

# Social Monitoring Report

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Semi-annual report (January - June 2019)

July 2020

## **VIE: WATER SECTOR INVESTMENT PROGRAM – TRANCHE 2**

**TREATED WATER SUPPLY PROJECT FOR BUON MA THUOT CITY AND THREE  
ADJACENT DISTRICTS OF EA KAR, BUON DON AND KRONG NANG, DAK LAK  
PROVINCE.**

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## Overview:

Project	Treated water supply project for Buon Ma Thuot city and three adjacent districts of Ea Kar, Buon Don and Krong Nang, Dak Lak province.	
Safeguard category	Indigenous People	B
	Involuntary Resettlement	B
Reporting period	First six months of 2019	
Summarization of EMDP implementation during reporting period	<p>Main activity during reporting period is:</p> <ul style="list-style-type: none"> <li>- Community consultation meeting with EM representatives in Ea Nar commune in May 2019.</li> <li>- PMU agreed to support a budget of 10 million VND to buy new tradition costumes for the worship's performance team for Ede people who is using water stations for domestic water use and annual workshop.</li> <li>- Periodically monitoring</li> </ul>	
Planned key activities for next reporting period	<p>Push up service pipeline installation progress and assure to follow commitment policy as soon as possible</p> <p>Periodically monitoring mission (monitoring meetings, field visits, community consultations)</p> <p>Provide necessary support Ea Nar - the Ede communities living near 2 water stations in the project area - to encourage the EMs the use of project's treated water while maintaining the annual cultural practices at the stations.</p>	

## EXECUTIVE INFORMATION

### 1.1. Project objectives

1. Water supply development project for Buon Ma Thuot city and three adjacent districts of Ea Kar, Buon Don and Krong Nang was funded by Asian Development Bank and approved by Dak Lak Province People Committee in 2011.
2. The project objectives aimed to create favorable conditions for improvements of living conditions and health of residents; for socio-economic, industrial and tourism development of Buon Ma Thuot city and and three district towns having the same names as their districts of Ea Kar, Buon Don and Krong Nang by the accessing of safe and reliable piped water supply for residents in 2020.

### 1.2. Project components

3. The Project includes two components: 1) Water Supply Infrastructure Investments, (2) Institutional Strengthening Program (ISP) for improving of DAKWACO's operation effectively and sustainable development. These components are relevant to the EMDP during all project activities and decision-making procedures.

### 1.3. Ethnic Minority Development Plan (EMDP)

4. EMDP for this project was prepared and followed the ADB Safeguard Policy Statement and Planning Frameworks on Indigenous Peoples. This plan was updated by PMU with the assistance of EMDP expert of Eptisa Consultant and approved by ADB on September 2017. Ethnic Minority aimed to assure: (i) this sub-project brought the benefit for Ethnic Minority in general and followed the appropriate behavior with Ethnic Minority People's Culture; (ii) avoiding the potential negative impacts on Ethnic Minority people; (iii) minimizing, mitigating or compensating for potential negative impacts if unavoidable.
5. EMDP prioritized 9 priorities/tasks:
  - Maximum benefits to target EM households
  - Capacity building for Ethnic Minority representatives and organizations
  - Extensive IEC/BCC to improve awareness and change behaviors of target EMs
  - Consultation with target EM, poor and EM women groups
  - Increase Job Opportunities for EMs
  - EMDP integrated in other plan of the Project to avoid adverse impacts
  - Grievance Redress Mechanism
  - Monitoring and Reporting
  - EM development in DAKWACO
6. Total approved budget for EMDP is 430,707,000 VND (equivalent to USD 18,633.767). This budget excludes the compensation amount for affected Ethnic Minority households.

7. Internal monitoring of the EMDP aims to assess whether the approved objective, progress and desired results have been achieved, what are the shortcomings that need to be addressed, the modalities and the role of the relevant parties. Every 6 months PMU reports the monitoring results to ADB. The content of this report is internal monitoring of EMDP cover the period from January to June 2019, due PMU prepared with support from Eptisa EM Specialist.

## 2. EMDP – IMPLEMENTATION PROGRESS AND RESULTS

As of June, 2019

8. By the end of June 2019, all civil work and key pipeline installation packages have been completed construction in Buon Ma Thuot city and three project districts<sup>1</sup>. EMDP was implemented at the same time and integrated with all civil work activities of the project water supply system during reporting period. Key EMDP progress and results as the end of June 2019 as following:

### 2.1. Community information disclosure and consultation

9. **Community information disclosure:** in this monitoring period, no community information disclosure activities have been carried out, but an IEC campaign in March and April 2018 in all project areas. The information was focused on the project objectives, progress and prioritized policies for EM households to maximize their access to the project' treated water system, the right and responsibilities of EM and stakeholders.

10. **Community consultation:** In May 2019, one community consultation meeting was conducted by PMU and Eptisa EM Specialist with EM representatives of Ea Nar commune where the Ede people are still using water stations for domestic water use and annual worship. It aimed to discuss on how project can support the local communities to change their habits from using water from natural source to the project treatment water usage but still maintaining traditional worship at the water stations. The EM representatives suggested PMU to support a budget with amount of 10 million VND for purchasing new tradition costumes for the worship's performance team. This proposal was agreed by PMU during the meeting. *See Appendix 4.2: pictures about the consultation meeting with Ea Nar representatives in May 2019 and 4.3 Office letter from Ea Nar commune).*

11. The assessment on community information disclosure and consultation activities as of June 2019 are shown in Table 1.

**Table 1: Assessment on community consultation as of June 2019**

Target index of community consultation	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan

<sup>1</sup> DLCW01, DLCW02, DLCW03 and DLCW05 were commenced from Nov 16<sup>th</sup> 2017; DLCW04 package was commenced from Oct 10<sup>th</sup> 2017.

100% of consultancy meeting has the minutes of meeting and recording all consultancy opinions of EM people.	One community consultation meeting was conducted by PMU and Eptisa EM Specialist with 20 EM representatives of Ea Nar commune. Meeting minute was recorded with EM's opinions, May 2019	Achieved	Achieved
70% of targeted EM groups participated meetings	100% local participation attend the meeting is Ede people in Ea Nar communes, May 2019	Achieved	Achieved
The number of EM HHs were consulted at home as actual demand	EM HHs were consulted in their village and water stations, May 2019	Achieved	Achieved

## 2.1. Extensive IEC program for target EMs

12. In this monitoring period, no IEC activities were conducted since the project IEC program had just completed in the first 6 months of 2018. *(Detail, see EMDP report, first sixth-month 2018)*. The assessment on Extensive IEC program as of June 2019 are shown in the table 2.

**Table 2 : Table of assessment on Extensive IEC program for target EMs as of June 2019**

Target indicators	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
(i) At least one IEC meeting for each Buon of target EMs and EM WU groups	No activities were conducted in this monitoring period	Completed	Completed
(ii) 15% of attendees are target EM people (men, women and the poor)		Completed	Exceeded
(iii) 70% of EM household representatives have good understanding about the Project information (e.g. policies, progress) through IEC program		Completed	Completed
(iv) All of the IEC materials are ensured EM sensitiveness		Completed	Completed
(v) One IEC meeting is organized for a boarding EM school in Ea Nar Commune on water source protection topic.		Completed	Adjusted with larger scale



## 2.2. Capacity Building for EM representatives and organizations

13. In this monitoring period, no capacity building activity was implemented. All training was completed in 2017: five two-day training courses were provided for 190 training participants of DAKWACO, PMU staffs, local authorities, EM representatives WU and Community Supervision Boards in the 4 districts (15% or 28 EM and 43% women were trained). The topics focused on EMDP implementation, supervision, and communication skills. ((Detail, see EMDP report, first sixth-month 2018). The assessment on Capacity Building for EM representatives and organizations as of June 2019 are shown in the table 2.

**Table 3: Table of assessment on capacity building as of June 2019**

Target indicator as approved EMDP	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
(i) 15% of trainees are EMs (men, women and the poor)	No activities were conducted in this monitoring period	Completed	Completed
(ii) 100% of EM facilitators are trained before they start working for the Project	No activities were conducted in this monitoring period	Completed	Completed

## 2.3. Maximum benefits to target EM households to the project's treatment water system.

14. In this monitoring period, no activity was implemented. The progress continues to be delayed due to depends on the progress of installation of the project water service system which very much behind the schedule. However, the support policy for target EM households has been widely announced to EMs. PMU has also pledged to implement this policy when installing service pipelines into households

15. The assessment as of June 2019 are shown in the table 4:

**Table 4: Assessment on Maximum benefits to target EM HHs to the project's treatment water system as of June 2019**

Target indicator as approved EMDP	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
(i) At least 70% of target EM households and all of 40 EM villages/buon of Buon Ma Thuot City; 50% of target EM households in 3 other subprojects can access treated water system of the project	Continues to be delayed.	Delayed	Delayed
(ii) 100% of target non-poor EM households of the subprojects are provided household connections including water meter and pipeline within 4 meters free of charge.		Delayed	Delayed
(iii) 100% of target poor EM households of the Sub-projects are provides household connections within 15-20 meters free of charge		Delayed	Delayed
(iv) Number of sparsely scattered households in the subproject areas have the opportunity to use clean water through water self-management models of the project	Delayed PMU agreed to install an additional main pipeline in Ea Na commune – where is living EMs and is the watershed of Buon Ma Thuot sub-project	Delayed	Delayed
(v) DAKWACO have a policy to deduct water tariff for the extremely poor EM households. There isn't any extremely poor household become poorer due to water tariff	Delayed	Delayed	Delayed

#### **2.4. Job opportunities and equal payment for Ethnic Minority**

16. EM labors in the construction sites: In this monitoring period, there were a total of 516 labors working in the construction sites in which the local labor is 65%. It included 31 ethnic minority people (6%) and 56 women (11%). Average payment for male and female labors that paid by Contractor is 170.000 VND/person/day – 200.000 VND/person/day, depending on work categories, regardless discrimination of minority groups. Table 5 below present EM workers in the construction sites as of June 2019.

**Table 5 EM workers in the construction sites as of June 2019**

	Total	Eakar	Krong Nang	Buon Don	DL CW02	DL CW03	DL CW04
Number of worker	889	209	279	301	60	35	5
Number of local labor	242	54	72	54	40	20	2
Scale of local labor with total	27.22 %	25.84 %	25.81%	17.94%	66.67%	57.14 %	40.00%
Women labor	122	31	34	45	6	5	1
Scale of women labor with total	13.72 %	14.83 %	12.19%	14.95%	10.00%	14.29 %	20.00%
Scale of women labor with local labor	50.41 %	57.41 %	47.22%	83.33%	15.00%	25.00 %	50.00%
Ethnic labor	165	40	40	40	40	4	1
Scale of Ethnic labor with local labor	68.18 %	74.07 %	55.56%	74.07%	100.00 %	20.00 %	50.00%

17. **Newly EM workers in Dakwaco:** in this monitoring period, there was no recruitment by Dakwaco. As of June 2019, there was five Ethnic Minority members from Affected Households by the Project (land acquisition) were recruited to work permanently for the company extension. Assessment on Increase Job Opportunities for EMs as of June 2019 in table below:

18. **EM Facilitators working for the project:** from Nov. 2017, there have 23 EM facilitators and coordinators started working for the project in the 4 districts. They were paid by the PMU for their participating in the project training and facilitating IEC meetings. (Detail, see EMDP report, first sixth-month 2018).

19. The assessment on job opportunities and equal payment for Ethnic Minority as of June 2019 are shown in the table 5:

**Table 6: Table of assessment on Increase Job Opportunities for EMs as of June 2019**

Target index of Increase Job Opportunities for EMs	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
(i) Number of jobs was prioritized over EMs from 6 affected EM households		Achieved	Achieved in 2017
(ii) 15% of suitable job opportunities are served for the EM workers (men, women and the poor)	31 ethnic minority people (6%, lower than target) and 56 women (11%) working in construction sites	Achieved	Achieved
(iii) Remuneration between EM and non-EM workers is paid equally for the same type of job	Remuneration between EM and non-EM workers is paid equally for the same type of	Achieved	Achieved

	jobs, with 200.000 VND/person/day		
(iv) Around 20 EM facilitators are recruited and paid by the project for their works		Achieved	Achieved in the first 6 months 2018

## 2.5. EMDP integrated in other plans of the Project to avoid adverse impacts

20. During this monitoring period, no adverse impacts by resettlement activities to EMs was reported. All of six EM affected households (among 16 households that had affected lands), received compensation according to approved RP of project and they was issued the Land Use Certificate (included names of husbands and wives) after handing over land to project. All of 6 affected EM households receive compensation with signatures of both husband and wife, and with right compensation amount which given in the Project Resettlement Plan in 2017.

21. Not any significant impacts on environment-society (such as drugs, prostitution, sexual abusing, drug addiction, gambling, child laboring or sexism) to EM people, including women and children. Issues related to environment and traffic safety due to implementation construction sites are monitored and handled in a timely manner. The assessment on EMDP integrated in other plans of the Project as of June 2019 are shown in the table 6.

**Table 7: Assessment on EMDP integrated in other plans of the Project as of June 2019**

Target index of EMDP integrated in other plan of the Project to avoid adverse impacts	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
1) All of 6 affected EM household receive their re-issued Land use certificate which mentions names of both husband and wife on it		Achieved	Achieved in 2017
(2) All of the 6 affected EM households receive compensation with signatures of both husband and wife, and with right compensation amount which given in the Project Resettlement Plan		Achieved	Achieved
3) The contractor committed not to let workers cause security disorder in the EM areas	According to quarterly construction supervision reports, there was no significant security disorder causes by workers to EM areas	Achieved	Achieved
4) Inform EM households the plans of temporary cutting water			

Target index of EMDP integrated in other plan of the Project to avoid adverse impacts	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
during construction implementation		Not applicable	Not applicable
5) Meeting minute mentioned the maximum agreed time of temporary cutting water during construction implementation			
6) Target Ede communities living near 3 water stations will be supported to preserve and develop traditional cultural practices.	One community consultant meeting was conducted in May with Ede representatives in Ea Wer commune where EMs living near 2 water station. PMU agreed to support the commune 10.000.000 VND to purchase new tradition costumes for performance team for annual festival at the water stations.	On-going	Adjust according to actual situation

## 2.6. Grievance redress mechanism

22. In this monitoring period, the project GRM are on-going. Due to most of the project activities in the residential areas of the constructors for the main pipe-line systems were finished, the periodic monitoring of EMDP was integrated into the construction monitoring activities of the PMU and Eptisa technical consultants.

23. No complaint for EM during the monitoring period. The assessment on Grievance Redress Mechanism as of June 2019 are shown in the table 7.

**Table 8: Table of assessment on Grievance Redress Mechanism as of June 2019**

Target index of Grievance Redress Mechanism	Achieved in reporting period	Assessment	
		As of reporting period	As approved plan
1) GRM is established and operated for 4 Subprojects with the participation of EM representatives	The GRM has been established and operated since Sept. 2017 and on-going in all project city/districts with EM representatives	Achieved	Achieved
2) Complains from EM men and women must be resolved and feedback timely and record kept	No complain during this report	Achieved	Achieved

3) No cost for complaint and no fear of retribution to any EM.		Achieved	Achieved
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## 2.7. Disbursement progress for EMDP Implementation

24. The approved budget for EMDP and Gender Action Plan (GAP) is 1,811,443,000 VND. In which the budget for EMDP is 430.707.000 VND (equivalent to USD 19.348,92). It excludes the compensation for affected ethnic minority households.

25. Since the project's EMDP and GAP are implemented in the same areas, have the equivalent operation, by the same PMU and Eptisa Specialist. Thus, to ensure the effectiveness, activities of the EMDP are implemented in combination with the GAP.

26. In this monitoring period, there was no EMDP activities requiring expenditure for its implementation. By the end of June 2019, total disbursed budget for GAP and EMDP activities was 118,765,912 VND and the remaining were 1,692,677,088 VND.

27. The disbursed progress slowness is that most of expense for GAP and EMDP are used for supporting policies for maximizing the connect of the EM household to the project water system and related activities which are still delayed. The disbursement progress is shown in the table 8.

**Table 9: Summary disbursed budget as of June 2019**

No	Content	Plan	Disbursed up to June 2019	Percentage of disbursed as of June. 2019
1	Survey and consultation meeting/ survey	60,000,000		
2	Training	190,500,000	85,995,912	45.1%
3	Information education communication	343,100,000		
4	Workshop	160,900,000	32,770,000	20.4%
5	Prior policy/ prior pipeline and meter installation policy	909,000,000		
6	Contingency	147,943,000		
	<b>TOTAL</b>	<b>1,811,443,000</b>	<b>118,765,912</b>	<b>6.6%</b>

### 3. CONCLUSION AND RECOMMENDATIONS

#### 3.1. Conclusion

28. Main activity during reporting period is a community consultation meeting with EM representatives in Ea Nar commune in May 2019. PMU agreed to support a budget of 10 million VND to support the local to buy new tradition costumes for the worship's performance team for Ede people who are using water stations for domestic water use and annual worship. In this period, 31 ethnic minority labors (accounted 6% total, 11% women) were working in construction sites with average wage is 170.000– 200.000 VND/person/day, depending on work categories, regardless discrimination of minority or gender.

29. As of June 2019, 8 out of 9 prioritized tasks under approved EMDP were achieved. In general, local authorities and communities are happy with the project objectives, EMDP policies and implementation activities. Issues on environment-society happened to target EMs including the poor, women and children are monitored and handled in a timely manner. The biggest problem of the project is the slow progress of installing service water pipelines to households. This delays the implementation of EMDP's policies to maximize benefits to target EM households to the project's treatment water system and disbursement progress.

30. The summary progress of EMDP as of June 2019 is in table 10 below:

**Table 10: The summary progress of prioritized tasks of EMDP as of June 2019**

No	Priority tasks of EMDP	Achieved in reporting period	Conformity with approved EMDP plan
1	Maximum benefits to target EM households		Delay due to depend on the project progress
2	Capacity building for Ethnic Minority representatives and organizations		Completed in 2017
3	Extensive IEC/BCC to improve awareness and change behaviors of target EMs		Completed in the first 6 months of 2018
4	Consultation with target EM, poor and EM women groups	One community consultation meeting with 20 EM representatives of Ea Nar commune. As results from the meeting, PMU agreed to support the commune 10 million VND for new tradition costumes for annual worship at the commune's water stations	Achieved



5	Increase Job Opportunities for EMs	31 ethnic minority people (6% of total labor, 11% women) in construction sites. Average wage: 170.000– 200.000 VND/person/day, depending on work categories. No gender and ethnic minority discrimination found	Achieved
6	EMDP integrated in other plan of the Project to avoid adverse impacts		Achieved
7	Grievance Redress Mechanism		Achieved in the second sixth months 2018
8	Monitoring and Reporting	EMDP first 6 months report 2019 submitted to ADB	Achieved
9	EM development in DAKWACO		Achieved in the first six months 2018

### 3.2. Recommendations

31. Two of 3 recommended activities from the second 6 months report in 2018 was achieved in this period (continue implement periodic monitoring meetings and provide necessary support for Ea Na communities living near water stations) while the others (Implement priority policy to maximize benefits to target EM households) was still delayed due to depending on the project progress.

32. PMU and contractors urgently speeding up the installation of main pipes and service water pipes in the second six months of 2019 by working/provide necessary supports to contractors to accelerate the service pipeline installation progress.

33. PMU focal point and Eptisa's EMDP Specialist continue implement and monitor the remaining activities of EMDP in the second six months of 2019, such as conduct preparatory activities to implement the policy policies to maximize benefits to target EM households to the project's treatment water system when the project finish installing the service pipeline system. Continuing coordinate with consultants Eptisa, contractors, local authorities and community supervision boards to implement periodic monitoring mission/activities and EM consultations. Ensuring security and safety issues are controlled and EMs' complains are resolved, feedback and recorded timely.

### 3.3. Next activities

34. The next activities should be paid more attention in the next second months 2019 are shown in the Table 11 below.

**Table 11: Next activities in the next second months 2019**



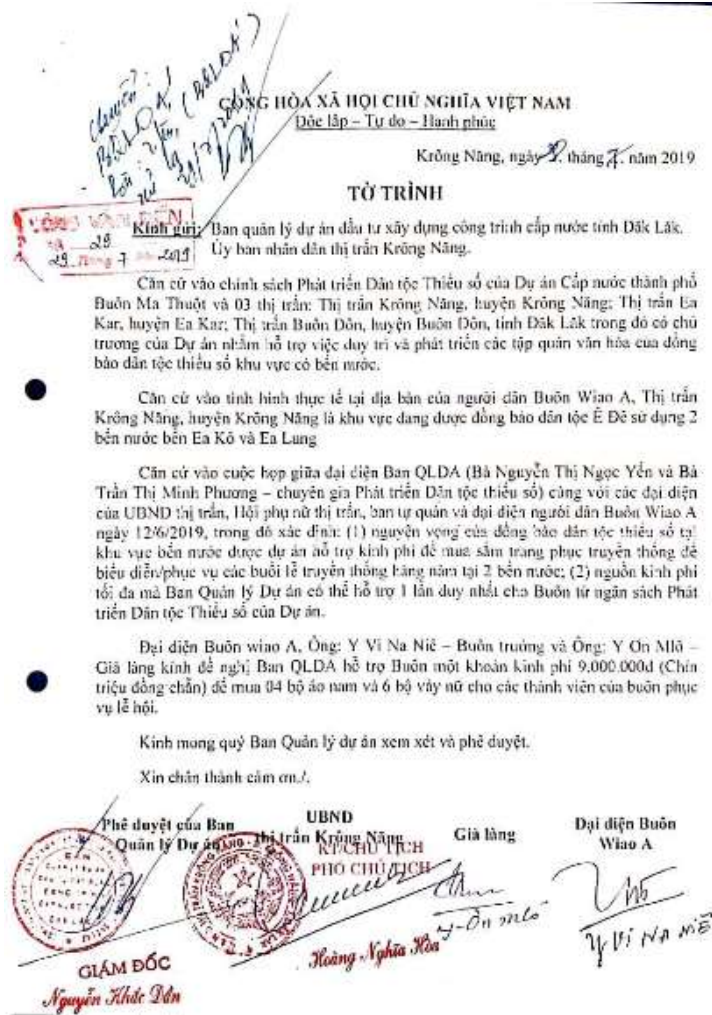
Activities	Next activity	Time	Responsibilities
Implement priority policy to maximize benefits to target EM households: <i>EM poor households were totally free the expense and materials of installation of pipeline within 15-20 m diameter; assure at least 70 % of EM people in 40 villages of Buon Ma Thuot city and 50% of EM HHs in three sub-projects access project treated water system.</i>	Urgently push up service pipeline installation progress and assure to follow commitment policy	As soon as possible	Constructors PMU, Eptisa
Maintain grievance redress mechanism  EMDP integrated in other plan of the project to avoid adverse impacts  EMDP monitoring mission	Periodically organize monitoring missions with construction contractors, local authority and EM representatives to ensure the project GRM is operating and problem happened to EMs are timely resolved as well as EMDP integrated in other plan of the project to avoid adverse impacts	Jul.- Dec. 2019	PMU, Eptisa, Local Authorities, Community supervision boards Constructors
Provide necessary support for Ea Nar - the Ede communities living near 2 water stations in the project area - to encourage the EMs the use of project's treated water while maintaining the annual cultural practices at the stations.	Provide support to the local communities as conclusion from community consultation meeting in May 2019	Jul.- Dec. 2019	PMU & Eptisa EMDP Specialist  Ea Na local authorities and EM representatives

## 4. APPENDIX

### 4.1. Pictures about the consultation meeting with Ea Nar representatives in May 2019



#### 4.2. Office letter from Ea Nar commune to the PMU in May 2019



Office letter from Ea Nar representatives to PMU

requesting for financial support for purchasing new costumes, May 2019

English version

**SOCIALIST REPUBLIC OF VIETNAM**

**Independence – Liberty – Happiness**

Krong Nang, Jul 28<sup>th</sup> 2019

**REPORT**

Respectfully to: Dak Lak water supply construction investment project management unit

Krong Nang Town People Committee

Pursuant to the Ethnic Minority Development Policy of Water Supply Project for Buon Ma Thuot and three towns: Krong Nang town, Krong Nang district, Ea Kar town, Ea Kar district and Buon Don town, Buon Don district, Dak Lak province, in which there is the project policy to support the preservation and development of cultural customs of ethnic minority in the water station areas.

Pursuant to the actual status in the local areas of locals in Wiao A village, Krong Nang town, Krong Nang district where Ede ethnic minority used two water stations of Ea Ko and Ea Lung.

Pursuant to the meeting between Dak Lak water supply construction investment project management unit (PMU) (Mrs. Nguyen Thi Ngoc Yen and Mrs. Tran Thi Minh Phuong – ethnic minority development specialist) and the representatives of Town People Committee, Town Women's Union, self-governing board, and locals in Village Wiao A dated Jun 12<sup>th</sup> 2019, that identified: (i) desire of ethnic minority in water station areas that supported the expense to purchase traditional costumes to perform/use in annual tradition festival in two water station by the Project; (ii) the maximum budget that PMU can support only one time for Village, will be got from Project's Ethnic Minority Development Budget.

The representative of Village Wiao A, Mr. Y Vi Na Nie – village leader and Mr. Y On Mlo – village elder respectfully suggest PMU to support the village the expense of 9.000.000 VND (nine million only VND) to purchase 04 male top costumes and female bottom costumes for all members of village for festival performance.

Respectfully PMU to review and approve

Best regards!

Approval of PMU

Krong Nang Town People  
Committee

Village  
Elder

Representative of Village  
Wiao A