs with following contents: name of HH head, resettlement location, area, type of land, etc. PAHs have to pay for land use right before building house.

3.4 Complaints and complaint resolution

Some complaints and status of resolution in the 8th monitoring stage are as below:

*** In Cam My district:**

+ HH of Le Van Nhat at hamlet 1, Song Nhan commune is acquired 11,224 m² of agricultural land, which is managed by transportation office 657 under Military Zone 7, HH of Le Van Nhat rent the land for production. The HH did not agree to the assistance of 30,000 VND/m² and did not hand over the land. They sent a complaint petition to PC of Cam My district. Cam My district PC directed the district inspector to verify and advise for resolution;

+ 48 HHs sent complaint petitions to acquire assistance according to Decree No.69 (the HHs whose detailed compensation plans were approved on 18 September 2009 - stage 1). DPC suggested supplementary assistance for the HHs and Dong Nai PPC was considering for resolution;

+ HH of Hoang Van Khiong at Lo 25 commune, Thong Nhat district: They used a agricultural land plot No. 239, cadastral map No.17 (2D previously) with 1,212 m². The HH complained that they lost land but were not compensated. The verification revealed that the plot 239 was affected by HLD project. However, the line map provided by investor shows that the plot is not acquired for the project. At the time, competent agencies were adjusting and then preparing compensation plan for the HH.

*** In Nhon Trach district:**

+ According to detailed compensation plans, 23 HHs are entitled to vocational training assistance with 4,600,000 VND per person. However, the assistance was not paid in cash but was transferred to the district finance department and then transferred to vocational centres for paying tuition fees of training courses. According to PAHs, they want to receive the assistance amount in cash and they will self-manage the vocational training. Dong Nai PPC has not directed officially on the issue.

+ The construction in Nhon Trach district done by POSCO E & C damaged cultivation of 80 households. The HHs sent complaint petitions and then PC of Nhon Trach district organize a meeting for agreement of compensation and assistance for damage caused by the construction. However, until the 8th monitoring, the contractor has not paid compensation for the HHs yet.

*** In Thong Nhat district:**

+ HH of Hoang Van Lam and HH of Nguyen van Hai complained about low compensation price for land and required increasing (the HHs have not received compensation yet). PC of Thong Nhat district issued decisions on complaint resolution and dismissed the complaints;

+ 4 HHs including Nguyen Thi Hong, Nguyen Thi Ut, Nguyen Van Hai and Nguyen Thi That have not received compensation. The HHs have used the land next to railway corridor before 1993 but have not granted land use right certificates. Therefore they were not compensated for their affected land, only compensated for trees/crops. They disagreed and sent complaint petitions to Thong Nhat district PC. Results of the land origin verification showed that the land has been sued before 1993 without any conflicts. Accordingly, CC of Thong Nhat district

(changed to Thong Nhat land fund development centre) calculated compensation for the affected land and submitted to Dong Nai PPC for approval. Until the monitoring, Dong Nai PPC had not approved yet;

+ HH of Hoang Thi Ly, Xuan Thanh commune did not agree to receive resettlement plot at Xom Ho A resettlement site. The HH sent a complaint petition with following content: their affected house is located by national highway No.1A and they are doing business here (banana trading without registration). If they are allocated a resettlement plot in Xom Ho A resettlement site, they cannot do business. Therefore they required resettlement arrangement by National highway No.1A that convenient for doing business. At the time Thong Nhat district inspector was considering the petition.

* In District 9: Via PAH interview, some HHs⁵ said that the adjustment and handing over the land use right certificates for them were too late (more than 1 year). According to CC of District 9, the delayed adjustment was due to difference among maps: land acquisition map was drawn in 2003 while land use right certificates were based on land map 2002. Therefore, it needed to re-measure, then update in map and adjust LURCs of PAHs.

3.5 Difficulties in the implementation

3.5.1 Difficulties in application of compensation entitlements

- The compensation of the project started in 2009. With issue of decree No.69 which became effectiveness on 01 October 2009 caused some difficulties in the implementation. In Dong Nai province, PAHs whose detailed compensation plans were approved before 01/10/2009 are not entitled to assistance for career change according to Decree No.69 (the assistance amount is rather big). This caused many difficulties and complaints, especially in Cam My district.

- Proposal of infrastructure fees for HHs living on land of other HHs: As mentioned in previous monitoring reports, HHs living on land of other HHs are also entitled to resettlement arrangement. However, since the HHs are compensated for their affected houses only, their compensation amounts are limited. Therefore they do not have enough money to pay for entire infrastructure fee and land use fee. Long Thanh district PC suggested Dong Nai PPC allow the HHs to pay 50% of infrastructure fee, but Dong Nai PPC has not responded yet.

- Pay vocational training assistance in cash: The EMA mentioned this issue many times in previous monitoring reports. However, Dong Nai PPC has not responded yet. Many HHs complained and requested payment of vocational training assistance in cash.

3.5.2 Difficulties in IRP implementation

a) Vocational training

Although Long Thanh-Nhon Trach and district 9 vocational training centres actively enrolled trainees and opened training courses but not many HHs paid attention to and participated.

⁵ HHs with complaints include Tran Thi Loi, Dang Van Manh, Nguyen Trong Nghia, Nguyen Van Hang

Number of trainees in 4 districts of Dong Nai province is accounting for 62% only, in district 9 is 24%. The few trainees registered to various careers caused difficulties in opening training courses. Besides, there are some trainees give up their studies.

b) Agricultural models

- In Dong Nai province: Implementation of agricultural models in Dong Nai province is advantageous since most of adversely affected HHs are working as farming, remain agricultural land and need breeds for production development. Only few HHs did not want to participate in the models and then transfer funds for other HHs. One obstacle of districts' Farmer Association is that if they are allowed to change into suitable models or not.

- In District 9 - HCMC: Only about 17% of PAHs participated in agricultural models because of following reasons: (i) PAHs remain little land for implementing agricultural models; (ii) district 9 is an urban area, therefore agricultural models do not attract to PAHs. Some HHs suggested the project should switch to provide funds for doing small business. Besides, in the signed contract, unit cost of orchid is 2,000 VND/tree but the cost in reality is 10,000 VND/tree. Accordingly, Farmer Association of District 9 sent a document to VEC for explanation and suggesting cost adjustment of the models.

PART IV. CONCLUSION, OUTSTANDING ISSUES AND RECOMMENDATIONS

4.1 Conclusion

Until the 8th monitoring, the compensation task was in the main finished in all the 5 districts. The RP implementation was smooth and complied with the approved RPs, ensured sufficient quantity of compensated assets, compensation at replacement cost and sufficient entitlements. Besides, some HHs whose agricultural land was acquired after 1 October 2009 are entitled to assistance for career change according to Decree No.69 equivalent to 1.5 times of compensation cost issued annually by PPC. This is the first time that such assistance is applied in Vietnam and not stated in the original RP. The compensation and fully assistance according to project entitlements are important factors which help to restore living of PAHs. Thus the living of majority of PAHs has been gradually stabilized and restored. Concurrently, the IRPs also started. Many HHs in Dong Nai province bought breeds for agricultural development. The HHs were very happy with the agricultural models.

4.2 Some outstanding issues and recommendations

4.2.1 Income restoration programs

*** *Agricultural models***

During the implementation of agricultural models, some HHs want to change the registered models. At the time, districts' Farmer Association reported to VEC on suggestion of model adjustment. The EMA suggests that the models should be flexibly done provided that the implementation is effect and ensure the approved cost. VEC should respond soon so that district's farmer association disbursed funds for participants.

In District 9, only 6 HHs participated in the agricultural models (accounting for 17%). In case few HHs register to agricultural models, district 9 should switch to industrial stimulation activities (supporting funds for small business, hairdressing, make-up, motorbike repair, etc.).

*** *Vocational training***

The monitoring show that the vocational training does not originate from recruitment needs of companies/enterprises and employment purposes. Therefore only few trainees participated in training courses. Vocational training centres should survey recruitment needs and train based on the recruitment needs so that the training is more effective and attracts APs to participate in.

4.2.2 Outstanding issues of compensation task

As mentioned above, DCCs submitted compensation records to Dong Nai PPC for appraisalment and approval. However, the appraisalment and approval of the compensation records were rather late. Therefore, Dong Nai PPC should be more active in resolution of compensation issues.

Table 12. Some outstanding issues and recommendations via the 8th monitoring

No	District	Outstanding issues	Recommendation
1	Nhon Trach district	Not yet paid the assistance for vocational training in cash	- Should pay in cash for PAHs; - PC of Dong Nai province should response for resolution of the issue soon
		The construction impacted the cultivation of 80 HHs but not yet compensated for local people	The POSCO design and construction company should negotiate and compensate for affected HHs immediately
2	Thong Nhat district	DCC submitted assistance records for house rent of 6 relocated HHs and records of supplementary assistance for career change according to Decree No.69 for 70 HHs on 18 November 2011 but Dong Nai PPC has not approved yet	Dong Nai PPC should immediately issue decisions on approval of supplement assistance for the HHs
		DCC prepared and submitted compensation records for 14 HHs affected by interchanges and overpasses but the records have not been approved yet	Dong Nai PPC should immediately issue decisions on approval of detailed compensation plans for the 14 HHs
3	Long Thanh district	Not yet paid the assistance for vocational training in cash	- Should pay in cash for PAHs; - PC of Dong Nai province should response for resolution of the issue soon
		The handing over of resettlement plots in Long An resettlement site for PAHs was delayed	Should immediately adjust the differences in planning in order allocate resettlement plots for PAHs.
		DCC of Long Thanh district submitted records of supplementary assistance for career change in stage 2 (133 HHs); stage 5 (10 HHs) and stage 6 (10 HHs) but Dong Nai PPC has not approved yet	Dong Nai PPC should immediately issue decisions on approval of supplement assistance for the HHs
		Long Thanh district PC proposed to collect 50% (instead of 100% as decided previously) of infrastructure fee for relocated HHs living on land of other HHs but Dong Nai PPC has not responded	- In our opinion, Dong Nai PPC should accept the suggestion of DPC so that living of relocated HHs can be restored soon. - Dong Nai PPC should response in writing for the issue soon

No	District	Outstanding issues	Recommendation
4	Cam My district	Not yet issued decisions on approval of compensation plans for the structures: (i) relocation of power line crossing HLD expressway; (ii) relocation of K6/CKT ammunition store; (iii) assistance for assets of Xuan Nhan church	Dong Nai PPC should consider and issue decisions on approval of detailed compensation plans
		PAHs with affected agricultural land whose detailed compensation plans were approved before 1 October 2009 are not entitled to assistance for career change according to Decree No.69	To ensure the unity of the project, Dong Nai PPC should consider the assistance for the HHs
		Compensation records of 38 HHs affected by interchanges and overpasses had not been finished yet	Cam My district land fund development centre should immediately finish the records and submit to Dong Nai PPC for approval
5	District 9	Records of assistance for school fees and health insurance had not approved by VEC	VEC should approve the assistance soon
		Not yet carried out DMS for 7 HHs whose remaining land is too small (HCMC PC accepted to acquired entire plots)	CC of District 9 should immediately carry out DMS, prepare compensation records for the HHs
		Some HHs have not handed over their adjusted LURCs (more than 1 year ago)	District 9 should hire a consultant to measure and draw the actual status so that district environment and natural resources department adjusts the LURCs and hand over for the HHs