

# Resettlement and Ethnic Minorities Monitoring Report

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Semi-annual Report  
November 2013

## Viet Nam: Sustainable Rural Infrastructure Development Project in Northern Mountain Provinces – Subprojects in Dien Bien, Son La, Hoa Binh, Ha Giang and Tuyen Quang Provinces

Prepared by VICA International Consultants Co., Ltd. for the Ministry of Agriculture and Rural Development and the Asian Development Bank.

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**SUSTAINABLE RURAL INFRASTRUCTURE DEVELOPMENT PROJECT IN  
NORTHERN MOUNTAIN PROVINCES – 2682/2683-VIE (SF)**

## **2<sup>nd</sup> MONITORING REPORT**

### **PACKAGE 13**

**THE IMPLEMENTATION OF RESETTLEMENT PLAN AND  
ETHNIC MINORITIES DEVELOPMENT IN DIEN BIEN,  
SON LA, HOA BINH, HA GIANG AND TUYEN QUANG  
PROVINCES**

Prepared by



**VICA International Consultants Co., Ltd.**

**November 2013**

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**ABBREVIATION**

ADB	Asian Development Bank
AH	Affected Household
AP	Affected People
CARP	Compensation, Assistance and Resettlement Plan
CPMU	Central Project Management Unit
CRC	Commune Resettlement Committee
CPC	Commune People's Committee
DCARB	District Compensation, Assistance and Resettlement Board
DPC	District People's Committee
DMS/IOL	Detailed Measurement Survey and Inventory of Losses
EMDP	Ethnic Minorities Development Plan
FGD	Focus Group Discussion
IMC	Independent Monitoring Consultant
M&E	Monitoring and Evaluation
PPMU	Provincial Project Management Unit
PRF	Project Resettlement Framework
RP	Resettlement Plan
REMDP	Resettlement and Ethnic Minority Development Plan
TOR	Terms of Reference
USD	United States Dollar
VND	Vietnam Dong

## **1. GENERAL INTRODUCTION**

### **1.1. Project Overview**

1. The Sustainable Rural Infrastructure Development Project in the Northern Mountain Provinces is funded by Asian Development Bank (ADB) for 15 Northern Mountain Provinces: Ha Giang, Cao Bang, BacKan, TuyenQuang, Lao Cai, Yen Bai, Thai Nguyen, Lang Son, BacGiang, PhuTho, Dien Bien, Lai Chau, Son La, HoaBinh, and VinhPhuc. Total investment cost is \$138 million. The project started on February 2011 and is expected to be completed on June 2017.
2. The goal of the Project is to rehabilitate existing and establish new strategic rural infrastructures including commune to District, inter-commune and commune to Village rural roads, small to medium scale irrigation or drainage systems, and District and commune markets.
3. The project impacts will be sustained socio-economic development in the Northern mountain Provinces of Viet Nam. The outcomes will be increased access to, and use of priority rural infrastructure by the poor and ethnic minorities.
4. Scope of the Project comprises: The project will have two main outputs: (i) priority rural infrastructure rehabilitated or upgraded, and (ii) improved capacities for project management and rural infrastructure use and sustainability.
5. The first output for rehabilitated or upgraded rural infrastructure will include: (i) irrigation and drainage rehabilitation; (ii) rural road and market improvement; and (iii) supporting initiatives to ensure the poor participate equitably in subproject benefits. Funds provided for rural infrastructure improvement which is considered sufficient to fund to 45 subprojects or up to three subprojects per participating Province.
6. The second output will build the capacity of the Executing and Implementing agencies staff and other stakeholders involved in the implementation of the project and its subprojects as well as those responsible for their use and operation and maintenance thereafter. Project management support will comprise both training and the provision of support for implementation. The activities that will be implemented by CPMU include: (i) building the capacity of the executing and implementing agency staff in the critical areas of procurement, financial management and compliance to ADB procedures; (ii) the conduct of annual training needs assessment, from which subsequent capacity building activities are to be developed, focusing on agency staff capacities in social and environmental safeguards as well as in climate change awareness and its implication for subproject design.
7. All 14 subprojects in package 13 involved in land acquisition (residential and agricultural land), and affected structures, plants and crops of AHs living in project provinces of Ha Giang, Tuyen Quang, Dien Bien, Son La and Hoa Binh. The Second monitoring Report covers the progress of resettlement in 14 subprojects for the period ending 31 October 2013 (*see in the table 1*):

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Resettlement Plan and Ethnic Minorities Development in Dien Bien, Son La, TuyenQuang, HoaBinh and Ha Giang Provinces  
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**Table 3: Implementation progress of compensation and resettlement activity under package 13 as of 31/10/2013.**

SP No.	Approval		Implementation						Remarks
	ADB	PPC	Information disclosure	DMS	Compensation plan	Negotiation	Compensation/ assistance payment	Handover of land	
SP 10	30/05/13	21/10/13	10/05/12						
SP12	27/05/13	21/10/13	26/05/12						
SP13	02/07/13	28/06/13	04/11/12						
SP14	23/07/13	28/06/13	04/11/12						
SP15	24/04/13	28/06/13	10/05/12	14/03/13	14/04/13	31/05/13	31/07/2013	30/09/13	
SP16	15/05/13	10/11/13		19/08/13	13/09/13	10/11/13	20/10/13		
SP17	16/08/13	01/10/13							
SP18	16/08/13	01/10/13		03/07/13					Voluntary land donation
SP31	23/07/13	28/06/13							Proposal for additional funding
SP32	25/02/13	28/06/13	04/2013	06/2013	04/07/13	21/10/13			
SP33	07/05/13	28/06/13		10/2012	26/12/12	29/07/13	7/10/2013		
SP37	21/02/13	17/06/13	03/08/12	10/09/12					
SP38	24/05/13		28/11/12	27/03/13					
SP39	07/08/13								

	Completed
	On-going
	No action

8. Two Subprojects (SP): SP15 –“Construction of water Tank for Domestic Use in Dong Van District (core subproject)”; SP33 - “Rural road Improvement Chieng Khoa–Muong Men, Moc Chau District” have completed paying compensation, assistance and resettlement activities as well as affected lands had been handovered to PPMU. Subproject 32 - “Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune, ThuanChau District” had the approval the for compensation plan but Son La PPMU transferred the payment into wrong account of ThuanChau Department of Land Use Right Register due to changes in account name made by the Treasury. Consequently, compensation and allowance were paid behind the schedule, the IMO will update the status in the next monitoring report.
9. There is not much change in the resettlement work progress of 11 sub-projects as compared to the First monitoring report. The status of the 11 subprojects are described in Table 2 below.

**Table 4: Resettlement Wor Progress of 11 Sub-projects**

SP	Province/ Name of Subproject	Implementation Status
	<b>Dien Bien Province</b>	
SP10	Road Improvement MuongThin–MuongMun	DMS is being carried out
SP12	Road Improvement Pu Nhung–Phinh Sang	DMS is being carried out
	<b>Ha Giang Province</b>	
SP 13	Road improvement Ban Le - Ngoc Long /Yen Minh District	DMS is being carried out. It is expected that this work will be completed in December 2013. The Compensation assistance and resettlement plan will be completed soon after.
SP 14	Road improvement Du Tien–Ban Le/ Yen Minh District	DMS will be carried out in early 1 <sup>st</sup> quater 2014.
	<b>Hoa Binh Province</b>	
SP 16	Improvement of irrigation Facilities and rural road of Lac Son District	So far, the DCARB has not yet completed compensation payments (95%).
SP 17	Rural road Improvement in YenThuy, LacThuy District	DMS is being carried out with 50% of the work already completed
SP 18	Improvement of Thanh Luong, Irrigation Facilities, Luong Son District	The AHs have voluntarily donated their affected lands. All procedural requirements for land acquisition thru voluntary donation have been completed. IMO will verify the acceptability of the process and completeness of donation documentary support in the Third monitoring period.
	<b>Son La Province</b>	
SP 31	Rural road Improvement Road 108 - Muong E, Thuanchau District	Step 1 of DMS has been completed; and step 2 is on-going. Once the DMS is complete, the preparation of CARP will follow.
	<b>Tuyen Quang Province</b>	
SP 37	Rural road Improvement of Da Vi–Hong Thai, Yen Hoa–Con Lon/ Na Hang District	DMS has been completed. However, PPMU is waiting land recovery plan approved by PPC's. This approved plan was intended to be the basis for DPC's approval of compensation assistance and resettlement plan.
SP 38	Rural road Improvement Binh Xa - Minh Huong/ Ham Yen District	DMS has been completed. However, PPMU is waiting land recovery plan approved by PPC's. This approved plan was intended to be the basis for DPC's approval of compensation assistance and resettlement plan.
SP 39	Domestic Water Supply for Communesin South of SonDuong District	The subproject implementing agency (Department of Agriculture and Rural Development) is in the process of approving the detailed engineering design, and the construction works; therefore, once the DED approved, PPMU will sign a contract with the DCARB of Son Duong District to proceed with the DMS.

10. Two Sub-project SP37 –“*Rural road Improvement of Da Vi–Hong Thai, Yen Hoa–Con Lon, Na Hang District*” and SP38 – “*Rural road Improvement Binh Xa - Minh Huong, Ham Yen District*” have their DMS completed and waiting for approval of compensation.

11. For Sub-project SP18 “*Improvement of Thanh Luong, Irrigation Facilities, Luong Son District*” APs volunteered to donate their land and PPMU Hoa Binh is preparing necessary documentation as required by ADB. In particular, there were 61 minutes of voluntary land donation signed by both husband and wife of AHs. The deterioration of this existing irrigation structure needs to be addressed by concrete lining of canals and building of new concrete water diversion weirs, which required further land acquisition but not in large quantity. The SP covers 4 communes of Hop Chau, Cao Duong, Cao Thang and ThanhLuong, but will only acquire land from 61 HHs including 54 HHs in Cao Duong and 7 HHs in Hop Chau commune. Two other communes have the lot area of existing structures large enough for construction, and therefore, require no more land acquisition. In next monitoring batch, when the donation documentation have been approved, the consultant will pay another visit to this subproject.
12. PPMUs in Ha Giang, Son La and TuyenQuang Provinces are undertaking procurement procedures for 4 sub-projects including SP15, SP32, SP33 and SP37. Currently, SP15 and SP33 have completed payment of compensation and assistance as well as resettlement activity. The Sub-project SP 32 is expected to complete the payment by November 2013.

## 1.2. Project impact scope

The subprojects’ impacts are as follows (see in the table 3):

**Table 5: Impact Scope of Subprojectsas of 31/10/2013**

SP	Province/ Name of Subproject	Commune/ District	Total area for recovery (m <sup>2</sup> )per DMS	Total Number of AHs per REMDP	Total Number of AHs as per DMS	HHs with affected agricultural land > 10%	Total number of Poor households
	<b>Dien Bien Province</b>			57			
SP10	Road Improvement MuongThin–MuongMun	MuongThin–MuongMun / TuanGiao District		20			
SP12	Road Improvement PuNhung–PhinhSang	PuNhung – PhinhSang/ TuanGiao District		37			
	<b>Ha Giang Province</b>			65			
SP 13	Road Improvement BanLe - Ngoc Long, Yen Minh District	Ngoc Long / Yen Minh District		42			
SP 14	Road improvement Du Tien–BanLe, Yen Minh District	Du Tien /Yen Minh District		10			
SP 15	Construction of water Tank for Domestic Use in DongVan District (core subproject)	PhoBang Town, SungTrai, LungPhin/ DongVan District	15,989.6	13	19	5	7

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	<b>HoaBinh Province</b>			507			
SP 16	Improvement of irrigation Facilities and rural road of LacSon District	PhuLuong, PhucTuy, ChiThien, ThuongCoc / LacSon District		189			
SP 17	Rural road Improvement in YenThuy, LacThuy District	YenThuy, LacThinh, YenLac,PhuLai/ YenThuy District; Dong Mon/ Lac Thuy District		278			
SP 18	Improvement of ThanhLuong, Irrigation Facilities, LuongSon District	CaoDuong, CaoThang, HopChau, ThanhLuong / Luong Son District		40			
	<b>Son La Province</b>			48			
SP 31	Rural road Improvement Road 108 - Muong E, Thuanchau District	Muong E / ThuanChau District					
SP 32	Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune	ThomMon, ChiengLy / ThuanChau District	2,372.5	15	22	0	3
SP 33	Rural road Improvement ChiengKhoa–MuongMen, MocChau District	ChiengKhoa, MuongMen/ MocChau District	4,555.53	33	95	0	0
	<b>Tuyen Quang Province</b>			374			
SP 37	Rural road Improvement of DaVi–HongThai, YenHoa–ConLon, Na Hang District	DaVi, Hong Thai, YenHoa, ConLon / Na Hang District		209			
SP 38	Rural road Improvement BinhXa - Minh Huong, Ham Yen District	BinhXa, Minh Huong / HamYen District		165			
SP 39	Domestic Water Supply for Communesin South of SonDuong District	SonDuong District					
	<b>Total</b>		<b>22,917.6</b>	<b>1.051</b>	<b>136</b>	<b>5</b>	<b>10</b>

13. At 2<sup>nd</sup> IMO monitoring time, the total number of AHs in 3 SPs in package 13 had the approval for compensation and assistance as well as resettlement activities plan were 136 HHs, up by 75 HHs (two times) against that in REMDP. Among those, 5 HHs had more than 10% of their agricultural land acquired (under SP15), 10 HHs received assistance for the poor (including 07 HHs in SP15 and 03 HHs in SP32). Total acquired land area under 3 Sub-projects is 22,917.6 m<sup>2</sup>, including 18,037.27 m<sup>2</sup> of annual crops (~

78.7%), 2,007.16 m<sup>2</sup> of paddy land (~8.7%), 1,226.71 m<sup>2</sup> of garden and perennial crop lands (~5.4%), 928.89 m<sup>2</sup> of residential land (~4.1%) and 717.6 m<sup>2</sup> of aquaculture land (~3.1%) (see Appendix 3 for details).

## **2. SUMMARY OF 2<sup>ND</sup> INDEPENDENT MONITORING BATCH**

### **2.1. Time**

14. From 15÷18/10/2013 and from 28÷31/10/2013, VICA assigned independent monitoring consultant (IMC) to undertake the 2<sup>nd</sup> monitoring on resettlement and ethnic minority development activities in 2 provinces of Ha Giang and Son La.

### **2.2. Objective**

15. The objective of independent monitoring activity is to review and provide periodical independent monitoring on (i) achievement of resettlement objectives; (ii) changes in living standards and livelihoods of APs; (iii) restoration and improvement in socio-economic conditions for APs; (iv) efficiency and sustainability of resettlement; and (v) need to introduce further mitigation measures.

### **2.3. Monitoring scope**

16. The IMC will monitor the implementation of REMDP in subprojects in compliance with regulations and requirements in Resettlement Framework (RF) and Resettlement and Ethnic Minority Development Plan (REMDP) of the Project. The tasks include:
  - a. Monitor the implementation of REMDP (DMS, compensation and assistance prices, relocation, resettlement, consultation and information dissemination, grievance redress, income restoration and livelihood development as well as other related issues etc )
  - b. Monitor the subprojects SP15 – “Construction of water Tank for Domestic use in Dong Van District” (core subproject), SP32 – “Improvement of irrigation facilities and Improvement of Revetment to Prevent Erosion in Thom Mon Commune, Thuan Chau District”; and SP33 – “Rural road Improvement of Chieng Khoa–Muong Men, Moc Chau District”, in Ha Giang (SP15) and Son La (SP32 and SP33) where subprojects have nearly completed payment of compensation and assistance, and resettlement activity.

### **2.4. Monitoring method**

17. IMC collected, consolidated and analysed data provided by PPMUs and DRCs; reviewed data in Internal Monitoring report (if any); consulted with heads of CPCs and commune cadastral officers as well as leaders of affected villages; conducted in-depth interviews using questionnaires with AHs; evaluated effectiveness, impacts and completion level of resettlement and compensation in the subproject.
18. Specific assignments:
  - Review the documents, consolidated results provided by PPMUs and DRCs on compensation and resettlement progress to assess the achievement, identify the difficulties and advantages during implementation. Meanwhile, the continuation of dissemination of project policies, updates of progress and grievance redress for AHs by PPMUs and stakeholders, as well as outstanding issues in grievance redress at monitoring time were looked into.

- Conduct consultation with representatives of local authority, departments at commune level where the concerned DCARB for subprojects nearly completed compensation and resettlement such as Pho Bang Town, Lung Phin Commune of SP 15 – “*Construction of water Tank for Domestic use in DongVan District*”(core subproject); Thom Mon Commune of SP32 – “*Improvement of irrigation facilities and Improvement of Revetment to Prevent Erosion in ThomMon Commune, ThuanChau District*”; ChiengKhoa Commune of SP33 – “*Rural road Improvement of ChiengKhoa–MuongMen, MocChau District*”.
- IMCs also met and interviewed representatives from 12 out of 19 AHs in Pho Bang town, Lung Phin, Sung Trai commune of SP15; 12 out of 22 AHs in Thom Mon commune in SP32; 15 out of 95 HHs in ChiengKhoa Commune in SP33 upon their receipt of compensation and other benefits, on suitability and satisfaction toward compensation price for assets acquired by the subproject, especially land in comparison with the normal local price. Difficulties encountered and suggested solutions of HHs were also noted. In addition, IMC recorded grievances, recommendations of AHs for the subprojects and provided some consultancy on solutions...etc...
- Pay field visits to the subproject sites where civil works are to be constructed.
- Consolidate, analyze data and documents collected, and based on monitoring objectives and purposes, identify the achievements, outstanding issues and provide recommendations to effectively speed up the subprojects progress.

### **3. MONITORING RESULTS**

#### **3.1. Information Disclosure**

19. Close attention has been paid to information dissemination activity right from the start of subprojects implementation. This helps to increase the people's awareness and mitigate the grievances from AHs, speed up the completion of compensation and resettlement procedures. All three subprojects monitored by IMCs have had information disseminated to communes, villages and even to AHs.
20. PPMUs met with districts, then PPMU staff cooperate with DCARB and CPC to directly inform the AHs. The communication forms are mainly through consultation meetings, for which local authority sent out invitation letters to each of AHs. For each subproject, the DCARB and local authorities organized 4-6 meetings. Besides, to enable AHs to be able to follow up on the information about compensation, DCARBs also publicized the inventory plans, compensation pricing in CPCs head quarters or in community houses of affected villages. The duration for each public information dissemination period was about 20 days.
21. Information which was communicated and disseminated include: (i) project general introduction; (ii) compensation policies and rights of AHs; (iii) inventory implementation arrangements, and compensation payment; and (iv) grievance redress mechanism.
22. The language used in consultation meeting is Kinh language. This is suitable for Thai, Tay, Muong, etc., people since many of them can speak Kinh language. There are not many people that encounter difficulties regarding language in Son La province. However, for Mong people (Dong Van District, Ha Giang Province), not all AHs can speak Kinh language, especially many women who cannot understand nor speak it. This might be a reason why women here rarely participate in consultation meetings.

23. Consultation with stakeholders shows that information dissemination to local authority, departments and especially affected communities have achieved good results. All interviewed HHs indicated that they knew about the project (See Appendix 1). Public consultation meetings were participated by all stakeholders, whose awareness has been raised on the project basic information, policies and benefits, which helped speed up the progress of land acquisition, resettlement and subsequent construction activities.
24. It was noted that public consultation meetings or interviews with AHs, had contributed to the support of HHs toward the project. HHs looked forward to the subprojects implementation given the serious deterioration of roads which made transport in rainy season extremely difficult. They also noted the practical benefits brought about by the subprojects to the beneficiary communities, which can facilitate the economic growth and improvement in quality of life.
25. Most AHs are ethnic HHs, therefore, with those groups who cannot use Kinh language fluently (especially Mong people), officers at commune and village levels provided translation to help disseminate information to people in their own ethnic language.
26. The project has been fully observing policies for ethnic people indicated in the subproject REMDPs as follows:
  - a. There's no discrimination for ethnic APs during implementation of resettlement and ethnic minority development activities. Ethnic HHs are totally equal with Kinh people, and will receive all compensation, assistance as per Project Resettlement Framework. There is no delay in compensation payment.
  - b. The process followed for compensation payment as well as supporting documents for payment fully is sensitive and responsive to the culture and way of life of ethnic minorities groups, as well as their communities.
  - c. Ethnic HHs were also invited to all public consultation meetings or information dissemination sessions. Their contributions and suggestions were recorded in the same manner as those from Kinh people. For ethnic communities in remote areas, or in places where people had limited access to CPCs, the information was delivered to each village, even to each HH through their respective village leaders.

### **3.2. DMS**

27. So far, there are only two out of five provinces specifically TuyenQuang and Ha Giang, that prepared their internal monitoring reports which, however, are not fully informative. The records of the concerned DCARBs that have completed compensation payment for the subprojects, were kept in PMUs and DCARB offices. The records of subprojects which were not yet approved, and had not completed compensation payments were kept in DCARB offices.
28. All 4 provinces (except for Dien Bien) have subprojects with completed DMS, which include SP15, SP18, SP32, SP33, SP37, SP38. Among those, two subprojects which completed compensation payment and resettlement assistance are SP15 and SP33. The Sub-project SP 32 approved compensation plan and will conduct compensation payment in the beginning of November 2013. The concerned DCARBs properly followed the procedures<sup>1</sup> of compensation, assistance and resettlement for land reclaimed by the

<sup>1</sup> Including: Inform about land reclamation; Establish DCARBs; Prepare cadastral documents for the area to be reclaimed; Conduct use declaration on land and associated assets for the area; DRC verify the declaration and conduct actual inventory; Prepare plans and organize public consultation on plan for compensation, assistance and resettlement.

Government. DCARB handed out the forms for people to declare the use of land, associated assets, papers proving their land use rights and assets. Thereafter, with the witness of AHs, DCARs verify the declaration and conduct actual inventory. Inventory minutes also had the signature of AHs.

29. Total number of AHs in 3 subprojects which and nearly completed compensation and assistance payment is 136 HHs. Among those, 14 AHs having their residential land affected (accounting for 10.3% of AHs) were mostly in SP33 – “*Rural road Improvement of ChiengKhoa–MuongMen, MocChau District*”. There were 53 HHs having their agricultural land reclaimed (accounting for 39%), while the number of HHs having crops and trees affected was 62 HHs (~45.6%). The impact level on each HH is not significant with no HH suffering house relocation. Only 5 HHs under SP15 – “*Construction of water Tank for Domestic use in DongVan District*” lost more than 10% of their productiveland. The number of HHs having structures affected was 17 HHs, accounting for 12%, mainly involved in Subproject 33– “*Rural road Improvement of ChiengKhoa–MuongMen, MocChau District*”. The number of poor HHs assisted was 10 HHs, including 07 HHs in SP15 and 03 HHs in SP32.(see Appendix2).
30. Given the nature of the project is to restore and upgrade the rural infrastructure on the existing road base or irrigation system, the reclaimed area from each HH is not significant. According to the statistics of the 3 subprojects SP15, SP32, and SP33, total permanent land acquisition area is 22,917.6 m<sup>2</sup>, in which land for annual crops was 18,037.27 m<sup>2</sup> (accounting for 78.7% of total acquired area), affected land for paddy rice cultivation was 2,007.16 m<sup>2</sup> (~8.7%), 1,226.71 m<sup>2</sup> of garden and perennial crop lands (~5.4%), 928.89 m<sup>2</sup> of residential land (~4.1%) and 717.6 m<sup>2</sup> of aquaculture land (~3.1%) (see Appendix 3).
31. There were 17 HHs in SP33 - “*Rural road Improvement of ChiengKhoa–MuongMen, MocChauDistrict*” which have structures affected. There was no HHs with house affected. Most affected structures were cement paved yards, stone wall and water tank... etc...
32. Affected HHs in 3 subprojects SP15, SP32, SP33 are all ethnic minority people. Ha Giang province, particularly the rocky mountain area in Dong Van district is home for Mong people. Similarly, the two subprojects (SP32 and SP33) in Son La Province have mostly Thai people (see Appendix 4).

### 3.3. Compensation plan

33. The Compensation, Assistance and Resettlement plan (CARP) for AHs were prepared in compliance with PRF, REMDP approved by ADB, governmental laws and regulations as well as provincial decisions in Ha Giang and Son La as follows:
  - a. Ha Giang Province:
    - Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 by Ha Giang PPC on rates of compensation, assistance and resettlement in case of land reclamation in Ha Giang province ;
    - Decision No.221/QĐ-UBND dated 16/02/2012 by Ha Giang PPC promulgating the prices for land types in Ha Giang province
    - Decision No.2843/2012/QĐ-UBND dated 19/12/2012 by Ha Giang PPC on issuance of 2013 prices for land types in Ha Giang province ;

- Decision No.1454/QĐ-UBND dated 28/5/2013by Dong Van DPC on land recoveryfromHHs for construction of reservoir in new village in Pho Bang town, Dong Van district, Ha Giang province;
- b. Son La Province :
  - Decision No.24/2012/QĐ-UBND dated 20/12/2012by Son La PPC on some contents of compensation, assistance and resettlement in case of land recovery in Son La province;
  - Decision No.2940/QĐ-UBND dated 31/12/2012của UBND by Son La PPC on issuance of prices for land types in Son La applicable from January 1<sup>st</sup> 2013;
  - Decision No.1572/QĐ-UBND dated 11/7/2011by Son La PPC on compensation rate for houses, structures, plants, crops and assistance in case of land recovery in Son La province;
- 34. Upon the completion of compensation plan, PPMU, in coordination with DCARBs and commune authorities, posted these plans in the headquarters of communes, villages for the period of 20 days for the informationofthe people. The publized compensation plans include the listing of compensation and assistancefor assets that HHs shall receive. After such duration, PPMU, together with DCARB, finalized the plan, reported to DPC and Division of Natural Resources and Environment for appraisal and approval. The approved plans summarized the rates for compensation, assistance and resettlement, including compensation for land, crops, trees, assistance, etc., for each AH.
- 35. At the 2<sup>nd</sup> monitoring period, there were 5 CARP approved for 3 subprojects. Among those, approved by the concerned DPC are 3 compensation plans for SP15 covering 3 communes where the 3 water tanksare each located. The details are as follows (*see in the table 4*):

**Table 6: Approval progress of compensation plan as of 31/10/2013**

SP	Compensation plan	Implementing unit
SP15	Decision on land recovery from HHs, individuals in Ha De village, Sung Trai commune for the construction of water tankin Ha De village, Sung Trai commune, Dong Van district, Ha Giang province	Dong Van DRC, Ha Giang Province
	Decision on land recovery from HHs, individuals in Mao Sao Phin village, Lung Phin commune for the construction of water tankin Mao Sao Phin village, Lung Phin commune, Dong Van district, Ha Giang province	Dong Van DRC, Ha Giang province
	Decision on land recoveryfrom HHs, individuals in Pho Bang town, Sung Trai commune for the construction of water tankinXomMoi village, Pho Bang town, Sung Trai commune, Dong Van district, Ha Giang province	Dong Van DRC, Ha Giang province
SP32	Decision approving rate for compensation, assistance for assets, land and crops for HHs and individuals in Pa, Cam, Thom villages – Thom Mon commune and Huong village in Chieng Ly commune for the site clearing and construction of irrigation structure cum embankment in Thom Mon commune, Thuan Chua ditrict	ThuanChau DRC, Son La province
SP 33	Decision approving plan for compensation, assistance and resettlement for subproject of Upgrading rural road ChiengKhoa – Muong Men, MocChau district	MocChau DRC, Son La province

- 36. At the second monitoring mission, all interviewed HH agreed with the compensation rate. There was no grievance reported regarding the unit rate used for computing compensation by the DCARB.

### 3.4. Payment of Compensation

37. At 2<sup>nd</sup> monitoring time, two subprojects SP15, SP33 completed compensation and assistance payment. DCARB fully followed the process such as sending notifications on the date, time and venue to the affected people. Compensation payment was implemented in CPCs.
38. Through desk reviews on the CARPS provided by PPMUs and DCARBs, and interviews with AHs, IMCs noted the compensation and assistance were paid for some types as assets as follows:
39. As for SP15 (see Appendix 6):
- Apart from compensation made for agricultural land at the price of VND25,500/m<sup>2</sup> in Lung Phin and Sung Trai commune and VND 36,500/m<sup>2</sup> in Pho Bang town, HHs also received assistance for job change. The amount provided is computed using the doubled land compensation rate (VND 51,000/m<sup>2</sup>) in Lung Phin and Sung Trai, while in Pho Bang town the rate used is 3.5 times (VND 127,750/m<sup>2</sup>) the price of agricultural land.
  - AHs also received compensation for affected trees and standing crops such as for affected vegetables at VND 18,000/m<sup>2</sup>, and grass for livestock feed at VND 2,000/m<sup>2</sup> in Lung Phin and Sung Trai Communes and 3÷4 leaf maize at VND 3,700/m<sup>2</sup> in Pho Bang town.
  - AHs having 10÷70% of their agricultural land acquired also received resettlement assistance for the period of six months. The assistance is calculated at the unit price of VND 12,000/kg rice for 30 kg rice/person/month.
  - In addition, poor AHs received assistance for the period ranging from 6÷36 months at the rate of VND 150,000/person/month.
40. As for SP32 and SP33 (see Appendix 7)
- Residential land is compensated at VND 50,000÷60,000/m<sup>2</sup>
  - As for garden land, AHs are compensated at the rate of VND 8,000/m<sup>2</sup> and provided assistance at 45% x 60,000đ/m<sup>2</sup> or (70% x 50,000đ/m<sup>2</sup>) of associated land.
  - As for agricultural land, apart from compensation at the price ranging VND 8,000-16,000/m<sup>2</sup>, HHs received assistance for job change in cash which is 2÷5 as the agriculture land compensation rate.
  - As for minor structures, compensation rates are VND 86,000/m<sup>2</sup> for cement paved yard, VND 892,000/ m<sup>3</sup> for stone embankment and VND 342,000/m<sup>2</sup> for brick defence wall, etc...
  - In addition, poor AHs received the assistance of VND 3,000,000/AHs
41. At 2<sup>nd</sup> monitoring time, DCARB paid the assistance for resettlement for AHs of Mrs Vang Thi De and Mr. Vu Mi No in Lung Phin Commune who lost more than 10% of their agriculture land as recommended in 1<sup>st</sup> monitoring time.
42. 114 AHs in 2 subprojects SP15 and SP33 all received compensation. All AHs kept a folder including their signed inventory minutes, DMS minutes, pricing minute and payment receipt for compensation, so that they could monitor their affected assets during project construction. Dong Van DCARB also supplemented the missing documents such as inventory minutes, pricing minutes as recommended in 1<sup>st</sup> monitoring time.

### **3.5. Resettlement and Income and Livelihood Restoration Program**

43. According to the approved CARP of the 2 Subprojects which completed compensation payment, there's no AH that will have to be physically relocated. Therefore, no relocation and resettlement is necessary.
44. Restoration of living standard for AHs required much attention from stakeholders. One of good plans implemented by Ha Giang PPMU was in SP15 in Pho Bang town, where in coordination with Town's People Committee, mobilized HHs with large non-affected land area to exchange a part of their land with AHs suffering land loss. Mr. Giang Mi Cho who lost about 90% of his land, was able to buy land in the other region with the same size as the recovered lot. However, there were only 2 out of 12 HHs who opt for buying replacement land, while others are still indecisive on what alternative livelihood they want to be engaged in. Group discussions with APs revealed that they are worried of not being able to buy land for production because the price of replacement land may be higher than the amount they can afford. Some households wanted to raise livestock but are worried about the animal's mortality. Some others wanted to follow traditional dress sewing but met difficulties in marketing their products. The town intends to mobilize people to raise livestock, plant fodder grass for animal feed and develop traditional garments production. IMC also relayed these intentions to PPMU who promised to pay attention to them, and at least provide technical guidance for AHs who wanted to raise livestock.
45. Similar to the approach of Pho Bang town, in Lung Phin Commune, Mrs. Vang Thi De, who suffered the biggest land loss of 4,225.3 m<sup>2</sup>, bought 2,500 m<sup>2</sup> from her uncle's property at VND 50 million. The rest of compensation amount was saved in her bank account to earn interest. Her AH also received assistance for poor AH covering the period of 36 months. In addition, the Chairman of Lung Phin commune also promised to help Mrs De's HH by enrolling them in Program 30A in 2014, that will allow them to be provided with 1 cow. The HHs of Mr. Vu Mi No, who is leader of Mao Xa Phin village, also lost more than 10% of his agricultural land. His HH has good income from his farm, but instead of replacement land, they used the compensation money to buy 2 cows at VND 60 million to raise. In 2014, the commune will organize a training on tea plantation and processing, and will prioritize interested AHs losing land, to attend the activity.

### **3.6. Grievance Settlement**

46. In the two subprojects (SP15, SP33) covered under this report, all AHs received compensation, and had no grievance.
47. All interviewed AHs were satisfied with the compensation and assistance provided by the DCARB. (see Appendix 1)

### **3.7. Compliance with Civil Works Plan**

48. So far, PPMUs, in coordination with DCARBs quickly approve compensation plans and conduct payment for AHs. It is planned to start construction after the completion of payment and certification is issued by IMO that no resettlement issue is outstanding. Packages SP15, SP32, SP33 in Ha Giang and Son La has satisfied the planned implementation program, while 2 subproject SP37, SP38 in Tuyen Quang should have DCARBs of Ham Yen and Na Hang District quickly approve compensation payment to be able to meet the targets set in the planned implementation schedule.

### **3.8. Evaluation of AHs on Resettlement implementation**

49. In the group discussions and interviews conducted with AHs, most showed their satisfaction about process of inventory and publicity of compensation plans by DCARBs.
50. AHs as well as local people and communities living in within the subproject areas all understood that the subproject completion will bring about better infrastructure to meet increasing transport demand and needs for agricultural production development, meet their desire to have better living standards, poverty reduction and sustainable development in the mountainous rural areas. This has motivated them to totally support the subprojects.

## **4. CONCLUSIONS AND RECOMMENDATIONS**

### **4.1. Conclusions**

51. The Project policies on compensation and resettlement have been complied properly by PPMU and District Compensation, Assistance and Resettlement Board (DCARB), thus help ensuring the achievement of Project's objectives as planned.
52. PPMU and stakeholders have together implemented the Project's information dissemination program, where general information, as well as policies on compensation, allowance and resettlement were relayed to the subproject stakeholders. Most of interviewees believe that they obtained adequate subproject's information from the meetings and other information dissemination activities held.
53. The Detail Measurement Survey (DMS) and application of compensation rate have been conducted publicly and transparently by PPMU and DCARB. Households, representative of PPMU, local authorities and affected people did participate in the DMS process.
54. Payment of compensation and other benefits, as well as other resettlement activities have not only been conducted publicly and transparently by PPMU and DCARB, but were also fully supported by the affected people.

### **4.2. Recommendation**

55. PPMU should kindly request TuyenQuang Provincial People's Committee (PPC) to allocate sufficient fund for compensation, allowance and resettlement activities for Sub-project SP37 to be able to adequately pay the resettlement cost. Also, PPMU TuyenQuang should also request DCARB of Na Hang and Ham Yen district to urgently approve the compensation plan for SP37 and SP38 in order to meet the planned targets .
56. PPMUs should coordinate with DCARBs to identify households (HHs) with more than 10% agricultural land loss, vulnerable HHs and poor HHs, and then timely provide financial support in accordance with Project's RF.
57. For the planned upcoming activities, PPMU and local authorities should pay more attention to gender concerns and encourage women to participate actively in subproject activities.
58. It is necessary that PPMU prepare their respective internal monitoring report, approved compensation plan, ethnic minorities' profile whose are affected households (AHs) and so on, then provide these to the IMO team prior to fieldwork.
59. PPMU has many advantages in helping life restoration for AHs. Hence, they (PPMU) in association with local governments are kindly requested to support AHs, especially

severely AHs and vulnerable HHs, to be quickly be restored to their pre-subproject socio-economic conditions.

60. After land acquisition, if there are residual (remaining) land which are very small and not economically viable to cultivate, it is recommended that the DCARB recover these remaining lands in addition to the affected areas. This condition may be relevant to Subproject SP16 – *“Improvement of irrigation system and rural road in Lac Son District, Hoa Binh Province.*

## 5. APPENDICES

### Appendix 1: Information on compensation, allowance and resettlement extracted from questionnaire of households

#### Number of forms in sub-project

Ha Giang	Son La	
PhoBangTown; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
12	15	12

#### Questions

##### 1. Do you get access to project information?

	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	12 (100%)	15(100%)	12(100%)
No	0(0%)	0(0%)	0(0%)

#### If yes, which information sources do you get?

information sources	Ha Giang	Son La	
	PhoBangTown; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Notice board	11(91.7%)	10(66.7%)	10(83.3%)
Public communication means	0(0%)	15(100%)	10(83.3%)
Project staff	12(100%)	15(100%)	12(100%)
Village meeting	12(100%)	15(100%)	12(100%)
Others	0(0%)	0(0%)	0(0%)

##### 2. Do you acknowledge all policies and benefits that you are entitled to?

Nội dung	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	12(100%)	15(100%)	12(100%)
No	0(0%)	0(0%)	0(0%)

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**3. How did you spend compensation after land acquisition?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
House building and or repairing	5(41.7%)	0(0%)	0(0%)
Land purchase	8(66.7%)	0(0%)	0(0%)
Shopping	3(25%)	5(33.3%)	8(66.7%)
Business	2(16.7%)	0(0%)	0(0%)
Savings in bank	2(16.7%)	0(0%)	0(0%)
Investment in education for children	2(16.7%)	12(80%)	5(41.7%)
Others	5(41.7%)	15(100%)	12(100%)

**4. Do you get involved or know the following contents?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Participate in DMS/IOL of affected assets	12(100%)	15(100%)	12(100%)
Is the measurement of land and affected houses correct?	12(100%)	15(100%)	12(100%)
Review and sign on DMS	12(100%)	15(100%)	12(100%)
Retain 01 document of calculating compensation cost	12(100%)	15(100%)	12(100%)
Being discussed to select compensation options	12(100%)	13(86.7%)	10(83.3%)
Review record of compensation cost	12(100%)	15(100%)	12(100%)

**5. Are there any of your assets not inventoried?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	0(0%)	0(0%)	0(0%)
No	12(100%)	15(100%)	12(100%)

**6. Did you receive any compensation?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes, all compensation	12(100%)	15(100%)	0(0%)
Yes, a part	0(0%)	0(0%)	0(0%)

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Not yet	0(0%)	0(0%)	12(100%)
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**7. When did you receive the compensation?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Before construction	12(100%)	15(100%)	12(100%)
During construction	0(0%)	0(0%)	0(0%)
After construction	0(0%)	0(0%)	0(0%)

**8. What kind of assistance has your family received ?**

Content	Ha Giang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Assistance for Livelihood stability	05(41.7%)	0(0%)	0(0%)
Assistance for the poor	5(41.7%)	0(0%)	1(8.3%)
Assistance for job transformation	12(100%)	15(100%)	12(100%)
Assistance for vocational training	0(0%)	0(0%)	0(0%)
Assistance for transportation of materials	0(0%)	0(0%)	0(0%)
Assistance for loss of business sales	0(0%)	0(0%)	0(0%)
Others			

**9. Do you satisfy with the compensation cost?**

Content	Ha Giang	Son La	
	PhoBangTown; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	12(100%)	15(100%)	12(100%)
No	0(0%)	0(0%)	0(0%)

**10. What are your difficulties currently?**

Content	HaGiang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
No difficulty	6(50%)	15(100%)	12(100%)
Lack of job	0(0%)	0(0%)	0(0%)
Not interested	0(0%)	0(0%)	0(0%)
No land for production and business	1(8.3%)	0(0%)	0(0%)

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Children can not go to school	1(8.3%)	0(0%)	0(0%)
Lack of food	0(0%)	0(0%)	0(0%)
Lack of main laborers	1(8.3%)	0(0%)	0(0%)
Lack of shelters	0(0%)	0(0%)	0(0%)
Others	3(25%)	0(0%)	0(0%)

**11. Has there been any change in the occupation of your family before and after land acquisition?**

Content	HaGiang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	2(16.7%)	0(100%)	0(0%)
No	10 (83.3%)	15(100%)	12(100%)

**12. How is the change in your living standards in comparison with situation before the subproject?**

Content	HaGiang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Better	0(0%)	0(0%)	0(0%)
No change	12(100%)	15 out of 15	12 out of 12
More difficult	0(0%)	0 out of 15	0 out of 12

**13. Do you have any grievance?**

Content	HaGiang	Son La	
	PhoBang Town; LungPhin Commune; SungTrai Commune	ChiengKhoa Commune	ThomMon Commune
Yes	0(0%)	0 (0%)	0(0%)
No	12(100%)	15(100%)	12(100%)

## Appendix 2. Summary the type of affected household

SP	Province/ Name of Subproject	Total Number of AHs as REMDP	Total Number of AHs as DMS	In which					
				Poor households	HHs with affected residential and	HHs with affected agricultural land		HHs with affected houses and structure	HHs with affected trees/crops
						>10%	< 10%		
	<b>Dien Bien</b>	<b>57</b>							
SP10	Road Improvement MuongThin–MuongMun TuanGiao District	20							
SP12	Road Improvement PuNhung–PhinhSang/ TuanGiao District	37							
	<b>HaGiang</b>	<b>65</b>							
SP13	Road improvement BanLe - Ngoc Long, Yen Minh District	42							
SP14	Road improvement Du Tien–BanLe/ Yen Minh District	10							
SP15	Construction of water Tank for Domestic Use in DongVan District (core subproject)	13	19	7	0	5	14	0	0
	<b>HoaBinh</b>	<b>507</b>							
SP16	Improvement of irrigation Facilities and rural road of LacSon District	189							
SP17	Rural road Improvement in YenThuy, LacThuy District	278							
SP18	Improvement of ThanhLuong, Irrigation Facilities, LuongSon District	40							
	<b>Son La</b>	<b>48</b>							
SP31	Rural road Improvement Road 108 - Muong E, Thuanchau District								
SP32	Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune	15	22	3	0	0	12		10
SP33	Rural road Improvement ChiengKhoa–MuongMen, MocChau District	33	95	0	14	0	22	17	52
	<b>TuyenQuang</b>	<b>374</b>							
SP37	Rural road Improvement of DaVi–HongThai,	209							

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SP	Province/ Name of Subproject	Total Number of AHs as REMDP	Total Number of AHs as DMS	In which					
				Poor households	HHs with affected residential and	HHs with affected agricultural land		HHs with affected houses and structure	HHs with affected trees/crops
						>10%	< 10%		
	YenHoa–ConLon/Na Hang District								
SP38	Rural road Improvement BinhXa - Minh Huong/ Ham Yen District	165							
SP39	Domestic Water Supply for Communes in South of SonDuong District								
	<b>Total</b>	<b>1,051</b>	<b>136</b>	<b>10</b>	<b>14</b>	<b>5</b>	<b>48</b>	<b>17</b>	<b>62</b>

*Data will be updated during independent monitoring*

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### Appendix 3: Summary the type of land acquisition in the Project

Sub-project	Province/Name of construction	Total area of affected land	In which (m <sup>2</sup> )					
			Residential land	Garden land + perennial crops land	Annual crops land	Paddy field	Aquaculture land	Forest land
	<b>Dien Bien</b>							
SP10	Road Improvement MuongThin–MuongMun							
SP12	Road Improvement PuNhung–PhinhSang							
	<b>HaGiang</b>							
SP13	Road improvement BanLe - Ngoc Long /Yen Minh District							
SP14	Road improvement Du Tien–BanLe/ Yen Minh District							
SP15	Construction of water Tank for Domestic Use in DongVan District (core subproject)	15,989.6			15,989.6			
	<b>Hoa Binh</b>							
SP16	Improvement of irrigation Facilities and rural road of LacSon District							
SP17	Rural road Improvement in YenThuy, LacThuy District							
SP18	Improvement of ThanhLuong, Irrigation Facilities, LuongSon District							
	<b>Son La</b>							
SP31	Rural road Improvement Road 108 - Muong E, Thuanchau District							
SP32	Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune	2,372.5			157.9	1,497	717,6	
SP33	Rural road Improvement ChiangKhoa–MuongMen, MocChau District	4,555.53	928,89	1,226.71	1,889.8	510.16		
	<b>TuyenQuang</b>							
SP37	Rural road Improvement of DaVi–HongThai, YenHoa–ConLon/Na Hang District							
SP38	Rural road Improvement BinhXa - Minh Huong/ Ham Yen District							

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Sub-project	Province/Name of construction	Total area of affected land	In which (m <sup>2</sup> )					
			Residential land	Garden land + perennial crops land	Annual crops land	Paddy field	Aquaculture land	Forest land
SP39	Domestic Water Supply for Communes in South of SonDuong District							
	<b>Total</b>	<b>22,917.6</b>	<b>928.89</b>	<b>1,226.71</b>	<b>18,037.27</b>	<b>2,007.16</b>	<b>717.6</b>	

*Data will be updated during independent monitoring*

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### Appendix 4: Summary the number of affected households and ethnic minorities (according to REMDP)

No. SP	Subproject	Affected commune	No. AHs	Ethnicity	(%)
<b>I</b>	<b>Dien Bien Province</b>				
SP10	Road Improvement MuongThin–MuongMun, TuanGiao District	Muong Thin, MuongMun	20	17 Thai HHs, 3 Mong HHs	100
SP12	Road Improvement PuNhung–PhinhSang, TuanGiao District	PuNhung, Phinh Sang	37	34 MongHHs, 3 Phu La HHs	100
<b>II</b>	<b>Ha Giang Province</b>				
SP3	Road improvement BanLe - Ngoc Long /Yen Minh District	Ngoc Long	42	Mong HHs	100
SP14	Road improvement Du Tien–BanLe/ Yen Minh District	Du Tien	10	Mong HHs	100
SP15	Construction of water Tank for Domestic Use in DongVan District (core subproject)	Sung Trai, Pho Bang town, Lung Phin	13	Mong HHs	100
<b>III</b>	<b>HoaBinh Province</b>				
SP16	Improvement of irrigation Facilities and rural road of LacSon District	PhuLuong, PhuTuy; Chi Thien, ThuongCoc	189	Muong HHs	100
SP17	Rural road Improvement in YenThuy, LacThuy District	Hop Thinh, Phu Lai, Yen Lac; Dong Mon, An Lac	278	265 Kinh HHs, 13 Muong HHs	4.7
SP8	Improvement of ThanhLuong, Irrigation Facilities, LuongSon District	ThanhLuong, Hop Chau, Cao Duong, Cao Thang	40	Muong HHs	100
<b>IV</b>	<b>Son La Province</b>				
SP31	Rural road Improvement Road 108 - Muong E, Thuanchau District	Muong E	93	Thai HHs	100
SP32	Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune	Thom Mon	15	Thai HHs	100
SP33	Rural road Improvement ChiengKhoa–MuongMen, MocChau District	ChiengKhoa, Muong Men	39	31 Thai HHs, 8 Muong HHs	100
<b>V</b>	<b>TuyenQuang Province</b>				
SP37	Rural road Improvement of DaVi–HongThai, YenHoa–ConLon/Na Hang District	Con Lon, Hong Thai, Da Vi, Yen Hoa	209	151 Tay HHs, 58 Dao HHs	100
SP38	Rural road Improvement BinhXa - Minh Huong/ Ham Yen District	BinhXa, Minh Huong	165	74 Tay HHs, 91 Kinh HHs	44.8
SP39	Domestic Water Supply for Communesin South of SonDuong District	Son Duong	N/A		

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**Appendix 5: Resettlement and Compensation Plan**

No	SP	Subproject name	DMS completion expected date	Compensation payment completion expected date	Expected date for submission of Independent Monitoring Report to ADB
<b>Dien Bien</b>					
1	SP10	Road Improvement MuongThin–MuongMun, Tuan Giao District	10/10/2013.	30/11/2013.	31/12/2013.
2	SP12	Road Improvement PuNhung–PhinhSang, Tuan Giao District	10/12/2013.	30/04/2014.	30/05/2014.
<b>Ha Giang</b>					
3	SP13	Road improvement BanLe - Ngoc Long /Yen Minh District	15/10/2013.	31/01/2014.	28/02/2014.
4	SP14	Road improvement Du Tien–BanLe/ Yen Minh District	15/11/2013.	30/06/2014.	31/07/2014.
5	SP15	Construction of water Tank for Domestic Use in DongVan District (core subproject)			
		1. Pho Bang 1 Reservoir	23/05/2013.	30/09/2013	31/10/2013.
		2. Pho Bang 2 Reservoir	15/12/2012	30/09/2013	31/10/2013.
		3. Pho Bang 3 Reservoir	15/12/2012.	30/09/2013	31/10/2013.
<b>Hoa Binh</b>					
6	SP16	Improvement of irrigation Facilities and rural road of LacSon District	20/07/2013.	30/09/2013.	31/10/2013.
7	SP17	Rural road Improvement in YenThuy, LacThuy District	15/10/2013.	31/12/2013.	31/01/2014.
8	SP18	Improvement of ThanhLuong, Irrigation Facilities, LuongSon District	10/07/2013.	Land donated	30/09/2013.
<b>Son La</b>					
9	SP31	Rural road Improvement Road 108 - Muong E, Thuanchau District	15/11/2013	31/05/2014	30/06/2014.
10	SP32	Improvement of Irrigation Facilities and Revetment to Prevent Erosion in ThomMon Commune/ Thuanchau District	20/06/2013	30/09/2013	31/10/2013.
11	SP33	Rural road Improvement ChiengKhoa–MuongMen, MocChau District	28/02/2013	31/08/2013	30/09/2013.
<b>Tuyen Quang</b>					
12	SP37	Rural road Improvement of DaVi–HongThai, YenHoa–ConLon/Na Hang District	30/04/2013.	31/8/2013.	30/09/2013.
13	SP38	Rural road Improvement BinhXa - Minh Huong/ Ham Yen District	30/05/2013.	30/09/2013.	31/10/2013.
14	SP39	Domestic Water Supply for Communesin South of SonDuong District	30/03/2014.	31/07/2014.	31/08/2014.

**Appendix 6: Legal basis, used in computing Compensation and Allowance for some type of impacts**

**SP15 - Dong Van District, Ha Giang Province**

Type of impacts	Compensation/allowance rate	Legal basis
Agricultural land	Annual crops land: 25,500 + 36,500 VND/m <sup>2</sup>	- Decision No. 221/QĐ-UBND dated 16/02/2012 issued the land price in Ha Giang province, 2012;  - Decision No. 2843/2012/QĐ-UBND dated 19/12/2012 issued the land price in Ha Giang province, 2013;
Displaced graves	Brick grave: 3,750,000 VND/grave	Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 on the rate of compensation, allowance upon land acquisition in Ha Giang province
One crop paddy	- Vegetable 18,000 VND/m <sup>2</sup> - Grass 2,000 VND/m <sup>2</sup> - 3÷4 leaves maize 3,700 VND/m <sup>2</sup>	Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 on the rate of compensation, allowance upon land acquisition in Ha Giang province
Assistance for life stabilization	30kg ordinary rice/person/month (1 kg rice = 12,000 VND)	Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 on the rate of compensation, allowance upon land acquisition in Ha Giang province
Assistance for career change and job creation	From 2 to 3.5 times the price of agricultural land	Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 on the rate of compensation, allowance upon land acquisition in Ha Giang province
Out of poverty assistance	From 6 months to 36 months at the rate of 150,000VND/person/month	Decision No. 1129/2012/QĐ-UBND dated 13/6/2012 on the rate of compensation, allowance upon land acquisition in Ha Giang province

**Appendix 7: Legal basis, Compensation and Allowance rate for some type of impacts**

**SP32, SP33 – Thuan Chau, Moc Chau District, Son La Province**

Type of impacts	Compensation/allowance rate	Legal basis
Residential land in rural area	From 50,000 to 60.000 VND/m <sup>2</sup>	- Decision 2490/QĐ-UBND dated 31/12/2012 on the land price in Son La province, valid from 01/01/2013;
Agricultural land	<ul style="list-style-type: none"> <li>- Adjacent garden land 8,000 VND/m<sup>2</sup>; allowance 60,000 VND x 45%/m<sup>2</sup> or 50,000 VND x 70%/m<sup>2</sup></li> <li>- Perennial crops land 8,000 VND/m<sup>2</sup></li> <li>- Annual crops land 9,600 VND/m<sup>2</sup></li> <li>- 2-crops paddy field 16,000 VND/m<sup>2</sup></li> </ul>	- Decision 2490/QĐ-UBND dated 31/12/2012 on the land price in Son La province, valid from 01/01/2013;
Trees and crops	<ul style="list-style-type: none"> <li>- Green-tree fence 6,300 VND/m</li> <li>- Mango &gt; 10 year 1,110,000 VND/tree</li> <li>- Longan 3-5 year 255,000 VND/tree</li> <li>- Persimmon 3 ≤ 5 year 132,800 VND/tree</li> <li>- Jackfruit 3 ≤ 5 năm 132,800 VND/tree</li> <li>- “Xoan” tree &gt; 40 cm 82,000 VND/tree</li> </ul>	Decision No. 1572/QĐ-UBND dated 11/7/2011 on the rate of compensation for house, other structures, trees and crops and State’s support policies upon land acquisition in Son La province.
Construction, other structures	<ul style="list-style-type: none"> <li>- Sell iron columns frame roof, roofing: 896,000 VND/m<sup>2</sup></li> <li>- Brick fence: 342,000 VND/m<sup>2</sup></li> <li>- Cement ground: 86,000 VND/m<sup>2</sup></li> <li>- Concrete ground: 147,000 VND/m<sup>2</sup></li> <li>- Stone embankment: 892,000 VND/m<sup>3</sup></li> </ul>	Decision No. 1572/QĐ-UBND dated 11/7/2011 on the rate of compensation for house, other structures, trees and crops and State’s support policies upon land acquisition in Son La province.
Assistance for career change and job creation	From 2 to 5 times the price of agricultural land, depending on the type of land	Decision No. 1572/QĐ-UBND dated 11/7/2011 on the rate of compensation for house, other structures, trees and crops and State’s support policies upon land acquisition in Son La province.
Out of poverty assistance	- VND 3,000,000 /household	Decision No. 1572/QĐ-UBND dated 11/7/2011 on the rate of compensation for house, other structures, trees and crops and State’s support policies upon land acquisition in Son La province.