

Resettlement and Ethnic Minorities Monitoring Report

Semi-annual Report
November 2013

Viet Nam: Sustainable Rural Infrastructure
Development Project in Northern Mountain Provinces
– Subprojects in Thai Nguyen, Cao Bang, Bac Kan,
Bac Giang and Lang Son Provinces

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E.P.C for the Ministry of Agriculture and Rural Development and the Asian Development Bank.

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Second External Resettlement Monitoring Report

Mission 2 – November 2013

**SUSTANABLE RURAL INFRASTRUCTURE DEVELOPMENT
PROJECT IN NORTHERN PROVINCES
PROJECT NUMBER 41461**

**PREPARED BY HA NOI BRANCH OF INVESTMENT AND ENVIRONMENTAL
PROTECTION CONSULTATION COMPANY E.P.C FOR MINISTRY OF
AGRICULTURE AND RURAL DEVELOPMENT (MARD) AND THE ASIAN
DEVELOPMENT BANK (ADB)**

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ABBREVIATIONS

| | | |
|--------|---|--|
| ADB | - | Asian Development Bank |
| AH | - | Affected Household |
| AP | - | Affected Person |
| CARP | - | Compensation, Assistance and Resettlement Plan |
| CPC | - | Commune Peoples, Committee |
| CPMU | - | Central Project Management Unit |
| DARD | - | Department of Agriculture and Rural Development |
| DCARB | - | District Compensation, Assistance, Resettlement Board |
| DCARC | - | District Compensation, Assistance, Resettlement Committee |
| DLFDC | - | District Land Fund Development Center |
| DMS | - | Detailed Measurement Survey |
| DOF | - | Department of Finance |
| DONRE | - | Department of Natural Resources and Environment |
| DPC | - | District Peoples, Committee |
| DPI | - | Department of Planning and Investment |
| EA | - | Executing Agency |
| EM | - | Ethnic Minority |
| FS | - | Feasibility Study |
| GOV | - | Government of Vietnam |
| IMO | | Independent Monitoring Organization |
| IOL | - | Inventory of Losses |
| LURC | - | Land Use Rights Certificate |
| MOF | - | Ministry of Finance |
| MOLISA | - | Ministry of Labor, Invalids and Social Assistance |
| MONRE | - | Ministry of Natural Resources and Environment |
| MARD | - | Ministry of Agriculture and Rural Development |
| NTP | - | Notice to Proceed |
| PCARB | - | Provincial Compensation, Assistance and Resettlement Board |

| | | |
|-------|---|---|
| PIB | - | Project Information Booklet |
| PPC | - | Provincial People Committee |
| PPMU | - | Provincial Project Management Unit |
| RCS | - | Replacement Cost Study |
| REMDP | - | Resettlement and Ethnic Minorities Development Plan |
| RF | - | Resettlement Framework |
| RP | - | Resettlement Plan |
| SES | - | Socio-Economic Survey |
| SRIDP | - | Sustainable Rural Infrastructure Development Project in the Northern Mountain Provinces |
| VND | - | Vietnamese dong |
| VWU | - | Viet Nam Women's Union |

GLOSSARY

| | |
|---|--|
| Affected person / Affected household | - Means any person, household, firm or private institution who, on account of changes resulting from the Project, or any of its phases or sub-projects, will have its (i) standard of living adversely affected; (ii) right, title or interest in any house, land (including residential, commercial, agricultural, forest, salt mining and/or grazing land), water resources or any other moveable or fixed assets acquired, possessed, restricted or otherwise adversely affected, in full or in part, permanently or temporarily; and/or (iii) business, occupation, place of work or residence or habitat adversely affected, with or without displacement. In the case of affected household, it includes all members residing under one roof and operating as a single economic unit, who are adversely affected by a project or any of its components. |
| Detailed Measurement Survey | - With the aid of the approved detailed engineering design, this activity involves the finalization and/or validation of the results of the inventory of losses (IOL), severity of impacts, and list of APs earlier done during RP preparation. The final cost of resettlement can be determined following completion of the DMS. |
| Compensation | - Means payment in cash or in kind to replace losses of land, housing, income and other assets caused by the Project. All compensation is based on the principle of replacement cost, which is the method of valuing assets to replace the loss at current market rates, plus any transaction costs such as administrative charges, taxes, registration and titling costs. |
| Cut-off date | - Means the date of completing DMS for which land and/or assets affected by the Project are measured. The APs will be informed of the cut-off date for each subproject component, and any people or assets that settle in the subproject area after the cut-off date will not be entitled to compensation and assistance under the subproject. |
| Ethnic minority | - People with a group status having a social or cultural identity Distinct from that of the dominant or mainstream society. |
| Entitlement | - Refers to a range of measures comprising compensation, |

| | |
|----------------------------|--|
| | income restoration support, transfer assistance, income substitution, relocation support, etc. which are due to the APs, depending on the type and severity of their losses, to restore their economic and social base. |
| Host community | - Means the community already in residence at a proposed resettlement or relocation site. |
| Income restoration | - This is the re-establishment of sources of income and livelihood of the affected households. |
| Income restoration program | - A program designed with various activities that aim to support affected persons to recover their income / livelihood to pre-project levels. The program is designed to address the specific needs of the affected persons based on the socio-economic survey and consultations |
| Inventory of Losses | - This is the process where all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; secondary structures, such as fences, tombs, wells; trees with commercial value; etc.) and sources of income and livelihood inside the Project right-of-way (PROJECT AREA) are identified, measured, their owners identified, their exact location pinpointed, and their replacement costs calculated. Additionally, the severity of impact to the affected assets and the severity of impact to the livelihood and productive capacity of APs will be determined. |
| Land acquisition | - Refers to the process whereby an individual, household, firm or private institution is compelled by a public agency to alienate all or part of the land it owns or possesses to the ownership and possession of that agency for public purposes in return for compensation at replacement costs. |
| Rehabilitation | - This refers to additional support provided to APs losing productive assets, incomes, employment or sources of living, to supplement payment of compensation for acquired assets, in order to achieve, at a minimum, full restoration of living standards and quality of life. |
| Relocation | - This is the physical relocation of an AP from her/his pre-project place of residence and/or business. |
| Resettlement and | - A plan for resettlement of an ethnic minority population, |

| | | |
|----------------------------------|------|--|
| Ethnic Minority Development Plan | | combining the resettlement plan with specific ethnic minority concerns and cultural sensitivity for the specific needs of the ethnic minority groups. |
| Replacement cost | | - The amount needed to replace an affected asset net of transaction costs such as administrative charges, taxes, registration and titling costs. |
| Replacement Study | Cost | - This refers to the process involved in determining replacement costs of affected assets based on empirical data. |
| Resettlement | | - This includes all measures taken to mitigate any and all adverse impacts of a project on AP property and/or livelihoods, including compensation, relocation (where relevant), and rehabilitation as needed. |
| Resettlement Plan | | - This is a time-bound action plan with budget setting out compensation and resettlement strategies, objectives, entitlement, actions, responsibilities, monitoring and evaluation. |
| Severely affected households | | - This refers to affected households who will (i) lose 10% or more of their total productive land and/or assets, (ii) have to relocate; |
| Stakeholders | | - Individuals, groups, or institutions that have an interest or stake in the outcome of a project. The term also applies to those potentially affected by a project. Stakeholders include land users, country, regional and local governments, implementing agencies, project executing agencies, groups contracted to conduct project activities at various stages of the project, and other groups in the civil society which may have an interest in the project. |
| Vulnerable groups | | - These are distinct groups of people who might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically include: (i) single-female headed households with dependents, (ii) disabled household heads, (iii) households falling under the generally accepted indicator for poverty, (iv) children and the elderly households who are landless and with no other means of support. |

I. EXECUTIVE SUMMARY

A. Background

1. A contract of independent monitoring for implementing the REMDP/RP of the project was signed between Central Project Management Unit (CPMU) of Sustainable Rural Infrastructure Development Project in the Northern Mountain Provinces (SRIDP) and EPC Branch in Ha Noi on 18/4/2013. The monitoring coverage of EPC Branch in Ha Noi are the subprojects in the Provinces of Thai Nguyen, Cao Bang, Bac Kan, Bac Giang and Lang Son.

2. An inception report was prepared by EPC Branch in Ha Noi in May-2013, reviewed by CPMU, revised by EPC Branch in Ha Noi, and accepted by CPMU right after. This report covers the first output of independent monitoring by EPC Branch in Ha Noi. Information on the project background, scopes of work for independent monitoring, methodology, monitoring arrangements, main findings and recommendation are provided.

3. Objectives of External Monitoring

The main objective of external monitoring is to provide an independent periodic review and assessment of: (i) achievement of resettlement objectives; (ii) changes in living standards and livelihoods; (iii) restoration and/or improvement of the economic and social base of the affected people; (iv) effectiveness and sustainability of entitlements; and (v) the need for further mitigation measures.

4. Findings and suggestions after the first monitoring mission by EPC Branch in Ha Noi and the first external monitoring report is submitted on November 2013. Based on a progress of implementation up to date of the first monitoring mission, some tasks of implementing REMDP have not yet done by PPMU, therefore the first report just covers only for the works which were done at the time of the first monitoring mission. The other tasks have been monitored and evaluated in the second monitoring mission.

5. Monitoring period in the field: During 15-27/11/2013 EPC assigned independent monitoring consultant (IMC) to undertake the 2nd monitoring on resettlement and ethnic minority development activities in 5 above provinces. The Second monitoring Report covers the progress of resettlement work in 14 subprojects for the period ending November 2013. The detail activities of IMC are presented in **Annex 1**.

6. Activities Conducted During the second Monitoring Mission

In the second monitoring mission, the monitoring team focused on the specific issues as follows:

- (i) The status of corrective measures proposed in this mission report.
- (ii) Approval of the RP/REMDPs by the concerned PPCs
- (iii) Conduct of replacement costs survey (RCS) by independent evaluators and approvals of the project provinces for the replacement cost study.
- (iv) Compensation payment to the affected households
- (v) Complaints and grievances; and
- (vi) Ethnic minorities and Gender Issues.

7. Monitoring objective, scope and method for the second monitoring in **Annex 2**.

B. Scope of impact

8. The implementation of subprojects will require land acquisition, causing adverse impacts on Residential land, agriculture land and other landuse classification. 150 Ahs with secondary structures are affected by subprojects. There are 02 AH was inventoried as severe Ahs. The following table show the overall impact:

Table I-1 Scope of impacts by subprojects

| No. | Subproject name | No. of AHs (HH) | | Total area recovery | | Land acquisition area (m ²) | | | | | | HHs with Affected residential land (HH) | | HHs with Affected Agriculture land (HH) | |
|-----|---|-----------------|-----|---------------------|-----------|---|-------|------------------|-----------|------------|--------|---|-----|---|-----|
| | | | | | | Residential Land | | Agriculture land | | Other land | | | | | |
| | | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS |
| I | Bac Giang | 328 | 472 | 27871 | 35425.2 | 1220 | 15 | 26651 | 22622.2 | 0 | 12788 | 24 | 0 | 304 | 246 |
| 1 | SP01: Upgrading rural road of Tân Hiệp – Tam Tiến; Hương Lạc – Hương Sơn, Yên Thế District | 68 | 226 | 7,184.00 | 14,629.00 | 1,220 | 15 | 5,964 | 1,826 | 0 | 12,788 | 24 | NA | 44 | NA |
| 2 | SP02: Upgrading pumping station of Xuân Hương, Lạng Giang District | 179 | 246 | 13,697.00 | 20,796.20 | 0 | 0 | 13,697 | 20,796.20 | 0 | 0 | 0 | 0 | 179 | 246 |
| 3 | SP03: Upgrading pumping station of Ngô Không 1, Hiệp Hòa District | 81 | NA | 6,990.00 | NA | 0 | NA | 6,990 | NA | 0 | NA | 0 | NA | 81 | NA |
| II | Bac Kan | 217 | 247 | 28364 | 26,908 | 0 | 0 | 28312 | 15,051 | 52 | 11,857 | 0 | 0 | 217 | 143 |
| 4 | SP04: Construction of embankment in Cầu River, Cho Moi district | 46 | 48 | 4,508.00 | 5,357.00 | 0 | 0 | 4,508 | 5,357 | 0 | 0 | 0 | 0 | 46 | 48 |
| 5 | SP05: Upgrading rural road of Đèo Giàng - Vũ Muộn, Bạch Thông District | 104 | 104 | 14,602.00 | 14,602.00 | 0 | NA | 14,602 | 2,745 | 0 | 11,857 | 0 | NA | 104 | NA |
| 6 | SP06: Upgrading rural road of Bằng Lăng - Yên Nhuận, Chợ Đồn District | 67 | 95 | 9,254.00 | 6,949.00 | 0 | 0 | 9,202 | 6,949 | 52 | 0 | 0 | 0 | 67 | 95 |
| III | Cao Bang | 258 | 454 | 20460 | 32086 | 1246 | 895.1 | 19214 | 31190.9 | 0 | 0 | 39 | 38 | 219 | 416 |
| 7 | SP07: Upgrading rural road of Thị Hoa - Cô Ngân (Hạ Lang District) - Triệu Ấu (Phục Hòa District) | 221 | 311 | 17,025.00 | 25,837.00 | 1,231 | 895.1 | 15,794 | 24,942 | 0 | 0 | 38 | 38 | 183 | 273 |

2nd External Monitoring Report

Resettlement Plan and Ethnic Minorities Development in Bac Giang, Cao Bang, Bac Kan, Lang Son and Thai Nguyen Provinces

| No. | Subproject name | No. of AHs (HH) | | Total area recovery | | Land acquisition area (m ²) | | | | | | HHs with Affected residential land (HH) | | HHs with Affected Agriculture land (HH) | |
|-----|---|-----------------|-------|---------------------|----------|---|-------|------------------|----------|------------|---------|---|-----|---|-------|
| | | | | | | Residential Land | | Agriculture land | | Other land | | | | | |
| | | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS |
| 8 | SP08: Upgrading irrigation system of Đình Phong Commune, Trùng Khánh District | 37 | 143 | 3,435.00 | 6,249.00 | 15 | 0 | 3,420 | 6,249 | 0 | 0 | 1 | 0 | 36 | 143 |
| IV | Lang Son | 180 | 96 | 68386 | 8217 | 4310 | 1586 | 64076 | 4994.6 | 0 | 1636.4 | 66 | 52 | 114 | 42 |
| 9 | SP22: Upgrading irrigation system of Tà Keo, Lộc Bình District | 51 | 96 | 1,866.00 | 8,217.00 | 764 | 1,586 | 1,102 | 4,994.60 | 0 | 1,636.4 | 20 | 52 | 31 | 42 |
| 10 | SP23: Upgrading irrigation system of Bản Chanh Lake, Lộc Bình District | 47 | NA | 3,941.00 | NA | 884 | NA | 3057 | NA | 0 | NA | 22 | NA | 25 | NA |
| 11 | SP24: Upgrading rural road of Khau Ra – Quang Trung | 82 | NA | 62,579.00 | NA | 2,662 | NA | 59,917 | NA | 0 | NA | 24 | NA | 58 | NA |
| V | Thai Nguyen | 764 | 309 | 103560 | 7,659.3 | 13392 | 0 | 90168 | 6,840.3 | 0 | 819.0 | 273 | 0 | 491 | 309 |
| 12 | SP34: Upgrading road: Linh Nham - Đèo Nhâu and Văn Hân - Đồng Hỷ market t | 601 | 255 | 80,267.00 | 3,763.20 | 13,392 | 0 | 66,875 | 3,277.90 | 0 | 485.3 | 248 | 0 | 353 | 255 |
| 13 | SP35: Upgrading road: Trảng Xá - Đèo Nhâu, Võ Nhai district | 55 | 54 | 5,736.00 | 3896.1 | 0 | 0 | 5,736 | 3562.4 | 0 | 333.7 | 0 | 0 | 55 | 54 |
| 14 | SP36: Upgrading road: Phú Lý - Yên Trạch, Phú Lương district | 108 | NA | 17,557.00 | NA | 0 | NA | 17,557 | NA | 0 | NA | 25 | NA | 83 | NA |
| | TOTAL | 1,747 | 1,681 | 248,641 | 110,296 | 20,168 | 2,496 | 228,421 | 80,699 | 52 | 27,100 | 402 | 90 | 1,345 | 1,145 |

Table I-2 Scope of impacts by severe AHs and vulnerable AHs

| No. | Subproject name | Total Number of Ahs | | HHs with affected agricultural land > 10% | | HHs with affected houses and structure | | Vulnerable households | | | | | | | | | | | |
|------------|---|---------------------|------------|---|----------|--|-----------|-----------------------|------------|-----------|-----------|------------|----------|----------------------|-----------|-----------|----------|---------------|----------|
| | | | | | | | | EMs | | Poor | | Disability | | Single female-headed | | Elderly | | Social policy | |
| | | REMDP | DMS | REMDP | DMS | REMDP | DMS | REM DP | DMS | REM DP | DM S | REM DP | D MS | RE MDP | DMS | REM DP | DM S | REM DP | DM S |
| I | Bac Giang | 328 | 472 | 0 | 0 | 32 | 0 | 43 | 0 | 16 | 11 | 5 | 0 | 11 | 0 | 30 | 0 | 19 | 7 |
| 1 | SP01: Upgrading rural road of Tân Hiệp – Tam Tiến; Hương Lạc – Hương Sơn, Yên Thế District | 68 | 226 | 0 | NA | 32 | NA | 40 | 0 | 4 | 7 | 0 | 0 | 10 | 0 | 12 | 0 | 4 | 0 |
| 2 | SP02: Upgrading pumping station of Xuân Hương, Lạng Giang District | 179 | 246 | 0 | NA | 0 | 0 | 3 | 0 | 8 | 0 | 3 | 0 | 0 | 0 | 11 | 0 | 10 | 2 |
| 3 | SP03: Upgrading pumping station of Ngô Không 1, Hiệp Hòa District | 81 | NA | 0 | NA | 0 | 0 | 0 | 0 | 4 | 4 | 2 | 0 | 1 | 0 | 7 | 0 | 5 | 5 |
| II | Bac Kan | 217 | 247 | 1 | 2 | 23 | 38 | 207 | 132 | 8 | 12 | 1 | 4 | 4 | 3 | 0 | 0 | 0 | 0 |
| 4 | SP04: Construction of embankment in Cầu River, Cho Mội district | 46 | 48 | 1 | 2 | 0 | NA | 43 | 45 | 7 | 5 | 0 | 1 | 1 | 3 | 0 | 0 | 0 | 0 |
| 5 | SP05: Upgrading rural road of Đèo Giàng - Vũ Muộn, Bạch Thông District | 104 | 104 | 0 | NA | 23 | NA | 99 | NA | 0 | NA | 0 | NA | 0 | NA | 0 | NA | 0 | NA |
| 6 | SP06: Upgrading rural road of Bằng Lăng - Yên Nhuận, Chợ Đồn District | 67 | 95 | 0 | 0 | 0 | 38 | 65 | 87 | 1 | 7 | 1 | 3 | 3 | 0 | 0 | 0 | 0 | 0 |
| III | Cao Bang | 258 | 454 | 0 | 0 | 10 | 55 | 253 | 454 | 95 | 83 | 0 | 0 | 10 | 10 | 14 | 0 | 0 | 0 |
| 7 | SP07: Upgrading rural road of Thị Hoa - Cô Ngân (Hạ Lang District) - Triệu Âu (Phục Hòa District) | 221 | 311 | 0 | 0 | 10 | 54 | 216 | 311 | 94 | 62 | 0 | 0 | 10 | 9 | 13 | 0 | 0 | 0 |
| 8 | SP08: Upgrading irrigation system of Đình Phong Commune, Trùng Khánh District | 37 | 143 | 0 | 0 | 0 | 1 | 37 | 143 | 1 | 21 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| IV | Lang Son | 180 | 96 | 5 | 0 | 29 | 51 | 180 | 51 | 41 | 10 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |

2nd External Monitoring Report

Resettlement Plan and Ethnic Minorities Development in Bac Giang, Cao Bang, Bac Kan, Lang Son and Thai Nguyen Provinces

| No. | Subproject name | Total Number of Ahs | | HHs with affected agricultural land > 10% | | HHs with affected houses and structure | | Vulnerable households | | | | | | | | | | | |
|----------|---|---------------------|--------------|---|----------|--|------------|-----------------------|------------|------------|------------|------------|----------|----------------------|-----------|-----------|----------|---------------|-----------|
| | | | | | | | | EMs | | Poor | | Disability | | Single female-headed | | Elderly | | Social policy | |
| | | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS | REMDP | DMS |
| 9 | SP22: Upgrading irrigation system of Tà Keo, Lộc Bình District | 51 | 96 | 0 | NA | 0 | 51 | 51 | 51 | 16 | 10 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| 10 | SP23: Upgrading irrigation system of Bản Chanh Lake, Lộc Bình District | 47 | NA | 1 | NA | 6 | NA | 47 | NA | 24 | NA | 1 | NA | 0 | NA | 0 | NA | 0 | NA |
| 11 | SP24: Upgrading rural road of Khau Ra – Quang Trung | 82 | NA | 4 | NA | 23 | NA | 82 | NA | 1 | NA | 1 | NA | 0 | NA | 0 | NA | 0 | NA |
| V | Thai Nguyen | 764 | 309 | 0 | 0 | 324 | 6 | 273 | 165 | 71 | 18 | 0 | 0 | 1 | 4 | 0 | 0 | 0 | 0 |
| 12 | SP34: Upgrading road: Linh Nham - Đèo Nhâu and Văn Hán - Đồng Hỷ market | 601 | 255 | 0 | 0 | 313 | 6 | 151 | 151 | 68 | 13 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |
| 13 | SP35: Upgrading road: Trảng Xá - Đèo Nhâu, Võ Nhai district | 55 | 54 | 0 | 0 | 0 | 0 | 14 | 14 | 0 | 5 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 14 | SP36: Upgrading road: Phú Lý - Yên Trách, Phú Lương district | 108 | NA | 0 | NA | 11 | NA | 108 | NA | 3 | NA | 0 | NA | 0 | NA | 0 | NA | 0 | NA |
| | TOTAL | 1,747 | 1,681 | 6 | 2 | 418 | 150 | 956 | 842 | 231 | 133 | 8 | 5 | 26 | 20 | 44 | 0 | 19 | 11 |

9. There are 842 HHs out of 1,681 AHs (in DMS) in 13 SPs (Tay, Nung, Cao Lan, San Diu, Thai, Muong, Dao) ethnic minorities affected by the subprojects identified by the DMS work, that were inventoried up to time for the second monitoring, accounting for 55%. However, the EMs in the subproject areas are not vulnerable. The language used in consultation meeting is Kinh language. This is suitable for them since all of them can speak Kinh language.

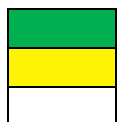
10. By observing the EM in subproject area and policy for EM HH, IMC found that: There's no discrimination for ethnic APs during implementation of resettlement and ethnic minority development activities. Ethnic HHs are totally equal with Kinh people, and will receive all compensation, assistance as per Project Resettlement Framework. The process followed for compensation payment as well as supporting documents for payment fully is sensitive and responsive to the culture and way of life of ethnic minorities groups, as well as their communities. Ethnic HHs were also invited to all public consultation meetings or information dissemination sessions. Their contributions and suggestions were recorded in the same manner as those from Kinh people. For ethnic communities in remote areas, or in places where people had limited access to CPCs, the information was delivered to each village, even to each HH through their respective village leaders.

C. Progress of REMDP – Package 11

11. All 14 subprojects in package 11 involved in land acquisition (residential and agricultural land), and affected structures, plants and crops of AHs living in project provinces of Cao Bang, Bac Kan, Thai Nguyen, Lang Son and Bac Giang. In Thai Nguyen province, 02 subproject (SP 34, 35), out of 3 have donation affected land and asset on land by AHs. Only 01 (SP36) needs to acquire land for construction. The implementation progress of compensation and resettlement activity of package 11 by the 2nd monitoring time as follows:

Table I-3 Implementation progress of compensation and resettlement activity

| Province | SP No. | Approval | | Implementation | | | | | | Remarks |
|-------------|--------|------------|------------|------------------------|------------|-------------------|-------------|----------------------------------|------------------|---|
| | | ADB | PPC | Information disclosure | DMS | Compensation plan | Negotiation | Compensation/ assistance payment | Handover of land | |
| Bac Giang | 1 | 21/5/2013 | 12/07/2013 | 20/04/2012 | 01/08/2013 | 01/10/2013 | 21/10/2013 | | | |
| | 2 | 24/4/2013 | 12/07/2013 | 20/04/2012 | 01/08/2013 | 01/10/2013 | | | | |
| | 3 | 16/8/2013 | 12/07/2013 | | | | | | | |
| Bac Kan | 4 | 25/06/2013 | 08/08/2013 | 15/06/2013 | 26/06/2013 | 03/07/2013 | | | | CARP has been prepared, but not yet been approved |
| | 5 | 07/08/2013 | 08/08/2013 | | | | | | | |
| | 6 | 03/05/2013 | 08/08/2013 | 01/10/2012 | 15/10/2012 | 31/10/2012 | | | | CARP will be approved on the end of Nov.2013 |
| Cao Bang | 7 | 19/3/2013 | | 03/10/2011 | 08/10/2012 | 30/11/2012 | 16/05/2013 | | | CARP have been approved since June 2013. PPMU is waiting for allocate budget. |
| | 8 | 29/3/2013 | | 10/02/2012 | 01/07/2013 | 15/08/2013 | 12/09/2013 | 06/11/2013 | | HHs have not yet signed on Handover Record |
| Lang Son | 22 | 29/3/2013 | 10/08/2013 | 01/05/2012 | 20/11/2012 | 10/07/2013 | 12/08/2013 | | | CARP approved and will be paid at the end of Nov.2013 |
| | 23 | 25/6/2013 | | | | | | | | |
| | 24 | 17/6/2013 | 10/08/2013 | 28/04/2012 | 14/05/2013 | | | | | |
| Thai Nguyen | 34 | 17/4/2013 | 12/07/2013 | 21/04/2012 | 01/08/2013 | 01/10/2013 | | | | Donation of lands and assets on land |
| | 35 | 17/4/2013 | 12/07/2013 | 21/04/2012 | 01/08/2013 | 01/10/2013 | | | | Donation of lands and assets on land |
| | 36 | 16/8/2013 | 12/07/2013 | 21/04/2012 | | | | | | |



Completed
On-going
No action

12. At the time of the first monitoring, only one REMDP had been forwarded and 13 RP/REMDPs are in the process of being forwarded to the respective PPCs by the PPMUs. At the time of second monitoring: 14 REMDPs, have been approved by ADB, in which 03 ones (SP 7,8, 23) have not yet approved by PPC.

13. The status of implementing REMDP by each province has been summarized in table below:

Table I-4 The Status of REMDP Implementation by each province

| # | Content | Cao Bang | | Bac Kan | | Lang Son | | Bac Giang | | Thai Nguyen | |
|-----|--|---|-------------------------------|--|--|--|--|--|--|--|-----------------------------|
| | | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring |
| 1 | Submission and Approval of REMDP | | | | | | | | | | |
| 1.1 | PPMU submitted to PPC | Not yet | Done | Not yet | Done | Not yet | Done | Done | Done | Not yet | Done |
| 1.2 | PPC approved REMDP | Not yet | Not yet | Not yet | Done | Not yet | Done SP22 and SP24 | Not yet done | Done | Not yet | Done |
| 2 | Information dissemination and publication of the project Resettlement Policy Framework | | | | | | | | | | |
| 2.1 | Information dissemination about REMDP | <ul style="list-style-type: none"> Not yet conducted, DCARB & PPMU organized a meeting with the people and provided information on the compensation, allowance and resettlement plan following Province policy | Done for SP07 and SP08 | <ul style="list-style-type: none"> Not yet Meetings have been organized with local people and resettlement activities being implemented based on Province Policy | Done for SP06 and SP04 | <ul style="list-style-type: none"> REMDP not yet disclosed. A meeting was held with local people to disclose the approved compensation plan following province policy. | Done for SP22 and SP24 | <ul style="list-style-type: none"> A meeting was held with local people to disclose the approved compensation plan following province policy. | Done for SP01 and SP02 | <ul style="list-style-type: none"> Not yet, A meeting was with people for the implementation of the compensation, assistance and resettlement based on province policy | Done for SP34 and SP35 |
| 2.2 | Meeting/Information dissemination date | Oct-11 | Oct-11 | Oct-12 | Oct-12 | May-12 | May-12 | Apr-12 | Apr-12 | Apr-12 | Apr-12 |
| 2.3 | Participants of Information dissemination activity | DCARC, CPCs leaders, affected households | DCARC, CPCs leaders, affected | DCARC, CPCs leaders, affected households | DCARC, CPCs leaders, affected households | LFC, CPCs leaders, affected households | LFC, CPCs leaders, affected households | LFC, CPCs leaders, affected households | LFC, CPCs leaders, affected households | DCARC, DCARB, CPCs leaders, affected households | DCARC, DCARB, CPCs leaders, |

2nd External Monitoring Report

Resettlement Plan and Ethnic Minorities Development in Bac Giang, Cao Bang, Bac Kan, Lang Son and Thai Nguyen Provinces

| # | Content | Cao Bang | | Bac Kan | | Lang Son | | Bac Giang | | Thai Nguyen | |
|-----|---|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|------------------------------------|----------------------------|---|
| | | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring |
| | | | households | | | | | | | | affected households |
| 2.4 | Mode | Meeting | Meeting | Meeting | Meeting | Meeting | Meeting | Meeting | Meeting | Meeting | Meeting |
| 2.5 | Location | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village | Commune/ Village |
| 3 | Inventory and DMS | | | | | | | Not yet done | | | |
| 3.1 | Starting date | SP07, date 08/10/12 | SP08, date 01/07/13 | SP06, date 15/10/12 | SP04, date 26/06/13 | SP22, date 20/11/12 | SP24, date 14/05/13 | Not yet | SP 01,SP 02: date 1/8/2013 | Not yet | SP34,SP35: date 01/08/2013 |
| 3.2 | Completion date | SP07, date 15/11/12 | SP08, date 25/07/13 | SP06, date 30/10/12 | SP04, date 02/07/13 | SP22, date 30/04/13 | Not yet | Not yet | SP 01,SP 02: date 30/09/2013 | Not yet | SP34,SP35: date 01/11/2013, 30/10/2013 |
| 3.3 | Total of affected households covered in DMS | 311 | 143 | 95 | 48 | 94 | 95 | Not yet | 356 | Not yet | 309 |
| 3.4 | No. of AHs not covered in DMS | 0 | 0 | 0 | 0 | Not yet | 1 | | 0 | Not yet | 0 |
| 3.5 | Expected completion DMS | Done | Done | Not yet | Jan. 14 for SP05 | Not yet | Dec. 13 | Not yet | Mar.14 for SP03 | Jul-13 | Dec. 13 for SP36 |
| 4 | Prepare compensation, assistance and resettlement plans (CARP) | | | | | | Not yet | Not yet done | | Not yet | Donation of Land and Assets on land |
| 4.1 | Prepare CARP | Done | Done | Done | Done | Done | Done | | Done for SP01 and SP02 | | Done |
| 4.2 | Publicly post plans | Done | Done | Not yet | Done | Done | Done | | Done for SP01 and SP02 | | Done |
| 4.3 | Synthesis of comments and improve plans | Done | Done | Not yet | Done | Done | Done | | Done for SP01 and SP02 | | Done |

2nd External Monitoring Report

Resettlement Plan and Ethnic Minorities Development in Bac Giang, Cao Bang, Bac Kan, Lang Son and Thai Nguyen Provinces

| # | Content | Cao Bang | | Bac Kan | | Lang Son | | Bac Giang | | Thai Nguyen | |
|-----|--|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|-------------------------------------|-------------------------------------|
| | | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring |
| 5 | Appraisal and approval | | | | | | | Not yet done | | Not yet | Donation of Land and Assets on land |
| 5.1 | Submit CARP for appraisal | Done | Done | Jul-13 | Done | Not yet | Done Sp22 | | Done for SP01 and SP02 | | |
| 5.2 | Completion of CARP appraisal | Done | Done | Jul-13 | Done | Not yet | Done Sp22 | | Done for SP01 and SP02 | | |
| 5.3 | Submit CARP for approval | Done | Done | Jul-13 | Done | Not yet | Done Sp22 | | Done for SP01 and SP02 | | |
| 5.4 | Completion of approval Process | Done | Done | Jul-13 | Not yet | Not yet | Done Sp22 | | Not yet | | |
| 5.5 | Public announcement of approval | Not yet | Done | Jul-13 | Not yet | Not yet | Done Sp22 | | Not yet | | |
| 6 | Negotiation and payment of compensation | | | | Not yet | | | Not yet done | | Donation of Land and Assets on land | Donation of Land and Assets on land |
| 6.1 | Negotiation | Not yet | Done | Not yet | | Not yet | Done Sp22 | | Not yet | | |
| 6.2 | Total of households under the plan | Not yet | 143 | Not yet | | Not yet | 95 | | Not yet | | |
| 6.3 | Total amount under the plan | Not yet | 715,215,396 | Not yet | | Not yet | 1,806,193,525.0 | | Not yet | | |
| 6.4 | Number of households paid for phase 1 | Not yet | 143 | Not yet | | Not yet | Not yet | | Not yet | | |
| 6.5 | Amount paid for phase 1 | Not yet | 715,215,396 | Not yet | | Not yet | Not yet | | Not yet | | |
| 7 | Hand over sites to the Subproject | | | | Not yet | | Not yet | Not yet done | Not yet | Donation of Land and Assets on land | Donation of Land and Assets on land |
| 7.1 | Phase 1: Households and date | Not yet | 143hhs, date 07/11/2013 | Not yet | | Not yet | | | | | |
| 7.2 | Phase 2: Households and date | Not yet | | Not yet | | Not yet | | | | | |

2nd External Monitoring Report

Resettlement Plan and Ethnic Minorities Development in Bac Giang, Cao Bang, Bac Kan, Lang Son and Thai Nguyen Provinces

| # | Content | Cao Bang | | Bac Kan | | Lang Son | | Bac Giang | | Thai Nguyen | |
|-----------|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------|----------------------------------|---|---|
| | | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring | 1 st monitoring | 2 nd monitoring |
| 8 | Resettlement Arrangement | No resettled households | No resettled households | No resettled households | No resettled households | No resettled households | No resettled households | Not yet determined | No resettled households | No resettled households | No resettled households |
| 8.1 | Arrangement for AHs relocation | | | | | | | | | | |
| 8.2 | Mode of resettlement arrangement | | | | | | | | | | |
| 9 | Complaints and Grievances | No complaints at time monitoring | No complaints at time monitoring | No complaints at time monitoring | No complaints at time monitoring | No complaints at time monitoring | No complaints at time monitoring | Not yet determined | No complaints at time monitoring | No complaints at the time of monitoring | No complaints at the time of monitoring |
| 9.1 | Number of households with complaints | | | | | | | | | | |
| 9.2 | Content complaint | | | | | | | | | | |
| 9.3 | Settlement of complaints | | | | | | | | | | |
| 9.4 | Shortcomings | | | | | | | | | | |
| 10 | Implement Gender and ethnic minority developemnt plan | Not yet | Not yet | Not yet | Not yet | Not yet | Not yet | Not yet done | Not yet done | Not yet | Not yet |
| 11 | Construction commencement date | Dec-13 | Dec-13 | Oct-13 | Dec-13 | Oct-13 | Dec-13 | Oct-13 | Dec-13 | Sep-13 | Oct-13 |

D. Indicator of external monitoring

Table 1-5 The summary of REMDP implementation

| No. | Indicator | | First monitoring | Second monitoring | Remarks |
|----------|-------------------------------|---|--|---|---|
| 1 | Indoor works | | | | |
| | (i) | Internal monitoring report | None | None | Only coordinate, support for implementation between PPMU with DCARB/LFDC/DCARC |
| | (ii) | Resettlement data base | Still weak | Improved | Resettlement data have been recored in file and profile with the code that had not been done in the first monitoring |
| | (iii) | Arrangement of resettlement implementation | Still weak | Improved | <ul style="list-style-type: none"> - The social safeguards staffs have studied the document and sharing the experiences with other provinces. - PPMU has been active in coordinating with DPC for implementing REMDP. - Thai Nguyen has assigned more staff for assisting the implementation REMDP |
| 2 | Information disclosure | | | | |
| | (i) | Acknowledgement of AHs about project benefits, impact, resettlement policy, grievance mechanism | Little information | Fairly full of information | <p>Information desimination has been done thru the following channel:</p> <ul style="list-style-type: none"> - Organizing pulic consultation meeting. - Discusing/working with PPMU. - Discusing/working with relevant districts and communes. - Delivering the PIB of subproject to AHs. |
| | (ii) | Channels of information disclosure | The booklet on compensation and resettlement | Meetings, loud speaker and the booklet, pamphlet on compensation and resettlement | The Public consultation meetings was organized two to three times for each SP |
| | (iii) | Assessment interviewees on information disclosure | Still very limited | Improved | By interviewing the AHs: PPMU and DCARB, CPC have organized the public consultation meeting. AHs has acknowledged the project information and compensation, assistance policy. |
| 3 | DMS | | | | |
| | (i) | Acknowledgement of AHs about DMS plan | No information | AHs were informed on DMS plan in advance | |

| No. | Indicator | | First monitoring | Second monitoring | Remarks |
|----------|--------------------------------|--|--|---|---|
| | (ii) | Participation of Ahs representatives in DMS | DMS has not been yet implemented during the 1st monitoring | AHs involved in the process of DMS and there are representatives of AHs in DMS task force. | |
| | (iii) | Assessment of AHs on implementation and accuracy of DMS | Not yet | In general, AHs are satisfied and agreed with results of DMS | SP 06: 05 AHs said that the number of affected trees reported in DMS is lacking; SP22: 02 AHs said that the quantity of land and trees reported in DMS is lacking. |
| 4 | Compensation plan | | REMDP has not yet been implemented at the time of 1st monitoring | 07 REMDPs have been implemented at the time of 2 nd monitoring | |
| | (i) | Compensation price and allowances | - | Unit rates used for computing compensation is based on the current Decisions issued by PPC which is equivalent to replacement cost in REMDP | The compensation plans of all sub-projects have been prepared with applying the compensation price that equal and more than replacement cost proposed in REMDP |
| | (ii) | Accuracy of price application | - | Exactly | Compensation plan have been calculated according to the right price for each affected land type and fixed asset on land. This calculation have been reviewed by DCARB and approved by DPC |
| | (iii) | Consultation with AHs about compensation rates and price application | - | DCARCs, PPMUs and CPCs held public consultations in order to AHs express their opinion. | |
| | IV | Disclosure of compensation plan before and after approval | - | CARPs have been disclosure before and after DPC approval | - DCARB for SP03, 05, 23, 26 has not yet prepared CARPs. - CARPs of SP04, 06 and 24 are waiting for DPC approval. |
| 5 | Payment of compensation | | REMDP has not yet been implemented at the time of 1st | | |

| No. | Indicator | | First monitoring | Second monitoring | Remarks |
|-----|---|--|--|---|---|
| | | | monitoring | | |
| | (i) | Procedure of payment | | Compliance with procedure of payment | |
| | (ii) | Progress of payment | | Conducted fast payment | Total of 143 AHs of SP08 (Cao Bang) have been paid the compensation and assistance. 255 AHs of SP 34 and 54 AHs of SP35 (Thai Nguyen) have just finished procedure of land donation (signing the land donation form). |
| 6 | Relocation and site clearance | | REMDP has not yet been implemented at the time of 1st monitoring | No resettled households. Not yet handed over sites. | |
| 7 | Income and livelihood restoration program | | No severely affected HHs and no resettled HHs | 02 severe affected HHs and no resettled HHs | |
| 8 | Grievance settlement | | No complaints at the time of monitoring | No complaints at the time of 2 nd monitoring | |
| | (i) | Complaints | | | |
| | (ii) | Application of GRM | | | |
| | (iii) | Results of redressing complaints | | | |
| | iv | Pending issue | | | |
| 9 | Compliance with civil works plan | | Not yet implemented | No construction works have started in the project participating provinces | |
| | | Confirmation of full payment done before commencing civil works construction | - | - | - |
| 10 | Evaluation of Ahs on resettlement implementation | | | Good | |

II. MONITORING RESULTS

14. The detailed findings and suggestions for improving the REMDP/RP implementation status of the subprojects after second monitoring are mentioned below:

A. Indoor works

A1. Internal monitoring report:

15. The PPMUs have not yet prepared any internal monitoring report on the implementation of their respective RP/REMDPs at the time of monitoring. They have been supported by DCARP/LFC/CPCs for the implementation REMDP.

A2. Resettlement data base

16. The original profile has been saved in a hard and soft copy by subproject. Profile by household has not yet been organized.

A3. Arrangement of resettlement implementation

17. The arrangements for resettlement implementation and database management at each PPMU is still weak, personnel have limited capacity and thus conditions need to be improved thru the need help from CPMU and the LIC consultant for social safeguards. This is the same status and recommendation for the District Compensation, Assistance and Resettlement Committees/ District Land Fund Development Centers.

A4. Conclusions and recommendations:

18. It suggested that CPMU and its social consultants should have a training plan for building the capacity to the provincial and district levels in implementing resettlement work following ADB social safeguard policy guidelines, and if possible to have a operational manual or guidance on the social safeguards with standard formats, requirements of internal report, database management, information disclosure, and DMS protocol, etc.

B. Information disclosure and public consultation

B1. Information disclosure

19. Information on resettlement had been disseminated to stakeholders in the beneficiary communes of 12 out 14 SP. The information disclosure to the affected households and the subproject districts, communes including the information on the project background; the project benefits; land acquisition needs and the compensation and resettlement policy; grievance redress mechanisms, as well as monitoring of the RP/REMDP implementation.

20. PPMUs met with districts, DCARBs and LFCs while CPCs and villages directly communicated to AHs. For each subproject, the DCARB and local authorities organized 2-3 meetings. DCARBs also publicized the DMS plans, compensation prices in CPCs headquarters or in community houses of affected villages.

21. Consultation with stakeholders shows that information dissemination to local authorities, departments and especially affected communities have achieved good results. All interviewed HHs indicated that they knew about the project. Public consultation meetings

were participated by all stakeholders, whose awareness has been raised on the project basic information, policies and benefits, which helped speed up the progress of land acquisition, resettlement and subsequent construction activities.

22. REMDPs have been sent to DPC, CPC in line with the decision of compensation, assistant and resettlement policy. The summary policy has been sent to villages. However, there are still some villages, where AHs have not yet been informed about subprojects (Ban Chang-Ban Giang village in Cao Bang)

B2. Channels of information disclosure.

23. Information dissemination is through the distribution of a booklet on compensation and resettlement. Besides that, the communication form is through consultation meetings, for which local authority sent invitation letters to each of AHs. For each subproject, the DCARB and local authorities organized two to three meetings for each subproject. Besides, to enable AHs to be able to follow up on the information about compensation, DCARBs also publicized the DMS plans, compensation pricing in CPCs headquarters or in community houses of affected villages. The duration for each public information dissemination period was about 20 days.

24. Resettlement task force gave the calculation of compensation and assistant, together with the decision of land acquisition to AHs.

B3. Assessment interviewees on information disclosure

25. At the time of first monitoring, the information disclosure by the PPMU is still very limited and IMC to request PPMU to improve, especially on the tools of information disclosures such as pamphlet, loud speakers; meetings etc. and the communes and districts need to obtain the feedback from the subproject communities and from the affected households related to the subproject in general and specific concerns about the RP/REMDP implementation.

26. However, consultation with stakeholders at the time of second monitoring shows that information dissemination to local authority, departments and especially affected communities have been better and thus achieved good results. All interviewed HHs indicated that they knew about the project. Public consultation meetings were participated by all stakeholders, whose awareness has been raised on the project basic information, policies and benefits, which helped speed up the progress of land acquisition, resettlement and subsequent construction activities

27. The language used in consultation meeting is Kinh language. This is suitable for Ethnic people since many of them can speak Kinh language. There are only a few people that encounter difficulties understanding the information disseminated in the 5 provinces covered in the disclosure activities.

C. DMS

C1. Acknowledgement of AHs about DMS plan

28. The concerned DCARB/LFC are carrying out DMS in 10 SPs. SP 03 and 36 have not yet started DMS, 02 SPSs are on going. The AHs are informed about the schedule and process of DMS and they participated in the DMS process.

29. Similar to the recommendation in the first monitoring report, the DMS form need to be revised by the PPMU and the additional information need to be supplemented to meet the need for calculation of entitlement according to the policy set forth in RP/REMDP.

30. At the time of the second monitoring, There are 956 HHs (Tay, Nung, Cao Lan, San Diu, Thai, Muong, Dao) ethnic minorities affected by the subprojects were identified by the DMS work.

C2. Participation of AHs representatives in DMS.

31. AHs were informed on DMS plan in advance and are involved in the DMS process. There are representatives of AHs in DMS task force.

C3. Assessment of AHs on implementation and accuracy of DMS

32. In general, AHs are satisfied and agreed with results of DMS. However, AHs commented on the preparation of the summary table of all affected land, asset on land as well as other assistance. In SP6, 05 AHs said that the number of trees are lacking in the reported DMS; while in SP22, 2 AHs said that the affected land and trees in DMS are also lacking.

D. Compensation plan

D1. Compensation price and allowances

33. The Compensation, Assistance and Resettlement plan (CARP) for AHs were prepared in compliance with RF, REMDP approved by ADB, governmental laws and regulations as well as provincial decisions. At the 2nd monitoring period, there were 4 out of 5 CARP approved for 7 out of 14 subprojects, 3 SPs are on going preparing and 4 SPs have not yet prepared compensation plan (SP3,5, 23, 36).

34. At the second monitoring mission, all interviewed HH agreed with the compensation rate. There was no grievance reported regarding the unit rate used for computing compensation by the DCARB. However, compensation plan was prepared base on the provincial policy, not yet applied the policy in REMDP.

D2. Accuracy of price application.

35. The compensation unit costs for properties are enacted by the provinces and applied to determine the compensation cost of affected lands and non-movable non-land assets. According to the provincial and district authorities, the unit cost enacted by the provinces are the same as market prices or replacement costs. As mentioned above, the database in the DMS need to be supplemented to fill in the gaps between entitlements set forth in RP/REMDP and actual implementation that was based on Province policy on subproject. The compensation

plans were disclosed at the communes before submission to the authorities, and after the approval of the authorities.

D3. Consultation with AHs about compensation prices and price application

36. At the time of monitoring, some AHs have already received compensation and other allowance for affected assets; and they (AHs) agree with compensation price applied.

37. Payment of compensation and other benefits, as well as other resettlement activities have not only been conducted publicly and transparently by PPMU and DCARB, but were also fully supported by the affected people.

E. Payment of compensation

E1. Procedure of payment

38. At the 2nd monitoring mission, the DCARB for 03 subprojects namely SP8, SP34 and SP35 had completed compensation and assistance payment (SP34 and SP35 land donation). DCARB fully followed the process such as sending notifications on the date, time and venue to the affected people. Compensation payment was made in the CPC office.

E2. Progress of payment.

39. At 2nd monitoring time, three subprojects SP8, (SP34, SP35 land donation) completed compensation and assistance payment. DCARB fully followed the process such as sending notifications on the date, time and venue to the affected people. Compensation payment was implemented in CPC offices.

F. Relocation and site clearance

40. No subproject will require the physical relocation of AHs, and site clearing has not yet been done in most of the Project participating provinces

G. Income and livelihood restoration program

41. At the time of second monitoring, there were about 18 severely affected HHs, losing more than 10% of their agriculture lands. But no income restoration activities are implemented to help the affected households sustain their means of livelihood. The PPMU and the District Compensation, Assistance and Resettlement Committees need to develop this livelihood activities based on consultation with the severely affected households and stakeholders. The PPMU and the DCARCs need to follow the eligibility, process of preparing and implementing the programs as well as monitoring and evaluation for the livelihood programs as described in the RP/REMDP. This program will be monitored in the next monitoring.

G1. Assessment of the program.

42. By surveying and interviewing with some existing organization and training institutions such as vocational training centers, farmer associations, women union, agricultural extension centers etc. can be tapped to help develop and implement the livelihood programs to help the

affected households be restore or to improve their livelihood after a transition period following land acquisition.

H. Grievance settlement

H1. Complaints

43. At the time of second monitoring, no complaints or grievances have been raised by the affected households. This issue will be monitored in the next external monitoring mission

I. Compliance with civil works plan

Confirmation of full payment done before commencing civil works construction

44. At the time of second monitoring, 01 SP (SP8 in Cao Bang) have completed payment, but AHs have not yet signed on the handover record. In two SPs (SP34 and SP35) located in Thai Nguyen, the AHs have handover their affected lands by land donation.

J. Evaluation of AHs on resettlement implementation

45. The monitoring team sees that the implementation of RP/REMDP in all 14 subproject in 35 communes have been implementing in 14 districts of the 05 participating provinces. In the group discussions and interviews conducted with AHs, most showed their satisfaction about process of inventory and disclosure of compensation plans by DCARBs.

46. AHs as well as local people and communities living within the subproject areas all understood that the subproject completion will bring about better infrastructure to meet increasing transport demand, and transport needs for agricultural production development, meet their (AHs) desire to have a better living standards, poverty reduction and sustainable development in the mountainous rural areas. This has motivated them to totally support the subprojects.

III. CONCLUSIONS AND RECOMMENDATIONS

A. Conclusions

47. The 14 SPs covered in the contract package, are located within the territorial jurisdiction of 25 communes, belonging to 14 districts of 5 provinces, have been implementing the RP/REMDP. Some findings at the time of monitoring with recommendation by IMC have been presented in table below. However we can see some achievements from REMDP implementation.

48. The Project policies on compensation and resettlement have been complied properly by PPMU and District Compensation, Assistance and Resettlement Board (DCARB), thus help ensuring the achievement of Project's objectives as planned.

49. The Detail Measurement Survey (DMS) and application of compensation rate have been disclosed publicly and transparently by PPMU and DCARB. Households, representative of PPMU, local authorities and affected people participated in the DMS process.

Table III-1 Summary of shortcoming by sub-project and recommendation of IMO

| Province | S P | District/ Commune | Issue | | Opinion and recommendation by IMO |
|--------------|--------|---|--|--|--|
| | | | Shortcomings from the first monitoring | Findings in the second monitoring | |
| Bac Giang | 1 | Tan Hiep, Tam Tien com. - Yen The dist. ; Huong Lac, Huong Son com. - Lang Giang dist. | <ul style="list-style-type: none"> - REMDP has not been approved by PPC; - The DMS sample record being made do not have enough information for determining all entitlements as prescribed in the REMDP; - REMDP has not been implemented. | <ul style="list-style-type: none"> - REMDP has been approved by PPC for three SPs; - CARP has been approved by DPC Yen The for Land Donation; DMS has been completed, Land Donation records have the complete signatures of the head of HH and his wife/her husband. - 08 AHs were affected by construction of the road section Huong Lac-Huong Son, in Lang Giang district, that need to be benched marked (for ROW limits) and measured again per request of AHs. | <p>PPMU to work with LFC of district to recalculate the additional assistance as prescribed in REMDP, and pay to AHs of SP01 in Lang Giang district. PPMU and LFC are requested to discuss with 08 AHs for the re-staking of the ROW boundaries.</p> <p>Disclosing to AH the DMS minute and compensation plan profile.</p> <p>The information dissemination should be done in all implementation stage of subproject by speaker and suitable channel of information dissemination.</p> <p>Pay attention to the management of compensation records conducted on a by household basis..</p> |
| | 2 | Xuan Huong com., Lang Giang dist. | <ul style="list-style-type: none"> - REMDP has not been approved by PPC; - The DMS sample record examined, do not have enough information for determining all entitlements as prescribed in the REMDP; - REMDP has not been implemented. | <ul style="list-style-type: none"> - REMDP has been approved by PPC; - DMS has been completed. HHs reviewed DMS records and signed on DMS records; - CARP has been approved by DPC, but this do not included the allowance as prescribed in REMDP. DCARC is scheduled to pay compensation at the end of November 2013. | <p>PPMU work with LFC of district to recalculate the additional assistance as prescribed in REMDP and pay to Ahs under SP01 in Lang Giang district. PPMU and LFC are requested to discuss with 08 AHs for the establishment of stakes for use as land marks that will determine the ROW limits of the project.</p> <p>Disclosing to AH the DMS minutes and compensation plan profile.</p> <p>The information dissemination should be done in all implementation stage of subproject by speaker and suitable channel of information dissemination.</p> <p>Pay attention to the management of compensation recorded conducted on a by household.</p> |

| Province | S P | District/ Commune | Issue | | Opinion and recommendation by IMO |
|----------|--------|--|--|--|---|
| | | | Shortcomings from the first monitoring | Findings in the second monitoring | |
| | 3 | Chau Minh, Mai Dinh com., Hiep Hoa dist. | - REMDP has not been approved by PPC; - The DMS sample record examined do not have enough information for determining all entitlements as prescribed in the REMDP; - REMDP has not yet been implemented. | Currently Technical design has not yet been approved. | |
| Bac Kan | 4 | Thanh Mai, Cao Ky com., Cho Moi dist. | - REMDP has not been approved by PPC; - REMDP has not yet been implemented at the time of monitoring. | Still waiting for the opinion from the relevant agencies on land acquisition of paddy land. | Disclosing to AH the DMS minutes and compensation plan profile. The information dissemination should be done in all implementation stage if subproject by speaker and suitable channel of information dissemination. Pay attention to the management of compensation records arranged by household. |
| | 5 | Phuong Linh, Sy Binh, Vu Muon com., Bach Thong dist. | - REMDP has not been approved by PPC; - REMDP has not yet been implemented at the time of monitoring. | Consultation with the relevant authorities conducted for the acquisition of rice-fields and forest land. | |
| | 6 | Bang Lang, Yen Nhuan, Phong Huan com., Cho Don dist. | - REMDP has not been approved by PPC; - No information dissemination conducted about REMDP, but meetings have been organized with the participation of local people, and resettlement activities being implemented were based on Province Policy. | 12 AH recommend the rechecking of the reported total number of trees and vulnerable affected household in DMS. PPMU should cooperate with CARC and CPC to resolve. | PPMU is requested to pay the compensation in December 2013 at the latest. The information dissemination should be done in all implementation stage of subproject by loud speaker and other suitable channel of information dissemination. Pay attention to the management of compensation records arranged by household. |

| Province | S P | District/ Commune | Issue | | Opinion and recommendation by IMO |
|-------------|--------|--|---|--|---|
| | | | Shortcomings from the first monitoring | Findings in the second monitoring | |
| Cao Bang | 7 | Thi Hoa, Co Ngan com, - Ha Lang dist., Trieu Au com. - Phuc Hoa dist. | REMDP has not been approved by PPC. The DMS record examined indicated that the total area of productive land to acquired , and vulnerable AH in REMDP were not provided.. Some DMS's record are missing the signature of AH, not deliver to AH the DMS' record. | The compensation plan was approved on 16/5/2013. In the 1st monitoring stage, the PMU said it would pay 1 time for households in 7/2013. | PPC is required to approve the REMDPs early; PPMU has to pay compensation to AHs in December of 2013 at the latest. PPMU is requested to work again with DCARC for checking the number of Vulnerable AH for recalculation of the allowance and pay to them simultaneously, with the payment of the approved CARP. PPMU a copy of the DMS' record to the concerned AHs. This issue has been raised by IMO in the first of monitoring but was not yet done in the second;. PPMU to complete the missing signatures of the compensation plan that was recommend in the first monitoring report; Pay attention to the management of compensation record by household. |
| | 8 | Dinh Phong com., Trung Khanh dist. | The PPC has not approved the REMDP. The DMS inventory records do not have the total agriculture land holdings, the percentage of acquired productive land from the total landholding and vulnerable groups in accordance to the REMDP. | The PPC has not approved the REMDP. Five (5) households claimed (with their village leaders), that the tree inventory is not complete with some trees not recorded (Hua Van Thanh, Dong Van Phung, Hoang Van Lang, Hua Van Bot, Ly Van Thang). | PPC should quickly approve REMDP. PPMU to provide the affected households 1 copy each of the Minutes of DMS meeting and compensation records. PMU should work with the district DCARC to inventory the vulnerable households and providing additional assistance due to these people in accordance to the REMDP; and ensure full payment for AHs before the issuance of the Notice to Proceed for construction. PPMU should coordinate with the district DCARC and Dinh Phong commune PC for verification of the 05 households claim of missing of trees in the inventory. Dissemination of information during the subproject implementation through the use |

| Province | S P | District/ Commune | Issue | | Opinion and recommendation by IMO |
|-------------|--------|---|--|---|--|
| | | | Shortcomings from the first monitoring | Findings in the second monitoring | |
| | | | | | of loudspeaker system of the communes and the appropriate communication channels. Systematize the payment and recordkeeping of all compensation payments made to AHs. |
| Lang Son | 22 | San Vien, Khat Xa, Tu Doan, Quang Ban com. - Loc Binh dist. | <ul style="list-style-type: none"> - REMDP has not been approved - REMDP has not been sent to the districts and commune levels. -The project policy has not been disseminated to the affected households. - The DMS minutes do not fully reflect the requirements of the PRF | Two (2) households claim with San Vien CPC that the affected assets inventory is not complete (Missing trees in Hoang Van Ngo-Khon Chao village; Chu Thi Hoa - Huu Lung district about 1 parcel of land is not included in the DMS) | For SP22, the PPMU should cooperate with the Center for Land Fund Development to resolve the 2 households claims for additional payment for the affected trees not included in DMS and approved CARP. Systematize the payment and recordkeeping of all compensation payments made to AHs. |
| | 23 | Loi Bac, Dong Quang com., Loc Binh dist. | Has not started. | Has not started. | |
| | 24 | Hoang Van Thu, Quang Trung, Thien Thuat commune, Binh Giang district | <ul style="list-style-type: none"> - REMDP has not been approved. - REMDP has not been sent to the districts and commune levels. -The project policy has not been disseminated to the affected households. - The DMS minutes do not fully reflect the information requirements of the PRF. | - REMDP has not yet approved | The PPMU should cooperate with the Center for Land Fund Development of Binh Gia district to prepare the comprehensive compensation plan for one time payment in accordance with the REMDP policy. Publicly post before and after the approval of the compensation plan and in accordance with the project policy and the current government regulations. LFC to provide a copy of the DMS minutes to each of the AHs. Dissemination of information |

| Province | S P | District/ Commune | Issue | | Opinion and recommendation by IMO |
|----------------|--------|--|--|--|---|
| | | | Shortcomings from the first monitoring | Findings in the second monitoring | |
| | | | | | about the project during the subproject implementation. Systematize the payment and recordkeeping of all compensation payments made to AHs. |
| Thai Nguyen | 34 | Van Han, Khe Mo com., Dong Hy dist. | - REMDP has not been approved - The project policy has not been disseminated to the affected households. - The format of the minutes for voluntary donation of land have not been agreed upon with the CPMU. | The format of the minutes of voluntary land donation have not been distributed to the affected households. | Deliver 1 copy of the format for the minutes of voluntary land donation to the AHs. Dissemination of information about the project during the subproject implementation. Systematize the payment and recordkeeping of all compensation payments made to AHs. |
| | 35 | Trang Xa, Lien Minh com., Vo Nhai dist. | - REMDP has not been approved - The project policy has not been disseminated to the affected households. - The format of the minutes for voluntary donation of land have not been agreed upon with the CPMU. | The format of the minutes of voluntary land donation have not been distributed transferred to the affected households. | Deliver 01 copy of the format for the minutes of voluntary land donation to the AHs. Dissemination of information about the project during the subproject implementation. Systematize the payment and recordkeeping of all compensation payments made to AHs. |
| | 36 | Phu Ly, Yen Do, Yen Trach com., Phu Luong dist. | The detailed design has not been approved. The compensation board has not been set up. The REMDP has not started yet. | | |

B. Recommendation.

50. CPMU should request Bac Giang, Lang Son and Thai Nguyen Provincial People's Committee (PPC) to urgently approve the compensation plan for SP3, SP23, SP24 and SP36 in order to meet the planned targets.

51. PPMU should kindly request Provincial People's Committee (PPC) to allocate sufficient counterpart fund for compensation, allowance and resettlement activities for Sub-projects to be able to adequately pay the resettlement cost. From the last monitoring, PPMU is

still waiting for PPC to allocate the counterpart fund for land acquisition. It is planned that the counterpart fund will be available at the end of December and compensation payment will be implemented accordingly.

52. For the planned upcoming activities, PPMU and local authorities should pay more attention to gender concerns and encourage women to participate actively in subproject activities.

53. It is requested that PPMU prepare their respective internal monitoring report, approved compensation plan, ethnic minorities' profile for those who are affected households (AHs) and so on, then provide these to the IMC team prior to fieldwork.

54. Some recommendations through working with PPMUs as follow.

1. Bac Giang:

- a. The PPMU should coordinate with the Center for Land Fund Development and Lang Giang District CARB to review shortcomings (if any) and to prepare additional plan for subsidies for vulnerable group and households severely affected by the land acquisition; submit the supplemental CARP for approval and fully pay households to ensure site clearance, before starting the construction.
- b. The PPMU should hand over a copy of the DMS minutes for SP02 and SP01 to each affected households, which are expected to receive the compensation by the end of 11/2013. Add the list of severely affected households (AHs losing more than 10% of their productive land) and the list of vulnerable groups to the CARP approved by the Lang Giang People's Committee.
- c. The PPMU should work with the Center for Land Fund Development of Lang Giang district to review the plans before paying compensation to affected households.
- d. The PPMU should actively participate in the action planning for the updating and implementation of the provincial gender action plan and ethnic minority development.
- e. The PPMU should address the shortcomings mentioned above and report the matter to the CPMU about the implementation.

2. Bac Kan

- a. The PPMU should coordinate with Cho Don CARB to review shortcomings (if any) and prepare the additional CARP (if needed).
- b. The PPMU should systematize the payment and recordkeeping of all compensation to affected households. The compensation records of each household is to be enclosed in every bag of records, including the DMS minutes, compensation plan spreadsheet, cadastral measurements, land acquisition decision, land transfer records, and others.

- c. The PPMU should submit DMS additional minutes that lack the of signature of the CARB Director.
- d. The PPMU should complete the resettlement plan, compete with all records and documents. Attach all appropriate legal decisions and other relevant documents.
- e. The PPMU should actively participate in the action planning for the updating and implementation of the provincial gender action plan and ethnic minority development.
- f. The PPMU should overcome the shortcomings mentioned above and report to the CPMU about the implementation.

3. Cao Bang

- a. The PPMU should follow-up with the PPC to get the written approval of the REMDP for implementation asap.
- b. The PPMU should coordinate with the CARB, Dinh Phong CPC and the village leaders to organize community meetings on their respective subproject implementation and the compensation policies for households in Ban Chang – Ban Giang villages.
- c. The PPMU should complete the missing signatures of the participants of the meeting for SP7 records, where the compensation plan was discussed, as per our suggestion which is documented in the minutes meeting in 6/2013.
- d. The PPMU should provide a copy of the SP8 DMS minutes to affected households who were paid before 11/30/2013.
- e. The PPMU should cooperate with the district CARB and Dinh Phong CPC to verify the financial claims of 05 households for affected trees not included in the DMS inventory;
- f. The PPMU should work with the district CARB to identify and provide assistance to vulnerable groups in accordance to the REMDP, and ensure full payment for the affected households before starting the construction works.
- g. The PPMU should actively participate in the action planning for the updating and implementation of the provincial gender action plan and ethnic minority development.
- h. The PPMU should address the shortcomings mentioned above and report to the CPMU about the progress of implementation.

4. Lang Son:

- a. The PPMU should coordinate with the Center for Land Fund Development and Loc Binh District CARB to identify deficiencies (if any) of the approved

CARP and to prepare a supplemental CARP to compensate 2 households had not been included in the first plan; and submit the supplemental plan for approval and early payment for the said households, to ensure that site clearing can be made before start of construction. The PPMU should hand over a copy of the SP22 DMS minutes to affected households who will receive the compensation on 21-22/11/2013, as well as the list of land valuation unit cost for affected lands, which is added into the compensation plan to be submitted to Loc Binh district People's Committee for approval.

- b. The PPMU should cooperate with the CARB and San Vien CPC to survey and meet with household Luong Van Son to determine the feasibility of route adjustment requested.
- c. The PPMU should coordinate with the Center for Land Fund Development and the CARB and San Vien CPC to identify vulnerable groups within the subproject area, and provide additional assistance following the ADB approved REMDP, thru the preparation and approval of the supplemental plan (if necessary). In addition, there are 2 households who reported some discrepancy in the first CARP (i.e. incomplete inventory of affected lands and loss of trees). The financial claim should be reviewed and settled fully before PPMU can recommend the issuance of a clearance for passengers to travel.
- d. The PPMU should actively participate in the provincial gender action plan and ethnic minority development.
- e. The PPMU should address the shortcomings mentioned above and report the matter to the CPMU about the implementation.

5. Thai Nguyen

- a. Dissemination of project information to households and local governments during the project implementation.
- b. Delivery of leaflets, summarizing the policy and entitlement for households who donate land and are affected by the project before 20/11/2013.
- c. Delivery of 01 minute of voluntary land donation before 20/11/2013.

IV. ANNEXES

Annex 1. Activities of IMO in the field.

Annex 2: The objectives, scope and method for the second monitoring

ANNEX 1: FIELD ACTIVITIES HAVE BEEN DONE IN THE SECOND MONITORING

| Province | Time | Venue | Activities | Participants |
|--------------------|----------------------|-----------------------------------|--|---|
| Thai Nguyen | 15-17/11/2013 | | | |
| | 15/11/2013 | PPMU Office | <ul style="list-style-type: none"> - Updated progress of REMDPs implementation from time of the first monitoring mission to now; - Reviewed documents related to REMDP implementation of project, especially of SP35; - Reviewed and evaluated corrective measures proposed by the Consultant in the first monitoring mission; - Complaints and grievances (if any); - Disadvantages in the process of REMDP implementation in province, especially SP35. | Director of PPMU Vice Director of PPMU Safeguard Policy Officer of PPMU |
| | 15/11/2013 | Võ Nhai DPC Office | <ul style="list-style-type: none"> - Updated process of REMDPs on implementation from last mission to now; - Advantages and disadvantages in the process of REMDP implementation of SP35; - Reviewed documents related to implementation of SP35; - Collected complaint letter and grievances of affected households (if any); - Discussed on preparing and storing documents of affected households. | Representative of DCARC of Vo Nhai District |
| | 16/11/2013 | Trang Xa and Lien Minh CPC Office | <ul style="list-style-type: none"> - Discussed on progress of REMDP implementation of SP06; - Deep interview with CPC leaders; - Complaints and grievances (if any); - Consultation and interview AHs. | Trang Xa CPC leader, Land Management Officer, Mass Organizations, Village leader and AHs. |
| | 17/11/2013 | PPMU Office | Meeting with PPMU on findings during mission. | Director of PPMU Vice Director of PPMU Safeguard Policy Officer of PPMU |
| Cao Bang | 18-19/11/2013 | | | |

| | | | | |
|-----------------|----------------------|------------------------|---|---|
| | 18/11/2013 | PPMU Office | <ul style="list-style-type: none"> - Updated progress of REMDP implementation from time of the first monitoring mission to now; - Reviewed documents related to REMDP implementation of project, especially of SP08; - Reviewed and evaluated corrective measures proposed by the Consultant in the first monitoring mission; - Complaints and grievances (if any); - Disadvantages in the process of REMDP implementation in province, especially SP08. | Vice Director of PPMU Safeguard Policy Officer of PPMU |
| | 18/11/2013 | Trùng Khánh DPC Office | <ul style="list-style-type: none"> - Updated process of REMDPs on implementation from last mission to now; - Advantages and disadvantages in the process of REMDP implementation of SP08; - Reviewed documents related to implementation of SP08; - Collected complaint letter and grievances of affected households (if any); - Discussed on preparing and storing documents of affected households. | Representative of DCARC of Trung Khanh District |
| | 19/11/2013 | Đình Phong CPC Office | <ul style="list-style-type: none"> - Discussed on progress of REMDP implementation of SP06; - Deep interview with CPC leaders; - Complaints and grievances (if any); - Consultation and interview AHs. | Dinh Phong CPC leader, Land Management Officer, Village leader and AHs. |
| | 19/11/2013 | PPMU Office | Meeting with PPMU on findings during mission. | Vice Director of PPMU Safeguard Policy Officer of PPMU |
| Lang Son | 20-21/11/2013 | | | |
| | 20/11/2013 | PPMU Office | <ul style="list-style-type: none"> - Updated progress of REMDP implementation from time of the first monitoring mission to now; - Reviewed documents related to REMDP implementation of project, especially of SP22; - Reviewed and evaluated corrective measures proposed by the Consultant in the first monitoring mission; - Complaints and grievances (if any); - Disadvantages in the process of REMDP implementation in province. | Safeguard Policy Officer of PPMU |

| | | | | |
|------------------|----------------------|------------------------------------|--|---|
| | 20/11/2013 | Loc Binh LFDC Office | <ul style="list-style-type: none"> - Updated process of REMDPs on implementation from last mission to now; - Advantages and disadvantages in the process of REMDP implementation of SP22; - Reviewed documents related to implementation of SP22; - Collected complaint letter and grievances of affected households (if any); - Discussed on preparing and storing documents of affected households. | Representative of DCARC and Land Foundation Development Center of Loc Binh District |
| | 21/11/2013 | San Vien and Quan Ban CPC Office | <ul style="list-style-type: none"> - Discussed on progress of REMDP implementation of SP22; - Deep interview with CPC leaders; - Complaints and grievances (if any); - Consultation and interview AHs. | San Vien CPC leader, Land Management Officer. |
| | 21/11/2013 | PPMU Office | Meeting with PPMU on findings during mission. | Safeguard Policy Officer of PPMU |
| Bac Giang | 22-23/11/2013 | | | |
| | 22/11/2013 | PPMU Office | <ul style="list-style-type: none"> - Updated progress of REMDP implementation from time of the first monitoring mission to now; - Reviewed documents related to REMDP implementation of project, especially of SP02; - Reviewed and evaluated corrective measures proposed by the Consultant in the first monitoring mission; - Complaints and grievances (if any); - Disadvantages in the process of REMDP implementation in province. | Vice Director of PPMU Safeguard Policy Officer of PPMU |
| | 22/11/2013 | LFDC Office of Lang Giang District | <ul style="list-style-type: none"> - Updated process of REMDPs on implementation from last mission to now; - Advantages and disadvantages in the process of REMDP implementation of SP02; - Reviewed documents related to implementation of SP02; - Collected complaint letter and grievances of affected households (if any); - Discussed on preparing and storing documents of affected households. | Representative of DCARC and Land Foundation Development Center of Lang Giang District |

| | | | | |
|----------------|----------------------|---------------------------------------|--|---|
| | 23/11/2013 | Xuan Huong CPC Office and site survey | <ul style="list-style-type: none"> - Discussed on progress of REMDP implementation of SP02; - Deep interview with CPC leaders; - Complaints and grievances (if any); - Consultation and interview AHs. | Xuan Hung CPC leader, Land Management Officer. |
| | 23/11/2013 | PPMU Office | Meeting with PPMU on findings during mission. | Vice Director of PPMU Safeguard Policy Officer of PPMU |
| Bac Kan | 24-27/11/2013 | | | |
| | 24/11/2013 | From Bac Giang to Bac Kan | | |
| | 25/11/2013 | PPMU Office | <ul style="list-style-type: none"> - Updated progress of REMDP implementation from time of the first monitoring mission to now; - Reviewed documents related to REMDP implementation of project, especially of SP06; - Reviewed and evaluated corrective measures proposed by the Consultant in the first monitoring mission; - Complaints and grievances (if any); - Disadvantages in the process of REMDP implementation in province. | Vice Director of PPMU Safeguard Policy Officer of PPMU |
| | 25/11/2013 | Cho Don DPC Office | <ul style="list-style-type: none"> - Updated process of REMDPs on implementation from last mission to now; - Advantages and disadvantages in the process of REMDP implementation of SP06; - Reviewed documents related to implementation of SP06; - Collected complaint letter and grievances of affected households (if any); - Discussed on preparing and storing documents of affected households. | Representative of DCARC of Cho Don. |
| | 26/11/2013 | Phong Huan CPC Office | <ul style="list-style-type: none"> - Discussed on progress of REMDP implementation of SP06; - Deep interview with CPC leaders; - Complaints and grievances (if any); - Consultation and interview AHs. | Phong Huan CPC leader, Land Management Officer. |
| | 27/11/2013 | PPMU Office | Meeting with PPMU on findings during mission. | Vice Director of PPMU Safeguard Policy Officer of PPMU |

Annex 2: Monitoring objective, scope and method for the second monitoring

Objectives:

1. The objective of independent monitoring activity is to review and provide periodical independent monitoring on (i) achievement of resettlement objectives; (ii) changes in living standards and livelihoods of APs; (iii) restoration and improvement in socio-economic conditions for APs; (iv) efficiency and sustainability of resettlement; and (v) need to introduce further mitigation measures.

Monitoring scope:

2. Independent monitoring Consultants (IMCs) will monitor the implementation of REMDP in subprojects in compliance with regulations and requirements in Resettlement Framework (RF) and Resettlement and Ethnic Minority Development Plan (REMDP) of the Project. The tasks include:

- a. Monitor the implementation of REMDP (DMS, compensation and assistance prices, relocation, resettlement, consultation and information dissemination, grievance redress, income restoration and livelihood development as well as other related issues etc)
- b. Monitor the subprojects where completed payment of compensation and assistance and resettlement activity.

Monitoring method:

3. The IMC collected, consolidated and analyzed data provided by PPMUs and DRCs; review data in Internal Monitoring report (if any); consulted with heads of CPCs and commune cadastral officers as well as leaders of affected villages; conducted in-depth interviews using questionnaires with AHs; evaluated effectiveness, impacts and completion level of resettlement and compensation in the subproject.

4. Specific assignments:

- Review the documents, consolidated results provided by PPMUs and DRCs on compensation and resettlement progress to assess the achievement, identify the difficulties and advantages during implementation. Meanwhile, the continuation of dissemination of project policies, updates of progress and grievance redress for AHs by PPMUs and stakeholders as well as outstanding issues in grievance redress at monitoring time.
- Conduct consultation with representatives of local authority, departments at commune level where subprojects completed compensation and resettlement such as...
- IMCs also met and interviewed representatives from 28 AHs in 01 commune of SP08 on receipt of compensation and resettlement, suitability and satisfaction toward compensation price for assets acquired by the Project, especially land in comparison with the normal local price. Difficulties and solutions of HHs were also noted. In

addition, IMC recorded grievances, recommendations of DPs for Projects and provided some consultancy on solutions etc.

- Field visits at the works to be constructed.
- Consolidate, analyze data and documents collected and based on monitoring objectives and purposes to identify the achievements, outstanding issues and provide recommendations to effectively speed up the project progress.