

Social Monitoring Report

Semi Annual Report

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Period covered: (Jul- Dec) 2019

NEP: Electricity Transmission Expansion and Supply Improvement Project

Prepared by Nepal Electricity Authority

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Semi-Annual Social Safeguard Monitoring Report

July -December 2019

NEP: Electricity Transmission Expansion and Supply Improvement Project
(ADB: Loan No. 2808/ Grant No. 0270/ 0271)

Submitted to:

**Asian Development Bank, Nepal Residence Mission
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Submitted By:

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ACRONYMS AND ABBREVIATIONS

ADB	Asian Development Bank
CFUGs	Community Forest User Groups
CSR	Corporate Social Responsibility
CPP	Compensatory Plantation Plan
DFCC	District Forest Coordination Committee
EM	Entitlement Matrix
ESMU	Environmental and Social Management Unit
ESSD	Environment and Social Studies Department
ETESIP	Electricity Transmission and Supply Improvement Project
GoN	Government of Nepal
GRC	Grievance Redress Committee
Ha	hectare
HH	Household
HPP	Hydro Power Project
IEE	Initial Environmental Examination
IP	Indigenous People
IR	Involuntary Resettlement
LRO	Land Revenue Office
NEA	Nepal Electricity Authority
PAF	Project Affected Family
PCC	Plain Cement Concrete
PTDEEP	Power Transmission and Distribution Efficiency Enhancement Project
RAP	Resettlement Action Plan
RCC	Reinforced Cement Concrete
RM	Rural Municipality
RP	Resettlement Plan
RoW	Right of Way
SDFO	Sub-divisional Forest Office
SPS	Safeguard Policy Statement
TKTLP	Tamakoshi-Kathmandu 220/400kV Transmission Line Project
TL	Transmission Line

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1.0 INTRODUCTION

1.1 Background

The Electricity Transmission Expansion and Supply Improvement Project (ETESIP) was approved on 15th November 2011 with its completion date extended to 31st March 2020. The ETESIP is a \$128 million project with financial assistance of 44% loan and 34% grant support from ADB, Government of Norway, NEA and Government of Nepal. The project also has an approved fund of \$2 million for capacity development assistance. The project comprises of following three major integrals:

- Transmission Line expansion, including new transmission lines and substations
- Distribution System expansion
- Rehabilitation of Hydropower stations

Based on these major integrals, the project has following components:

- a) Tamakoshi Kathmandu 220/400kV TL
- b) Rehabilitation of 640kV Sundarjal HPP
- c) Rehabilitation of 1MW Tinau HPP
- d) Augmentation of distribution Services (East i.e. Jare, Gaur, Nijgadh, Chandraghadhi and Belbari)
- e) Augmentation of Distribution Services (West i.e. Parasi, Amuwa, Taulihawa, krishnanagar, Gorkha, Mirm and Gaddachowki)
- f) Expansion of Chapali SS, Kohalpur-Mahendranagar 132kV second circuit
- g) Upgradation of distribution lines at Kathmandu, Bhaktapur, Kavrepalanchowk, Sindhupalchowk, Dolakha, Sindhuli and Ramechhap Districts

Among the above components Tamakoshi -Kathmandu Transmission Line Project (TKTLP) is in its initial phase of construction. The Initial Environment Examination (IEE) of TKTLP has been prepared in abidance with ADB Safeguard policy Statement 2009 and has been approved by MoE. The TKTLP is divided into two sections: i) Khimti-Barhabise section and ii) Barhabise-Kathmandu section.

Some changes have been occurred in the ETESIP project mainly due to shifting of three substations under the Power Transmission and Distribution Efficiency Enhancement Project (PTDEEP) from ETESIP. The scope of acquisition, compensation and other associated activities of the mentioned three substation components have also been transferred under the PTDEEP. Hence, this report does not cover the components shifted to ETESIP. The shifted substations are: i) Barhabise SS, Sindhupalchowk, ii) Lapsipedi SS, Kathmandu and iii) Changunarayan SS, Bhaktapur. The contract has been awarded and the project is in its initial phase of construction.

1.2 Project Components and Physical Progress

As stated above, there are two major sections in TKTLP. The TL of Khimti-Barhabise section which starts from substation at Phulasi, Ramechhap and ends in substation at Barhabise, Sindhupalchowk whereas the next section starts from substation at Barhabise, Sindhupalchowk and ends in substation at Changunarayan, Bhaktapur with the total length of 42.638 km and 56.722 km respectively (*source: IEE report*). Besides, the report also covers the status of the above mentioned three substations under PTDEEP. The description of the project components and their overall progress status has been summarized below:

Table-1: Physical Progress of the Project Activities

SN	Name of the Project	Project Details	Progress Status
1.	TKTLP/ Tamakoshi – Kathmandu 220/400 kV Transmission Line Project (ETESIP)		
a.	Khimti Barhabise section	Construction of double circuit 220/400 kV TL from Khimti, Ramechhap to Barhabise, Sindhupalchowk	i. Initial contract awarded was cancelled on September 26 th 2016 and the selection of new contractor has been done. ii. Route Alignment finalized iii. Construction has not started
b.	Barhabise	Construction of double	i. Contract awarded on 13 June 2017

SN	Name of the Project	Project Details	Progress Status
	Kathmandu section	circuit 220/400 kV TL from Barhabise, Sindhupalchowk to Changunarayan, Bhaktapur	<p>ii. Check survey completed</p> <p>iii. Enumeration of trees to be cut completed in Sindhupalchowk District and has been initiated in Kavrepalanchowk, Kathmandu and Bhaktapur Districts</p> <p>iv. Public notice has been out for land acquisition for the tower pads in Indrawati RM ward no. -10, Bhotesipa (former Bhotesipa VDC -8 & 9); Chautara Sangachokgadi Municipality ward no. -08, Irkhu (former Irkhu VDC -2, 3, 5 & 7), ward no. -9, Kadambas, ward no. -13, Thulo Sirubari (former Thulo Sirubari VDC- 3, 4, 5 & 6), Balephi RM ward no. -4 (former Fulpingdada VDC- 8), ward no. -5 (former Fulpingdada VDC- 6), ward no.-6 (former Mankha VDC-9), ward no.-7 (former Mankha VDC-6), ward no.-8 (former Mankha VDC-1), Barhabise municipality ward no.-9 (former Barhabise VDC-3, 5, 6 & 8), ward no.-3 (former Barhabise VDC-1) [Published on 6th Nov. 2018, Rajdhani Daily]</p> <p>v. Social and environmental public awareness campaign via installation of hoarding boards.</p> <p>vi. Land acquisition and compensation distribution for tower pads in Bhotesipa, Irkhu, Kadambas and Thulo Sirubari is going finishing touch of payment for PAFs</p> <p>vii. Public notice announced by PMO for land acquisition compensation allocation and call for application for receiving compensation for PAFS in Balephi RM , ward no 4,5,6,7,8,9 (Former Mankha VDC 1,2,6,9 and Fulping Danda VDC 4,5,6,7,8) {Published on 22 July, 2019}</p> <p>iii. Public notice has been out for land acquisition for the tower pads in Mandandeupur Municipality (former Chandani VDC ward no. -1 & 3; Mahadevsthan VDC ward no. -2, 3, 4, 8 & 9; Gairibisauna VDC ward no. -3 & 7; Nayagaun VDC ward no. -1 & 7 and Naldum Baluwapati VDC ward no. -1, 2, 4, 6 & 8) in Kavrepalanchowk District [Published on 25 June 2019, Gorkhapatra Daily]</p> <p>ix. Coordination with local people and PAF members of Balephi Municipality Areas 4, 5,6,7,8 for Land acquisition and compensation distribution payment.</p> <p>x. Similarly, Discuss and informal meeting held on 19/10/2019 dated with the PAFS members and Ward Chairman of Mandan Deupur municipality ward no 9,4,3 for compensation of land, they want the decision of compensation rate as soon as possible. If compensation rate is fixed and final, construction works will be continued at that site as per PAFs point of view in informal meeting.</p> <p>xi. Similarly, Balephi areas of construction works is in progress some AP tower pads works in under going.</p> <p><u>Tower Footing (Angle Points)</u></p> <p>xii. Altogether 19 tower foundation work has been completed till this reporting period which are as follows: AP16/0,AP25/0,AP25/1,AP30A/0,AP30/2,AP30A/1,AP3</p>

SN	Name of the Project	Project Details	Progress Status
			0A/2,AP31/0,AP32/0,AP33/0,AP33/1,AP35/0,AP35/1,A P,AP35/2,AP37/0,AP37A/0,AP39/0,AP40/0,and AP47/0 Likewise, foundation work of 10 towers are in progress as follows: 25% progress in AP13/0 50% progress in AP13/1 25% progress in AP15/0 50% progress in AP22A/1 75% progress in AP28/0 75% progress in AP30/0 75% progress in AP30A/1 25% progress in AP42/0 90% progress in AP42/3 10% progress in AP42A/9 25% progress in AP43/0 15% progress in AP47/2 10% progress in AP47/4 75% progress in AP54C/0 <u>Tower Erection</u> iii. Tower Erection works has been completed in Irkhu site AP 30/1 till this reporting period. iv. Tower Erection works has been started in AP 41/0 Madan Deupur site till this reporting period.
c	Kathmandu-Bhaktapur 132 kV Transmission Line		The contractor has been mobilized and Check survey is being carried out

(Source: Field visit, compensation disbursement data sheet, project document and consultation with project staffs)

1.3 Monitoring Requirements and Frequency of Submission

As per the ADB reporting guidelines, this Semi-Annual Social Safeguard Monitoring Report has been prepared and submitted to ESSD, NEA in compliance with ADB requirements. The report has been prepared by the review of documents, field verifications and consultations with relevant stakeholders and project staff. It covers and evaluates the social safeguard activities conducted by Environment and Social Management Unit (ESMU) for the period of July-December 2019.

1.4 Scope of Monitoring Report

The semi-annual report contains information about status of preparation and implementation of social safeguards documents focusing on subprojects having land acquisition and resettlement scope/impact as described in the table below:

Table- 2: Sub-Project Components with Land Acquisition and Resettlement Impacts

SN	Sub-Project Components
1.	Electricity Transmission Expansion and Supply Improvement Project (ETESIP)
	Tamakoshi-Kathmandu 220/400 kV Transmission Line Project (TKTLP)
a.	Khimti – Barhabise TL section: Construction of 220/400 kV TL
b.	Barhabise – Kathmandu TL section
	Barhabise – Lapsipedi TL section: Construction of 220/400 kV TL
	Lapsipedi – Changunarayan TL section: Construction of 132 kV TL

(Source: Project document)

1.5 Reporting Period

The semi-annual social safeguard monitoring report covers the duration from the month of July - December 2019.

2.0 CHANGES IN PROJECT SCOPE AND ADJUSTED SAFEGUARD MEASURES

As explained above, some changes have been occurred in the scope of ETESIP with the shifting of three substations to PTDEEP. Hence, this report does not cover the components shifted to ETESIP

a) With regards to ETESIP social safeguard categorization, TKTLP was classified as **Category B** during the processing phase. However, as land acquisition, compensation distribution for tower construction and restriction of land for maintaining RoW is yet to be geared up, the screening and categorization is still ongoing. Thus, the involuntary resettlement category can be changed at any time. Till this stage of reporting period the safeguard classification is likely to be eligible for **Category A** for involuntary resettlement and **Category B** for indigenous peoples.

3.0 Qualitative and Quantitative Data

The report contains both the qualitative and quantitative data related to social safeguard issues and implementation activities. The quantitative data are collected to measure the status and change of specific variables as affected persons, affected land, affected households & other assets, compensation distribution status, total structure loss, total crop loss and others. The qualitative data are collected to identify the issues and get a detailed information on implementation process. These data are collected from NEA/project official records and relevant stakeholders through consultations, meetings, discussion and dialogues with project staffs/officials, PAFs, local communities and others.

4.0 MONITORING PARAMETERS/INDICATORS AND METHODS

The monitoring indicators include (i) type and extent of impacts, (ii) measures adopted to mitigate the impacts, (iii) implementation status of the mitigation measures, (iv) consultation and dissemination, (v) grievance redressal, (vi) compensation payment, (vii) deed transfer, (viii) resettlement and rehabilitation etc and comparative analysis of current situations.

5.0 MONITORING RESULTS

5.1 Documentation and Approval

Since the scope of the project components in the ETESIP has been changed after shifting of substation components under PTDEEP, the Resettlement Plan (RP) of the shifted components (Barhabise SS, Lapsipedi SS and Changunarayan SS) have also been taken care under the PTDEEP. The updated RP of TKTLP (Khimti-Barhabise section and Barhabise-Kathmandu section) is yet to be finalized. The data collection, field survey for RP updating has been completed in Chautara Sangachokgadi Municipality, ward no. - 8, Irkhu; 9, Kadambas; 13, Thulo Sirubari and Indrawati RM, ward no. - 10, Bhotesipa. The collected data are being analyzed after the tabulation. The draft of updated RP of Barhabise-Kathmandu section has been prepared and submitted to the ESSD, NEA. The survey works has been completed in Balephi Municipality Ward no 5,6,7,8 and Mandan Deupur Municipality ward no 1,2,3,4,5,6,7,8,9, and 10. The survey work in Balephi ward no 4 & 5 is yet to be completed and the survey works of Kathmandu / Bhaktapur & Barhabise Municipality area has been stopped due to re-routing of tower pads in those locations are not confirmed yet.

5.2.1 Safeguard Implementation Progress

The Entitlement Matrix (EM) prepared during the feasibility phase has to be strictly followed during the project implementation process. The details are presented in the **Annex-1**. Similarly, the status of compliance monitoring results in terms of involuntary resettlement and indigenous peoples safeguard measures are presented in **Annex- 2** and **Annex- 3** respectively.

5.3 Land Acquisition and Compensation

The process of land acquisition and compensation distribution is progressively going on..

The detail survey for TKTLP, Barhabise-Kathmandu section has been completed. The route alignment for 400 kV TL has also been completed. There are 80 towers to be constructed in Barhabise Municipality (Former Barhabise VDC, ward no-1, 2, 3 & Ramche VDC, ward no- 3, 5, 6, 8), Balephi RM (former Mankha VDC, ward no- 1, 2, 6, 9 & Phulping Dada VDC, ward no- 4,

5, 6, 7, 8), Chautara Sangachokgadi Municipality (former Irkhu VDC, ward no- 2, 3, 5, 7; Kadambas VDC, ward no- 9 & Thulo Sirubari VDC, ward no- 3, 4, 5, 6) and Indrawati RM (former Bhotesipa VDC, ward no- 8, 9), out of which the land required for 76 towers has to be permanently acquired at this stage. Thus, the public notice for land acquisition of these areas was published in a national newspaper on 6th November 2018 (Kartik 20, 2075). Among these 76 towers, 30 towers (24 towers in private land & 6 towers in GoN land) fall in Chautara Sangachokgadi Municipality and 6 towers fall in Indrawati RM. The compensation Determination Committee has been formed in Sindhupalchowk District and it has already finalized the compensation rate for land of Chautara Sangachokgadi Municipality and Indrawati RM. The land parceling, plotting, ownership transfer and compensation disbursement is currently undergoing. The details of the proposed land, actual acquired land till this period and ownership transfer are presented in the table below.

Table- 3: Details of Land Acquisition

1.	TKTLP/ Tamakoshi-Kathmandu 220/400 kV Transmission Line Project (ETESIP)			
a.	Khimti- Barhabise section			
	Barhabise- Kathmandu section for Tower foundation			
	Indrawati RM- 10, Bhotesipa	0.96	0.25	GoN approval, land parceling, ownership transfer- process ongoing for GoN land whereas ownership transfer of 90% of private land has been completed
	Chautara Sangachokgadi Municipality- 8, Irkhu	2.6	2.17	
b.	Chautara Sangachokgadi Municipality- 9, Kadambas	0.1677	0.1710	
	Chautara Sangachokgadi Municipality- 13, Thulo Sirubari	1.86	1.24	
	Balephi RM-4,5,6,7,8	3.42	2.07	GoN approval, Land parceling, ownership transfer- process ongoing and similarly application letter collection and verifying process is going on.
	Sub-total	9.0077	5.73	
	Total	29.88	23.23	
*At Lapsipedi substation there are few households yet to have ownership transferred from Birta land to private land				

(Source: RP, Land acquisition public notice, compensation distribution project data sheet)

5.3.1 Compensation for Acquired Land

The compensation rate has been fixed for tower foundation and almost 90% of compensation has been disbursed to the PAFs of Indrawati- 10, Chautara Sangachokgadi Municipality- 8, 9 & 13. Also, the call for application for receiving compensation has been published for Balephi RM, ward no. - 4, 5, 6, 7, & 8 and some PAFs application has been received and submitted to Project office for compensation distribution process.

Table- 4: Compensation Distribution Status

SN	Project Component	PAFs	Acquired Land (ha)	Compensation Received PAFs	Compensation Target (NRs)	Compensation Paid (NRs)	Compensation Remained (NRs)
1.	TKTLP (ETESIP)						
a.	Khimti- Barhabise section						
	Barhabise- Kathmandu section						
b.	Indrawati RM- 10, Bhotesipa	12	0.25	9(76%)	33,91,640.63	2,570,386.00	8,21,254.00
	Chautara Sangachokgadi Municipality- 8, Irkhu	52	2.17	48(98%)	4,13,93,632.81	40,695,140.00	698,492.00
	Chautara	1	0.171	0	50,468.75	0	50468.75

SN	Project Component	PAFs	Acquired Land (ha)	Compensation Received PAFs	Compensation Target (NRs)	Compensation Paid (NRs)	Compensation Remained (NRs)
	Sangachokgadi Municipality- 9, Kadambas						
	Chautara Sangachokgadi Municipality- 13, Thulo Sirubari	51	1.24	45(89%)	3,47,29,023.44	3,10,19,043.00	3,709,980.4
	Balephi RM-5	4	0.21	3(86)%	4,489,453.125	3,849,609.37	639,843.75
	Balephi RM-7	23	0.43	6(43.20%)	2,42,21,601.59	10,466,015.00	13,755,586.5
	Balephi RM-8	22	0.34	12(48.98)%	1,47,62,929.74	7,231,171.88	9,386,835.95

(Source: Field verification, Land acquisition and compensation distribution project data sheet)

5.3.2 Compensation for Structure Loss

The project has also compensated 13 affected structures in total till this reporting period. The compensation was distributed along with the compensation distribution of the acquired land.

Table- 5: Compensation Distribution for Structure Loss

SN	Type of structures	No.	Estimated compensation (NRs)	Compensation paid (NRs)
Barhabise Sub-station				
1.	Kachhi ghar	5	3,000,008.00	Paid. [The compensation was included in the same package (i.e. compensation for acquired land)]
2.	Semi pakki ghar	2		
3.	Pakki ghar	1		
4.	Cowshed	4		
Total		12	3,000,008.00	
Changunarayan Sub-station				
1.	Temporary ghar/shed	1	120,000.00	95,590.24
Grand Total		13	3,12,008.00	95,590.24

(Source: Land acquisition and compensation distribution project data sheet)

5.3.3 Compensation for Trees/Plants

No progress has been documented in till this reporting period.

5.3.4 Major Issues in Land Acquisition and Compensation Distribution

Although, NEA has tried to utilize government land and land owned by NEA to minimize the acquisition of private land, as per the objective of ADB SPS, it is not feasible across the whole TL alignment. Thus, private land has to be acquired when needed. During this process of acquisition of land for TL, most of the time people are not willing to give their land and in some areas people are not satisfied with the compensation rate being provided. The major issues associated are as follows:

Table- 6: Major Issues Associated with Land Acquisition

SN	Issues	Action taken and Status
1.	The information about few plots published in the notice are incorrec (the plots are along the road side but the notice lack these information)	The issues has been forwarded to the land acquisition officer
2.	The published notice also included the plots outside from the tower foundation (AP 15/0)	The issues has been shared with land acquisition officer

(Source: Field verification, Project staffs)

5.3.5 Livelihood Restoration Program

ADB SPS, 2009 aims to enhance or at least restore the livelihoods of all the displaced persons in real terms, relative to pre-project levels and to improve the living standard of the displaced poor and vulnerable groups. The updated RP which is being prepared for TL sections to

approved by NEA and ADB will capture the exact details of affected HHs, family size, IPs and vulnerable people. Once the data is obtained, ESSD will initiate the livelihood enhancement, skill development trainings for the needed/affected persons.

5.3.6 Ensuring IPs Identity, Human Rights, Livelihood and Cultural Uniqueness

There will be impact on vulnerable people (dalit HHs, single women headed HHs, HHs having persons with disabilities, HHs having senior citizens) due to acquisition of land for tower footing vulnerable households including indigenous people. The actual number will be identified only after the completion of processing of land acquisition and maintaining RoW for the TL. Till this reporting period there are 150 indigenous peoples household affected by the project activities. The details are presented in the table below:

Table- 7: Status of Project Affected Households

SN	Project Component	Land Acquired (ha)	IP HHs	Total Affected HHs	Total Affected Persons	Remarks
1.	TKTLP/ Tamakoshi-Kathmandu 220/400 kV Transmission Line Project (ETESIP)					(*Note: Since the data is based on Land acquisition public notice published by NEA, the actual number might change after the detail field survey conducted for updating RP)
a.	Khimti – Barhabise TL section					
	The work has not begun		0	0	0	
Sub-total (a)			0	0	0	
b.	Barhabise – Kathmandu TL section					
	Indrawati RM, ward no- 10	0.25	10	12	** number of total affected person will be calculated only after the detailed survey/RP update	
	Chautara Sangachokgadi M, ward no- 8, Irkhu	2.17	46	51		
	Chautara Sangachokgadi M, ward no- 9, Kadambas	0.1710	1	1		
	Chautara Sangachokgadi M, ward no- 13, Thulo Sirubari	1.24	31	50		
	Balephi ward no 4,5,6,7,8	2.07	1	70		
Sub-total (b)		5.73	89	184		

(Source: RP, Land acquisition public notice)

5.3.7 Grievance Redressal Mechanisms

The establishment of GRC for all the affected RMs and Municipalities are in the process of formation. The project has sent letter for formation of GRC in 10 Rural Municipality and Municipality along TKTLP 10 and 6 GRCs have been formed till this reporting period. The process is initiated for forming the GRC in other concerned Rural Municipalities and Municipalities. The details are presented in the table below and the minutes are presented in the **Annex- 7&9**.

Table- 8: GRC Formation Details

SN	Location & Date	Total Participant no.			Committee member no.			Remarks
		Male	Female	Total	Male	Female	Total	
1.	Chautara Sangachokgadi Municipality- 13, Thulo Sirubari (2019/5/14)	19	3	22	8	1	9	
2.	Chautara Sangachokgadi Municipality- 8, Irkhu (2019/5/17)	15	1	16	9	0	9	
3.	Indrawati RM- 10, Bhotesipa (2019/5/29)	13	4	17	8	1	9	
4.	Balephi RM- 7 (2019/08/13)	13	2	15	6	1	7	
5.	Balephi RM- 8 (2019/08/19)	13	5	18	6	1	7	
6.	Balephi RM- 5 (2019/09/11)	11	2	13	6	1	7	

Despite the delay in formation, the PAFs and relevant stakeholders are contacting the project officials, ESMU field staff, project office and the district level line agencies and their written as well as verbal grievances are being heard and recorded by project staff and line agencies officials. Some of the major grievances recorded are as follows and the letters are presented in **Annex- 6**.

Table- 9 : Underlying Issues and Grievances

SN	Location	Recorded by / Issue Type	Issue Description	Status
1.	TL-Balephi -7 (AP17/0)	Written 2020/1/02	Refusing to receive compensation due to differences in land categorization & compensation rate (applicant Nil bd Chaulagain plot no 62&63) Claim: Both the plot touch the rural road. However, the compensation rate signifies that only one plot touches the rural road and another touches the pedestrian walkways.	Information has been shared and the written application has been forwarded to the project office.
2.	TL Balephi -7 AP18/0	Written 2020/1/02	Refusing to receive compensation due difference in land categorization & compensation rate applicant Chitra khar Parajuli) plot no 1061/1062, Claim: both the plot touch the rural road. However, the compensation rate signifies that both the land touch pedestrian walkways only.	Information has been shared and the written application has been forwarded to the project office.
3.	TL-Balephi -8, AP13/0	Verbal 2019/12/31	Residents of house built in the land owned by Tej Bd Shrestha claims that the tower pad touches the house and is quite near to the tower thus they should be provided with appropriate compensation.	The Pit marking, tower pad land demarcation has been done in presence of PO officials, ESMU unit, grievances applicant (PAFs) by surveyor of the construction company and showed the exact pad area, clarifying no impacts/acquisition has been done.
4.	TL-Balephi -4 (AP 22/0)	PAFs/Verbal 2019/12/16	Compensation for the temporary shed situated within the tower pad area (as per the cadastral data submitted by the contractor) Applicant Sitaram Nepal	Information has been shared with the project office. The measurement of the shed has been done by ESMU unit with support from Contractor's surveyor and engineer. Also, the written application has been sought from with the applicant. However, the public notice of land acquisition has not been published till date.
5.	Chautara Sangchokgadi Municipality ward no 13-Thulosirubari	PAFS/Verbal	Regarding the subject matter is that the local people demand in that site for access road which is 1 km around. Discuss with DPM, Ward chairman, Contractor and PAFs members dated 25/Nov/2019	Issue has been discussed/Described to the project office
6.	Balephi RM ward no-7	PAFS /Verbal	Regarding the differences in the information/published newspaper in Rajdhani regarding the plot/kitta of the land to be corrected	Issue has been discussed/Described to the project office
7.	Balephi RM ward no-7	Written 2019/08/15	Request for revaluation of acquired land (plot no 1062, Applicant-	Issue has been discussed with the Project office along

SN	Location	Recorded by / Issue Type	Issue Description	Status
			Chitarakar, Parajuli	with ward office recommendation letter.
8.	Balephi RM ward no-7	Written 2019/08/19	Request for revaluation of acquired land (Plot no.63, Applicant –Nil Bahadur Chaulagain)	Issue has been discussed with the Project office along with ward office recommendation letter.
9.	Balephi RM ward no-7	Written 2019/08/14	Request for re-survey of acquired land (plot no 1531, Applicant –Barun Parajuli, for Mirdu kumara Parajuli)	Issue has been discussed with the Project office along with ward office recommendation letter.
10.	Balephi RM ward no-7	Written 2019/09/13	Request for revaluation of acquired land (Plot no.1063, Applicant- Taranath Poudel)	Issue has been discussed with the Project office along with ward office recommendation letter.
11.	Balephi RM Ward no 7	PAFS /Verbal	Regarding the differences in the information/published news paper in Rajdhani regarding the plot/kitta of the land to be corrected	Issue has been discussed /Described to the project office
12.	Balephi RM Ward 8	PAFS /Verbal	Mr.Resham Bd Chalise- Land demarcation of plot	Issue has been discussed/Described to the project office
13.	Balephi RM Ward 5,6,7,8	PAFs/ Verbal	Compensation –not satisfied on the rate and rate distribution for the compensation of land.	Issue has been discussed /Described to the project office.
14.	Balephi RM Ward no 5	PAFS /Verbal	Mr.Dilli Bd Shrestha- Difference between Acquired land Occupying area of Land	Issue has been discussed/Described to the project office
15.	Kavrepalanchowk Distrcit	PAFS/Verbal	PAFs wants there fix of compensation disbursement rate as soon as possible in informal meeting in different time.	Issue has been discussed /Described to the project office

(Source: Field verification, project officials, project office)

6.0 PUBLIC CONSULTATION AND INFORMATION DISCLOSURE

The NEA through its relevant project office maintains records on disclosures regarding the environmental and social activities. Each subproject submits the report to PMD and relevant divisions in NEA. The PMD also prepares the semiannual report based on monthly reports, field observations and consultations which is submitted to ADB and the finalized report is uploaded in ADB website for public view.

The regular consultation with the local people and relevant stakeholders is conducted whenever needed. Information regarding project activities is shared with them and any questions/queries is answered. While implementing the RP, meaningful consultations is carried out with the PAFs by organizing various meetings. Since, most of the consultations were unofficial and informal gathering together, hence lacks proper minuting. The consultations held during this up to this month are as follows.

Table-10: Local Consultative Meeting Details

SN	Location and Date	Major Issues in Discussion	Participant			Remarks
			Male	Female	Total	
1.	Chautara Sangachowk-13, Thulosirubari (2019/07/02)	<ul style="list-style-type: none"> Follow up on the request for Additional sub project submitted 	3	0	3	PAFs for immediate action to be taken.

SN	Location and Date	Major Issues in Discussion	Participant			Remarks
			Male	Female	Total	
2.	Balephi RM Ward no: 7 AP15/0,AP15/1 and 14/0 (2019/07/10)	<ul style="list-style-type: none"> Discussion of PAFS Public support for smooth running of the project Request for support in land acquisition 	9	1	10	PAFs demanded compensation to be distributed first before commencement any physical works.
3.	Balephi RM ward no.- 7 (2019/07/16)	<ul style="list-style-type: none"> Discussion of PAFS and Ward Chairmen request for time scheduling for GRC formation Information sharing of compensation distribution and its process of documentation. Dissemination of project information Public support for smooth running of the project Request for support in land acquisition 	5	2	7	PAFs assured to help for the successful completion of the project that falls under National Prioritization Project.
4.	Balephi RM ward no 7 (2019 /07/30)	<ul style="list-style-type: none"> Follow up for GRC time scheduling. Inquiry about application of PAFS for compensation Public support for smooth running of the project Request for support in land acquisition 	3	2	5	PAFs assured to help for the successful completion of the project that falls under National Prioritization Project.
5	Balephi RM ward no 4,5,6,7,8 (2019/07/22)	<ul style="list-style-type: none"> Public Notice issued by PMO office about the compensation distribution and its process was attached in Ward Notice board 				The Public Notice has been attached in Annex-
6.	Mandan Deupur Municipality ward no-9 (2019/09/04)	Information sharing about project scope, activities and current status, health and safety , risk and vulnerability and compensation procedures	10	4	14	
7.	Balephi-7 (2019/09/05)	<ul style="list-style-type: none"> Review of Application letter 	5	0	5	
8	Balephi-8,PAFs (2019/09/09)	<ul style="list-style-type: none"> Review of Application letter 	8	3	11	
9.	Balephi-7,PAFs (2019/09/05)	<ul style="list-style-type: none"> Review of Application letter 	8	1	9	
10.	Balephi-7,PAFs (2019/09/10)	<ul style="list-style-type: none"> Collection of application letter and discuss of major issues Resurvey of land 	9	5	14	PAFs requested for the resurvey of the Tower pads in AP14/1,AP15/0,AP17/0
11.	Balephi-5,PAFs (2019/09/10)	<ul style="list-style-type: none"> Information sharing with PAFs and ward chairman about the Compensation distribution 	5	1	6	
12	Mandan Deupur 9,4,PAFs	<ul style="list-style-type: none"> Information sharing with PAFs and ward chairman about the Compensation 	8	4	12	PAFs wants there fix of compensation disbursement rate as

SN	Location and Date	Major Issues in Discussion	Participant			Remarks
			Male	Female	Total	
	(19/10/2019)	<ul style="list-style-type: none"> distribution Compensation Rate to be fix as soon as possible. 				soon as possible. If compensation rate will be fix and final after then construction works will be continued at that site as per PAFs point of view in informal meeting.
13	Balephi RM-4 26/Nov/2019	<ul style="list-style-type: none"> Information sharing with PAFs and ward chairman about the Compensation distribution Suchana List to be published as soon as possible rerouting places. 	5	2	7	Verbal Communication with PAFs member at Balephi wards no 4 for working environment at that location.
14	Balephi RM-5 28/Nov/2019	<ul style="list-style-type: none"> Information sharing with PAFs and ward chairman about the Compensation distribution 	14	1	15	Informal Discussion about the Project works and information sharing of Project Scope, Land Compensation and disbursement, Working environment at Tower pads section.
15	Balephi RM-7 29/Nov/2019	<ul style="list-style-type: none"> Information sharing with PAFs and ward chairman about the Compensation distribution 	7	2	9	Details Information sharing and encouraging to PAFs members for Compensation of land to received money, Collection of application letter from PAFs.
16.	Balephi-7 AP15/0, AP17/0 (2019/12/10)	<ul style="list-style-type: none"> Discussion on tower pad land acquisition Demarcation of tower pad area, pit marking Addressing the concern about tower pad land area, pit area 	9	0	9	
17.	Balephi-5 (AP22/1) 2019/12/15	<ul style="list-style-type: none"> Discussion on tower pad land acquisition Demarcation of tower pad area, pit marking Addressing the concern about tower pad land area, pit area 	5	6	11	
18.	Chautara Sangachowkg adi-8, Irkhu (2019/12/17)	<ul style="list-style-type: none"> Discussion on tower pad land acquisition Demarcation of tower pad area, pit marking Addressing the concern about tower pad land area, pit area 	6	9	15	
19.	Chautara Sangachowkg adi-8, Irkhu (2019/12/18)	<ul style="list-style-type: none"> Discussion on the CSR activities, plan & procedure and survey activities 	17	5	22	The discussion was held in 3 different locations.
20.	Mandan Deupur Municipality- 10,9,8	<ul style="list-style-type: none"> Discuss on project scope, RP HH baseline survey its importance. 	12	9	21	The discussion was held in 3 different locations.

SN	Location and Date	Major Issues in Discussion	Participant			Remarks
			Male	Female	Total	
	(2019/12/21)					
21.	Mandan Deupur Municipality-7,6,5 (2019/12/23)	<ul style="list-style-type: none"> Discuss on project scope, RP HH baseline survey its importance. 	10	3	13	The discussion was held in 3 different locations.
22.	Mandan Deupur Municipality-4,3,2,1 (2019/12/23)	<ul style="list-style-type: none"> Discuss on project scope, RP HH baseline survey its importance. 	13	7	20	The discussion was held in 3 different locations.
23.	Balephi -8 (2019/12/31)	<ul style="list-style-type: none"> Discussion on drinking water source protection, spoil management during excavation and tower protection. 	15	7	22	
24	Barhabise -9 AP5/0,AP5A/0,AP6/0 (2019/12/31)	<ul style="list-style-type: none"> Discussion on tower pad land acquisition Addressing the concern about tower pad land area, pit area 	7	0	7	

(Source: Field verification)

7.0 GAPS AND RECOMMENDED CORRECTIVE ACTIONS

The Environmental and Social Monitoring Unit (ESMU) under ESSD, NEA has been set up in the field for regular monitoring and proper implementation of safeguard activities and mitigation measures. The teams also identified gaps and recommend the corrective action plan of mitigation in its section. The following corrective actions are required in order to improve the monitoring activities.

Table- 11: Gaps and Corrective Plan of Action

SN	Issues	Corrective actions	Responsibility
1	Update of resettlement plan	Expedite the process of RP update and submit the updated RP to ADB	ESSD/Project Office/Consultant
2	Delay in rate fixation for tower footings	Expedite the process	Project Office
3.	Safeguard desk strengthening & empowerment	Regular coordination with contractors, project office, ESMU, ESSD in project activities, cadastral survey, & other issues in construction activities	ESMU/ Contractor/ Project Office/ESSD
4	Lack of Information about the changed of location of Tower Pad section in Balephi ward no -4,5 & Barhabise site area	Regular coordination with contractors, project office, ESMU, ESSD in project activities, cadastral survey, & other issues in construction activities	ESMU/ Contractor/ Project Office/ESSD
5	Lack of adequate information among project affected people on compensation	<ul style="list-style-type: none"> Provide information regarding compensation rate/actual compensation amount for the acquired land to PAFs and complete the acquisition process before mobilizing contractor for tower foundation construction 	ESMU/Project Office/ESSD/Contractor
6	Valuation of amount for loss of different types of trees	<ul style="list-style-type: none"> Prepare valuation 	ESMU/Project Office/ESSD/Contractor

SN	Issues	Corrective actions	Responsibility
1	Update of resettlement plan	Expedite the process of RP update and submit the updated RP to ADB	ESSD/Project Office/Consultant
2	Delay in rate fixation for tower footings	Expedite the process	Project Office
		amount/rate for private tree loss based upon their species, height and basal diameter, so that when contractor move to the field, he/she can answer PAFs with factual information	

8.0 INSTITUTIONAL ARRANGEMENT FOR MONITORING

The Project Management Directorate (PMD) office is responsible for the overall implementation of the project. There are separate units for each projects being headed by the respective Project Manager (PM).

In close coordination and cooperation with PMD, Environment and Social Service Department (ESSD) is taking care of the environmental and social safeguard activities and compliance monitoring through its Environmental and Social Monitoring Unit (ESMU) established in each section of the TLs. The ESMU comprises of one environment specialist and one socio-development specialist, whose main responsibility is to monitor the environment and social safeguard activities being implemented in the field during project implementation phase.

Likewise, a separate Compensation Determination Committee (CDC) is formed in each district which is chaired by the respective Chief District Officer (CDO). The main responsibility of the CDC is to determine the compensation rate for the land to be acquired for the project activities. It is also responsible for solving the issues/grievances related to land acquisition and compensation under Land Acquisition Act 1977.

9.0 PROPOSED MAJOR ITEM OF FOCUS FOR NEXT REPORT

The next report will be focused in compliance progress activities of the project.

ANNEXES

Annex- 1: Entitlement Matrix

SN	Key Issues	Entitlements	Current Status	Action Taken By	Remarks
1.	Permanent acquisition of agricultural/ residential/ commercial and other private lands by landowners	<ul style="list-style-type: none"> • Cash compensation at replacement cost based on current market price • Provision of land for land compensation will be made for those PAFs having complete loss of land in accordance to the prevailing act if land is available 	Partially Complied with:	NEA / CDC	Compensation disbursement is progressively going on for acquired land and for other land to be acquired land parceling, ownership transfer, compensation fixation is under process.
2.	Land restricted to use except for cultivation along the RoW of TL	<ul style="list-style-type: none"> • One time cash compensation for the land restricted to maintain RoW of the TL, except to use for agricultural purpose 	-	NEA / CDC	The work has not begun
3.	Land temporarily acquired by the contractor during the implementation/construction phase	<ul style="list-style-type: none"> • Contract will be signed between PAFs and contractor for temporary use/acquisition • Rental rate/compensation for crop/agricultural loss will be provided as per the valuation on national criteria • Land will be restored to its original state or to be compensated at replacement cost 	Complied with:	Contractor	NEA to facilitate during the process
4.	Loss of residential/ commercial and other structures by titleholders	<ul style="list-style-type: none"> • Cash compensation for the loss of the built-up structures at full replacement cost based on the current market price • PAFs allowed to take/reuse their salvageable materials • Provided one time shifting allowance 	Complied with:	NEA / CDC	
5.	Loss of residential/ commercial structures by squatters	<ul style="list-style-type: none"> • Cash compensation for the loss of built-up structures at full replacement cost • PAFs allowed to take/reuse their salvageable materials • Provided one time shifting allowance 	Complied with:	NEA / CDC	
6.	Loss of residential/ commercial structures by encroachers	<ul style="list-style-type: none"> • Cash compensation for non-land assets at replacement cost • Owner will be notified and allowed to take/reuse their salvageable materials 	Complied with:	NEA-PMD / CDC	
7.	Loss of fruit and trees on permanently acquired land	<ul style="list-style-type: none"> • One time cash compensation for the loss of fruit trees for average 	Complied with:	CDC / NEA / Contractor	In coordination and consultation with District Forest Office

SN	Key Issues	Entitlements	Current Status	Action Taken By	Remarks
		fruit production for next productive years computed at current market value <ul style="list-style-type: none"> • One time cash compensation for loss of wood trees at current market value (timber of firewood, as the case may be) 			and District Agricultural Office
8.	Loss of agricultural crops, fruits and wood trees	<ul style="list-style-type: none"> • One time cash compensation for the temporary loss of agricultural crops along the corridor of RoW due to stringing of TL at current market price based on average production of the affected area For fruit bearing trees compensation at average fruit production for next productive years to be computed at current market value • For timber trees compensation at market cost based on type of trees • One month advance notice to harvest crops, if required 	Partially complied with	CDC / NEA / Contractor	In coordination and consultation with District Forest Office and District Agricultural Office (However the work has not begun)
9.	Loss of cultural & community structures/ facilities/ resources	<ul style="list-style-type: none"> • Complete rehabilitation/ restoration by the project or cash compensation for restoration/ reestablishment of the affected cultural/ community structures and installations, to the recognized patron/ custodian 	Complied with:	NEA / CDC / Local community	
10.	Loss of income and livelihood	<ul style="list-style-type: none"> • Assistance for lost income based on three months minimum wage rates • Additional compensation for the vulnerable HHs • Consideration for project employment 	Complied with:	NEA-PMD / CDC	
11.	Loss of Government Property/Land	<ul style="list-style-type: none"> • Lump sum compensation as per government rules 	Complied with:	NEA / Relevant Department	
12.	Impact on vulnerable PAFs	<ul style="list-style-type: none"> • Additional assistance based on three months of minimum wage • Will be given priority in project construction employment 	Complied with:	NEA / Contractor	
13.	Other impacts not identified	<ul style="list-style-type: none"> • Compensation and assistance 	Complied with:	NEA-PMD / CDC / Contractor	Unforeseen impacts will be documented and mitigated based on the principles

SN	Key Issues	Entitlements	Current Status	Action Taken By	Remarks
					agreed upon in the resettlement framework

Annex- 4: Letter of receipt for educational support materials

Annex- 5: Public notice for call for application for receiving compensation

Annex- 6 GRC: Formation Minutes of Meeting held in Balephi RM ward no 7, 8 & 5.

Annex- 7: Contractor mobilization letter for Construction Works at Barhabise, Irkhu -
Sindhupalchowk District.

Annex- 10 Public Grievance Letter from Balephi Ward no-7

Annex: 11 Minutes of Meeting for CSR Activities Works

Annex 12: Notice for Implementing CSR Activities

ANNEX- 13: PHOTOGRAPHS



1: Construction works at TL section AP 13/1



2: Compensation Distribution from Barhabise Office for PAF Members



3: CSR Site activities in Barhabise site Substation Kriyaputri Bhawan



4: Handing over of education learning materials



5: GRC Formation meeting in Balephi ward no -7



6: GRC Formation meeting in Balephi ward no -8



7: Consultation with PAFs Members at Balephi ward no 6



8: CSR activities discussion with the Contractors at Baudha Stupa for Construction works



9: Informal Public meeting in Balephi Ward no-7



10: GRC Formation meeting in Balephi ward no -5



11: Informal meeting in Mandan Deupur Ward no -9



12: Consultation with PAFs member & ward Chairman at Balephi ward no-7

	
<p>13: Irkhu Seti Debi School Site visit and consultation with Local people for CSR activities works.</p>	<p>14: Balephi RM ward no 8 site visit for site location seen with the Contractors.</p>
	
<p>15: Irkhu Seti Debi mandir site visit and consultation with Local Peoples for Stairs case for CSR activities works.</p>	<p>16: Consultation with Local People and Ward chairman, ESMU team and Local People at Thulosirubari about the public demands</p>
	
<p>17: Irkhu Seti Debi Mandir Site visit and consultation Local people for CSR activities works</p>	<p>18: Orientation with House Hold surveyor at Mandan Deupur-5, Kavrepalanchowk.</p>



19: Discussing / Monitoring Meeting with PMD dated 20 Dec, 2019(a)



20: Discussing / Monitoring Meeting with PMD dated 20 Dec, 2019 (b).



21: CSR activities under construction works at Compound wall construction of Buddha stupa.



22: CSR activities Compound wall under construction at Gabion wall construction of Buddha stupa



23: Site Supervision works at AP 13/1 before Concreting



24: CSR activities site surveying at BhulvuleThulosirubari.



25: Compensation Distribution from Barhabise site office



26: CSR activities under Irkhu Seti Debi Temple of stairs case for construction minutes of agreement listening.



27: Discussion with PAFs members for site clearance.



28: CSR activities works site surveying at Narshing danda Thulosirubari for Drinking Water tanki.



29. Public Consultation for compensation distribution rate and construction works at Mandan Deupur -9



30. Under the CSR activities works technical teams submitting drawing to contractors at Barhabise Area



31: Consultation for ROW clearance at AP40/0 Mandan Deupur site.



32: Site visit Barhabise Substation Looking of MAP with NEA Engineer and ESMU team.



33: Barhabise Substation Machinery wall construction working at site with Safety guards.



34: Discussion with PAFS for Site clearance.



35: Discussion with ward member & ESSD for Setidebi Mandeer Gabion wall Construction works



36: Discussion with PAFS for Site clearance

