

Social Monitoring Report

Semestral Report
July – December 2018

February 2020

VIE: Water Sector Investment Program - Tranche 2 - Hue City

Prepared by HueWACO for Thua Thien Hue Provincial People's Committee and the Asian Development Bank.

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THUA THIEN HUE WATER SUPPLY JOINT STOCK COMPANY

VIETNAM WATER SECTOR INVESTMENT PROGRAM- TRANCHE 2

{ADB Loan No. 2961-VIE (SF)}

Safeguards Monitoring Report

From JULY to DECEMBER 2018

**VIE: WATER SECTOR INVESTMENT PROGRAM (TRANCHE 2)- THUA THIEN HUE
WATER SUPPLY PROJECT**

Prepared by

Contract Management and Construction Supervision Consultant

Joint Venture of HaskoningDHV Nederland B.V (the Netherlands) and
HaskoningDHV Vietnam Co., Ltd

Sub-Consultant: WATECH Construction Consulting Joint Stock Company

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WATER SECTOR INVESTMENT PROGRAM (TRANCHE 2) - THUA THIEN HUE WATER SUPPLY PROJECT

CMCS Consultant

PMU

Team Leader

Director

This report has been prepared based on a combination of information provided by others and by the CMCS Consultant. The CMCS Consultant has to the extent reasonably possible validated and verified the accuracy of the information in the reports and appendices. To the best of the CMCS Consultant's belief and knowledge, the included information is reasonably accurate and correct.

Joint Venture of HaskoningDHV Nederland B.V (the Netherlands) and
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Preface

The Government of Vietnam and the Asian Development Bank (ADB) have agreed to implement the Viet Nam Water Sector Investment Program – Multitranche Financing Facility (MFF) - Periodic Financing Request 2 scheduled to be completed by 31 December 2019. In this tranche, six (06) water supply projects will be funded in Hai Phong, Quang Tri, Thua Thien Hue, Da Nang, Dak Lak, and Binh Duong provinces.

The Loan Agreement was signed on 23rd May 2013. On the 9th September 2016, Thua Thien Hue Water Supply Joint Stock Company, formerly known as Thua Thien Hue Construction and Water Supply State One Member Limited Company signed a Consulting Services Contract for Contract Management and Construction Supervision with the joint venture of HaskoningDHV Nederland B.V (the Netherlands) and HaskoningDHV Vietnam Co., Ltd (CMCS Consultant).

This Safeguards Monitoring Report - July ÷ December 2018 covers main findings of the monitoring of safeguards issues of operation activities carried out during the reporting period from July to the end of December 2018. This report is based on review of quarterly records of the Provincial Project Management Unit (PMU), desk review and observations made by CMCS Consultant during supervision missions fielded from July ÷ December 2018 to the Project area.

List of Abbreviations

ADB	Asian Development Bank
AH	Affected Household
AP	Affected Person
CARB	Compensation Assistance Resettlement Committee
CMCS	Contract Management and Construction Supervision
CPC	Commune People's Committee
CVWU	Communal Vietnam Women's Union
D&B	Design and Build
DARD	Department of Agriculture and Rural Development, Provincial
DPC	District People's Committee
FS	Feasibility Study
GoV	Government of Vietnam
IEE	Initial Environmental Examination
lpcd	liters per capita per day
LARC	Land Acquisition and Resettlement Completion Report
LARP	Land Acquisition and Resettlement Plan
MARD	Ministry of Agriculture and Rural Development
MOH	Ministry of Health
MONRE	Ministry of Natural Resources and Environment
MOU	Memorandum of Understanding
NCERWASS	National Centre for Rural Water Supply and Environmental Sanitation
NGO	Non-governmental Organization
O&M	Operation and Maintenance
ODA	Official Development Assistance
PCERWASS	Provincial Centre for Rural Water Supply and Environmental Sanitation
CMCS	Contract Management and Construction Supervision
PIB	Project Information Booklet
PPC	Provincial People's Committee
PMU	Project Management Unit
Project	Vietnam Water Sector Investment Program- Tranche 2

PVWU	Provincial Vietnam Women's Union
RF	Resettlement Framework
RWSS	Rural Water Supply and Sanitation
Project	Thua Thien Hue Water Supply Project
VND	Vietnam Dong
VWU	Vietnam Women's Union
WSC	Water Supply Company
WSCC	Water and Sanitation Commune Committee
WSSP	Water Supply and Sanitation Project
WTP	Water Treatment Plant

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1 INTRODUCTION AND PROJECT OVERVIEW

Introduction

1. The water supply and sanitation tranche-2 Project located in Thua Thien Hue Province (Project) is one of the six tranche-2 Projects of the Viet Nam Water Sector Investment Program to be implemented under the Loan Agreement No. 2961 - VIE (SF) between the Socialist Republic of Vietnam (GoV) and the Asian Development Bank (ADB).
2. The implementation of the Project will (a) increase coverage in the service area of the water supply companies (WSCs); (b) improve WSCs' business planning, financial management and asset management; and (c) reduce non-revenue water, through the implementation of prepared sub-projects and preparation of similar sub-projects for financing.
3. The Hue Project is in the first phase to install 710 km of transmission and distribution pipes in Phong Dien and Tu Ha districts, Hue city and Loc An and Loc Bon districts. In accordance with the Memorandum of Understanding (MOU) agreed between the ADB and Thua Thien Hue Water Supply Joint Stock Company (HueWACO), the Thua Thien Hue Project needs to adhere to preparation of semi-annual safeguards monitoring reports. This semi-annual report is the second one for Thua Thien Hue Project and covers issues in the second half-year of 2018.
4. The Consulting Services Contract for Contract Management and Construction Supervision Package (Package No. HUE CS01) of the Project was signed on 9th September 2016.

Project Overview and Progress

Table 1 Thua Thien Hue Project Overview and Progress

Project Number and Title:	ADB Loan No. 2961-VIE (SF) Viet Nam Water Sector Investment Program: Thua Thien Hue Project	
Safeguards Category	Environment	B
	Involuntary Resettlement	B

Project Number and Title:	ADB Loan No. 2961-VIE (SF) Viet Nam Water Sector Investment Program: Thua Thien Hue Project	
	Indigenous People	C
Reporting period:	July ÷ December 2018	
Last report date:		
Key sub-project activities since last report:	<p>❖ Project component</p> <p>The current investment plan will cover areas 1-5:</p> <ul style="list-style-type: none"> ✓ Area 1: Phong Dien water supply area (150 km pipeline, D50-D500) ✓ Area 2: Tu Ha water supply area (90 km pipeline, D50-D500) ✓ Area 3: Water supply area of Hue city and surrounding areas (140 km of pipeline, D50-D1200) ✓ Area 4: Loc Bon water supply area (230 km pipeline, D50-D600) ✓ Area 5: Loc An water supply (100 km pipeline, D50-D400) <p>❖ Packages and progress of work</p> <ul style="list-style-type: none"> ✓ Package HUE-CW03: Supply and installation of ductile iron pipes and accessories with diameters from D400-D1200 <ul style="list-style-type: none"> • The contract was signed on August 9th 2017, and the Contractor started construction on June 20th, 2018. • As of December 2018, the contractor executed 11/17 pipelines with diameter 400-1200 at Hue City, Tu Ha and Phong Dien with the length of 22,156/34,405m (equivalent 64.4%), of which 3 pipelines were completed, 08 remaining pipelines are still being constructed. ✓ Package HUE-CW05: Supply and installation of HDPE pipes and accessories at Phong Dien, Tu Ha and Hue City. 	

Project Number and Title:	ADB Loan No. 2961-VIE (SF) Viet Nam Water Sector Investment Program: Thua Thien Hue Project
	<ul style="list-style-type: none"> • The contract was signed on April 10th, 2018 • As of December 2018, the contractor has constructed 53/93 HDPE pipelines with diameter from DN50-450 mm, at Phong Dien, Tu Ha and Hue City with the length of 204,029 / 321,609 m, (equivalent 63.4%), of which 32 pipelines were completed. <p>✓ Package HUE-CW06: Supply and installation of HDPE pipes and fittings in Loc An and Loc Bon areas</p> <ul style="list-style-type: none"> • The contract was signed on March 08th 2018. • As of December 2018, the Contractor has constructed 24/49 HDPE pipelines with the length of 256,909 / 343,822 m (equivalent 74.7%) of which 15 pipelines were completed. The majority of completion pipelines are distribution pipelines. The remaining pipelines which are mainly transmission will be carried out as soon as possible.
Report prepared by:	CMCS consultant

2 INVOLUNTARY RESETTLEMENT PERFORMANCE MONITORING

2.1. Compliance with RP Requirements

5. The Due Diligence Report (DDR) activities for Phase I of the project were conducted from June 23, 2017 to July 08, 2017 at 16 project wards/communes. Due Diligence Report of Hue project was submitted to and approved by ADB in August 2017, as no involuntary resettlement impacts were identified.

6. The due diligence activities were carried out after the detailed engineering design had been approved by the local authority.

7. The DDR Survey showed that there were no affected households, no vulnerable households (poor, ethnic minorities, social policies households, female-headed households, disabled households, elderly/few households) locating at the affected areas of the project. No business households were affected. There were also no temples or historical sites affected by the project. According to the detailed design drawings prepared in the Project's Phase 1, the implementation of Thua Thien Hue Water Supply Project will not involve in any land acquisition and all of the pipelines intended to be installed in the Project's Phase 1 would be on public land in which the majority is on pavements and sidewalks of traffic roads; thus, the construction would not cause any permanent involuntary resettlement or temporary impacts to the assets of the citizens, businesses, individuals and organizations in the project areas.

8. However, as of December 2018, the project is expected to be conducted in 34 wards/communes in the area of 07 districts/towns/city, the project has affected 315 households / 34 wards and communes. During the period of SMR report for the first 6 months of 2018, the project affected 46 households / 8 wards and communes and 269 households/29 wards and communes during SMR report for the last 6 months of 2018. Details of affected households are presented in the appendix of the report.

9. Four Public Consultation Meetings were conducted to disclose the project information and policies to the communities in the project area.

Table 2 Summary of Compliance with RP Requirements.

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
Establishment of personnel in PMU/PIU	Yes	PMU was established under Decision 650 / QD-XDCN on July 31, 2013. Number of members of the Unit: 9 members	
Public consultation and socialization process	Yes	<ul style="list-style-type: none"> To prepare the DDR, there were 43 public consultations with presence of PMU, design and supervision consultant, CPC and household representatives in project areas. From June 23 to July 8, 2017, Meetings were conducted with 16 wards/ communes under Phu Loc district, Huong Thuy town, Phu Vang district, Phong Dien district, Quang Dien district, Huong Tra town, and Hue city. <i>(See details in the appendix)</i> The public consultation meetings were conducted after the detailed engineering design was approved by local authorities. Consultations for 40 households received payments from July to December 2018. 	
Land area to be acquired is identified and finalised	Yes	<p>Based on the detailed engineering design which was prepared and approved by the concerned authority, no land acquisition has been anticipated.</p> <p>NO Land area to be acquired is identified and finalised. During the reporting period, there are</p>	

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
		315 households who were temporarily affected during the construction process received compensation and support for trees, crops and property on the ground.	
Land acquisition completed	Yes	No land acquisition of households in the project	
Establishment of Resettlement Site	Yes	No household relocation / resettlement in the project	
Compensation payments for affected assets is completed	Yes	<p>At the SMR report for Jan-Jun 2018: 100% paid to 46 households affected on trees, crops and architectural objects with the amount of VND 76,337,483. In particular, CW03 includes 5 households, CW05 includes 34 households and CW06 includes 7 households. In 8 wards / towns of Phong Dien, Quang Dien, Huong Tra and Phu Vang Districts, the payment period is made in 2 installments in April and June.</p> <p>At the SMR report for Jul-Dec 2018: 100% paid to 269 households affected on trees, crops and architectural objects with the amount of VND 670,444,599. In particular, CW03 includes 51 households, CW05 includes 98 households and CW06 includes 120 households. In 29 wards / towns of Phong Dien, Quang Dien, Huong Tra, Hue City, Huong Thuy Township and Phu Vang Districts, the payment period is made in 6</p>	

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
		installments in July, August, September, October, November and December 2018.	
Transport assistance for affected assets is completed	Yes	No households eligible for transport / relocation assistance	
Additional assistance to vulnerable affected household	Yes	<p>100% (315hhs) of the affected households are slightly affected. Thus, additional payment and support for vulnerable households are not applicable.</p> <p>(i) Among 46 affected households in SMR report for Jan-Jun 2018, 1 household is under the state's beneficiary policy and 3 households are under poor category.</p> <p>(ii) Among 269 affected households in SMR report for Jul-Dec 2018, 11 households is under the state's beneficiary policy, 1 household with single elderly householder, 1 household with disabled householder and 5 households are under poor category.</p>	
Income Restoration Program (IRP)	Yes	The IRP does not apply to the project because 100% of the households are slightly affected, mainly flowers and trees on the land.	

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
Temporary impacts have been addressed (affected properties restored to at least pre-project conditions)	Yes	<p>1. SMR for Jan-Jun 2018:</p> <p>There are 46 households temporarily affected, of which:</p> <ul style="list-style-type: none"> - CW 03 includes 5 households in Phong Dien Town, Phong Dien District - CW 05 includes 34 households in Huong An, Huong Xuan, Huong Chu and Huong Ho wards of Huong Tra district; Quang Thai Ward of Quang Dien District and Phu Mau Commune of Phu Vang District - CW06 includes 7 households in Phu Xuan Commune, Phu Vang District <p>Households received compensation and support in April and June 2018 for trees, crops and architectural objects</p> <p>2. SMR for Jul-Dec 2018:</p> <p>There are 269 households temporarily affected, of which:</p> <ul style="list-style-type: none"> - CW03 includes 51 households in Phong Hoa Commune of Phong Dien District, Tu Ha Ward and Huong An Ward of Huong Tra Township, Huong So Ward, Huong Long Ward, An Hoa Ward of Hue City. - CW05 includes 98 households in Phong 	

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
		<p>Chuong, Phong An, Phong Xuan, Phong Hien Communes of Phong Dien District, Quang Loi, Quang Tho Communes of Quang Dien District and Huong Xuan, Huong Vinh, Huong Toan, Huong Van of Huong Tra Township.</p> <p>- CW06 includes 120 households in Thuy Luong, Thuy Phuong, Thuy Thanh Wards of Huong Thuy Township, Phu Da Town, Vinh An, Vinh Xuan, Phu Dien Communes of Phu Vang District, Loc An, Loc Bon, Loc Dien, Vinh Hien, Vinh Giang Communes of Phu Loc District.</p> <p>Households received compensation and support in July, August, September, October, November and December 2018 for trees, crops and architectural objects.</p>	
Capacity building activities	Yes	<p>The PMU nominated Mr. Nguyen Lien Minh to be in charge of the social security/ focal officers (GAP - Resettlement - Environment).</p> <p>Mr. Minh and Ms. Huynh Thi Cam Phuong in the PMU participated in the training:</p> <p><i>Training topic:</i> Training on gender equality, resettlement and environment of the project</p> <p><i>Training period:</i> April 27 - 28, 2017</p> <p><i>Location:</i> Ho Chi Minh City</p>	

RP Requirements	Compliance status Yes/No/Partial	Comment or Reasons for Compliance, Partial Compliance/Non-Compliance	Issues for Further Action
		<i>Organizer: Asian Development Bank (ADB).</i>	

2.2. Resettlement Monitoring by PMU

10. Activities undertaken by the PMU during the reporting period were combined with consultants (design and supervision) and construction contractors, included:

- Reviewing all bidding packages for households that will be temporarily / permanently affected (if any);
- Setting up a budget for compensation and support for households and agencies / authorities (if any) and allocating funding sources;
- Carrying out payment for compensation and support to affected households under all bidding packages;
- Requiring construction units to implement quickly and timely to avoid affecting the lives and livelihoods of the people;
- In each bidding package, there is a staff of the supervising / supervising board for monitoring the implementation;
- Together with social security consultants to consult affected households.

2.3. Monitoring Activities carried out by CMCS Consultant

Monitoring activities were carried out as a part of the Due Diligence.

11. The evaluation activities of the consultants in the reporting period are as follows (i) PMU and CMCS collaborated to carry out the monitoring and implementation of the periodic SMR report. (ii) CMCS developed community consultation tools such as household questionnaires, consultation contents, and in-depth interviews. (iii) PMU assisted the consultation unit with consulting / interviewing households. (iv) Conducting surveys / consultation and interviewing with questionnaire with 40/269 households in 7 wards in 3 districts / cities of Huong Tra, Hue and Huong Thuy who received compensation and support, and acknowledge the opinions of households on the project situation. The results of the consultancy activities during this period are as follows:

2.3.1. Consulting results as follows:

12. The consultant conducted surveys and consultations with 40/269 households, accounting for about 15% of the affected households in 6 wards of 3 districts / towns in the CW03, CW05 and CW06 bidding packages. The results are as follows:

Table 3. Number of households consulted in the reporting period

Commune	District	Package	No. of affected households	No. of households consulted
Phong Hoa	Phong Dien	CW03	11	0
Phong Chuong	Phong Dien	CW05	20	0
Phong An	Phong Dien	CW05	10	0
Phong Xuan	Phong Dien	CW05	29	0
Phong Hien	Phong Dien	CW05	6	0
Quang Loi	Quang Dien	CW05	10	0
Quang Tho	Quang Dien	CW05	6	0
Tu Ha	Huong Tra	CW03	2	0
Huong An	Huong Tra	CW03	7	0
Huong Vinh	Huong Tra	CW05	2	2
Huong Toan	Huong Tra	CW05	4	0
Huong Van	Huong Tra	CW05	7	0
Huong Xuan	Huong Tra	CW05	3	0
Huong Ho	Huong Tra	CW05	1	0
Huong So	Hue	CW03	7	7
An Hoa	Hue	CW03	15	15
Huong Long	Hue	CW03	9	0
Thuy Luong	Huong Thuy	CW06	4	4
Thuy Thanh	Huong Thuy	CW06	3	3
Thuy Phuong	Huong Thuy	CW06	10	9
Phu Da	Phu Vang	CW06	19	0
Vinh An	Phu Vang	CW06	11	0
Vinh Xuan	Phu Vang	CW06	15	0
Phu Dien	Phu Vang	CW06	21	0
Loc An	Phu Loc	CW06	4	0
Loc Bon	Phu Loc	CW06	5	0
Vinh Hien	Phu Loc	CW06	3	0

Commune	District	Package	No. of affected households	No. of households consulted
Vinh Giang	Phu Loc	CW06	4	0
Loc Dien	Phu Loc	CW06	21	0
Total			269	40

13. 45% of households with secondary education, 25% with primary school level, 22.3% with high schools, and 3 with literate.

14. The average number of members in the households is about 5.08 members / household. The average age of the affected households is about 59.5 years old. Each household has about 2 to 4 people currently of working age and are main income members of the family.

15. There are 2 main source of incomes in every household, 100% of surveyed households have their main income from agriculture, 92.5% from being employed, 2 households have additional income from business. 60% said that both husband and wife are the main source of income in the family, the remaining 35% are from their children.

16. The income of households is about VND 13.7 million/household/month, equivalent to about VND 2.7 million/person/month. The expenditure level is about VND 11.7 million / household/month, equivalent to about VND 2.3 million/person/month. The monthly expenditure accounts for 85%.

17. 42.5% of households currently live in one-story houses with flat roofs/tiles, 50% in four-level houses and 7.5% in 2-storey houses.

18. 100% households with televisions, 97.5% with motorbikes, 82.5% with telephones, 65% with refrigerators, 25% with air conditioners and 20% with heaters.

19. 100% of surveyed households use tap water and all assessed that tap water is of good quality. They use it for eating, drinking, bathing and washing clothes. In addition, they also use dug wells and drilled wells for watering plants, and raising livestock.

20. 95% of affected households are currently using septic / semi- septic toilets, 5% using 2-compartment toilets. Domestic wastewater such as eating, drinking, bathing, and washing is mainly discharged and seeped into households' gardens. Most do not have drainage systems.

2.3.2. Analysis of affected households

21. **No. of affected households:** During Jan-Jun 2018 reporting period: Number of affected households is 46. As of December 2018/this reporting period, number of affected households is 315 (269 increasead). No households had permanent land acquisition. No households were severely affected. 315 households were temporarily affected during construction. In particular, CW03 included 56 households, CW05 included 132 households and CW06 included 127 households. 315 households were affected belongs to 34 wards / towns of 7 districts / city in the project.

22. **Vulnerable households:** 12 under preferential treatment policy households, 8 poor households, 1 household has elderly, lonely householder in Huong Vinh commune, 1 disabled - headed household in Vinh Giang commune. No ethnic minority households were affected.

23. **Affected trees, crops, and architectural objects:** 521 fruit trees, 12,194 timber trees, 67,276.8 m2 of cash crops, 1,469.85 (m/m2/m3) architectural objects affected, such as yard, cement foundation, 1 (m/m2/m3) fence and 25 auxiliary structures affected.

24. No business households were temporarily affected during the construction process. There were no affected ethnic minority households as well as no graves, historical sites, temples, agencies / organizations / businesses / communities by the project.

25. Costs of compensation and resettlement: **The budget for 315 households is VND 746,782,082.** At the time of preparing this report, all of affected households received the compensation.

Table 4. Information of affected objects (as of December 2018)

No.	Content	The first 6 months of 2018	The last 6 months of 2018	Total
1	Affected household	46	269	315
2	Affected vulnerable household			
	Affected poor household	3	5	8
	Affected household under state beneficiary	1	11	12
	Household with female householder			0
	Household with disabled householder		1	1
	Household with single/elderly householder		1	1

No.	Content	The first 6 months of 2018	The last 6 months of 2018	Total
3	Property, architectural objects			
	Fruit tree (tree)	105	416	521
	Timber tree (tree)	374	11,820	12,194
	Crop (m2)	8,195.6	59,081.2	67,276.8
	Architectural objects (m/m2/m3)	136.35	1,333.5	1,469.85
	Fences (m/m2/m3)		1	1
	Ancillary buildings		25	25
4	Total payment (VND)	76,337,483	670,444,599	746,782,082

26. Policies to be applied to payment for households: The payment for affected households by the project is applied according to the Decision No. 68/2015 and Decision No. 82/2017. Specifically as follows:

- Decision No. 68/2015 / QD-UBND of Thua Thien Hue province dated December 22, 2015 on “*Promulgating unit prices of houses, constructions, architectural objects and graves as a basis for determining the value of compensation and support when the Government acquires land belonging to Thua Thien Hue province*”
- Decision No. 82/2017 / QD-UBND of Thua Thien Hue dated September 22, 2017 on “*Promulgating unit prices for plants and livestock as a basis for determining the value of compensation and support when the Government acquires land belonging to Thua Thien Hue province*”.

Table 5. Unit prices applied for affected households in the project

No.	Item	Unit	Unit price (VND)	Note
1.	Acacia	Tree	14,520 - 48,310	Many different prices
2.	Paddy field	M2	3,220	
3.	Cassava	M2	3,100	
4.	Peanut	M	3,430	
5.	Bean	M2	3,960	
6.	Lemon grass	M	3,940	
7.	Plum tree	Tree	50,000 - 250,000	Many different prices

No.	Item	Unit	Unit price (VND)	Note
8.	Neem Tree	Tree	34,060	
9.	Bamboo	Bush	55,000 - 110,000	Many different prices
10.	Banana	Tree	25,100	
11.	Dragon Fruit	Tree	52,800	
12.	Rubber	Tree	319,000	
13.	Green tea	Tree	50,000	
14.	Palm tree	Tree	41,000	
15.	Ochna integerrima (D2-5cm)	Tree	50,000	
16.	Bonsai (7-10cm)	Tree	48,310	
17.	Weeping fig (2-5cm)	Tree	50,000	

2.3.3. Assessment of affected households on the project

27. The surveyed households all said they knew about the water supply project. 100% of surveyed households received compensation and resettlement assistance.

28. Households informed by the project about inventory and measurement participated in this assessment. They all agreed that the project had no inventory missing and no grievances / complaints. Before receiving compensation money, each household was allowed to see his/her own confirmation of compensation amount. In general, they were relatively satisfied with the project's compensation amount because the amount of money received was not much for each household. The reasons were they were only partially affected by the project on trees and crops and according to local regulations, the compensation rate for this category is low.

29. 72.5% of the affected households used compensation money to buy household appliances, 60% paid for seeds / plants, 10% paid for their children's school fees.

30. 100% of households had no change in occupation or income before and after the project. And they also think that there will be no change in living standards compared to before. 95% of the households were satisfied with the current standard of living and 5% households were relatively satisfied.

31. 85% of households think that their family does not face any difficulties at present, 15% of households think that they are facing difficulties in lack of financial capital to do business and lack of jobs.

32. The project has brought many benefits to the community. 82.5% of households said that Clean water helps to ensure their health, 95% have no more worry about the lack of water, 75% said that tap water ensures the convenient and quick use of water, and 27.5% said they have no worry about water.

33. However, some surveyed households stated that the project's compensation and support level was low, but for common purposes, they were willing to support the project. At the same time, some households complained about the fact that the land after being returned made it impossible for them to cultivate because the land returned was clay.

2.4. Issues for Further Action

34. The PMU, together with related parties, will continue to (i) review whether households may be temporarily affected in the next construction routes, (ii) estimate budget for compensation, (iv) give consultations, (v) estimate time for payments and (vi) conduct periodic SMR report.

Table 6. LARP Issues for Further Action.

Issue	Required Action	Responsibility and Timing	Resolution
Old Issues from Previous Reports			
None	0	0	
New Issues from This Report			
<i>Complaints regarding wet rice land returned in a state that is not useable for cultivation (no complaint about land which is using for cereals cultivation or</i>	For households who have feedback about returned wet rice field that is not useable for cultivation, the PPMU should review the soil backfilling	PPMU will be responsible for compensation or assistance as soon as possible for AHs.	The PPMU should review all affected households to return the site to its primitive condition (including cultivable land) or have appropriate

<i>other crops).</i>	implementation to make sure affected households can cultivate on their returned soil as the primitive state. However, the majority of the AHs say that this temporarily affected area is only a small area compared to their existing land area, therefore this does not significantly affect their livelihoods or their income.		compensation policies. (The additional compensation of fertilizer costs to improve the quality of land in sandy rice cultivated area can be considered. This alternative assistance was received a high consensus from the affected households.
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3 ETHNIC MINORITY DEVELOPMENT PERFORMANCE MONITORING

3.1. Ethnic Minority Development Plan Implementation and Compliance

35. The Land Acquisition and Resettlement Due Diligence has confirmed that there are no ethnic minorities' people affected by the project.

36. In this reporting period, there are no ethnic minority households affected.

3.2. EMDP Monitoring Activities Carried out by PMU/WSCC

37. No EMDP monitoring activities is necessary as there are no ethnic minorities' people affected by the project.

3.3. Key Issues and Corrective Actions

38. Not applicable.

4 INFORMATION DISCLOSURE AND SOCIALIZATION INCLUDING CAPACITY BUILDING

4.1. Public Consultations

39. Public consultations were carried out during LAR Due Diligence as mentioned earlier.
40. During 2017, the project has 2 consultations. There is no consultation was hold in 2018. As of December 2018, the project has accumulatively conducted the following consultations with 1,032 people, of which 613 men (59.4%) and 419 women (40.6%), as follows:

Table 7. Summary of consultations conducted

No.	Time	Total number of participants	Male	Female	Content
1	April 10, 2017	71	51	20	16 public consultations with presence of PMU, design and supervision consultant, CPC and household representatives in project areas. Content: Disseminating information about the project, (ii) temporarily affected areas during construction
2	From June 23 to July 8, 2017	670	400	270	Meetings were conducted with 16 wards/ communes under Phu Loc district, Huong Thuy town, Phu Vang district, Phong Dien district, Quang Dien district, Huong Tra town, and Hue city. Content: (i) Disseminating information about the project, (ii) collecting socio-economic

No.	Time	Total number of participants	Male	Female	Content
					information, (iii) Compensation and resettlement policies (if any), (iiii) gender issues (GAP) related to the project
	TOTAL	741	451	290	
	RATE		60.9%	39.1%	

4.2. Training – Capacity Building

41. The PMU staff of Hue Project has been provided a training course on environmental monitoring and environmental safeguards policy of ADB. Besides, CMCS Consultant has delivered on-the-job training via phones, emails and meetings when necessary as well as clarified any queries of the PMU.

42. The PMU nominated Mr. Nguyen Lien Minh to be in charge of social security/focal officers area (GAP - Resettlement - Environment). Mr. Minh and Ms. Huynh Thi Cam Phuong in PMU attended the following training.

Training topic: Gender equality, resettlement and environment of the project

Training period: from April 27 to April 28, 2017

Location: in Ho Chi Minh City

Organizer: Asian Development Bank – ADB

43. After completing the training course, it showed that the PMU does not have much practical experience / application of policies related to ADB. Therefore, it is recommended that more training for its officials on social security policies to be conducted.

4.3. Press/Media Releases

44. In order to extend the publicity for the Project information, implementation status and lessons learnt from the ADB funded CRRWSSSP Project have been published on the website of NCERWASS (<http://huewaco.com.vn/>) since the beginning of the project. These publications aim to share experiences of the Project activities and the Project's progress among network of the water supply sector, partners and interested stakeholders.

5 GRIEVANCE REDRESS MECHANISM

45. As of December 2018. No written grievance has been received and no oral complaints have been raised during this reporting period.

GRM issues related to resettlement during project implementation will be complied with as per the ADB approved DDR report as follows:

46. Due to no influence on local residents with respect to lands, properties, and incomes, a Resettlement Compensation Committee is not required. However, in order to ensure that all questions and complaints from the local residents (not only relating to compensation and resettlement, but also other issues of the project) are addressed in a timely and satisfactory manner, and that all possible avenues are available to local residents to air their grievances, a well-defined grievance redress mechanism needs to be established.

47. This mechanism will resolve concerns and complaints from affected households in an active and timely manner through an easy-to-understand and clear process that meets the requirements on gender equality and that is appropriate with local cultures and is easily accessed by all the affected residents with no cost and penalty. This mechanism will not cause any obstructions to access to the national judiciary and administrative systems. All expenses related to the grievance will be borne by the project.

48. The grievance redress procedure shall be implemented as follows:

First Stage, Commune/Ward People's Committee

49. An aggrieved APs may bring his/her complaint to the One Door Department of the Ward People's Committee, in writing or verbally. The member of WPC/CPC at the One Door Department will be responsible for notifying the WPC/CPC leaders about the complaint about solving. The Chairman of the WPC/CPC will meet personally with the aggrieved APs and will have 10 days following the receiving date of the complaint to resolve it. The WPC/CPC

secretariat is responsible for documenting and keeping a file of all complaints handled by the WPC/CPC.

Second Stage, District/Hue City People's Committee (DPC/HPC)

50. If after 30 days the aggrieved affected household does not hear from the WPC/CPC, or if the APs is not satisfied with the decision taken on his/her complaint, the APs may bring the case, either in writing or verbally, to any member of the DPC/HPC of the district. The DPC/HPC, in turn, will have 30 days following the receiving date of the complaint to resolve the case. The DPC/HPC is responsible for documenting and keeping a file of all complaints that it handles and will inform the WPC/CPC of any decision made.

Third Stage, Provincial People's Committee (HuePPC)

51. If after 30 days the aggrieved APs does not hear from the DPC/HPC, or if the APs is not satisfied with the decision taken on his/her complaint, the affected household may bring the case, either in writing or verbally, to any member of the HuePPC. The HuePPC has 45 days within which to resolve the complaint to the satisfaction of all concerned. The HuePPC secretariat is responsible for documenting and keeping files of all complaints handled by the HuePPC.

Final Stage, the Court of Law Arbitrates

52. If after 45 days following the lodging of the complaint with the HuePPC, the aggrieved affected household does not hear from the HuePPC, or if he/she is not satisfied with the decision taken on his/her complaint, the case may be brought to a court of law for adjudication. The decision by the court will be the final decision.

53. A decision on solving the complaints must be sent to the aggrieved APs and concerned parties and must be posted at the office of the People's Committee where the complaint is solved. After 3 days, the decision/result on the solution is available at commune/ward level and after 7 days at district or city level. The Grievance redress procedure shall also be made available in the project's Public Information Booklet. The PMU is responsible for following-up the grievance process of the APs.

54. If efforts to resolve complaints or disputes are still unresolved and unsatisfactory following the project's grievance redress mechanism, the households have the right to send their concerns or problems directly to ADB's Southeast Asia Department (SERD) or through

ADB Viet Nam Resident Mission. If the households are still not satisfied with the responses of SERD, they can directly contact the ADB's Office of the Special Project Facilitator as outlined in the Information Guide to the Consultation Phase of the ADB Accountability Mechanism.

55. Complaints processing by GoV shall be filed by the complaint resolution agency, with reference numbers on pages following the sequences of contents of the documents and maintained in accordance with legal regulations. In the event that an administrative complaint is brought to the Court, its documents shall be sent to the authorized Court for resolution as required. Forms to be used by the complaint resolution agencies for processing the complaints are provided in Circular No. 07/2013/TT-TTCP. The APs will not have to bear any administration costs. Furthermore, the complainant and those who submitted complaints to the court will have their legal representative without any costs.

56. In addition, should there be any requests for clarifications or complaints about construction implemented by the Contractor, one can contact representatives of the Contractor, the Engineer or the PMU directly via phone numbers provided on the project information boards at the worksite. Besides, for issues relating to water supply, the locals can contact HueWACO via the hot lines or send letters/e-mails to the address provided in water invoices or the company's website.

57. The grievance redress mechanism will be disclosed to households at the offices of all project local locations (wards/communes/districts) where the project goes through and it will be continuously disseminated to people during project implementation. The project grievance procedure does not impede access to the court at any time.

6 CONCLUSION AND RECOMMENDATIONS

58. The PMU and related parties will continue to carry out the following activities:

- Reviewing all bidding packages for households that will be temporarily / permanently affected (if any);
- Setting up a budget for compensation and support for households and agencies / authorities (if any) and allocating funding sources;
- Carrying out payment for compensation and support to affected households under all bidding packages;

- Requiring construction units to implement quickly and timely to avoid affecting the lives and livelihoods of the people;
- In each bidding package, there is a staff of the supervising / supervising board for monitoring the implementation;
- Together with social security consultants to consult affected households.
- Having responsible for compensation or assistance as soon as possible for affected households (including cultivable land) or have appropriate compensation policies to minimize the temporarily impacts on people's livelihoods or their income.
- Setting up and communicate with other stake-holders for implementing a legal affective compensation policy to achieve high consensus from the affected households.

Annex 1: Minutes of community consultation

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh phúc

**DỰ ÁN CẤP NƯỚC TỈNH THỪA THIÊN HUẾ GIAI ĐOẠN 2011-2015 CÓ TÍNH ĐẾN
2020, THUỘC CHƯƠNG TRÌNH PHÁT TRIỂN NGÀNH NƯỚC VIỆT NAM,
VAY VỐN ADB**

BIÊN BẢN HỌP THAM VẤN CỘNG ĐỒNG
*Về việc đánh giá tác động môi trường và chính sách giải phóng mặt bằng
trong quá trình thi công*

Huế, ngày 19 tháng 07 năm 2019.

Hạng mục: *Phân khu vực cấp nước T.H.N.S.*

I. THÀNH PHẦN THAM DỰ:

1.1. Đại diện Chủ đầu tư (Ban QLDA Cấp nước tỉnh Thừa Thiên Huế)

- Ông/Bà: Nguyễn Liên Minh Chức vụ: Phó ban QLDA
- Ông/Bà: *Nguyễn Khuê* Chức vụ: *Nhà thầu xây dựng*
- Ông/Bà: Chức vụ:

1.2. Đại diện đơn vị tư vấn:

- Ông/Bà: Chức vụ:
- Ông/Bà: Chức vụ:
- Ông/Bà: Chức vụ:

1.3. Đại diện chính quyền địa phương và các tổ chức đoàn thể:

- Ông/Bà: *Lê Đình Hòa* Chức vụ: *Chủ tịch phường Phú Vang*
- Ông/Bà: *Lê Văn Phương* Chức vụ: *Trưởng Đ. địa phương Phường*
- Ông/Bà: Chức vụ:
- Ông/Bà: Chức vụ:
- Ông/Bà: Chức vụ:

Và đại diện các hộ gia đình tham gia cuộc họp. Danh sách đại diện các hộ tham gia cuộc họp tham vấn được đính kèm theo biên bản này

II. NỘI DUNG THAM VẤN:

Đại diện Chủ đầu tư phổ biến thông tin cho người tham dự cuộc họp tham vấn bao gồm:

- Thông tin cho các bên tham dự về Quy mô dự án và địa điểm dự án, mục tiêu của dự án và lợi ích từ việc có nước sạch.
- Các ảnh hưởng của việc mượn đất thi công; ảnh hưởng của thi công (nếu có nêu ý kiến về mức độ ảnh hưởng)
- Các biện pháp giảm thiểu tác động của thi công (mượn đất).

- Về chính sách đền bù: Quy trình thực hiện công tác bồi thường hoa màu, vật kiến trúc,... bị ảnh hưởng; Sự hợp lệ của tài sản được đền bù; Đơn giá bồi thường các khoản hỗ trợ khác; Phương án tái định cư và hỗ trợ tái định cư (nếu có); Ảnh hưởng đến thu nhập người dân
- Về kế hoạch thực hiện bồi thường tài sản, vật kiến trúc... đảm bảo công tác giải phóng mặt bằng.
- Cơ chế giải quyết thắc mắc, khiếu nại.
- Việc thi công ít ảnh hưởng hoạt động kinh doanh, ảnh hưởng sinh hoạt, ảnh hưởng giao thông trên đường và vỉa hè, không có tái định cư, không ảnh hưởng nghiêm trọng đến kinh doanh và thu nhập của các hộ gia đình.
- Ảnh hưởng của việc thực hiện dự án đến đời sống và kinh doanh của hộ gia đình, các tổ chức: (Kinh doanh tại nhà ở, Đăng ký kinh doanh hay không, đã đóng thuế chưa, việc thi công xây dựng có ảnh hưởng gì hay không?

III. KẾT QUẢ THAM VẤN:

Cuộc họp kết thúc vào lúc ...46... h ...00... cùng ngày, các bên thống nhất ký tên.

Đại diện tổ chức đoàn thể

Đại diện Chủ đầu tư

Đại diện chính quyền địa phương


Nguyễn Liên Minh

Nguyễn Phú Học


CHỦ TỊCH

Lê Đình Hòa

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom – Happiness

**THUA THIEN HUE WATER SUPPLY PROJECT IN THE PERIOD OF 2011
– 2015, VISION TO 2020, UNDER VIETNAM WATER SECTOR
DEVELOPMENT PROGRAM WITH ADB LOANS**

MINUTES OF COMMUNITY CONSULTATION

On assessment of environmental impacts and site clearance policies during the construction process.

Hue, July 19, 2019

Item: Construction of THN3 water supply pipeline

I. PARTICIPANTS:

1.1 Representatives of Investor (Thua Thien Thue Province's PMU of Water Supply)

- Mr/Mrs.: Nguyen Lien Minh - Position: Deputy Head of PMU
- Mr/Mrs.: Nguyen Phu Loc - Position: Staff of Design Department

1.2 Representatives of consulting unit:

- Mr/Mrs.: - Position:
- Mr/Mrs.: - Position:

1.3. Representatives of local authorities and mass organizations:

- Mr/Mrs.: Le Dinh Hoa - Position: President of Huong Van Ward
- Mr/Mrs.: Le Van Phuong - Position: Head of Giap Nhat Civil group

And representatives of households attending the meeting. The list of representatives of the households participating in the consultation meeting is attached to this minutes.

II. CONSULTATION CONTENT:

The investor's representative shall disseminate information to participants of the consultation meeting on:

- Scale, location and goals of the project and benefits from having clean water.
- Impacts of borrowing land for construction as well as construction impacts (if any, provide comments on impact level).
- Measures to minimize the construction impacts (borrowing land).
- Compensation policy: process of compensating for crops and architectural objects, etc. which are affected; The validity of the compensated property; Unit price of compensation for other support categories; Resettlement plan and support for resettlement (if any); Impact on people's income.
- Compensating for crops and architectural objects, etc.... must ensure the site clearance work.
- Mechanism for resolving complaints and grievances.
- Construction has little impact on business, living activities, and transportation on roads and sidewalks. No resettlement and no serious impact on household business and income.
- Impact of project implementation on business and living activities of households and organizations: (Home business? Business registered? Tax paid? Any impact from the

construction?)

III. CONSULTATION RESULTS:

.....
.....
.....
.....
.....

The meeting ends at 16h00 on the same date, and all parties have agreed to sign.

**Representative of Mass
Organization**

(Signature and full name)

Representative of Investor

(Signature and full name)

Signed

Nguyen Lien Minh

Signed

Nguyen Phu Loc

Representative of Local government

(Signature and full name)

Signed and Stamped

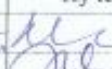
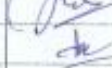


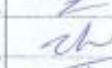

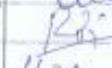

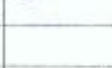
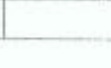



Le Dinh Hoa

President

DANH SÁCH ĐẠI BIỂU THAM DỰ CUỘC HỌP

Về việc đánh giá tác động môi trường và chính sách giải phóng mặt bằng
 trong quá trình thi công

Danh sách đính kèm biên bản họp ngày 19 tháng 7 năm 2019, tại

STT	Họ và tên	Giới tính	Địa chỉ	Ký tên
1	Lê Thị Xuân	Nữ	1070 Hẻm - Ông Nhứt - H. Văn	
2	Lê Văn Phước	Nam	78 Hẻm - Ông Nhứt - H. Văn	
3	Đỗ Thị Duyên	Nữ	33 Lê Thái Tổ - Ông Nhứt - H. Văn	
4	Thần Tài Lộc	Nam	19 Lê Thái Tổ - Ông Nhứt - H. Văn	
5	Phạm Ngọc Tuấn	Nam	32 Lê Thái Tổ - Ông Nhứt - H. Văn	
6	Nguyễn Văn Chiến	Nam	02 Lê Thái Tổ - Ông Nhứt - H. Văn	
7	Phạm Thị Đào	Nữ	221 Lý Nhân Tông - H. Hòa	
8	Trần Thị Thu	Nữ	212 Lý Nhân Tông - H. Hòa	
9	Phạm Thị Hiền	Nữ	215 Lý Nhân Tông - H. Văn	
10	Đỗ Trang Bình	Nam	125 Lý Nhân Tông - H. Văn	
11	Lê Thị Đài	Nữ	41 Lê Thái Tổ - H. Văn	
12	Lê Thị Khát Thuyết	Nữ	12 Lê Thái Tổ - H. Văn	
13	Lê Thị Nhiếp Hiền	Nữ	14 Lê Thái Tổ - H. Văn	

Annex 2: Affected household survey questionnaire for SRM periodic report

SOCIO - ECONOMY SURVEY FORM

CODE: ____/____/____; Date: ____/____/____/201

Village/Area:.....	Commune/Ward:	District / City:
Package	Compensation receiving time:	Month.....Year 201

I. GENERAL INFORMATION ABOUT THE HOUSEHOLD

1. Full name of the respondent:

.....

Age: Gender: Male ☐1 Female ☐2 Education:.....

Occupation: Relationship to the head of household:

Phone number:.....

2. Full name of the head of household:

.....

Age: Gender: Male ☐1 Female ☐2 Education:.....

Occupation:

Address:.....

3. Number of people living and eating with the household head.....

In which: Male: person Female: person

Number of working people with income: people

4. Does your household belong to any of the following? (multiple options can be selected)

No.	Item	Yes	No
1.	Household under the support policy of the state and with meritorious services to the revolution		
2.	Household headed by a woman who is currently raising dependents		
3.	Household headed by the elderly (1-2 people) / a single person without his/her children support.		
4.	Household headed by a child without any support		

5.	Household headed by a disabled person		
6.	Poor household listed in a local poverty book		
7.	Households without land		
8.	Ethnic minority household (specify)		
9.	No households applied		
10.	Business households (Affected during construction - if any)		

II. ECONOMIC CONDITIONS OF HOUSEHOLDS

5. Please specify if your household has any of the following items?

- | | |
|------------------------------|-------------------------------------------------------|
| 1. Radio | 8. Expensive cabinets (VND 1 million or more) |
| 2. DVD and / or Video Player | 9. Computer |
| 3. Radio/ cassette | 10. Air conditioner |
| 4. Motorcycles | 11. Water heater |
| 5. Car | 12. Expensive farming machine (VND 1 million or more) |
| 6. Telephone/ Mobiphone | 13. Others |
| 7. Refrigerator | |

Type of housing: Which of the following is your house? (The surveyor observes housing of a household)

1.	Temporary house	4.	2-storey house
2.	Rural Level 4 House	5.	Over 2-storey house
3.	1-storey house with flat roof / tile roof	6.	Others

6. Water sources and quality of water sources currently being used by your household are

Type of water	Assessment of water quality (Perception)				
	Good	Normal	Polluted	Do not know	Others
1. Rain water					
2. Dug well					

3.	Drilled well					
4.	Bottled water					
5.	Tap water					
6.	Ponds / lakes					
7.	Other					

7. Type of toilets currently in use: (choose 1 option)

- 1 – Septic / semi-septic toilet 3 – Hygienic 2 compartment toilet 5 – None
 2 – Unhygienic 1 - 2 compartment toilet 4 – Other (specify):

8. Estimated total average SPENDING of the household per month / household?

Total spending: _____ million VND / household / month

9. Estimated total average INCOME of the household per month / household from all income sources (agricultural production, wages, business, services, etc.)?

Total income: _____ million VND / household / month

Interviewer: If the respondent cannot give a specific number for his/her total income, ask them and write down an estimate.

10. What are the main sources of household income? (multiple options can be selected)

- | | |
|----------------------------------|---------------------------------------|
| 1. From agriculture / forestry | 4. From business / sales |
| 2. From self-employed / employed | 5. From subsidies / donations / gifts |
| 3. From regular salary | 6. From other occupations (specify) |
| | |

11. Please specify the main income earner of the household

1. Husband 2. Wife 3. Both husband & wife 4. Children 5. Others

III. INFORMATION ABOUT COMPENSATION AND RESETTLEMENT

12. Can you participate in and be informed of the following contents?

No	Activities	Yes	No
1	Meeting / Being consulted about information related to the project		
2	Being informed in advance about the measurement and inventory of your family's affected property?		

No	Activities	Yes	No
3	Involved in the measurement and inventory of your family's affected property?		
4	Is the measurement of the affected land and house correct?		
5	Did you review and sign the inventory record?		

13. Affcted types of your household (Note: 1 household may belong to many types)

1. Damage to residential land
2. Damage to agricultural land (Percentage loss ratio:)
3. Damage to houses and buildings: Please specify
4. Damage to the State's leased works:
5. Damage to business and service:
6. Damage to living facilities:
7. Damage to rice:
8. Damage to crops:
9. Other (specify):

14. Do you have any assets that are missing or not counted?

1. Yes → to C 15.1
2. No → to C 16

14.1. If so, what is it?.....

15. Have you received any compensation?

1. Yes → to C 16.1
2. Not yet → to C 17

15.1 If so, what is it?.....

1	Compensation for land	2.	Compensation for property
3.	Other compensation (trees ...)	4.	Do not remember

16. Do you remember the amount your household received for compensation: VND

17. How do you use the compensation money after land acquisition?

- 1- Building or repairing the house
- 2- Buying land
- 3- Shopping, specify;
- 4- Business
- 5- Bank savings deposit
- 6- Investment in education for children
- 7- Other, please specify: _____

18. Are you satisfied with the compensation amount?

1. Satisfied
2. Relatively Satisfied
3. Not satisfied

3.1. If not satisfied, please specify the reasons

19. Do you have any alternative income plan when your land for agricultural / business activities is acquired? (Note: This question is only for households whose agricultural land has been acquired and business activities are affected.)

1. Yes → to C 20.1 2. No → to C 21

20.1 If yes, please specify which of the following activities:

- | | |
|------------------------------------------------|---------------------------------|
| 1. Buying new land for agricultural production | 3. Handicrafts |
| 2. Business | 4. Looking for a job |
| | 5. Other, please specify: |

IV. ASSESSMENT OF CHANGES

20. Any changes to your household's work before and after the project or not?

1. Yes → to C 21.1 2. No → to C 22

21.1. If so, what is the job / occupation?

.....

21. How does your household's living standard change compared to before the project?

1. Better 2. No change 3. More difficult
4. A little bit difficult

If more difficult then it is?

22. Are you satisfied with your current livelihood / life?

1. Satisfied 2. Relatively satisfied 3. Unsatisfied

23. What are the main sources of income for your household before being affected by the project?

- | | |
|----------------------------------|----------------------------------------------|
| 1. From agriculture / forestry | 4. From business / sales |
| 2. From self-employed / employed | 5. From subsidies / donations / gifts |
| 3. From regular salary | 6. From other occupations (specify)
..... |

24. How is the current income of your household?

1. Increase 2. No change 3. Decrease

25. During the implementation of the project, do you have any complaints or grievances about your family?

1. Yes → to C 26.1 2. No

26.1. If so, what is the problem? (Please specify)

.....

Has your grievance been resolved?

1. Solved

2. Not resolved

How long is it resolved?.....

26. What are the difficulties the household is currently experiencing?

1.	No difficulty	5.	No land for production and business
2.	Unemployed	6.	Children cannot go to school
3.	Lack of capital to do business	7.	Underfed
4.	Lack of main labor sources	8.	Homeless
9.	Other (Please specify)		

V. OPINIONS OF THE HOUSEHOLD FOR THE PROJECT (Select a maximum of 3 comments)

1. Clean water ensures health for users.
2. Tap water can be used quickly and conveniently now
3. People do not have to worry about lack of domestic water
4. People do not have to worry about the quality of the water they are using
5. The project increases the source of income for the people after it completes
6. The project brings no benefits to the people
7. The project reduces people's living / income
8. Other comments (specify):

Full name of the interviewee

(Signature and full name)

Full name of interviewer

(Signature and full name)

Annex 3: Affected Property, architectural objects and compensation in bidding packages

Ward / commune	District / City	No. of affected households	Fruit tree (tree)	Timber tree (tree)	Crop (m2)	Architectural objects (m/m2/m3)	Fences (m/m2/m3)	Ancillary buildings	Total money (VND)
03 Package									
Phong Dien	Phong Dien	5	0	374	250	0	0	0	12,414,830
Phong Hoa	Phong Dien	11	0	2,659	60.00	0	0	0	81,682,210
Tu Ha	Huong Tra	2	0	31	0	0	0	0	967,640
Huong An	Huong Tra	7	0	0	1,882.20	0	0	0	9,411,000
Huong So	Hue	7	0	0	5,833.00	0	0	0	18,782,260
An Hoa	Hue	15	0	0	11,812.00	0	0	0	40,699,660
Huong Long	Hue	9	0	0	3,306.00	0	0	0	16,530,000
05 Package									
Phong Chuong	Phong Dien	20	149	2,341	544.00	16	0	0	86,615,690
Phong Xuan	Phong Dien	29	0	520	983.75	0	0	0	24,812,595
Phong An	Phong Dien	10	0	2,646	1,055.00	0	0	0	83,127,470
Phong Hien	Phong Dien	6	5	50	1,403.55	0	0	0	11,882,170
Quang Loi	Quang Dien	10	25	561	1,170.00	1,270.5	0	0	19,878,890
Quang Tho	Quang Dien	6	0	0	2,975.00	0	0	0	18,612,000
Quang Thai	Quang Dien	14	0	0	0	134.35	0	0	26,401,500
Huong An	Huong Tra	2	25	0	725.00	0	0	0	3,114,250
Huong Xuan	Huong Tra	2	0	0	858.00	0	0	0	2,762,760
Huong Chu	Huong Tra	7	0	0	1,201.00	2	0	0	11,755,880
Huong Ho	Huong Tra	5	0	0	1,845.50	0	0	0	5,483,920
Huong Vinh	Huong Tra	2	0	0	1,332.00	0	0	0	4,289,040
Huong Toan	Huong Tra	4	0	0	1,305.00	0	0	0	4,502,100
Huong Van	Huong Tra	7	46	9	1,974.00	0	0	0	8,655,660
Huong Xuan	Huong Tra	3	32	90	52.00	0	0	0	4,277,340
Huong Ho	Huong Tra	1	4	0	0	0	0	0	2,064,000
Phu Mau	Phu Vang	4	80	0	638.00	0	0	0	5,738,000
06 Package									
Thuy Luong	Huong Thuy	4	0	0	5,939.50	0	0	0	19,125,190
Thuy Thanh	Huong Thuy	3	0	0	1,488.00	0	0	0	4,791,360
Thuy phuong	Huong Thuy	10	0	0	4,341.60	0	0	0	13,979,952
Phu Da	Phu Vang	19	0	1,036	3.00	20	0	0	51,199,840
Vinh Xuan	Phu Vang	15	0	684	1,222.00	0	0	0	23,698,760

Ward / commune	District / City	No. of affected households	Fruit tree (tree)	Timber tree (tree)	Crop (m2)	Architectural objects (m/m2/m3)	Fences (m/m2/m3)	Ancillary buildings	Total money (VND)
Phu Dien	Phu Vang	21	0	0	5,358.00	0	0	6	20,334,480
Vinh An	Phu Vang	11	0	63	0	0	0	13	13,050,270
Phu Xuan	Phu Vang	7	0	0	2,678.10	0	0	0	8,666,343
Loc An	Phu Loc	4	0	0	1,482.50	0	0	0	4,773,650
Loc Bon	Phu Loc	5	0	0	2,147.10	0	0	0	6,913,662
Loc Dien	Phu Loc	21	155	998	1,232.00	27	1	0	60,049,110
Vinh Giang	Phu Loc	4	0	75	0	0	0	3	7,065,630
Vinh Hien	Phu Loc	3	0	57	180.00	0	0	3	8,672,970
	Total	315	521	12,194	67,276.8	1,469.85	1	25	746,782,082

Annex 4: List of affected households (as of December 2018)

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
1	Nguyen Huu Vien	Phong Dien	Phong Dien	CW03	6	2018	
2	Tran Dinh Toi	Phong Dien	Phong Dien	CW03	6	2018	
3	Nguyen Ty	Phong Dien	Phong Dien	CW03	6	2018	
4	Nguyen Nhac	Phong Dien	Phong Dien	CW03	6	2018	
5	Nguyen Ngoc Thang	Phong Dien	Phong Dien	CW03	6	2018	
6	Tran Toan	Phu Mau	Phu Vang	CW05	4	2018	
7	Dinh Quang Su	Phu Mau	Phu Vang	CW05	4	2018	
8	Dinh Duc Thinh	Phu Mau	Phu Vang	CW05	4	2018	
9	Tran Nhu Lac	Phu Mau	Phu Vang	CW05	4	2018	
10	Pham Thi Thich	Quang Thai	Quang Dien	CW05	4	2018	
11	Pham Coi	Quang Thai	Quang Dien	CW05	4	2018	Poor Household
12	Phan Van Dung	Quang Thai	Quang Dien	CW05	4	2018	
13	Ho Van Thuan	Quang Thai	Quang Dien	CW05	4	2018	
14	Hoang Diu	Quang Thai	Quang Dien	CW05	4	2018	
15	Phan Duy Cuong	Quang Thai	Quang Dien	CW05	4	2018	
16	Pham Cuong	Quang Thai	Quang Dien	CW05	4	2018	
17	Hoang Phi Toan	Quang Thai	Quang Dien	CW05	4	2018	Poor Household
18	Pham Cong Hung	Quang Thai	Quang Dien	CW05	4	2018	
19	Van Duan	Quang Thai	Quang Dien	CW05	4	2018	
20	Van Bien	Quang Thai	Quang Dien	CW05	4	2018	
21	Alley 7 and 8, Gia Quang hamlet	Quang Thai	Quang Dien	CW05	4	2018	
22	Van Cham	Quang Thai	Quang Dien	CW05	4	2018	State beneficiary
23	Hoang Song	Quang Thai	Quang Dien	CW05	4	2018	
24	Le Trua	Huong Xuan	Huong Tra	CW05	4	2018	
25	Phan Van Theo	Huong Xuan	Huong Tra	CW05	4	2018	
26	Nguyen Cong Thoi	Huong Chu	Huong Tra	CW05	6	2018	
27	Mai Thi Hong	Huong Chu	Huong Tra	CW05	6	2018	
28	Ha Dang Tu	Huong Chu	Huong Tra	CW05	4	2018	
29	Nguyen Cong Trung	Huong Chu	Huong Tra	CW05	4	2018	
30	Vo May	Huong Chu	Huong Tra	CW05	4	2018	
31	Ha Phuoc Sam	Huong Chu	Huong Tra	CW05	4	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
32	Ha Phuoc Son	Huong Chu	Huong Tra	CW05	4	2018	
33	Dang Van Phuoc	Huong An	Huong Tra	CW05	4	2018	
34	Nguyen Dang Lam	Huong An	Huong Tra	CW05	4	2018	
35	Pham Dinh Ly	Huong Ho	Huong Tra	CW05	4	2018	
36	Pham Thu	Huong Ho	Huong Tra	CW05	4	2018	
37	Hoang Huu Sinh	Huong Ho	Huong Tra	CW05	4	2018	
38	Ho Ngoc Chuan	Huong Ho	Huong Tra	CW05	4	2018	
39	Ton That Mao	Huong Ho	Huong Tra	CW05	4	2018	Poor household
40	Ho Dinh Ny	Phu Xuan	Phu Vang	CW06	6	2018	
41	Truong Van Hoang	Phu Xuan	Phu Vang	CW06	6	2018	
42	Bach Chon Dung	Phu Xuan	Phu Vang	CW06	6	2018	
43	Ho Huu Hung	Phu Xuan	Phu Vang	CW06	6	2018	
44	Truong Van Tuan	Phu Xuan	Phu Vang	CW06	6	2018	
45	Truong Van Tri	Phu Xuan	Phu Vang	CW06	6	2018	
46	Hoang Phuoc Long	Phu Xuan	Phu Vang	CW06	6	2018	
47	Nguyen Dang Ngo	Phong Hoa	Phong Dien	CW03	8	2018	
48	Doan Thi Thuy	Phong Hoa	Phong Dien	CW03	8	2018	
49	Doan Van Quang	Phong Hoa	Phong Dien	CW03	8	2018	
50	Le Khac Giao	Phong Hoa	Phong Dien	CW03	8	2018	
51	Doan Van Trieu	Phong Hoa	Phong Dien	CW03	8	2018	
52	Nguyen Quang Theo	Phong Hoa	Phong Dien	CW03	8	2018	
53	Nguyen Khoa Toan	Phong Hoa	Phong Dien	CW03	8	2018	
54	Nguyen Khoa Anh	Phong Hoa	Phong Dien	CW03	8	2018	State beneficiary
55	Nguyen Cong La	Phong Hoa	Phong Dien	CW03	8	2018	
56	Nguyen Khoa Tan	Phong Hoa	Phong Dien	CW03	8	2018	
57	Phan Thi Bach Tuyet	Phong Hoa	Phong Dien	CW03	8	2018	
58	Duong Dinh Thang	Huong So	Hue	CW03	12	2018	
59	Nguyen Van Som	Huong So	Hue	CW03	12	2018	
60	Ho Dac Lan	Huong So	Hue	CW03	12	2018	
61	Nguyen Xuan Sinh	Huong So	Hue	CW03	12	2018	
62	Huynh Coc	Huong So	Hue	CW03	12	2018	State beneficiary
63	Le Huu Thinh	Huong So	Hue	CW03	12	2018	
64	Huynh Van An	Huong So	Hue	CW03	12	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
65	Le Tuan	An Hoa	Hue	CW03	10	2018	
66	Le Van Si	An Hoa	Hue	CW03	10	2018	
67	Tran Thi Hanh	An Hoa	Hue	CW03	10	2018	
68	Le Hai	An Hoa	Hue	CW03	10	2018	Poor household
69	Le Thi Huong	An Hoa	Hue	CW03	10	2018	
70	Le Dac	An Hoa	Hue	CW03	10	2018	State beneficiary
71	Nguyen Van Phu	An Hoa	Hue	CW03	10	2018	
72	Dang Dung	An Hoa	Hue	CW03	10	2018	
73	Nguyen Thuc Trinh	An Hoa	Hue	CW03	10	2018	
74	Le Van Hoang	An Hoa	Hue	CW03	10	2018	
75	Phan Khac Hieu	An Hoa	Hue	CW03	10	2018	
76	Nguyen Duong	An Hoa	Hue	CW03	10	2018	
77	Kim Dinh Luu	An Hoa	Hue	CW03	10	2018	
78	Le Thi Thanh Thuy	An Hoa	Hue	CW03	10	2018	
79	Nguyen Thi Nam	An Hoa	Hue	CW03	10	2018	
80	Hoang Quoc	Tu Ha	Huong Tra	CW03	12	2018	
81	Hoang Thi Thu Van	Tu Ha	Huong Tra	CW03	12	2018	
82	Vo Van Lanh	Huong Long	Hue	CW03	11	2018	
83	Phan Van Thien	Huong Long	Hue	CW03	11	2018	
84	Le Chieu Viet	Huong Long	Hue	CW03	11	2018	
85	Vo Van Song (B)	Huong Long	Hue	CW03	11	2018	
86	Ho Thi Dinh	Huong Long	Hue	CW03	11	2018	
87	Nguyen Thi Nga	Huong Long	Hue	CW03	11	2018	
88	Nguyen Van Set	Huong Long	Hue	CW03	11	2018	
89	Nguyen Dang Nghiem	Huong Long	Hue	CW03	11	2018	
90	Le Quang Binh	Huong Long	Hue	CW03	11	2018	
91	Pham Hung	Huong An	Huong Tra	CW03	10	2018	
92	Cao Dinh Ngan	Huong An	Huong Tra	CW03	10	2018	
93	Nguyen Huu Dinh	Huong An	Huong Tra	CW03	10	2018	
94	Nguyen Dang Mai	Huong An	Huong Tra	CW03	10	2018	
95	Tran Hao	Huong An	Huong Tra	CW03	10	2018	
96	Dang Xuan Phuoc	Huong An	Huong Tra	CW03	10	2018	
97	Nguyen Van Loi	Huong An	Huong Tra	CW03	10	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
98	Le Thi Ngan	Phong Chuong	Phong Dien	CW05	7	2018	
99	Le Thi Phu	Phong Chuong	Phong Dien	CW05	7	2018	
100	Le Phong	Phong Chuong	Phong Dien	CW05	7	2018	
101	Tran Dang Quy	Phong Chuong	Phong Dien	CW05	7	2018	
102	Tran Van Thuan	Phong Chuong	Phong Dien	CW05	7	2018	
103	Nguyen Man	Phong Chuong	Phong Dien	CW05	7	2018	
104	Pham Thi Huong Giang	Phong Chuong	Phong Dien	CW05	7	2018	
105	Pham Thi Huong Giang	Phong Chuong	Phong Dien	CW05	7	2018	
106	Doan Van Lu	Phong Chuong	Phong Dien	CW05	7	2018	
107	Le Viet Chot	Phong Chuong	Phong Dien	CW05	7	2018	
108	Nguyen Van Du	Phong Chuong	Phong Dien	CW05	8	2018	
109	Nguyen The Hiep	Phong Chuong	Phong Dien	CW05	8	2018	
110	Nguyen The Hung	Phong Chuong	Phong Dien	CW05	8	2018	
111	Nguyen Van Chiem	Phong Chuong	Phong Dien	CW05	8	2018	
112	Nguyen Van Chinh	Phong Chuong	Phong Dien	CW05	8	2018	
113	Ho Quang Hien	Phong Chuong	Phong Dien	CW05	8	2018	
114	Nguyen Thanh Yen	Phong Chuong	Phong Dien	CW05	8	2018	
115	Nguyen Thi Chuyen	Phong Chuong	Phong Dien	CW05	8	2018	
116	Song Huong Micro-fertilizer Company (Luong Mai hamlet)	Phong Chuong	Phong Dien	CW05	8	2018	
117	Nguyen Dang Hong	Phong Chuong	Phong Dien	CW05	8	2018	
118	Truong Ly	Huong Vinh	Huong Tra	CW05	10	2018	1 household has elderly, lonely householder
119	Phan Van Truong	Huong Vinh	Huong Tra	CW05	10	2018	
120	Tran Chau	Phong An	Phong Dien	CW05	9	2018	
121	Pham Khanh	Phong An	Phong Dien	CW05	9	2018	
122	Nguyen Tri Tue	Phong An	Phong Dien	CW05	9	2018	
123	Nguyen Huy Hoang	Phong An	Phong Dien	CW05	9	2018	
124	Hoang Phuong	Phong An	Phong Dien	CW05	9	2018	
125	Duong Thong	Phong An	Phong Dien	CW05	9	2018	
126	Duong Anh Khoa	Phong An	Phong Dien	CW05	9	2018	
127	Nguyen Du	Quang Loi	Quang Dien	CW05	8	2018	Poor household
128	Cao Quang Hoa	Quang Loi	Quang Dien	CW05	8	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
129	Nguyen Quoc Hoanh	Quang Loi	Quang Dien	CW05	9	2018	
130	Van Huu Tuy	Quang Loi	Quang Dien	CW05	10	2018	
131	Van Huu Chuong	Quang Loi	Quang Dien	CW05	10	2018	
132	Ngo Dinh Hoi	Quang Loi	Quang Dien	CW05	10	2018	Poor household
133	Van Huu Thoi	Quang Loi	Quang Dien	CW05	10	2018	
134	Hoang Hung	Quang Loi	Quang Dien	CW05	8	2018	
135	Hoang Phuong	Quang Loi	Quang Dien	CW05	8	2018	
136	Nguyen Hong	Quang Loi	Quang Dien	CW05	9	2018	
137	Le Van Tuan	Huong Toan	Huong Tra	CW05	8	2018	
138	Tran Huu Loi	Huong Toan	Huong Tra	CW05	8	2018	
139	Huynh Van Dung	Huong Toan	Huong Tra	CW05	8	2018	
140	Nguyen Tam Quy	Huong Toan	Huong Tra	CW05	8	2018	
141	Nguyen Cong Ty	Quang Tho	Quang Dien	CW05	8	2018	
142	Cao Anh	Quang Tho	Quang Dien	CW05	8	2018	
143	Hoang Thanh	Quang Tho	Quang Dien	CW05	8	2018	
144	Cao Dang Ty	Quang Tho	Quang Dien	CW05	8	2018	
145	Tran Ty	Quang Tho	Quang Dien	CW05	8	2018	
146	Tran Ty	Quang Tho	Quang Dien	CW05	8	2018	
147	uncle Nang	Phong An	Phong Dien	CW05	8	2018	
148	uncle Khanh	Phong An	Phong Dien	CW05	8	2018	
149	Nguyen Kham	Phong Xuan	Phong Dien	CW05	8	2018	
150	Pham Thi He	Phong Xuan	Phong Dien	CW05	8	2018	
151	Dang Quang Dieu	Phong Xuan	Phong Dien	CW05	8	2018	
152	Nguyen Khoi	Phong Xuan	Phong Dien	CW05	8	2018	
153	Hoang May	Phong Xuan	Phong Dien	CW05	8	2018	
154	Le Van Cuong	Phong Xuan	Phong Dien	CW05	8	2018	
155	Dang Quang Luyen	Phong Xuan	Phong Dien	CW05	8	2018	
156	Dang Quang Minh	Phong Xuan	Phong Dien	CW05	8	2018	
157	Dang Quang Due	Phong Xuan	Phong Dien	CW05	8	2018	State beneficiary
158	Tran Quang	Phong Xuan	Phong Dien	CW05	11	2018	
159	Pham Sy	Phong Xuan	Phong Dien	CW05	11	2018	State beneficiary
160	Nguyen Duc Cho	Phong Xuan	Phong Dien	CW05	11	2018	State beneficiary
161	Hoang Duc Tuan	Phong Xuan	Phong Dien	CW05	11	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
162	Tran Van Hue	Phong Xuan	Phong Dien	CW05	11	2018	
163	Tran Chau	Phong Xuan	Phong Dien	CW05	11	2018	
164	Le Van Lanh	Phong Xuan	Phong Dien	CW05	11	2018	
165	Tran Dien Thuyet	Phong Xuan	Phong Dien	CW05	11	2018	
166	Hoang Thi Au	Phong Xuan	Phong Dien	CW05	11	2018	
167	Le Thi Hoa	Phong Xuan	Phong Dien	CW05	11	2018	
168	Tran Van Tuat	Phong Xuan	Phong Dien	CW05	11	2018	
169	Le Tan Trong	Phong Xuan	Phong Dien	CW05	11	2018	
170	Le Tan Nghia	Phong Xuan	Phong Dien	CW05	11	2018	
171	Nguyen Thi Thu	Phong Xuan	Phong Dien	CW05	11	2018	
172	Van Chung	Phong Xuan	Phong Dien	CW05	11	2018	
173	Nguyen Vinh	Phong Xuan	Phong Dien	CW05	11	2018	
174	Hoang Sy	Phong Xuan	Phong Dien	CW05	11	2018	
175	Tran Tho	Phong Xuan	Phong Dien	CW05	11	2018	
176	Nguyen Ngoc Quang	Phong Xuan	Phong Dien	CW05	11	2018	
177	Tran Thoang	Phong Xuan	Phong Dien	CW05	11	2018	
178	Nguyen Dinh Vinh	Phong An	Phong Dien	CW05	10	2018	
179	Do Hoa	Huong Van	Huong Tra	CW05	10	2018	
180	Nguyen Xuan Hoa	Huong Van	Huong Tra	CW05	10	2018	
181	Pham Te	Huong Van	Huong Tra	CW05	10	2018	
182	Tran Xuan Phi	Huong Van	Huong Tra	CW05	10	2018	
183	Nguyen Quang	Huong Xuan	Huong Tra	CW05	10	2018	
184	Huynh The Tu	Huong Xuan	Huong Tra	CW05	10	2018	
185	Ho Thi Le Thuy	Huong Xuan	Huong Tra	CW05	10	2018	
186	Ho Thi Thuong	Huong Ho	Huong Tra	CW05	7	2018	
187	Le Dinh Thanh	Huong Van	Huong Tra	CW05	7	2018	
188	Nguyen Khiem	Huong Van	Huong Tra	CW05	8	2018	
189	Vo Van Dung	Huong Van	Huong Tra	CW05	9	2018	
190	Trinh Phuoc	Phong Hien	Phong Dien	CW05	9	2018	
191	Nguyen Thi Tiem	Phong Hien	Phong Dien	CW05	9	2018	
192	Nguyen Khanh	Phong Hien	Phong Dien	CW05	9	2018	
193	Than Thi Hanh	Phong Hien	Phong Dien	CW05	9	2018	
194	Do Dinh	Phong Hien	Phong Dien	CW05	9	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
195	Nguyen Thanh	Phong Hien	Phong Dien	CW05	9	2018	
196	Nguyen Hoa	Thuy Luong	Huong Thuy	CW06	9	2018	
197	Pham Phuoc Quoc	Thuy Luong	Huong Thuy	CW06	9	2018	
198	Nguyen Tien	Thuy Luong	Huong Thuy	CW06	9	2018	
199	Phan Dang Chien	Thuy Luong	Huong Thuy	CW06	9	2018	
200	Phan Van Nhan	Phu Da	Phu Vang	CW06	11	2018	
201	Dinh Nong	Phu Da	Phu Vang	CW06	11	2018	
202	Dinh Nhu Sang	Phu Da	Phu Vang	CW06	11	2018	
203	Ho Sy Hieu	Phu Da	Phu Vang	CW06	11	2018	
204	Phan Van Khoi	Phu Da	Phu Vang	CW06	11	2018	
205	Phan Tuan	Phu Da	Phu Vang	CW06	11	2018	
206	Nguyen Thuan	Phu Da	Phu Vang	CW06	11	2018	
207	Ho Sy Lap	Phu Da	Phu Vang	CW06	11	2018	
208	Huynh Kim Manh	Phu Da	Phu Vang	CW06	11	2018	
209	Huynh Kim Chanh	Phu Da	Phu Vang	CW06	11	2018	
210	Nguyen Dien	Phu Da	Phu Vang	CW06	11	2018	
211	Dinh Bon	Phu Da	Phu Vang	CW06	11	2018	
212	Nguyen Thi Em	Phu Da	Phu Vang	CW06	11	2018	
213	Phan Huu Dinh	Phu Da	Phu Vang	CW06	11	2018	
214	Le Thi Lanh	Phu Da	Phu Vang	CW06	11	2018	
215	Dinh Van Tuan	Phu Da	Phu Vang	CW06	11	2018	
216	Dinh Tu	Phu Da	Phu Vang	CW06	11	2018	
217	Vuong Dinh	Phu Da	Phu Vang	CW06	11	2018	
218	Vuong Thuong	Phu Da	Phu Vang	CW06	11	2018	
219	Pham Thi Trang	Loc An	Phu Loc	CW06	7	2018	
220	Le Hieu	Loc An	Phu Loc	CW06	7	2018	State beneficiary
221	Nguyen Hy	Loc An	Phu Loc	CW06	7	2018	
222	Nguyen Ong	Loc An	Phu Loc	CW06	7	2018	State beneficiary
223	Vo Doi	Loc Bon	Phu Loc	CW06	7	2018	
224	Le Van Vui	Loc Bon	Phu Loc	CW06	7	2018	
225	Nguyen Cuu	Loc Bon	Phu Loc	CW06	7	2018	
226	Vo Dai Phuong	Loc Bon	Phu Loc	CW06	7	2018	
227	Phan Thi Be	Loc Bon	Phu Loc	CW06	7	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
228	Nguyen Thi Tuyet	Vinh An	Phu Vang	CW06	9	2018	
229	Le Luc	Vinh Xuan	Phu Vang	CW06	9	2018	
230	Nguyen Dong	Vinh Xuan	Phu Vang	CW06	9	2018	
231	Vo Thi Bien	Vinh Xuan	Phu Vang	CW06	9	2018	
232	Tran Thi Thach	Vinh Xuan	Phu Vang	CW06	9	2018	
233	Nguyen Chien	Vinh Xuan	Phu Vang	CW06	9	2018	
234	Phan Ba Chien	Vinh Xuan	Phu Vang	CW06	9	2018	
235	Pham Cu	Vinh Xuan	Phu Vang	CW06	9	2018	
236	Pham Tin	Vinh Xuan	Phu Vang	CW06	9	2018	
237	Tran Cong Lan	Vinh Xuan	Phu Vang	CW06	9	2018	Poor household
238	Dinh Thi Gai	Vinh Xuan	Phu Vang	CW06	9	2018	
239	Nguyen Thanh	Vinh Xuan	Phu Vang	CW06	9	2018	
240	Nguyen Thi Kim Nhung	Vinh Xuan	Phu Vang	CW06	9	2018	
241	Nguyen Thanh	Vinh Xuan	Phu Vang	CW06	9	2018	
242	Hoang Van Quyen	Vinh Xuan	Phu Vang	CW06	9	2018	
243	Nguyen Thi Kim Nhung	Vinh Xuan	Phu Vang	CW06	9	2018	
244	Hoang Tho	Vinh Hien	Phu Loc	CW06	8	2018	State beneficiary
245	Nguyen Thi Gai	Vinh Hien	Phu Loc	CW06	8	2018	
246	Truong Xuan	Vinh Hien	Phu Loc	CW06	8	2018	
247	Le Thi Su	Phu Dien	Phu Vang	CW06	9	2018	Poor household
248	Le Viet Phong	Phu Dien	Phu Vang	CW06	9	2018	
249	Le Van Nien	Phu Dien	Phu Vang	CW06	9	2018	
250	Nguyen Van Duong	Phu Dien	Phu Vang	CW06	9	2018	
251	Le Duc Quoc	Phu Dien	Phu Vang	CW06	9	2018	
252	Tran Ngoc Quynh	Phu Dien	Phu Vang	CW06	9	2018	
253	Le Duc Quan	Phu Dien	Phu Vang	CW06	9	2018	
254	Hoang Trong Toa	Phu Dien	Phu Vang	CW06	9	2018	
255	Nguyen Van Lieu	Phu Dien	Phu Vang	CW06	9	2018	
256	Pham Van Ha	Phu Dien	Phu Vang	CW06	9	2018	
257	Pham Tan Cho	Phu Dien	Phu Vang	CW06	9	2018	
258	Le Van Lan	Phu Dien	Phu Vang	CW06	9	2018	
259	Pham Thi Vo	Phu Dien	Phu Vang	CW06	9	2018	
260	Pham Thi Viet	Phu Dien	Phu Vang	CW06	9	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
261	Le Thi Dieu	Phu Dien	Phu Vang	CW06	9	2018	
262	Nguyen Thi Sen	Phu Dien	Phu Vang	CW06	9	2018	
263	Hoang Trong Chua	Phu Dien	Phu Vang	CW06	9	2018	
264	Phan Thi Gai	Phu Dien	Phu Vang	CW06	9	2018	
265	Tran Quoc Thanh	Phu Dien	Phu Vang	CW06	9	2018	
266	Le Duy Khanh	Phu Dien	Phu Vang	CW06	9	2018	
267	Tran Cong Lan	Phu Dien	Phu Vang	CW06	9	2018	
268	Ngo Van Cuong	Thuy Thanh	Huong Thuy	CW06	8	2018	
269	Pham Thi Xe	Thuy Thanh	Huong Thuy	CW06	8	2018	
270	Ngo Ta Dap	Thuy Thanh	Huong Thuy	CW06	8	2018	
271	Nguyen Van Cho	Thuy Phuong	Huong Thuy	CW06	8	2018	
272	Van Viet Tram	Thuy Phuong	Huong Thuy	CW06	8	2018	
273	Nguyen Van Thuyet	Thuy Phuong	Huong Thuy	CW06	8	2018	
274	Nguyen Dac Thiep	Thuy Phuong	Huong Thuy	CW06	8	2018	
275	Truong Van Tien	Thuy Phuong	Huong Thuy	CW06	8	2018	
276	Nguyen Van Dich	Thuy Phuong	Huong Thuy	CW06	8	2018	
277	Nguyen Thi Xe	Thuy Phuong	Huong Thuy	CW06	8	2018	
278	Truong Thi Te	Thuy Phuong	Huong Thuy	CW06	8	2018	
279	Nguyen Van Dinh	Thuy Phuong	Huong Thuy	CW06	8	2018	
280	Nguyen Van Lanh	Thuy Phuong	Huong Thuy	CW06	8	2018	
281	Hoang Tho	Vinh Giang	Phu Loc	CW06	11	2018	State beneficiary
282	Hoang Nam	Vinh Giang	Phu Loc	CW06	11	2018	1 household got disabled householder
283	Hoang Nam	Vinh Giang	Phu Loc	CW06	11	2018	
284	Phan Luc	Vinh Giang	Phu Loc	CW06	11	2018	
285	Nguyen Thieu	Vinh An	Phu Vang	CW06	10	2018	
286	Tran Thi Hieu	Vinh An	Phu Vang	CW06	10	2018	
287	Nguyen Y	Vinh An	Phu Vang	CW06	10	2018	
288	Tran Long	Vinh An	Phu Vang	CW06	10	2018	
289	Nguyen Chinh	Vinh An	Phu Vang	CW06	10	2018	
290	Vo Viet Khan	Vinh An	Phu Vang	CW06	10	2018	
291	Pham Due	Vinh An	Phu Vang	CW06	10	2018	
292	Pham Due	Vinh An	Phu Vang	CW06	10	2018	
293	Nguyen Loi	Vinh An	Phu Vang	CW06	10	2018	

No.	Household and name of affected household	Ward / commune	District / City	Package	Month	Year	Note
294	Trung Dinh Hai hamlet	Vinh An	Phu Vang	CW06	10	2018	
295	Nguyen Dinh Diep	Loc Dien	Phu Loc	CW06	10	2018	
296	Mai Ngon	Loc Dien	Phu Loc	CW06	11	2018	State beneficiary
297	Nguyen Dac	Loc Dien	Phu Loc	CW06	12	2018	
298	Nguyen Van Binh	Loc Dien	Phu Loc	CW06	12	2018	
299	Le Loi	Loc Dien	Phu Loc	CW06	10	2018	
300	Huynh Thi Yen	Loc Dien	Phu Loc	CW06	10	2018	
301	Duong Quoc Phong	Loc Dien	Phu Loc	CW06	10	2018	
302	Nguyen Van Lanh	Loc Dien	Phu Loc	CW06	10	2018	
303	Le Thi Se	Loc Dien	Phu Loc	CW06	11	2018	
304	Dang Thi Roi	Loc Dien	Phu Loc	CW06	11	2018	
305	Truong Van Minh	Loc Dien	Phu Loc	CW06	11	2018	
306	Phan Du Nam	Loc Dien	Phu Loc	CW06	10	2018	
307	Nguyen Thi Quynh Hoa	Loc Dien	Phu Loc	CW06	11	2018	
308	Doan Xuan Thanh	Loc Dien	Phu Loc	CW06	11	2018	
309	Mai Thi Loi	Loc Dien	Phu Loc	CW06	10	2018	
310	Le Thi Hong Hanh	Loc Dien	Phu Loc	CW06	10	2018	
311	Ho Dung	Loc Dien	Phu Loc	CW06	10	2018	
312	Mai Thi Gai	Loc Dien	Phu Loc	CW06	10	2018	
313	Nguyen Van Lanh	Loc Dien	Phu Loc	CW06	10	2018	
314	Vo Thuan	Loc Dien	Phu Loc	CW06	10	2018	
315	Nguyen Thi Lanh	Loc Dien	Phu Loc	CW06	10	2018	

Annex 5: List of households consulted in the reporting period

No.	Household and name of affected household	Ward / commune	District / City	Package	Phone number	Note
1	Truong Ly	Huong Vinh	Huong Tra	CW05	0234559009	1 household has elderly, lonely householder
2	Phan Van Truong	Huong Vinh	Huong Tra	CW05	0352652698	
3	Duong Dinh Thang	Huong So	Hue	CW03	0382363421	
4	Nguyen Van Som	Huong So	Hue	CW03		
5	Ho Dac Lan	Huong So	Hue	CW03	0795697686	
6	Nguyen Xuan Sinh	Huong So	Hue	CW03		
7	Huynh Coc	Huong So	Hue	CW03	0363229779	State beneficiary
8	Le Huu Thinh	Huong So	Hue	CW03		
9	Huynh Van An	Huong So	Hue	CW03		
10	Le Tuan	An Hoa	Hue	CW03		
11	Tran Thi Hanh	An Hoa	Hue	CW03		
12	Le Van Si	An Hoa	Hue	CW03		
13	Le Thi Huong	An Hoa	Hue	CW03		
14	Le Hai	An Hoa	Hue	CW03		Poor household
15	Le Dac	An Hoa	Hue	CW03		State beneficiary
16	Dang Dung	An Hoa	Hue	CW03		
17	Nguyen Duong	An Hoa	Hue	CW03		
18	Le Thi Thanh Thuy	An Hoa	Hue	CW03		
19	Nguyen Thi Nam	An Hoa	Hue	CW03		
20	Kim Dinh Luu	An Hoa	Hue	CW03		
21	Nguyen Van Phu	An Hoa	Hue	CW03		
22	Nguyen Thuc Trinh	An Hoa	Hue	CW03		
23	Le Van Hoang	An Hoa	Hue	CW03		
24	Phan Khac Hieu	An Hoa	Hue	CW03		
25	Phan Dang Chien	Thuy Luong	Huong Thuy	CW06		
26	Nguyen Tien	Thuy Luong	Huong Thuy	CW06		
27	Pham Phuoc Quoc	Thuy Luong	Huong Thuy	CW06		
28	Nguyen Hoa	Thuy Luong	Huong Thuy	CW06		
29	Phạm Thi Xe	Thuy Thanh	Huong Thuy	CW06		
30	Ngo Van Cuong	Thuy Thanh	Huong Thuy	CW06		
31	Ngo Ta Dap	Thuy Thanh	Huong Thuy	CW06		
32	Truong Thi Te	Thuy Phuong	Huong Thuy	CW06		
33	Nguyen Van Dich	Thuy Phuong	Huong Thuy	CW06		

No.	Household and name of affected household	Ward / commune	District / City	Package	Phone number	Note
34	Nguyen Thi Xe	Thuy Phuong	Huong Thuy	CW06		
35	Nguyen Van Dinh	Thuy Phuong	Huong Thuy	CW06		
36	Truong Van Tien	Thuy Phuong	Huong Thuy	CW06		
37	Nguyen Dac Thiep	Thuy Phuong	Huong Thuy	CW06		
38	Nguyen Van Thuyet	Thuy Phuong	Huong Thuy	CW06		
39	Van Viet Tram	Thuy Phuong	Huong Thuy	CW06		
40	Nguyen Van Cho	Thuy Phuong	Huong Thuy	CW06		

Annex 6: Minutes of inventory and compensation calculation table

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – tự do – Hạnh phúc

BIÊN BẢN

Xác định hiện trạng hoa màu, vật kiến trúc để đền bù
Phục vụ thi công tuyến ống cấp nước sạch

Hôm nay, vào lúc 8 giờ 45 ngày 20 tháng 9 năm 2018, tại hiện trường thi công Tuyến ống cấp nước sạch PD1 D280 HDPE, L=2.697m xã Phong An – Phong Xuân, chúng tôi gồm có:

- Đại diện chủ đầu tư: Công ty Cổ phần và Cấp nước Thừa Thiên Huế:**
Ông (Bà): Trần Văn Thọ Chức vụ: Phó Tổng Giám đốc Công ty
Ông (Bà): Nguyễn Văn Duy Chức vụ: Trưởng phòng Đầu tư xây dựng
Ông (Bà): Đoàn Minh Huân Chức vụ: Cán bộ GPMB
- Đại diện Tư vấn Giám sát:**
Ông (Bà): Chức vụ:
Ông (Bà): Chức vụ:
- Đại diện UBND xã Phong An cùng hộ gia đình:**
Ông (Bà): Nguyễn Đôn Chức vụ: Phó CT UBND xã
Ông (Bà): Chức vụ:
Chủ hộ Ông (Bà): Hoàng Phưởng Địa chỉ: Huân Văn Huân



Các thành phần cùng tiến hành đo đạc số lượng hoa màu, vật kiến trúc (kể cả vùng bị ảnh hưởng) bị thiệt hại khi thi công tuyến ống cấp nước như sau:

Cây keo trồng 1 năm 200 cây
Cây keo trồng 2 năm 50 cây

Các thành phần đều thống nhất số lượng hoa màu, vật kiến trúc bị thiệt hại trên là đúng và được làm cơ sở để đền bù theo Quyết định số 68/QĐ-UBND ngày 22/12/2015 và Quyết định số 82/QĐ-UBND ngày 22/9/2017 của UBND tỉnh Thừa Thiên Huế.

Biên bản được đọc trước các thành phần cùng nghe và đồng ký tên.

Đ/D Chủ đầu tư Đ/D TVGS Đ/D UBND xã Phong An


Trần Văn Thọ Nguyễn Đôn

Trưởng Bộ phận CB.GPMB Chủ hộ

Nguyễn Văn Duy Đoàn Minh Huân Hoàng Phưởng

**CÔNG TY CỔ PHẦN CẤP NƯỚC
THỪA THIÊN HUẾ**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc**

 Huế, ngày 20 tháng 9 năm 2018

BẢNG TÍNH CHI PHÍ ĐÈN BÙ HOA MÀU, VẬT KIẾN TRÚC

- Căn cứ vào Quyết định số 68/QĐ-UBND ngày 22/12/2015 và số 82 QĐ-UBND ngày 22/9/2017 của UBND tỉnh Thừa Thiên Huế “V/v ban hành đơn giá cây trồng, vật nuôi; đơn giá nhà ở, công trình kiến trúc làm cơ sở xác định giá trị bồi thường, hỗ trợ và tái định cư khi nhà nước thu hồi đất trên địa bàn tỉnh Thừa Thiên Huế”

- Căn cứ vào biên bản xác nhận tại hiện trường về hoa màu, vật kiến trúc lập ngày: 20 tháng 9 năm 2018 giữa Công ty và nhân dân có sự xác nhận của Chính quyền xã Phong An


Chủ hộ: Hoàng Phụng g

Địa chỉ: Thôn Vũ Lễ + Hoàng


Chi phí đền bù cụ thể như sau:

SỐ TT	Tên hoa màu, vật kiến trúc	ĐV tính	Khối lượng	Đơn giá (đồng)	Thành tiền (đồng)
1	Cây keo trồng 1 năm	cây	200	14520	2 904 000
2	Cây keo trồng 2 năm	cây	50	21120	10 560 000
3					
4					
5					
6					
7					
8					
9					
10					
*	Tổng cộng:				3960.000

Bảng chữ: (Ba triệu chín trăm sáu mươi nghìn đồng)

Đ/D Chủ đầu tư:  Trần Văn Thọ

Đ/D TVGS:

Đ/D UBND xã Phong An:  Nguyễn Đôn

Trưởng Bộ phận: Nguyễn Văn Duy

Người tính: Đoàn Minh Tuấn

Người nhận: Hoàng Phụng g *Đã nhận đủ tiền*

**THUA THIEN HUE
 WATER SUPPLY JSC.**



**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Hue, 20 September 2018

**TABLE OF COMPENSATION AMOUNT FOR CROPS &
 ARCHITECTURAL OBJECTS**

- Pursuant to Decision No. 68 / QĐ-UBND dated December 22, 2015 and No. 82 QĐ-UBND dated September 22, 2017 of Thua Thien Hue Provincial People's Committee on "Promulgating unit prices of plants and animals and houses and architectural works as a basis for determining the value of compensation, support and resettlement when the State recovers land in Thua Thien Hue province".

- Based on the on-site confirmation report on crops and architectural objects made on 20 September 2018 between the Company and the people with the confirmation of Phong An CPC.

Household: Hoang Phuong

Address: Vinh Huong Hamlet

Specific compensation expenses are as follows:

No.	Name of crops and architectural objects	Unit	Quantity	Unit price (VND)	Total amount (VND)
1	1-year Acadia	tree	200	14520	2904000
2	2 years Acadia	tree	50	21120	1056000
3					
4					
5					
6					
7					
8					
9					
10					
*	Total:				3960000

In words: (Three million nine hundred and sixty thousand DONG)

Representative of Investor
 (Signed & Stamped)

Tran Van Tho

Representative of Supervision Consultant

Calculating Staff

Signed

Doan Minh Huan

Representative of CPC
 (Signed & Stamped)

Nguyen Don

Compensation Beneficiary

Signed

Hoang Phuong

Annex 7: Some survey images

