

PROCUREMENT PLAN

Basic Data

Project Name: Xinjiang Urban Transport and Environmental Improvement Project (formerly Xinjiang Urban Transport Infrastructure Development)	
Project Number: 40643-013	Approval Number: 2526
Country: China, People's Republic of	Executing Agency: Government of Xinjiang Uygur Autonomous Region
Project Financing Amount: US\$ 181,700,000 ADB Financing: US\$ 100,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 81,700,000	Implementing Agency: N/A
Date of First Procurement Plan: 29 June 2009	Date of this Procurement Plan: 22 April 2014, Rev. 3

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 999,999	1st procurement documents in English to be submitted for ADB review and approval
Shopping for Goods	Up to US\$ 99,999	
International Competitive Bidding for Works	US\$ 10,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 9,999,999	1st procurement documents in English to be submitted for ADB review and approval
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	to be used for value above \$100,000 but less than \$200,000
Least-Cost Selection for Consulting Firm	to be used for value \$100,000 or less
Quality- and Cost-Based Selection for Consulting Firm	to be used for value \$200,000 or more
Individual Consultants Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table groups smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
D3.1	Changji: Environment Sanitation, Public Toilets and Garbage Collection Stations	150,000.00	1	NCB	POST	1S1E	Q2 / 2014	Prequalification of Bidders: N Bidding Document: Works
D4.1	Kuytun: Environment Sanitation, Public Toilets and Garbage Collection Stations	400,000.00	1	NCB	POST	1S1E	Q1 / 2014	Prequalification of Bidders: N Bidding Document: Works
D5.1	Turpan: Environment Sanitation, Public Toilets and Garbage Collection Stations	90,000.00	1	SHOPPING	POST		Q2 / 2014	Prequalification of Bidders: N
E13	Turpan: Environment Sanitation, Public Toilets and Garbage Collection Stations	200,000.00	1	NCB	POST	1S1E	Q1 / 2014	Prequalification of Bidders: N Bidding Document: Works
E6	Changji Roads, streetlights for Jianguo West Road, Tacheng Road, Shihezi Road	950,000.00	1	NCB	POST	1S1E	Q1 / 2014	Prequalification of Bidders: N Bidding Document: Goods

E7	Changji Roads, streetlights for Qingnian Road and Jiankang West Road	810,000.00	1	NCB	POST	1S1E	Q4 / 2013	Prequalification of Bidders: N Bidding Document: Goods
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
A.1	Gongyuan Road, Jinshan Road, Jinshan North Road, Wenhua Road, Tuanjie South Road, Tuanjie North Road civil works and lighting installation, Altay Roads and Environmental Improvement	4,040,000.00	4,043,649.57	NCB	Q4 / 2010	28-FEB-11	
A.10	Qingnian Road,	7,920,000.00	7,920,629.14	NCB	Q1 / 2011	31-MAY-11	

	Shihezi Road civil works, and street lighting installation, Changji Roads and Environmental Improvement						
A.11	Bayinan Road, Bayibei Road civil works, and street lighting installation, Hami Roads and Environmental Improvement	4,980,000.00	4,976,102.82	NCB	Q3 / 2009	12-JUL-13	
A.12	Renmin Road civil works and street lighting installation, Hami Roads and Environmental Improvement	3,030,000.00	3,028,164.10	NCB	Q1 / 2009	26-MAR-10	
A.13	Wulumuqi West Road, Xiyi Street, and Hebukesaiier Street, Eming Street, Wusu Street, Kuerle Road, and Kashi Road, Kuytun Roads and Environmental Improvement	7,510,000.00	7,513,697.29	NCB	Q2 / 2009	31-DEC-09	
A.14	Beijing East Road, Wulumuqi East Road, Hutubi Street, Tacheng Road, and Qitai West Road, Kuytun Roads and Environmental Improvement	5,450,000.00	5,448,687.00	NCB	Q1 / 2010	26-MAR-10	
A.15	Tuanjie South Street, Kuytun Roads and Environmental Improvement	2,020,000.00	2,024,100.61	NCB	Q1 / 2011	26-MAR-10	
A.16	Gaochang Road, Wenhua West Road, Xingfu Road civil works, and street lighting installation, Turpan Roads and Environmental Improvement	1,970,000.00	1,968,383.28	NCB	Q1 / 2010	28-FEB-11	
A.17	Junmingongjian Road, Guangmin Road, Yucai Road civil works, and street lighting installation	8,620,000.00	8,624,436.62	NCB	Q1 / 2011	28-FEB-11	
A.18	Qiquanhu Road, Donghuan Road, Bozikelike	6,500,000.00	6,496,424.11	NCB	Q1 / 2011	28-FEB-11	

	Road civil works, and street lighting installation						
A.2	1-10 Branch Roads, Qianjin Street, Yuanyichang Road, Beier Road, Huanchengxi Road, Qiadong Road civil works, Altay Roads and Environmental Improvement	3,730,000.00	3,730,767.85	NCB	Q3 / 2009	17-AUG-10	
A.3	Hongdun Road, Tuanjie South Road, Jiefang North Road, Donghou Street, Donghoujie Road civil works and lighting installation, Altay Roads and Environmental Improvement	2,540,000.00	2,542,602.79	NCB	Q3 / 2010	17-AUG-10	
A.4	Binghe Road, Lanjing Road, and other affiliated facilities	3,280,000.00	3,277,422.70	NCB	Q2 / 2009	22-JUN-10	
A.5	Bridges and affiliated facilities, Altay Roads and Environmental Improvement	5,180,000.00	5,180,254.10	NCB	Q3 / 2009	17-AUG-10	
A.6	South Park road civil works and street lighting installation, and traffic safety facilities, Changji Roads and Environmental Improvement	3,960,000.00	3,961,651.13	NCB	Q2 / 2009	31-DEC-09	
A.7	North Waihuan Road, West Waihuan Road civil works, and street lighting installation, Changji Roads Environmental Improvement	6,580,000.00	6,575,959.68	NCB	Q3 / 2009	26-MAR-10	
A.8	Jianguo West Road, Jiankang West Road, Ningbian West Road civil works, and street lighting installation, Changji Roads and Environmental Improvement	2,530,000.00	2,534,999.92	NCB	Q1 / 2012	12-MAY-12	
A.9	Tacheng Road civil works and street lighting	3,740,000.00	37,432,051.89	NCB	Q1 / 2013	12-SEP-12	

	installation, Changji Roads and Environmental Improvement						
D2	Environmental sanitation, public toilets, Altay	740,000.00	461,488.89	NCB	Q1 / 2013	16-NOV-11	
D4	Environmental sanitation, public toilets and garbage collection stations, Kuytun	750,000.00	795,213.97	NCB	Q1 / 2013	09-DEC-10	
B. 8	Environmental Sanitation Facilities/Vehicle s and Road Maintenance Equipment, Turpan Roads and Environmental Improvement	1,220,000.00	1,233,452.27	ICB	Q4 / 2011	22-MAY-12	
B.1	Street lighting, Altay Roads and Environmental Improvement	2,150,000.00	2,154,063.27	ICB	Q3 / 2009	28-JUL-11	
B.2	Environmental Sanitation Facilities/Vehicle s and Road Maintenance Equipment, Altay Roads and Environmental Improvement	2,550,000.00	2,545,791.57	ICB	Q3 / 2009	01-JUL-11	
B.3	Environmental Sanitation Facilities/Vehicle s and Road Maintenance equipment, Changji Roads and Environmental Improvement	1,980,000.00	1,977,383.86	ICB	Q2 / 2009	21-JAN-11	
B.4	Streetlights for Wulumuqi West Road, Xiyi Street, and Hebukesaier Street, Eming Street, Tacheng Street, Kuerle Road, Kashi and Qitai West Road, Kuytun Roads and Environmental Improvement	1,000,000.00	876,675.82	ICB	Q2 / 2009	21-JAN-11	
B.5	Streetlights for Beijing East Road, Wulumuqi East Road, Hutubi Street, Wusu and Tuanjie South Street	1,310,000.00	934,822.55	ICB	Q1 / 2010	21-JAN-11	
B.6	Environmental Sanitation facilities/vehicles	1,420,000.00	1,415,280.10	ICB	Q2 / 2009	21-JAN-11	

	and roads maintenance equipment, Kuytun Roads and Environmental Improvement						
B.7	Environmental Sanitation Facilities/Vehicles and Road Maintenance Equipment, Turpan Roads and Environmental Improvement	1,000,000.00	705,757.46	NCB	Q3 / 2009	27-FEB-12	
E1	Hami Roads, streetlights for Baiyinan Road, Baiyibei Road and Renmin Road	770,000.00	687,686.00	NCB	Q1 / 2013	24-AUG-10	
E10	Turpan Roads, streetlights Junmingongjian Road, Guangmin Road, Yucai Road, Qiquanhu Road, Donghuan Road and Bozikelike Road	670,000.00	914,085.68	NCB	Q1 / 2013	22-AUG-11	
E11	Turpan Roads, traffic safety equipment	540,000.00	993,216.54	NCB	Q1 / 2013	01-AUG-12	
E12	Turpan Roads, traffic safety equipment	760,000.00	758,217.72	NCB	Q2 / 2012	30-OCT-12	
E2	Hami Roads, traffic safety facilities for Baiyinan Road, Baiyibei Road and Renmin Road	310,000.00	343,327.00	NCB	Q1 / 2013	24-AUG-10	
E3	Hami Roads, Roads maintenance equipment and greening equipment	300,000.00	598,009.27	NCB	Q1 / 2013	24-AUG-10	
E4	Hami Environmental sanitation, sanitation equipment	260,000.00	344,482.51	NCB	Q1 / 2013	24-AUG-10	
E5	Changji Roads, streetlights for North Waihuan Road, West Waihuan Road, Ningbian West Road, South Park Road	970,000.00	1,062,624.29	NCB	Q1 / 2013	11-NOV-11	
E8	Turpan Roads, streetlights for Munaer Road, Huoyanshan Road and Sichou Road	910,000.00	435,108.02	NCB	Q1 / 2013	24-JUN-11	

E9	Turpan Roads, streetlights for Luzhou Road, Chanyeyuan Road, Gaochang Road, Wenhua West Road and Xingfu Road	730,000.00	1,185,280.31	NCB	Q1 / 2013	22-AUG-11	
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Consulting Services							
Package Number	General Description	Estimated Value	Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
C1	Consulting service	100,000.00	968,800.00	QCBS	Q2 / 2009	05-SEP-11	
Consulting Services	External Monitoring Agency	100,000.00	144,150.00	CQS	Q2 / 2012	06-JUN-12	
ICS_01	Consulting Service for Project Start-up Preparation	10,000.00	10,000.00	SSS	Q1 / 2010	03-JUL-10	

D. National Competitive Bidding

The Borrower's *Law of Tendering and Bidding of the People's Republic of China* promulgated by Order No. 21 of the President of the People's Republic of China on August 30, 1999, are subject to the following clarifications required for compliance with the Guidelines:

- (i) All invitations to prequalify or to bid shall be advertised in the national press, or official gazette, or a free and open access website in the Borrower's country. Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of thirty (30) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.
- (ii) Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.
- (iii) If bidding is preceded by a prequalification process, all bidders that meet the qualification criteria set out in the prequalification document shall be allowed to bid and there shall be no limit on the number of pre-qualified bidders.
- (iv) All bidders shall be required to provide a performance security in an amount sufficient to protect the Borrower/Project Executing Agency in case of breach of contract by the contractor, and the bidding documents shall specify the required form and amount of such performance security.
- (v) Bidders shall be allowed to submit bids by mail or by hand.
- (vi) All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

- (vii) All bid evaluation criteria shall be disclosed in the bidding documents and quantified in monetary terms or expressed in the form of pass/fail requirements.
- (viii) No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.
- (ix) Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.
- (x) Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.
- (xi) Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.
- (xii) Re-bidding shall not be allowed solely because the number of bids is less than three (3).